



## 2007 CHAPTER 2

### PART 1

#### EMPLOYMENT AND SUPPORT ALLOWANCE

##### Modifications etc. (not altering text)

- C1** Pt. 1 (ss. 1-29) applied (with modifications) (1.10.2010) by [Employment and Support Allowance \(Transitional Provisions and Housing Benefit\) \(Existing Awards\) Regulations \(Northern Ireland\) 2010 \(S.R. 2010/312\)](#), regs. 1, **6**, Sch. 1
- C2** Pt. 1 (ss. 1-29) applied (with modifications) (1.10.2010) by [Employment and Support Allowance \(Transitional Provisions and Housing Benefit\) \(Existing Awards\) Regulations \(Northern Ireland\) 2010 \(S.R. 2010/312\)](#), regs. 1, **16**, Sch. 2
- C3** Pt. 1 (ss. 1-29) applied (with modifications) by [Social Security Contributions and Benefits \(Northern Ireland\) Act 1992 \(c. 7\)](#), s. 123(6A)(6B) (as inserted (prosp.) by [Welfare Reform Act \(Northern Ireland\) 2010 \(c. 13\)](#), **ss. 5(1)(b)**, 36(2))

##### *Entitlement*

##### **Employment and support allowance**

**1.**—(1) An allowance, to be known as an employment and support allowance, shall be payable in accordance with the provisions of this Part.

(2) Subject to the provisions of this Part, a claimant is entitled to an employment and support allowance if he satisfies the basic conditions and [<sup>F1</sup>either]—

*Status: Point in time view as at 15/11/2017.*

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- (a) the first and the second conditions set out in [<sup>F2</sup>Part 1 of] Schedule 1 (conditions relating to national insurance) or the third condition set out in [<sup>F2</sup>that Part of] that Schedule (condition relating to youth), [<sup>F3</sup>or]
- (b) [<sup>F3</sup>the conditions set out in Part 2 of that Schedule (conditions relating to financial position).]
- (3) The basic conditions are that the claimant—
  - (a) has limited capability for work,
  - [<sup>F4</sup>(aa) has accepted a claimant commitment,]
  - (b) is at least 16 years old,
  - (c) has not reached pensionable age,
  - (d) is in Northern Ireland, [<sup>F5</sup>and]
  - (e) is not entitled to income support, and
  - (f) is not entitled to a jobseeker's allowance[<sup>F6</sup>(and is not a member of a couple who are entitled to a joint-claim jobseeker's allowance)].
- [<sup>F7</sup>(3A) After the coming into operation of this subsection no claim may be made for an employment and support allowance by virtue of the third condition set out in [<sup>F8</sup>Part 1 of] Schedule 1 (youth).]
- (4) For the purposes of this Part, a person has limited capability for work if—
  - (a) his capability for work is limited by his physical or mental condition, and
  - (b) the limitation is such that it is not reasonable to require him to work.
- (5) An employment and support allowance is payable in respect of a week.
- (6) In subsection (3)—
  - [<sup>F9</sup>“joint-claim jobseeker's allowance” means a jobseeker's allowance entitlement to which arises by virtue of Article 3(2B) of the Jobseekers Order;]
  - “pensionable age” has the meaning given by the rules in paragraph 1 of Schedule 2 to the Pensions (Northern Ireland) Order 1995 (NI 22).
- [<sup>F10</sup>(6A) [<sup>F11</sup>In subsection (3)(f), in relation to a contributory allowance, the reference to a couple entitled to a joint-claim jobseeker's allowance does not include a couple so entitled by virtue of regulations under paragraph 8A of Schedule 1 to the Jobseekers Order.]]
- (7) [<sup>F11</sup>In this Part—
  - “contributory allowance” means an employment and support allowance entitlement to which is based on subsection (2)(a) [<sup>F12</sup>(and see section 1B(2))];
  - “income-related allowance” means an employment and support allowance entitlement to which is based on subsection (2)(b).]

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### Textual Amendments

- F1** Word in s. 1(2) repealed (27.9.2017 for specified purposes, 15.11.2017 and 13.12.2017 for specified purposes) by [The Welfare Reform \(Northern Ireland\) Order 2015 \(S.I. 2015/2006\)](#), art. 2(2), **Sch. 12 Pt. 1**; S.R. 2017/190, art. 6, Sch. 2 (with arts. 7, 8, 10-25, Sch. 3); S.R. 2017/216, art. 4 (with art. 5)
- F2** Words in s. 1(2)(a) repealed (27.9.2017 for specified purposes, 15.11.2017 and 13.12.2017 for specified purposes) by [The Welfare Reform \(Northern Ireland\) Order 2015 \(S.I. 2015/2006\)](#), art. 2(2), **Sch. 12 Pt. 1**; S.R. 2017/190, art. 6, Sch. 2 (with arts. 7, 8, 10-25, Sch. 3); S.R. 2017/216, art. 4 (with art. 5)
- F3** S. 1(2)(b) repealed (27.9.2017 for specified purposes, 15.11.2017 and 13.12.2017 for specified purposes) by [The Welfare Reform \(Northern Ireland\) Order 2015 \(S.I. 2015/2006\)](#), art. 2(2), **Sch. 12 Pt. 1**; S.R. 2017/190, art. 6, Sch. 2 (with arts. 7, 8, 10-25, Sch. 3); S.R. 2017/216, art. 4 (with art. 5)
- F4** S. 1(3)(aa) inserted (2.5.2016 for specified purposes, 27.9.2017 for specified purposes and subsequently on the days on which and for the purposes for which "the amending provisions" are brought into force by secondary legislation) by [The Welfare Reform \(Northern Ireland\) Order 2015 \(S.I. 2015/2006\)](#), arts. 2(2), **60(2)**; S.R. 2016/215, art. 2(3)(b); S.R. 2017/190, art. 9 (with arts. 10-25)
- F5** Word in s. 1(3)(d) inserted (27.9.2017 for specified purposes, 15.11.2017 and 13.12.2017 for specified purposes) by [The Welfare Reform \(Northern Ireland\) Order 2015 \(S.I. 2015/2006\)](#), art. 2(2), **Sch. 3 para. 18**; S.R. 2017/190, art. 6 (with arts. 7, 8, 10-25, Sch. 3); S.R. 2017/216 art. 4 (with art. 5)
- F6** Words in s. 1(3)(f) repealed (27.9.2017 for specified purposes, 15.11.2017 and 13.12.2017 for specified purposes) by [The Welfare Reform \(Northern Ireland\) Order 2015 \(S.I. 2015/2006\)](#), art. 2(2), **Sch. 12 Pt. 1**; S.R. 2017/190, art. 6, Sch. 2 (with arts. 7, 8, 10-25, Sch. 3); S.R. 2017/216, art. 4 (with art. 5)
- F7** S. 1(3A) inserted (17.2.2016) by [The Welfare Reform \(Northern Ireland\) Order 2015 \(S.I. 2015/2006\)](#), arts. 2(2), **59**; S.R. 2016/46, art. 3(2)(b)(ii)
- F8** Words in s. 1(3A) repealed (27.9.2017 for specified purposes, 15.11.2017 and 13.12.2017 for specified purposes) by [The Welfare Reform \(Northern Ireland\) Order 2015 \(S.I. 2015/2006\)](#), art. 2(2), **Sch. 12 Pt. 1**; S.R. 2017/190, art. 6, Sch. 2 (with arts. 7, 8, 10-25, Sch. 3); S.R. 2017/216, art. 4 (with art. 5)
- F9** Words in s. 1(6) repealed (27.9.2017 for specified purposes, 15.11.2017 and 13.12.2017 for specified purposes) by [The Welfare Reform \(Northern Ireland\) Order 2015 \(S.I. 2015/2006\)](#), art. 2(2), **Sch. 12 Pt. 1**; S.R. 2017/190, art. 6, Sch. 2 (with arts. 7, 8, 10-25, Sch. 3); S.R. 2017/216, art. 4 (with art. 5)
- F10** S. 1(6A) inserted (17.2.2016) by [The Welfare Reform \(Northern Ireland\) Order 2015 \(S.I. 2015/2006\)](#), arts. 2(2), **56**; S.R. 2016/46, art. 3(2)(b)(i)
- F11** S. 1(6A)(7) repealed (27.9.2017 for specified purposes, 15.11.2017 and 13.12.2017 for specified purposes) by [The Welfare Reform \(Northern Ireland\) Order 2015 \(S.I. 2015/2006\)](#), art. 2(2), **Sch. 12 Pt. 1**; S.R. 2017/190, art. 6, Sch. 2 (with arts. 7, 8, 10-25, Sch. 3); S.R. 2017/216, art. 4 (with art. 5)

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**F12** Words in s. 1(7) inserted (28.11.2016) by [The Welfare Reform \(Northern Ireland\) Order 2015 \(S.I. 2015/2006\)](#), arts. 2(2), **58(2)**; S.R. 2016/46, art. 5(a) (as substituted by S.R. 2016/166, art. 3)

#### Commencement Information

**II** S. 1 wholly in operation at 27.10.2008; s. 1 not in operation at Royal Assent see s. 60(1); s. 1 in operation for certain purposes at 1.7.2008 and 27.10.2008 by [S.R. 2008/276](#), **art. 2(2)(c)**, [Sch. Pt. 1](#); s. 1 in operation at 27.10.2008 in so far as not already in operation by [S.R. 2008/276](#), **art. 2(2)(d)**, [Sch. Pt. 2](#)

### [<sup>F13</sup>Duration of [<sup>F14</sup>contributory] allowance

**1A.—(1)** The period for which a person is entitled to [<sup>F15</sup>an employment and support allowance] by virtue of the first and second conditions set out in [<sup>F16</sup>Part 1 of] Schedule 1 shall not exceed, in the aggregate, the relevant maximum number of days in any period for which his entitlement is established by reference (under the second condition set out in [<sup>F16</sup>Part 1 of] Schedule 1) to the same two tax years.

(2) In subsection (1) the “relevant maximum number of days” is—

- (a) 365 days; or
- (b) if the Department by order specifies a greater number of days, that number of days.

(3) The fact that a person's entitlement to [<sup>F17</sup>an employment and support allowance] has ceased as a result of subsection (1) does not prevent his being entitled to a further such allowance if—

- (a) he satisfies the first and second conditions set out in [<sup>F18</sup>Part 1 of] Schedule 1, and
- (b) the two tax years by reference to which he satisfies the second condition include at least one year which is later than the second of the two years by reference to which (under the second condition) his previous entitlement was established.

(4) The period for which a person is entitled to [<sup>F17</sup>an employment and support allowance] by virtue of the third condition set out in [<sup>F19</sup>Part 1 of] Schedule 1 (youth) shall not exceed—

- (a) 365 days; or
- (b) if the Department by order specifies a greater number of days, that number of days.

(5) In calculating for the purposes of subsection (1) or (4) the length of the period for which a person is entitled to [<sup>F17</sup>an employment and support allowance], the following are not to be counted—

- (a) days in which the person is a member of the support group,

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- (b) days not falling within paragraph (a) in respect of which the person is entitled to the support component referred to in section 2(1)(b), and
- (c) days in the assessment phase, where the days immediately following that phase fall within paragraph (a) or (b).

(6) In calculating for the purposes of subsection (1) or (4) the length of the period for which a person is entitled to [<sup>F17</sup>an employment and support allowance] , days occurring before the coming into operation of this section are to be counted (as well as those occurring afterwards).]

#### Textual Amendments

- F13** S. 1A inserted (28.11.2016) by [The Welfare Reform \(Northern Ireland\) Order 2015 \(S.I. 2015/2006\)](#), arts. 2(2), **57(1)**; S.R. 2016/46, art. 5(a) (as substituted by S.R. 2016/166, art. 3)
- F14** Word in s. 1A heading repealed (27.9.2017 for specified purposes, 15.11.2017 and 13.12.2017 for specified purposes) by [The Welfare Reform \(Northern Ireland\) Order 2015 \(S.I. 2015/2006\)](#), art. 2(2), **Sch. 12 Pt. 1**; S.R. 2017/190, art. 6, Sch. 2 (with arts. 7, 8, 10-25, Sch. 3); S.R. 2017/216, art. 4 (with art. 5)
- F15** Words in s. 1A(1) substituted (27.9.2017 for specified purposes, 15.11.2017 and 13.12.2017 for specified purposes) by [The Welfare Reform \(Northern Ireland\) Order 2015 \(S.I. 2015/2006\)](#), art. 2(2), **Sch. 3 para. 21(a)**; S.R. 2017/190, art. 6 (with arts. 7, 8, 10-25, Sch. 3); S.R. 2017/216, art. 4 (with art. 5)
- F16** Words in s. 1A(1) repealed (27.9.2017 for specified purposes, 15.11.2017 and 13.12.2017 for specified purposes) by [The Welfare Reform \(Northern Ireland\) Order 2015 \(S.I. 2015/2006\)](#), art. 2(2), **Sch. 12 Pt. 1**; S.R. 2017/190, art. 6, Sch. 2 (with arts. 7, 8, 10-25, Sch. 3); S.R. 2017/216, art. 4 (with art. 5)
- F17** Words in ss. 1A(3)-(6) substituted (27.9.2017 for specified purposes, 15.11.2017 and 13.12.2017 for specified purposes) by [The Welfare Reform \(Northern Ireland\) Order 2015 \(S.I. 2015/2006\)](#), art. 2(2), **Sch. 3 para. 21(a)**; S.R. 2017/190, art. 6 (with arts. 7, 8, 10-25, Sch. 3); S.R. 2017/216, art. 4 (with art. 5)
- F18** Words in s. 1A(3)(a) repealed (27.9.2017 for specified purposes, 15.11.2017 and 13.12.2017 for specified purposes) by [The Welfare Reform \(Northern Ireland\) Order 2015 \(S.I. 2015/2006\)](#), art. 2(2), **Sch. 12 Pt. 1**; S.R. 2017/190, art. 6, Sch. 2 (with arts. 7, 8, 10-25, Sch. 3); S.R. 2017/216, art. 4 (with art. 5)
- F19** Words in s. 1A(4) repealed (27.9.2017 for specified purposes, 15.11.2017 and 13.12.2017 for specified purposes) by [The Welfare Reform \(Northern Ireland\) Order 2015 \(S.I. 2015/2006\)](#), art. 2(2), **Sch. 12 Pt. 1**; S.R. 2017/190, art. 6, Sch. 2 (with arts. 7, 8, 10-25, Sch. 3); S.R. 2017/216, art. 4 (with art. 5)

#### [<sup>F20</sup>Further entitlement after time-limiting

**1B.—**(1) Where a person's entitlement to [<sup>F21</sup>an employment and support allowance] has ceased as a result of section 1A(1) or (4) but—

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- (a) the person has not at any subsequent time ceased to have (or to be treated as having) limited capability for work,
- (b) the person satisfies the basic conditions, and
- (c) the person has (or is treated as having) limited capability for work-related activity,

the claimant is entitled to an employment and support allowance by virtue of this section.

(2) [<sup>F22</sup>An employment and support allowance entitlement which is based on this section is to be regarded as a contributory allowance for the purposes of this Part.]]

#### Textual Amendments

- F20** S. 1B inserted (28.11.2016) by [The Welfare Reform \(Northern Ireland\) Order 2015 \(S.I. 2015/2006\)](#), arts. 2(2), **58(1)**; S.R. 2016/46, art. 5(a) (as substituted by S.R. 2016/166, art. 3)
- F21** Words in s. 1B(1) substituted (27.9.2017 for specified purposes, 15.11.2017 and 13.12.2017 for specified purposes) by [The Welfare Reform \(Northern Ireland\) Order 2015 \(S.I. 2015/2006\)](#), art. 2(2), **Sch. 3 para. 21(b)**; S.R. 2017/190, art. 6 (with arts. 7, 8, 10-25, Sch. 3); S.R. 2017/216, art. 4 (with art. 5)
- F22** S. 1B(2) repealed (27.9.2017 for specified purposes, 15.11.2017 and 13.12.2017 for specified purposes) by [The Welfare Reform \(Northern Ireland\) Order 2015 \(S.I. 2015/2006\)](#), art. 2(2), **Sch. 12 Pt. 1**; S.R. 2017/190, art. 6, Sch. 2 (with arts. 7, 8, 10-25, Sch. 3); S.R. 2017/216, art. 4 (with art. 5)

#### [<sup>F23</sup>Claimant commitment

**1C.—**(1) For the purposes of this Part a “claimant commitment” is a record of the claimant's responsibilities in relation to an award of an employment and support allowance.

(2) A claimant commitment is to be prepared by the Department <sup>F24</sup>... and may be reviewed and updated as that department thinks fit.

(3) A claimant commitment is to be in such form as the Department thinks fit.

(4) A claimant commitment is to include—

- (a) any prescribed information, and
- (b) any other information the Department <sup>F25</sup>... considers it appropriate to include.

(5) For the purposes of this Part a claimant accepts a claimant commitment if, and only if, the claimant accepts the most up-to-date version of it in such manner as may be prescribed.

(6) Regulations may provide that, in prescribed circumstances, a claimant is to be treated as having satisfied the condition mentioned in section 1(3)(aa).]

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### Textual Amendments

- F23** S. 1C inserted (2.5.2016 for specified purposes) by [The Welfare Reform \(Northern Ireland\) Order 2015 \(S.I. 2015/2006\)](#), arts. 2(2), **60(3)**; S.R. 2016/215, art. 2(3)(b)
- F24** Words in s. 1C(2) omitted (8.5.2016) by virtue of [The Departments \(Transfer of Functions\) Order \(Northern Ireland\) 2016 \(S.R. 2016/76\)](#), art. 1(2), **Sch. 6 para. 52** (with art. 9(2))
- F25** Words in s. 1C(4)(b) omitted (8.5.2016) by virtue of [The Departments \(Transfer of Functions\) Order \(Northern Ireland\) 2016 \(S.R. 2016/76\)](#), art. 1(2), **Sch. 6 para. 52** (with art. 9(2))

### Amount of [<sup>F26</sup>contributory] allowance

**2.—(1)** [<sup>F27</sup>The amount payable by way of an employment and support allowance] in respect of a claimant shall be calculated by—

- (a) taking such amount as may be prescribed,
- (b) if in his case the conditions of entitlement to the support component <sup>F28</sup>... are satisfied, adding the amount of that component, and
- (c) making
  - [<sup>F29</sup>(i) deductions in respect of earnings calculated in the prescribed manner (which may include multiplying some or all earnings by a prescribed percentage), and
  - (ii)] prescribed deductions in respect of any payments to which section 3 applies.

(2) The conditions of entitlement to the support component are—

- (a) that the assessment phase has ended,
- (b) that the claimant has limited capability for work-related activity, and
- (c) that such other conditions as may be prescribed are satisfied.

<sup>F30</sup>(3) .....

(4) Regulations may—

- (a) prescribe circumstances in which paragraph (a) of subsection (2) <sup>F31</sup>... is not to apply;
- (b) prescribe circumstances in which entitlement under subsection (2) <sup>F31</sup>... is to be backdated;
- (c) make provision about the amount of the component under subsection (2) <sup>F31</sup>....

(5) For the purposes of this Part, a person has limited capability for work-related activity if—

- (a) his capability for work-related activity is limited by his physical or mental condition, and



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- (b) the limitation is such that it is not reasonable to require him to undertake such activity.

[<sup>F32</sup>(6) In subsection (1)(c)(i) the reference to earnings is to be construed in accordance with sections 3, 4 and 112 of the Contributions and Benefits Act.]

#### Textual Amendments

- F26** Word in s. 2 heading repealed (27.9.2017 for specified purposes, 15.11.2017 and 13.12.2017 for specified purposes) by [The Welfare Reform \(Northern Ireland\) Order 2015 \(S.I. 2015/2006\)](#), art. 2(2), **Sch. 12 Pt. 1**; S.R. 2017/190, art. 6, Sch. 2 (with arts. 7, 8, 10-25, Sch. 3); S.R. 2017/216, art. 4 (with art. 5)
- F27** Words in s. 2(1) substituted (2.5.2016 for specified purposes, 27.9.2017 for specified purposes, 15.11.2017 and 13.12.2017 for specified purposes) by [The Welfare Reform \(Northern Ireland\) Order 2015 \(S.I. 2015/2006\)](#), art. 2(2), **Sch. 3 para. 19**; S.R. 2016/215, art. 2(2)(c)(vi); S.R. 2017/190, art. 6 (with arts. 7, 8, 10-25, Sch. 3); S.R. 2017/216, art. 4 (with art. 5)
- F28** Words in s. 2(1)(b) omitted (3.4.2017) by virtue of [The Welfare Reform and Work \(Northern Ireland\) Order 2016 \(S.I. 2016/999\)](#), arts. 2(1), **9(2)(a)**; S.R. 2017/46, art. 3 (with art. 9(4)(5))
- F29** Words in s. 2(1)(c) inserted (2.5.2016) by [The Welfare Reform \(Northern Ireland\) Order 2015 \(S.I. 2015/2006\)](#), art. 2(2), **Sch. 5 para. 6(2)**; S.R. 2016/215, art. 2(2)(c)(vii)
- F30** S. 2(3) omitted (3.4.2017) by virtue of [The Welfare Reform and Work \(Northern Ireland\) Order 2016 \(S.I. 2016/999\)](#), arts. 2(1), **9(2)(b)**; S.R. 2017/46, art. 3 (with art. 9(4)(5))
- F31** Words in s. 2(4)(a)-(c) omitted (3.4.2017) by virtue of [The Welfare Reform and Work \(Northern Ireland\) Order 2016 \(S.I. 2016/999\)](#), arts. 2(1), **9(2)(c)**; S.R. 2017/46, art. 3 (with art. 9(4)(5))
- F32** S. 2(6) inserted (2.5.2016) by [The Welfare Reform \(Northern Ireland\) Order 2015 \(S.I. 2015/2006\)](#), art. 2(2), **Sch. 5 para. 6(3)**; S.R. 2016/215, art. 2(2)(c)(vii)

#### Modifications etc. (not altering text)

- C4** S. 2(1)(c) modified (27.10.2008) by [Employment and Support Allowance Regulations \(Northern Ireland\) 2008 \(S.R. 2008/280\)](#), regs. 1(1), **72(1)**
- C5** S. 2(2)(a) excluded (27.10.2008) by [Employment and Support Allowance Regulations \(Northern Ireland\) 2008 \(S.R. 2008/280\)](#), regs. 1(1), **7(1)** (subject to reg. 7(2))
- C6** S. 2(2)(a) excluded (27.9.2017 and further specified dates for specified purposes) by [The Employment and Support Allowance Regulations \(Northern Ireland\) 2016 \(S.R. 2016/219\)](#), regs. 1(2), **7(1)** (with s. 7(4))

#### Commencement Information

- I2** S. 2 wholly in operation at 27.10.2008; s. 2 not in operation at Royal Assent see s. 60(1); s. 2(1)(a)(c)(4)(a)(c) in operation for certain purposes at 1.7.2008 and wholly in operation at 27.10.2008 by [S.R. 2008/276](#), **art. 2(2)(c)**, **Sch. Pt. 1**; s. 2 in operation at 27.10.2008 in so far as not already in operation by [S.R. 2008/276](#), **art. 2(2)(d)**, **Sch. Pt. 2**



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### **Deductions from [<sup>F33</sup>contributory] allowance: supplementary**

**3.—(1)** This section applies to payments of the following kinds which are payable to the claimant—

- (a) pension payments,
- (b) PPF periodic payments, and
- (c) payments of a prescribed description made to a person who is a member of, or has been appointed to, a prescribed body carrying out public or local functions.

**(2)** Regulations may—

- (a) disapply section 2(1)(c), so far as relating to pension payments or PPF periodic payments, in relation to persons of a prescribed description;
- (b) provide for pension payments or PPF periodic payments of a prescribed description to be treated for the purposes of that provision as not being payments to which this section applies;
- (c) provide for sums of a prescribed description to be treated for the purposes of this section as payable to persons as pension payments or PPF periodic payments (including, in particular, sums in relation to which there is a deferred right of receipt);
- (d) make provision for the method of determining how payments to which this section applies are, for the purposes of section 2, to be related to periods for which a person is entitled to [<sup>F34</sup>an employment and support allowance] .

**(3)** In this section—

“pension payment” means—

- (a) a periodical payment made in relation to a person under a personal pension scheme or, in connection with the coming to an end of an employment of his, under an occupational pension scheme or a public service pension scheme,
- (b) a payment of a prescribed description made under an insurance policy providing benefits in connection with physical or mental illness or disability, and
- (c) such other payments as may be prescribed;

“PPF periodic payment” means—

- (a) any periodic compensation payment made in relation to a person, payable under the pension compensation provisions as specified in Article 146(2) of the Pensions (Northern Ireland) Order 2005 (NI 1) or section 162(2) of the Pensions Act 2004 (c. 35) (the pension compensation provisions), and
- (b) any periodic payment made in relation to a person, payable under Article 150 of the Pensions (Northern Ireland) Order 2005 or section 166 of the

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Pensions Act 2004 (duty to pay scheme benefits unpaid at assessment date etc.).

(4) For the purposes of subsection (3), “occupational pension scheme”, “personal pension scheme” and “public service pension scheme” each have the meaning given by section 1 of the Pension Schemes (Northern Ireland) Act 1993 (c. 49), except that “personal pension scheme” includes—

(a) an annuity contract or trust scheme approved under section 620 or 621 of the Income and Corporation Taxes Act 1988 (c. 1), and

(b) a substituted contract within the meaning of section 622(3) of that Act, which is treated as having become a registered pension scheme by virtue of paragraph 1(1)(f) of Schedule 36 to the Finance Act 2004 (c. 12).

#### Textual Amendments

**F33** Word in s. 3 heading repealed (27.9.2017 for specified purposes, 15.11.2017 and 13.12.2017 for specified purposes) by [The Welfare Reform \(Northern Ireland\) Order 2015 \(S.I. 2015/2006\)](#), art. 2(2), [Sch. 12 Pt. 1](#); S.R. 2017/190, art. 6, Sch. 2 (with arts. 7, 8, 10-25, Sch. 3); S.R. 2017/216, art. 4 (with art. 5)

**F34** Words in s. 3(2)(d) substituted (2.5.2016 for specified purposes, 27.9.2017 for specified purposes, 15.11.2017 and 13.12.2017 for specified purposes) by [The Welfare Reform \(Northern Ireland\) Order 2015 \(S.I. 2015/2006\)](#), art. 2(2), [Sch. 3 para. 21\(c\)](#); S.R. 2016/215, art. 2(2)(c)(vi); S.R. 2017/190, art. 6 (with arts. 7, 8, 10-25, Sch. 3); S.R. 2017/216, art. 4 (with art. 5)

#### Modifications etc. (not altering text)

**C7** S. 3 modified (27.10.2008) by [Employment and Support Allowance Regulations \(Northern Ireland\) 2008 \(S.R. 2008/280\)](#), regs. 1(1), [72\(1\)](#)

**C8** S. 3 restricted (27.10.2008) by [Employment and Support Allowance Regulations \(Northern Ireland\) 2008 \(S.R. 2008/280\)](#), regs. 1(1), [75](#)

#### Commencement Information

**I3** S. 3 wholly in operation at 27.10.2008; s. 3 not in operation at Royal Assent see s. 60(1); s. 3(1)(c)(2)(b)(d) in operation for certain purposes at 1.7.2008 and wholly in operation at 27.10.2008 by [S.R. 2008/276](#), [art. 2\(2\)\(c\)](#), [Sch. Pt. 1](#); s. 3(3) in operation for certain purposes at 1.7.2008 and 27.10.2008 by [S.R. 2008/276](#), [art. 2\(2\)\(c\)](#), [Sch. Pt. 1](#); s. 3 in operation at 27.10.2008 in so far as not already in operation by [S.R. 2008/276](#), [art. 2\(2\)\(d\)](#), [Sch. Pt. 2](#)

#### Amount of income-related allowance

4.—<sup>F35</sup>(1) In the case of an income-related allowance, the amount payable in respect of a claimant shall be—

(a) if he has no income, the applicable amount;

*Status: Point in time view as at 15/11/2017.*

*Changes to legislation: Welfare Reform Act (Northern Ireland) 2007, PART 1 is up to date with all changes known to be in force on or before 13 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)*

- (b) if he has an income, the amount by which the applicable amount exceeds his income.
- (2) Subject to subsection (3), the applicable amount for the purposes of subsection (1) shall be calculated by—
  - (a) taking such amount, or the aggregate of such amounts, as may be prescribed, and
  - (b) if in the claimant's case the conditions of entitlement to the support component <sup>F36</sup>... are satisfied, adding the amount of that component.
- (3) Regulations may provide that, in prescribed cases, the applicable amount for the purposes of subsection (1) shall be nil.
- (4) The conditions of entitlement to the support component are—
  - (a) that the assessment phase has ended,
  - (b) that the claimant has limited capability for work-related activity, and
  - (c) that such other conditions as may be prescribed are satisfied.
- <sup>F37</sup>(5) .....
- (6) Regulations may—
  - (a) prescribe circumstances in which paragraph (a) of subsection (4) <sup>F38</sup>... is not to apply;
  - (b) prescribe circumstances in which entitlement under subsection (4) <sup>F38</sup>... is to be backdated;
  - (c) make provision about the amount of the component under subsection (4) <sup>F38</sup>....]

#### Textual Amendments

- F35** Ss. 4-6 repealed (27.9.2017 for specified purposes, 15.11.2017 and 13.12.2017 for specified purposes) by [The Welfare Reform \(Northern Ireland\) Order 2015 \(S.I. 2015/2006\)](#), art. 2(2), [Sch. 12 Pt. 1](#); S.R. 2017/190, art. 6, Sch. 2 (with arts. 7, 8, 10-25, Sch. 3); S.R. 2017/216, art. 4 (with art. 5)
- F36** Words in s. 4(2)(b) omitted (3.4.2017) by virtue of [The Welfare Reform and Work \(Northern Ireland\) Order 2016 \(S.I. 2016/999\)](#), arts. 2(1), [9\(3\)\(a\)](#); S.R. 2017/46, art. 3 (with art. 9(4)(5))
- F37** S. 4(5) omitted (3.4.2017) by virtue of [The Welfare Reform and Work \(Northern Ireland\) Order 2016 \(S.I. 2016/999\)](#), arts. 2(1), [9\(3\)\(b\)](#); S.R. 2017/46, art. 3 (with art. 9(4)(5))
- F38** Words in s. 4(6)(a)-(c) omitted (3.4.2017) by virtue of [The Welfare Reform and Work \(Northern Ireland\) Order 2016 \(S.I. 2016/999\)](#), arts. 2(1), [9\(3\)\(c\)](#); S.R. 2017/46, art. 3 (with art. 9(4)(5))

*Status: Point in time view as at 15/11/2017.*

*Changes to legislation: Welfare Reform Act (Northern Ireland) 2007, PART 1 is up to date with all changes known to be in force on or before 13 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)*

#### Modifications etc. (not altering text)

- C9** S. 4(4)(a) excluded (27.10.2008) by [Employment and Support Allowance Regulations \(Northern Ireland\) 2008 \(S.R. 2008/280\)](#), regs. 1(1), **7(1)** (subject to [reg. 7\(2\)](#))
- C10** S. 4(4)(a) excluded (27.10.2008) by [Employment and Support Allowance Regulations \(Northern Ireland\) 2008 \(S.R. 2008/280\)](#), regs. 1(1), **146(3)(b)**

#### Commencement Information

- I4** S. 4 wholly in operation at 27.10.2008; s. 4 not in operation at Royal Assent see s. 60(1); s. 4(2)(a)(3)(6)(a)(c) in operation for certain purposes at 1.7.2008 and wholly in operation at 27.10.2008 by [S.R. 2008/276](#), **art. 2(2)(c)**, [Sch. Pt. 1](#); s. 4 in operation at 27.10.2008 in so far as not already in operation by [S.R. 2008/276](#), **art. 2(2)(d)**, [Sch. Pt. 2](#)

### Advance award of income-related allowance

**5.**—<sup>F35</sup>(1) This section applies to claims for an employment and support allowance by a person who—

- (a) would be entitled to an income-related allowance, but for the fact that he does not satisfy the condition in paragraph 6(1)(a) of Schedule 1,
- (b) would satisfy that condition if he were entitled to the component mentioned in section 4(4) or (5), and
- (c) is not entitled to a contributory allowance.

(2) In relation to claims to which this section applies, section 5(1) of the Administration Act (regulations about claims for benefit) shall have effect as if—

- (a) in paragraph (d) (power to permit an award on a claim for benefit for a future period to be made subject to the condition that the claimant satisfies the requirements for entitlement when the benefit becomes payable under the award), there were inserted at the end “ and to such other conditions as may be prescribed ”, and
- (b) in paragraph (e) (power to provide for such an award to be revised or superseded under the 1998 Order if any of those requirements are found not to have been satisfied), for “any of those requirements” there were substituted “ any of the conditions to which the award is made subject ”.

(3) Regulations may, in relation to claims to which this section applies, make provision enabling an award to be made on terms such that the time at which benefit becomes payable under the award is later than the start of the period for which the award is made.]

#### Textual Amendments

- F35** Ss. 4-6 repealed (27.9.2017 for specified purposes, 15.11.2017 and 13.12.2017 for specified purposes) by [The Welfare Reform \(Northern Ireland\) Order 2015 \(S.I. 2015/2006\)](#), **art. 2(2)**,

*Status: Point in time view as at 15/11/2017.*

*Changes to legislation: Welfare Reform Act (Northern Ireland) 2007, PART 1 is up to date with all changes known to be in force on or before 13 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)*

[Sch. 12 Pt. 1](#); [S.R. 2017/190](#), [art. 6](#), [Sch. 2](#) (with [arts. 7, 8, 10-25](#), [Sch. 3](#)); [S.R. 2017/216](#), [art. 4](#) (with [art. 5](#))

#### Commencement Information

- I5** S. 5 wholly in operation at 27.10.2008; s. 5 not in operation at Royal Assent see s. 60(1); s. 5(2)(3) in operation for certain purposes at 1.7.2008 and wholly in operation at 27.10.2008 by [S.R. 2008/276](#), [art. 2\(2\)\(c\)](#), [Sch. Pt. 1](#); s. 5 in operation at 27.10.2008 in so far as not already in operation by [S.R. 2008/276](#), [art. 2\(2\)\(d\)](#), [Sch. Pt. 2](#)

#### Amount payable where claimant entitled to both forms of allowance

**6.**—<sup>F35</sup>(1) This section applies where a claimant is entitled to both a contributory allowance and an income-related allowance.

(2) If the claimant has no income, the amount payable by way of an employment and support allowance shall be the greater of—

- (a) his personal rate, and
- (b) the applicable amount.

(3) If the claimant has an income, the amount payable by way of an employment and support allowance shall be the greater of—

- (a) his personal rate, and
- (b) the amount by which the applicable amount exceeds his income.

(4) Where the amount payable to the claimant by way of an employment and support allowance does not exceed his personal rate, the allowance shall be treated as attributable to the claimant's entitlement to a contributory allowance.

(5) Where the amount payable to the claimant by way of an employment and support allowance exceeds his personal rate, the allowance shall be taken to consist of two elements, namely—

- (a) an amount equal to his personal rate, and
- (b) an amount equal to the excess.

(6) The element mentioned in subsection (5)(a) shall be treated as attributable to the claimant's entitlement to a contributory allowance.

(7) The element mentioned in subsection (5)(b) shall be treated as attributable to the claimant's entitlement to an income-related allowance.

(8) In this section—

“applicable amount” means the amount which, in the claimant's case, is the applicable amount for the purposes of section 4(1);

“personal rate” means the amount calculated in accordance with section 2(1).]

*Status: Point in time view as at 15/11/2017.*

*Changes to legislation: Welfare Reform Act (Northern Ireland) 2007, PART 1 is up to date with all changes known to be in force on or before 13 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)*

#### Textual Amendments

- F35** Ss. 4-6 repealed (27.9.2017 for specified purposes, 15.11.2017 and 13.12.2017 for specified purposes) by [The Welfare Reform \(Northern Ireland\) Order 2015 \(S.I. 2015/2006\)](#), art. 2(2), [Sch. 12 Pt. 1](#); S.R. 2017/190, art. 6, [Sch. 2](#) (with arts. 7, 8, 10-25, [Sch. 3](#)); S.R. 2017/216, art. 4 (with art. 5)

#### Exclusion of payments below prescribed minimum

**7** Except in such circumstances as regulations may provide, an employment and support allowance shall not be payable where the amount otherwise payable would be less than a prescribed minimum.

#### *Assessments relating to entitlement*

#### Limited capability for work

**8.—(1)** For the purposes of this Part, whether a person's capability for work is limited by his physical or mental condition and, if it is, whether the limitation is such that it is not reasonable to require him to work shall be determined in accordance with regulations.

(2) Regulations under subsection (1) shall—

- (a) provide for determination on the basis of an assessment of the person concerned;
- (b) define the assessment by reference to the extent to which a person who has some specific disease or bodily or mental disablement is capable or incapable of performing such activities as may be prescribed;
- (c) make provision as to the manner of carrying out the assessment.

(3) Regulations under subsection (1) may, in particular, make provision—

- (a) as to the information or evidence required for the purpose of determining the matters mentioned in that subsection;
- (b) as to the manner in which that information or evidence is to be provided;
- (c) for a person in relation to whom it falls to be determined whether he has limited capability for work to be called to attend for such medical examination as the regulations may require.

(4) Regulations under subsection (1) may include provision—

- (a) for a person to be treated as not having limited capability for work if he fails without good cause—
  - (i) to provide information or evidence which he is required under such regulations to provide,

*Status: Point in time view as at 15/11/2017.*

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- (ii) to provide information or evidence in the manner in which he is required under such regulations to provide it, or
  - (iii) to attend for, or submit himself to, a medical examination for which he is called under such regulations to attend;
  - (b) as to matters which are, or are not, to be taken into account in determining for the purposes of any provision made by virtue of paragraph (a) whether a person has good cause for any act or omission;
  - (c) as to circumstances in which a person is, or is not, to be regarded for the purposes of any such provision as having good cause for any act or omission.
- (5) Regulations may provide that, in prescribed circumstances, a person in relation to whom it falls to be determined whether he has limited capability for work, shall, if prescribed conditions are met, be treated as having limited capability for work until such time as—
- (a) it has been determined whether he has limited capability for work, or
  - (b) he falls in accordance with regulations under this section to be treated as not having limited capability for work.
- (6) The prescribed conditions referred to in subsection (5) may include the condition that it has not previously been determined, within such period as may be prescribed, that the person in question does not have, or is to be treated as not having, limited capability for work.

#### Commencement Information

- I6** S. 8 wholly in operation at 27.10.2008; s. 8 not in operation at Royal Assent see s. 60(1); s. 8 (except subsection (4)(c)) in operation for certain purposes at 1.7.2008 and wholly in operation at 27.10.2008 by [S.R. 2008/276](#), [art. 2\(2\)\(c\)](#), [Sch. Pt. 1](#); s. 8 in operation at 27.10.2008 in so far as not already in operation by [S.R. 2008/276](#), [art. 2\(2\)\(d\)](#), [Sch. Pt. 2](#)

### Limited capability for work-related activity

**9.—(1)** For the purposes of this Part, whether a person's capability for work-related activity is limited by his physical or mental condition and, if it is, whether the limitation is such that it is not reasonable to require him to undertake such activity shall be determined in accordance with regulations.

(2) Regulations under subsection (1) shall—

- (a) provide for determination on the basis of an assessment of the person concerned;
- (b) define the assessment by reference to such matters as the regulations may provide;



*Status: Point in time view as at 15/11/2017.*

*Changes to legislation: Welfare Reform Act (Northern Ireland) 2007, PART 1 is up to date with all changes known to be in force on or before 13 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)*

- (c) make provision as to the manner of carrying out the assessment.
- (3) Regulations under subsection (1) may, in particular, make provision—
  - (a) as to the information or evidence required for the purpose of determining the matters mentioned in that subsection;
  - (b) as to the manner in which that information or evidence is to be provided;
  - (c) for a person in relation to whom it falls to be determined whether he has limited capability for work-related activity to be called to attend for such medical examination as the regulations may require.
- (4) Regulations under subsection (1) may include provision—
  - (a) for a person to be treated as not having limited capability for work-related activity if he fails without good cause—
    - (i) to provide information or evidence which he is required under such regulations to provide,
    - (ii) to provide information or evidence in the manner in which he is required under such regulations to provide it, or
    - (iii) to attend for, or submit himself to, a medical examination for which he is called under such regulations to attend;
  - (b) as to matters which are, or are not, to be taken into account in determining for the purposes of any provision made by virtue of paragraph (a) whether a person has good cause for any act or omission;
  - (c) as to circumstances in which a person is, or is not, to be regarded for the purposes of any such provision as having good cause for any act or omission.

#### Commencement Information

- I7** S. 9 wholly in operation at 27.10.2008; s. 9 not in operation at Royal Assent see s. 60(1); s. 9 (except subsection (4)(c)) in operation for certain purposes at 1.7.2008 and wholly in operation at 27.10.2008 by [S.R. 2008/276](#), [art. 2\(2\)\(c\)](#), [Sch. Pt. 1](#); s. 9 in operation at 27.10.2008 in so far as not already in operation by [S.R. 2008/276](#), [art. 2\(2\)\(d\)](#), [Sch. Pt. 2](#)

#### Report

**10** The Department shall lay before the Assembly an independent report on the operation of the assessments under sections 8 and 9 annually for the first five years after these sections come into effect.

*Status: Point in time view as at 15/11/2017.*

*Changes to legislation: Welfare Reform Act (Northern Ireland) 2007, PART 1 is up to date with all changes known to be in force on or before 13 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)*

### *[<sup>F39</sup>Work-related requirements]*

#### **Textual Amendments**

**F39** S. 11 11L and crossheading substituted for (2.5.2016 for specified purposes, 27.9.2017 for specified purposes and subsequently on the days on which and for the purposes for which "the amending provisions" are brought into force by secondary legislation) by [The Welfare Reform \(Northern Ireland\) Order 2015 \(S.I. 2015/2006\)](#), arts. 2(2), **63(2)**; [S.R. 2016/215](#), art. 2(3)(b); [S.R. 2017/190](#), art. 9 (with arts. 10-25)

### **[<sup>F39</sup>Work-related requirements**

**11.—(1)** The following provisions of this Part provide for the Department to impose work-related requirements with which persons entitled to an employment and support allowance must comply for the purposes of this Part.

(2) In this Part “work-related requirement” means—

- (a) a work-focused interview requirement (see section 11B);
- (b) a work preparation requirement (see section 11C).

(3) The work-related requirements which may be imposed on a person depend on which of the following groups the person falls into—

- (a) persons subject to no work-related requirements (see section 11D);
- (b) persons subject to work-focused interview requirement only (see section 11E);
- (c) persons subject to work-focused interview and work preparation requirements (see section 11F).]

#### **Commencement Information**

**I8** S. 11 wholly in operation at 27.10.2008; s. 11 not in operation at Royal Assent see s. 60(1); s. 11(1)(2)(a)-(g)(3)-(5)(6)(a)(7)(c) in operation for certain purposes at 1.7.2008 and wholly in operation at 27.10.2008 by [S.R. 2008/276](#), **art. 2(2)(c)**, [Sch. Pt. 1](#); s. 11 in operation at 27.10.2008 in so far as not already in operation by [S.R. 2008/276](#), **art. 2(2)(d)**, [Sch. Pt. 2](#)

### **[<sup>F39</sup>Claimant commitment**

**11A.—(1)** A claimant commitment is a record of the responsibilities of a person entitled to an employment and support allowance in relation to the award of the allowance.

(2) A claimant commitment is to be prepared by the Department and may be reviewed and updated as the Department thinks fit.

(3) A claimant commitment is to be in such form as the Department thinks fit.

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*Status: Point in time view as at 15/11/2017.*

*Changes to legislation: Welfare Reform Act (Northern Ireland) 2007, PART 1 is up to date with all changes known to be in force on or before 13 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)*

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(4) A claimant commitment is to include—

- (a) a record of the requirements that the person must comply with under this Part (or such of them as the Department considers it appropriate to include),
- (b) any prescribed information, and
- (c) any other information the Department considers it appropriate to include.

(5) For the purposes of this Part a person accepts a claimant commitment if, and only if, the claimant accepts the most up-to-date version of it in such manner as may be prescribed.

### **Work-focused interview requirement**

**11B.**—(1) In this Part a “work-focused interview requirement” is a requirement that a person participate in one or more work-focused interviews as specified by the Department.

(2) A work-focused interview is an interview for prescribed purposes relating to work or work preparation.

(3) The purposes which may be prescribed under subsection (2) include in particular that of making it more likely in the opinion of the Department that the person will obtain paid work (or more paid work or better-paid work).

(4) The Department may specify how, when and where a work-focused interview is to take place.

### **Work preparation requirement**

**11C.**—(1) In this Part a “work preparation requirement” is a requirement that a person take particular action specified by the Department for the purpose of making it more likely in the opinion of the Department that the person will obtain paid work (or more paid work or better-paid work).

(2) The Department may under subsection (1) specify the time to be devoted to any particular action.

(3) Action which may be specified under subsection (1) includes in particular—

- (a) attending a skills assessment;
- (b) improving personal presentation;
- (c) participating in training;
- (d) participating in an employment programme;
- (e) undertaking work experience or a work placement;
- (f) developing a business plan;
- (g) any action prescribed for the purpose in subsection (1).

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*Status: Point in time view as at 15/11/2017.*

*Changes to legislation: Welfare Reform Act (Northern Ireland) 2007, PART 1 is up to date with all changes known to be in force on or before 13 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)*

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(4) The action which may be specified under subsection (1) includes taking part in a work-focused health-related assessment.

(5) In subsection (4) “work-focused health-related assessment” means an assessment by a health care professional approved by the Department which is carried out for the purpose of assessing—

- (a) the extent to which the person's capability for work may be improved by taking steps in relation to the person's physical or mental condition, and
- (b) such other matters relating to the person's physical or mental condition and the likelihood of the person obtaining or remaining in work or being able to do so as may be prescribed.

(6) In subsection (5) “health care professional” means—

- (a) a registered medical practitioner,
- (b) a registered nurse,
- (c) an occupational therapist or physiotherapist registered with a regulatory body established by an Order in Council under section 60 of the Health Act 1999, or
- (d) a member of such other profession regulated by a body mentioned in section 25(3) of the National Health Service Reform and Health Care Professions Act 2002 as may be prescribed.

### **Persons subject to no work-related requirements**

**11D.**—(1) The Department may not impose any work-related requirement on a person falling within this section.

(2) A person falls within this section if—

- (a) the person has limited capability for work and work-related activity,
- (b) the person has regular and substantial caring responsibilities for a severely disabled person,
- (c) the person is a single person responsible for a child under the age of one,
- (d) the person is of a prescribed description.

(3) Where a person falls within this section, any work-related requirement previously applying to the person ceases to have effect.

(4) In this section—

“regular and substantial caring responsibilities” has such meaning as may be prescribed;

“severely disabled” has such meaning as may be prescribed.

### **Persons subject to work-focused interview requirement only**

**11E.**—(1) A person falls within this section if—

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*Status: Point in time view as at 15/11/2017.*

*Changes to legislation: Welfare Reform Act (Northern Ireland) 2007, PART 1 is up to date with all changes known to be in force on or before 13 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)*

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(a) the person is a single person responsible for a child who is aged at least one and is under a prescribed age (which may not be less than 3), or

(b) the person is of a prescribed description.

(2) The Department may, subject to this Part, impose a work-focused interview requirement on a person entitled to an employment and support allowance who falls within this section.

(3) The Department may not impose a work preparation requirement on a person falling within this section (and, where a person falls within this section, a work preparation requirement previously applying to the person ceases to have effect).

### **Persons subject to work preparation and work-focused interview requirement**

**11F.**—(1) A person who does not fall within section 11D or 11E falls within this section.

(2) The Department may, subject to this Part, impose a work preparation requirement or work-focused interview requirement on a person entitled to an employment and support allowance who falls within this section.

### **Connected requirements**

**11G.**—(1) The Department may require a person entitled to an employment and support allowance to participate in an interview for any purpose relating to—

- (a) the imposition of a work-related requirement on the person;
- (b) verifying the person's compliance with a work-related requirement;
- (c) assisting the person to comply with a work-related requirement.

(2) The Department may specify how, when and where such an interview is to take place.

(3) The Department may, for the purpose of verifying a person's compliance with a work-related requirement, require the person to—

- (a) provide to the Department information and evidence specified by the Department in a manner so specified;
- (b) confirm compliance in a manner so specified.

(4) The Department may require a person to report to the Department any specified changes in that person's circumstances which are relevant to—

- (a) the imposition of work-related requirements on the person;
- (b) the person's compliance with a work-related requirement.

*Status: Point in time view as at 15/11/2017.*

*Changes to legislation: Welfare Reform Act (Northern Ireland) 2007, PART 1 is up to date with all changes known to be in force on or before 13 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)*

## **Imposition of requirements**

### **11H.—(1) Regulations may make provision—**

- (a) where the Department may impose a requirement under this Part, as to when the requirement must or must not be imposed;
- (b) where the Department may specify any action to be taken in relation to a requirement under this Part, as to what action must or must not be specified;
- (c) where the Department may specify any other matter in relation to a requirement under this Part, as to what must or must not be specified in respect of that matter.

(2) Where the Department may impose a work-focused interview requirement, the Department must have regard to such matters as may be prescribed.

(3) Where the Department may specify a particular action under section 11C(1), the Department must have regard to such matters as may be prescribed.

(4) Where the Department may impose a requirement under this Part, the Department may revoke or change what has been imposed or specified.

(5) Where the Department may specify any action to be taken in relation to a requirement imposed under this Part, the Department may revoke or change what has been specified.

(6) Notification of a requirement imposed under this Part (or any change to or revocation of such a requirement) is, if not included in the claimant commitment, to be in such manner as the Department may determine.

(7) Regulations must make provision to secure that, in prescribed circumstances, where a person has recently been a victim of domestic violence—

- (a) a requirement imposed on that person under this Part ceases to have effect for a period of 13 weeks, and
- (b) the Department may not impose any other requirement on that person during that period.

### **(8) For the purposes of subsection (7)—**

- (a) “domestic violence” has such meaning as may be prescribed;
- (b) “victim of domestic violence” means a person on or against whom domestic violence is inflicted or threatened (and regulations under subsection (7) may prescribe circumstances in which a person is to be treated as being or not being a victim of domestic violence);
- (c) a person has recently been a victim of domestic violence if a prescribed period has not expired since the violence was inflicted or threatened.

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*Status: Point in time view as at 15/11/2017.*

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## **Compliance with requirements**

**11I.** Regulations may make provision as to circumstances in which a person is to be treated as having—

- (a) complied with or not complied with any requirement imposed under this Part or any aspect of such a requirement, or
- (b) taken or not taken any particular action specified by the Department in relation to such a requirement.

## **Sanctions**

**11J.**—(1) The amount of an award of an employment and support allowance is to be reduced in accordance with this section in the event of a failure by a person which is sanctionable under this section.

(2) It is a failure sanctionable under this section if a person—

- (a) fails for no good reason to comply with a work-related requirement;
- (b) fails for no good reason to comply with a requirement under section 11G.

(3) Regulations are to specify—

- (a) the amount of a reduction under this section, and
- (b) the period for which such a reduction has effect.

(4) Regulations under subsection (3)(b) may provide that a reduction under this section in relation to any failure is to have effect for—

- (a) a period continuing until the person meets a compliance condition specified by the Department,
- (b) a fixed period not exceeding 26 weeks which is—
  - (i) specified in the regulations, or
  - (ii) determined in any case by the Department, or
- (c) a combination of both.

(5) In subsection (4)(a) “compliance condition” means—

- (a) a condition that the failure ceases, or
- (b) a condition relating to future compliance with a work-related requirement or a requirement under section 11G.

(6) A compliance condition specified under subsection (4)(a) may be—

- (a) revoked or varied by the Department;
- (b) notified to the person in such manner as the Department may determine.

(7) A period fixed under subsection (4)(b) may in particular depend on either or both the following—

- (a) the number of failures by the person sanctionable under this section;



*Status: Point in time view as at 15/11/2017.*

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- (b) the period between such failures.
- (8) Regulations may provide—
  - (a) for cases in which no reduction is to be made under this section;
  - (b) for a reduction under this section made in relation to an award that is terminated to be applied to any new award made within a prescribed period of the termination;
  - (c) for the termination or suspension of a reduction under this section.

### **Concurrent exercise of certain functions by Department for Employment and Learning**

<sup>F40</sup>**11K.** . . . . .

#### **Textual Amendments**

**F40** S. 11K omitted (8.5.2016) by virtue of [The Departments \(Transfer of Functions\) Order \(Northern Ireland\) 2016 \(S.R. 2016/76\)](#), art. 1(2), **Sch. 6 para. 53** (with art. 9(2))

### **Delegation and contracting out**

**11L.**—(1) The functions of the Department under sections 11 to 11I may be exercised by, or by the employees of, such person as the Department may authorise for the purpose (an “authorised person”).

(2) An authorisation given by virtue of this section may authorise the exercise of a function—

- (a) wholly or to a limited extent;
- (b) generally or in particular cases or areas;
- (c) unconditionally or subject to conditions.

(3) An authorisation under this section—

- (a) may specify its duration;
- (b) may be varied or revoked at any time by the Department;
- (c) does not prevent the Department or another person from exercising the function to which the authorisation relates.

(4) Anything done or omitted to be done by or in relation to an authorised person (or an employee of that person) in, or in connection with, the exercise or purported exercise of the function concerned is to be treated for all purposes as done or omitted to be done by or in relation to the Department or (as the case may be) an officer of the Department.

(5) Subsection (4) does not apply—

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- (a) for the purposes of so much of any contract made between the authorised person and the Department as relates to the exercise of the function, or
  - (b) for the purposes of any criminal proceedings brought in respect of anything done or omitted to be done by the authorised person (or an employee of that person).
- (6) Where—
- (a) the authorisation of an authorised person is revoked, and
  - (b) at the time of the revocation so much of any contract made between the authorised person and the Department as relates to the exercise of the function is subsisting,

the authorised person is entitled to treat the contract as repudiated by the Department (and not as frustrated by reason of the revocation).

<sup>F41</sup>(7)] . . . . .

#### Textual Amendments

**F41** S. 11L(7) omitted (8.5.2016) by virtue of [The Departments \(Transfer of Functions\) Order \(Northern Ireland\) 2016 \(S.R. 2016/76\)](#), art. 1(2), [Sch. 6 para. 54](#) (with art. 9(2))

#### Work-focused interviews

**12.**—<sup>F42</sup>(1) Regulations may make provision for or in connection with imposing on a person who is—

- (a) entitled to an employment and support allowance, and
- (b) not a member of the support group <sup>F43</sup>or a lone parent of a child under the age of one],

a requirement to take part in one or more work-focused interviews as a condition of continuing to be entitled to the full amount payable to him in respect of the allowance apart from the regulations.

- (2) Regulations under this section may, in particular, make provision—
- (a) prescribing circumstances in which such a person is subject to a requirement to take part in one or more work-focused interviews;
  - (b) for notifying such a person of any such requirement;
  - (c) prescribing the work-focused interviews in which a person who is subject to such a requirement is required to take part;
  - (d) for determining, in relation to work-focused interviews under the regulations, when and how the interview is to be conducted and, if it is to be conducted face to face, where it is to take place;

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*Status: Point in time view as at 15/11/2017.*

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- (e) for notifying persons who are required under the regulations to take part in a work-focused interview of what is determined in respect of the matters mentioned in paragraph (d);
- (f) prescribing circumstances in which a person who is a party to a work-focused interview under the regulations is to be regarded as having, or not having, taken part in it;
- (g) for securing that the appropriate consequence follows if a person who is required under the regulations to take part in a work-focused interview—
  - (i) fails to take part in the interview, and
  - (ii) does not, within a prescribed period, show that he had good cause for that failure;
- (h) prescribing matters which are, or are not, to be taken into account in determining whether a person had good cause for any failure to comply with the regulations;
- (i) prescribing circumstances in which a person is, or is not, to be regarded as having good cause for any such failure.

(3) For the purposes of subsection (2)(g), the appropriate consequence of a failure falling within that provision is that the amount payable to the person in question in respect of an employment and support allowance is reduced in accordance with regulations.

(4) Regulations under subsection (3) may, in particular, make provision for determining—

- (a) the amount by which an allowance is to be reduced,
- (b) when the reduction is to start, and
- (c) how long it is to continue,

and may include provision prescribing circumstances in which the amount of the reduction is to be nil.

(5) Regulations under this section shall include provision for a requirement to take part in one or more work-focused interviews to cease to have effect if the person subject to the requirement becomes a member of the support group.

(6) Regulations under this section may include provision—

- (a) that in such circumstances as the regulations may prescribe a requirement to take part in a work-focused interview that would otherwise apply to a person by virtue of such regulations is not to apply, or is to be treated as not having applied;
- (b) that in such circumstances as the regulations may prescribe such a requirement is not to apply until a prescribed time;
- (c) that in such circumstances as the regulations may prescribe matters mentioned in subsection (2)(d) may be redetermined.

*Status: Point in time view as at 15/11/2017.*

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(7) In this section, “work-focused interview” means an interview by [<sup>F44</sup>the Department] conducted for such purposes connected with getting the person interviewed into work, or keeping him in work, as may be prescribed.]

#### Textual Amendments

- F42** Ss. 11-11L and cross-heading substituted for ss. 11-16 and cross-heading (2.5.2016 for specified purposes) by [The Welfare Reform \(Northern Ireland\) Order 2015 \(S.I. 2015/2006\)](#), [arts. 2\(2\), 63\(2\)](#); S.R. 2016/215, art. 2(3)(b)
- F43** Words in s. 12(1)(b) inserted (13.8.2010) by [Welfare Reform Act \(Northern Ireland\) 2010 \(c. 13\)](#), [ss. 3\(3\), 36\(1\)\(b\)](#)
- F44** Words in s. 12(7) substituted (8.5.2016) by [The Departments \(Transfer of Functions\) Order \(Northern Ireland\) 2016 \(S.R. 2016/76\)](#), art. 1(2), [Sch. 6 para. 55](#) (with art. 9(2))

#### Modifications etc. (not altering text)

- C11** S. 12(1) modified (27.10.2008) by [Employment and Support Allowance Regulations \(Northern Ireland\) 2008 \(S.R. 2008/280\)](#), regs. 1(1), [66\(b\)](#)

#### Commencement Information

- I9** S. 12 wholly in operation at 27.10.2008; s. 12 not in operation at Royal Assent see s. 60(1); s. 12 (except subsection (2)(i)) in operation for certain purposes at 1.7.2008 and wholly in operation at 27.10.2008 by [S.R. 2008/276](#), [art. 2\(2\)\(c\)](#), [Sch. Pt. 1](#); s. 12 in operation at 27.10.2008 in so far as not already in operation by [S.R. 2008/276](#), [art. 2\(2\)\(d\)](#), [Sch. Pt. 2](#)

### Work-related activity

**13.**—[<sup>F45</sup>(1) Regulations may make provision for or in connection with imposing on a person who is subject to a requirement imposed under section 12(1) [<sup>F46</sup>, and who is not a lone parent of a child under the age of 3,] a requirement to undertake work-related activity in accordance with regulations as a condition of continuing to be entitled to the full amount payable to him in respect of an employment and support allowance apart from the regulations.

(2) Regulations under this section may, in particular, make provision—

- (a) prescribing circumstances in which such a person is subject to a requirement to undertake work-related activity in accordance with regulations;
- (b) for notifying such a person of any such requirement;
- (c) prescribing the time or times at which a person who is subject to such a requirement is required to undertake work-related activity and the amount of work-related activity he is required at any time to undertake;
- (d) prescribing circumstances in which a person who is subject to such a requirement is, or is not, to be regarded as undertaking work-related activity;

*Status: Point in time view as at 15/11/2017.*

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- (e) for securing that the appropriate consequence follows if a person who is subject to such a requirement—
  - (i) fails to comply with the regulations, and
  - (ii) does not, within a prescribed period, show that he had good cause for that failure;
- (f) prescribing the evidence which a person who is subject to such a requirement needs to provide in order to show that he has complied with the regulations;
- (g) prescribing matters which are, or are not, to be taken into account in determining whether a person has complied with the regulations;
- (h) prescribing matters which are, or are not, to be taken into account in determining whether a person had good cause for any failure to comply with the regulations;
- (i) prescribing circumstances in which a person is, or is not, to be regarded as having good cause for any such failure.

(3) For the purposes of subsection (2)(e), the appropriate consequence of a failure falling within that provision is that the amount payable to the person in question in respect of an employment and support allowance is to be reduced in accordance with regulations.

(4) Regulations under subsection (3) may, in particular, make provision for determining—

- (a) the amount by which an allowance is to be reduced,
- (b) when the reduction is to start, and
- (c) how long it is to continue,

and may include provision prescribing circumstances in which the amount of the reduction is to be nil.

(5) Regulations under this section shall include provision for a requirement to undertake work-related activity in accordance with regulations to cease to have effect if the person subject to the requirement becomes a member of the support group.

(6) Regulations under this section may include provision that in such circumstances as the regulations may provide a person's obligation under the regulations to undertake work-related activity at a particular time is not to apply, or is to be treated as not having applied.

[<sup>F47</sup>(6A) Regulations under this section shall include provision for securing that lone parents are entitled (subject to meeting any prescribed conditions) to restrict the times at which they are required to undertake work-related activity.]

*Status: Point in time view as at 15/11/2017.*

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(7) In this Part, “work-related activity”, in relation to a person, means activity which makes it more likely that the person will obtain or remain in work or be able to do so.]

[<sup>F48</sup>(8) The reference to activity in subsection (7) includes work experience or a work placement.]

#### Textual Amendments

- F45** Ss. 11-11L and cross-heading substituted for ss. 11-16 and cross-heading (2.5.2016 for specified purposes) by [The Welfare Reform \(Northern Ireland\) Order 2015 \(S.I. 2015/2006\)](#), arts. 2(2), 63(2); S.R. 2016/215, art. 2(3)(b)
- F46** Words in s. 13(1) inserted (13.8.2010) by [Welfare Reform Act \(Northern Ireland\) 2010 \(c. 13\)](#), [ss. 3\(4\)\(a\)](#), 36(1)(b)
- F47** S. 13(6A) inserted (13.8.2010) by [Welfare Reform Act \(Northern Ireland\) 2010 \(c. 13\)](#), [ss. 3\(4\)\(b\)](#), 36(1)(b)
- F48** S. 13(8) inserted (27.9.2017) by [The Welfare Reform \(Northern Ireland\) Order 2015 \(S.I. 2015/2006\)](#), arts. 2(2), [61](#); S.R. 2017/190, art. 3(1)(2)(d)

#### Commencement Information

- I10** S. 13 wholly in operation at 11.7.2011; s. 13 not in operation at Royal Assent see s. 60(1); s. 13(7) in operation at 27.10.2008 by [S.R. 2008/425](#), [art. 2](#); s. 13(1)-(6) in operation at 11.7.2011 by [S.R. 2011/260](#), [art. 2](#)

### Action plans in connection with work-focused interviews

14.—[<sup>F49</sup>(1) [<sup>F50</sup>The Department] shall in prescribed circumstances provide a person subject to a requirement imposed under section 12(1) with a document prepared for such purposes as may be prescribed (in this section referred to as an action plan).

(2) Regulations may make provision about—

- (a) the form of action plans;
- (b) the content of action plans;
- (c) the review and updating of action plans.

(3) Regulations under this section may, in particular, make provision for action plans which are provided to a person who is subject under section 13 to a requirement to undertake work-related activity to contain particulars of activity which, if undertaken, would enable the requirement to be met.

(4) Regulations may make provision for reconsideration of an action plan at the request of the person to whom the plan is provided and may, in particular, make provision about—

- (a) the circumstances in which reconsideration may be requested;

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- (b) the period within which any reconsideration must take place;
- (c) the matters to which regard must be had when deciding on reconsideration whether the plan should be changed;
- (d) notification of the decision on reconsideration;
- (e) the giving of directions for the purpose of giving effect to the decision on reconsideration.]

#### Textual Amendments

**F49** Ss. 11-11L and cross-heading substituted for ss. 11-16 and cross-heading (2.5.2016 for specified purposes) by [The Welfare Reform \(Northern Ireland\) Order 2015 \(S.I. 2015/2006\)](#), [arts. 2\(2\)](#), [63\(2\)](#); [S.R. 2016/215](#), [art. 2\(3\)\(b\)](#)

**F50** Words in s. 14(1) substituted (8.5.2016) by [The Departments \(Transfer of Functions\) Order \(Northern Ireland\) 2016 \(S.R. 2016/76\)](#), [art. 1\(2\)](#), [Sch. 6 para. 56\(a\)](#) (with [art. 9\(2\)](#))

#### Commencement Information

**I11** S. 14 wholly in operation at 27.10.2008; s. 14 not in operation at Royal Assent see s. 60(1); s. 14(1)(2)(a)(b) in operation for certain purposes at 1.7.2008 and wholly in operation at 27.10.2008 by [S.R. 2008/276](#), [art. 2\(2\)\(c\)](#), [Sch. Pt. 1](#); s. 14 in operation at 27.10.2008 in so far as not already in operation by [S.R. 2008/276](#), [art. 2\(2\)\(d\)](#), [Sch. Pt. 2](#)

#### Directions about work-related activity

**15.**—<sup>F51</sup>[<sup>F52</sup>(1) In prescribed circumstances, the Department <sup>F53</sup>... may by direction given to a person subject to a requirement imposed under section 13(1) provide that the activity specified in the direction is—

- (a) to be the only activity which, in the person's case, is to be regarded as being work-related activity; or
- (b) to be regarded, in the person's case, as not being work-related activity.

(1A) But a direction under subsection (1) may not specify medical or surgical treatment as the only activity which, in any person's case, is to be regarded as being work-related activity.

(2) A direction under subsection (1) given to any person—

- (a) must be reasonable, having regard to the person's circumstances;
- (b) must be given to the person [<sup>F54</sup>in such manner as the Department <sup>F53</sup>... thinks fit] ; and
- (c) may be varied or revoked by a subsequent direction under subsection (1).]

(3) Where a direction under subsection (1) varies or revokes a previous direction, it may provide for the variation or revocation to have effect from a time before the giving of the direction.]



*Status: Point in time view as at 15/11/2017.*

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### Textual Amendments

- F51** S. 15(1)(1A)(2) substituted (23.9.2010) for s. 15(1)(2) by [Welfare Reform Act \(Northern Ireland\) 2010 \(c. 13\)](#), **ss. 10, 36(2)**; S.R. 2010/327, **art. 2(2)(a)**
- F52** Ss. 11-11L and cross-heading substituted for ss. 11-16 and cross-heading (2.5.2016 for specified purposes) by [The Welfare Reform \(Northern Ireland\) Order 2015 \(S.I. 2015/2006\)](#), **arts. 2(2), 63(2)**; S.R. 2016/215, **art. 2(3)(b)**
- F53** Words in s. 15(1)(2)(b) omitted (8.5.2016) by virtue of [The Departments \(Transfer of Functions\) Order \(Northern Ireland\) 2016 \(S.R. 2016/76\)](#), art. 1(2), **Sch. 6 para. 57** (with art. 9(2))
- F54** Words in s. 15(2)(b) substituted (2.5.2016 for specified purposes) by [The Welfare Reform \(Northern Ireland\) Order 2015 \(S.I. 2015/2006\)](#), arts. 2(2), **60(4)**; S.R. 2016/215, art. 2(3)(b)

### Contracting out

**16.**—<sup>F55</sup>(1) The following functions of the Department may be exercised by, or by employees of, such person (if any) as it may authorise for the purpose, namely—

<sup>F56</sup>(za) any function under section 1C in relation to a claimant commitment;]

- (a) conducting interviews under section 12;
- (b) providing documents under section 14;
- (c) giving, varying or revoking directions under section 15.

(2) Regulations may provide for any of the following functions of the Department to be exercisable by, or by employees of, such person (if any) as it may authorise for the purpose—

- (a) any function under regulations under any of sections 11 to 15, except the making of a decision to which subsection (3) applies (an “excluded decision”);
- (b) the function under Article 10(1) of the 1998 Order (revision of decisions), so far as relating to decisions, except excluded decisions, that relate to any matter arising under such regulations;
- (c) the function under Article 11(1) of that Order (superseding of decisions), so far as relating to decisions, except excluded decisions, of the Department that relate to any matter arising under such regulations;
- (d) any function under Chapter 2 of Part 2 of that Order (social security decisions), except Article 25(2) and (3) (decisions involving issues that arise on appeal in other cases), which relates to the exercise of any of the functions falling within paragraphs (a) to (c).

(3) This subsection applies to the following decisions—

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- (a) a decision about whether a person has failed to comply with a requirement imposed by regulations under section 11, 12 or 13;
  - (b) a decision about whether a person had good cause for failure to comply with such a requirement;
  - (c) a decision about reduction of an employment and support allowance in consequence of failure to comply with such a requirement.
- (4) Regulations under subsection (2) may provide that a function to which that subsection applies may be exercised—
- (a) either wholly or to such extent as the regulations may provide,
  - (b) either generally or in such cases or areas as the regulations may provide, and
  - (c) either unconditionally or subject to the fulfilment of such conditions as the regulations may provide.
- (5) An authorisation given by virtue of subsection (1), or by virtue of regulations under subsection (2), may authorise the exercise of the function concerned—
- (a) either wholly or to such extent as may be specified in the authorisation,
  - (b) either generally or in such cases or areas as may be so specified, and
  - (c) either unconditionally or subject to the fulfilment of such conditions as may be so specified.
- (6) In the case of an authorisation given by virtue of regulations under subsection (2), subsection (5) is subject to the provisions of the regulations.
- (7) An authorisation given by virtue of subsection (1), or by virtue of regulations under subsection (2)—
- (a) may specify its duration,
  - (b) may be revoked at any time by the Department, and
  - (c) shall not prevent the Department or any other person from exercising the function to which the authorisation relates.
- (8) Where a person is authorised to exercise any function by virtue of subsection (1), or by virtue of regulations under subsection (2), anything done or omitted to be done by or in relation to him (or an employee of his) in, or in connection with, the exercise or purported exercise of the function shall be treated for all purposes as done or omitted to be done by or in relation to the Department.
- (9) Subsection (8) shall not apply—
- (a) for the purposes of so much of any contract made between the authorised person and the Department as relates to the exercise of the function, or

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- (b) for the purposes of any criminal proceedings brought in respect of anything done or omitted to be done by the authorised person (or an employee of his).

(10) Any decision which a person authorised to exercise any function by virtue of subsection (1), or by virtue of regulations under subsection (2), makes in exercise of the function shall have effect as a decision of the Department under Article 9 of the 1998 Order.

(11) Where—

- (a) a person is authorised to exercise any function by virtue of subsection (1), or by virtue of regulations under subsection (2), and

(b) the authorisation is revoked at a time when a relevant contract is subsisting, the authorised person shall be entitled to treat the relevant contract as repudiated by the Department (and not as frustrated by reason of the revocation).

(12) In subsection (11), the reference to a relevant contract is to so much of any contract made between the authorised person and the Department as relates to the exercise of the function.

(13) In this section, references to functions of the Department under—

- (a) a statutory provision contained in, or in regulations under, this Part; or

(b) a statutory provision contained in Chapter 2 of Part 2 of the 1998 Order, include a reference to any function which the Department has by virtue of the application in relation to that provision of Article 9(1)(c) of that Order (decisions under certain statutory provisions to be made by the Department).

<sup>F57</sup>(14) .....]

#### Textual Amendments

**F55** Ss. 11-11L and cross-heading substituted for ss. 11-16 and cross-heading (2.5.2016 for specified purposes) by [The Welfare Reform \(Northern Ireland\) Order 2015 \(S.I. 2015/2006\)](#), [arts. 2\(2\)](#), [63\(2\)](#); [S.R. 2016/215](#), [art. 2\(3\)\(b\)](#)

**F56** S. 16(1)(za) inserted (2.5.2016 for specified purposes) by [The Welfare Reform \(Northern Ireland\) Order 2015 \(S.I. 2015/2006\)](#), [arts. 2\(2\)](#), [60\(5\)](#); [S.R. 2016/215](#), [art. 2\(3\)\(b\)](#)

**F57** S. 16(14) omitted (8.5.2016) by virtue of [The Departments \(Transfer of Functions\) Order \(Northern Ireland\) 2016 \(S.R. 2016/76\)](#), [art. 1\(2\)](#), [Sch. 6 para. 58](#) (with [art. 9\(2\)](#))

#### Commencement Information

**I12** S. 16 wholly in operation at 27.10.2008; s. 16 not in operation at Royal Assent see s. 60(1); s. 16(2)(a)(4) in operation for certain purposes at 1.7.2008 and wholly in operation at 27.10.2008 by [S.R. 2008/276](#), [art. 2\(2\)\(c\)](#), [Sch. Pt. 1](#); s. 16 in operation at 27.10.2008 in so far as not already in operation by [S.R. 2008/276](#), [art. 2\(2\)\(d\)](#), [Sch. Pt. 2](#)

*Status: Point in time view as at 15/11/2017.*

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## [<sup>F58</sup>Hardship payments

**16A.—**(1) Regulations may make provision for the making of payments (“hardship payments”) by way of an employment and support allowance to a person where—

- (a) the amount otherwise payable to the person in respect of an employment and support allowance is reduced by virtue of regulations under section 11(3), 12(3) or 13(3), and
  - (b) the person is or will be in hardship.
- (2) Regulations under this section may in particular make provision as to—
- (a) circumstances in which a person is to be treated as being or not being in hardship;
  - (b) matters to be taken into account in determining whether a person is or will be in hardship;
  - (c) requirements or conditions to be met by a person in order to receive hardship payments;
  - (d) the amount or rate of hardship payments;
  - (e) the period for which hardship payments may be made.]

### Textual Amendments

**F58** S. 16A inserted (1.6.2016) by [The Welfare Reform \(Northern Ireland\) Order 2015 \(S.I. 2015/2006\)](#), arts. 2(2), **62**; [S.R. 2016/234](#), art. 2(2)(b)

## Miscellaneous

### Income and capital: general

**17.—**(1) In relation to a claim for an employment and support allowance, the income and capital of a person shall be calculated or estimated in such manner as may be prescribed.

(2) A person's income in respect of a week shall be calculated in accordance with prescribed rules, which may provide for the calculation to be made by reference to an average over a period (which need not include the week concerned).

(3) Circumstances may be prescribed in which—

- (a) a person is to be treated as possessing capital or income which he does not possess;
- (b) capital or income which a person does possess is to be disregarded;
- (c) income is to be treated as capital;

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(d) capital is to be treated as income.

(4) Regulations may provide that a person's capital shall be deemed for the purposes of this Part to yield him an income at a prescribed rate.

#### Commencement Information

**I13** S. 17 wholly in operation at 27.10.2008; s. 17 not in operation at Royal Assent see s. 60(1); s. 17 in operation for certain purposes at 1.7.2008 and wholly in operation at 27.10.2008 by [S.R. 2008/276](#), [art. 2\(2\)\(c\)](#), [Sch. Pt. 1](#)

#### Disqualification

**18.**—(1) Regulations may provide for a person to be disqualified for receiving an employment and support allowance, or treated for such purposes as the regulations may provide as not having limited capability for work, if—

- (a) he has become someone who has limited capability for work through his own misconduct,
- (b) he remains someone who has limited capability for work through his failure without good cause to follow medical advice, or
- (c) he fails without good cause to observe any prescribed rules of behaviour.

(2) Regulations under subsection (1) shall provide for any such disqualification, or treatment, to be for such period not exceeding six weeks as may be determined in accordance with Chapter 2 of Part 2 of the 1998 Order.

(3) Regulations may prescribe for the purposes of subsection (1)—

- (a) matters which are, or are not, to be taken into account in determining whether a person has good cause for any act or omission;
- (b) circumstances in which a person is, or is not, to be regarded as having good cause for any act or omission.

(4) Except where regulations otherwise provide, a person shall be disqualified for receiving [<sup>F59</sup>an employment and support allowance] for any period during which he is—

- (a) absent from Northern Ireland; or
- (b) undergoing imprisonment or detention in legal custody.

#### Textual Amendments

**F59** Words in s. 18(4) substituted (2.5.2016 for specified purposes, 27.9.2017 for specified purposes, 15.11.2017 and 13.12.2017 for specified purposes) by [The Welfare Reform \(Northern Ireland\) Order 2015 \(S.I. 2015/2006\)](#), [art. 2\(2\)](#), [Sch. 3 para. 21\(d\)](#); [S.R. 2016/215](#), [art. 2\(2\)\(c\)\(vi\)](#); [S.R. 2017/190](#), [art. 6](#) (with [arts. 7, 8, 10-25](#), [Sch. 3](#)); [S.R. 2017/216](#), [art. 4](#) (with [art. 5](#))

*Status: Point in time view as at 15/11/2017.*

*Changes to legislation: Welfare Reform Act (Northern Ireland) 2007, PART 1 is up to date with all changes known to be in force on or before 13 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)*

#### **Modifications etc. (not altering text)**

- C12** S. 18(4)(b) excluded (27.10.2008) by [Employment and Support Allowance Regulations \(Northern Ireland\) 2008 \(S.R. 2008/280\)](#), regs. 1(1), **160(1)(3)**
- C13** S. 18(4)(b) excluded by [Employment and Support Allowance Regulations \(Northern Ireland\) 2008 \(S.R. 2008/280\)](#), reg. 160(3) (as substituted (25.3.2010) by [Social Security \(Persons Serving a Sentence of Imprisonment Detained in Hospital\) Regulations \(Northern Ireland\) 2010 \(S.R. 2010/58\)](#), **reg. 5(3)(a)**)
- C14** S. 18(4)(b) excluded (27.9.2017 and further specified dates for specified purposes) by [The Employment and Support Allowance Regulations\(Northern Ireland\) 2016 \(S.R. 2016/219\)](#), regs. 1(2), **96(1)**
- C15** S. 18(4)(b) excluded (27.9.2017 and further specified dates for specified purposes) by [The Employment and Support Allowance Regulations\(Northern Ireland\) 2016 \(S.R. 2016/219\)](#), regs. 1(2), **96(3)**

#### **Commencement Information**

- I14** S. 18 wholly in operation at 27.10.2008; s. 18 not in operation at Royal Assent see s. 60(1); s. 18(1)(2)(4) in operation for certain purposes at 1.7.2008 and wholly in operation at 27.10.2008 by [S.R. 2008/276](#), **art. 2(2)(c)**, [Sch. Pt. 1](#); s. 18 in operation at 27.10.2008 in so far as not already in operation by [S.R. 2008/276](#), **art. 2(2)(d)**, [Sch. Pt. 2](#)

#### **Pilot schemes**

**19.—(1)** Any regulations to which this subsection applies may be made so as to have effect for a specified period not exceeding [<sup>F60</sup>36 months].

(2) Subject to subsection (3), subsection (1) applies to—

- (a) regulations which are made under any provision of this Part, other than sections 3, 8 and 9;
- (b) regulations which are made under the Administration Act, so far as they relate to an employment and support allowance.

(3) Subsection (1) only applies to regulations if they are made with a view to [<sup>F61</sup>testing the extent to which the provision made by the regulations is likely to promote—

- (a) people remaining in work, or
- (b) people obtaining or being able to obtain work (or more work or better-paid work).]

(4) Regulations which, by virtue of subsection (1), are to have effect for a limited period are referred to in this section as a “pilot scheme”.

(5) A pilot scheme may provide that its provisions are to apply only in relation to—

- (a) one or more specified areas;
- (b) one or more specified classes of person;

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- (c) persons selected—
  - (i) by reference to prescribed criteria, or
  - (ii) on a sampling basis.

(6) A pilot scheme may make consequential or transitional provision with respect to the cessation of the scheme on the expiry of the specified period.

(7) A pilot scheme may be replaced by a further pilot scheme making the same or similar provision.

#### Textual Amendments

**F60** Words in s. 19(1) substituted (13.8.2010) by [Welfare Reform Act \(Northern Ireland\) 2010 \(c. 13\)](#), [ss. 22\(2\)](#), 36(1)(g)

**F61** Words in s. 19(3) substituted (2.5.2016 for specified purposes) by [The Welfare Reform \(Northern Ireland\) Order 2015 \(S.I. 2015/2006\)](#), arts. 2(2), [63\(3\)](#); S.R. 2016/215, art. 2(3)(b)

#### Relationship with statutory payments

**20.—**(1) A person is not entitled to an employment and support allowance in respect of a day if, for the purposes of statutory sick pay, that day—

- (a) is a day of incapacity for work in relation to a contract of service, and
- (b) falls within a period of entitlement (whether or not it is a qualifying day).

(2) Except as regulations may provide, a woman who is entitled to statutory maternity pay is not entitled to [<sup>F62</sup>an employment and support allowance] in respect of a day that falls within the maternity pay period.

(3) Regulations may provide that—

- (a) an amount equal to a woman's statutory maternity pay for a period shall be deducted from [<sup>F63</sup>an employment and support allowance] in respect of the same period,
- (b) a woman shall only be entitled to [<sup>F63</sup>an employment and support allowance] if there is a balance after the deduction, and
- (c) if there is such a balance, a woman shall be entitled to [<sup>F63</sup>an employment and support allowance] at a weekly rate equal to it.

(4) Except as regulations may provide, a person who is entitled to statutory adoption pay is not entitled to [<sup>F64</sup>an employment and support allowance] in respect of a day that falls within the adoption pay period.

(5) Regulations may provide that—

- (a) an amount equal to a person's statutory adoption pay for a period shall be deducted from [<sup>F65</sup>an employment and support allowance] in respect of the same period,



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- (b) a person shall only be entitled to [<sup>F65</sup>an employment and support allowance] if there is a balance after the deduction, and
- (c) if there is such a balance, a person shall be entitled to [<sup>F65</sup>an employment and support allowance] at a weekly rate equal to it.
- (6) Except as regulations may provide, a person who is entitled to [<sup>F66</sup>statutory shared parental pay] is not entitled to [<sup>F67</sup>an employment and support allowance] in respect of [<sup>F68</sup>a day that falls within a period in respect of which statutory shared parental pay is payable] .
- (7) Regulations may provide that—
  - (a) an amount equal to a person's [<sup>F69</sup>statutory shared parental pay for a period] shall be deducted from [<sup>F70</sup>an employment and support allowance] in respect of the same period,
  - (b) a person shall only be entitled to [<sup>F70</sup>an employment and support allowance] if there is a balance after the deduction, and
  - (c) if there is such a balance, a person shall be entitled to [<sup>F70</sup>an employment and support allowance] at a weekly rate equal to it.
- (8) In this section—
  - <sup>F71</sup> ...
  - “adoption pay period” has the meaning given in section 167ZN(2) of that Act;
  - “maternity pay period” has the meaning given in section 161(1) of that Act.

#### Textual Amendments

- F62** Words in s. 20(2) substituted (2.5.2016 for specified purposes, 27.9.2017 for specified purposes, 15.11.2017 and 13.12.2017 for specified purposes) by [The Welfare Reform \(Northern Ireland\) Order 2015 \(S.I. 2015/2006\)](#), art. 2(2), **Sch. 3 para. 21(e)**; S.R. 2016/215, art. 2(2)(c)(vi); S.R. 2017/190, art. 6 (with arts. 7, 8, 10-25, Sch. 3); S.R. 2017/216, art. 4 (with art. 5)
- F63** Words in s. 20(3)(a)-(c) substituted (2.5.2016 for specified purposes, 27.9.2017 for specified purposes, 15.11.2017 and 13.12.2017 for specified purposes) by [The Welfare Reform \(Northern Ireland\) Order 2015 \(S.I. 2015/2006\)](#), art. 2(2), **Sch. 3 para. 21(e)**; S.R. 2016/215, art. 2(2)(c)(vi); S.R. 2017/190, art. 6 (with arts. 7, 8, 10-25, Sch. 3); S.R. 2017/216, art. 4 (with art. 5)
- F64** Words in s. 20(4) substituted (2.5.2016 for specified purposes, 27.9.2017 for specified purposes, 15.11.2017 and 13.12.2017 for specified purposes) by [The Welfare Reform \(Northern Ireland\) Order 2015 \(S.I. 2015/2006\)](#), art. 2(2), **Sch. 3 para. 21(e)**; S.R. 2016/215, art. 2(2)(c)(vi); S.R. 2017/190, art. 6 (with arts. 7, 8, 10-25, Sch. 3); S.R. 2017/216, art. 4 (with art. 5)
- F65** Words in s. 20(5)(a)-(c) substituted (2.5.2016 for specified purposes, 27.9.2017 for specified purposes, 15.11.2017 and 13.12.2017 for specified purposes) by [The Welfare Reform \(Northern Ireland\) Order 2015 \(S.I. 2015/2006\)](#), art. 2(2), **Sch. 3 para. 21(e)**; S.R. 2016/215,

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art. 2(2)(c)(vi); S.R. 2017/190, art. 6 (with arts. 7, 8, 10-25, Sch. 3); S.R. 2017/216, art. 4 (with art. 5)

**F66** Words in s. 20(6) substituted (15.3.2015) by [Work and Families Act \(Northern Ireland\) 2015](#) (c. 1), s. 23(1), **Sch. 1 para. 7(a)(i)**; S.R. 2015/86, art. 3(2)(g) (with art. 6(1))

**F67** Words in s. 20(6) substituted (2.5.2016 for specified purposes, 27.9.2017 for specified purposes, 15.11.2017 and 13.12.2017 for specified purposes) by [The Welfare Reform \(Northern Ireland\) Order 2015](#) (S.I. 2015/2006), art. 2(2), **Sch. 3 para. 21(e)**; S.R. 2016/215, art. 2(2)(c)(vi); S.R. 2017/190, art. 6 (with arts. 7, 8, 10-25, Sch. 3); S.R. 2017/216, art. 4 (with art. 5)

**F68** Words in s. 20(6) substituted (15.3.2015) by [Work and Families Act \(Northern Ireland\) 2015](#) (c. 1), s. 23(1), **Sch. 1 para. 7(a)(ii)**; S.R. 2015/86, art. 3(2)(g) (with art. 6(1))

**F69** Words in s. 20(7)(a) substituted (15.3.2015) by [Work and Families Act \(Northern Ireland\) 2015](#) (c. 1), s. 23(1), **Sch. 1 para. 7(b)**; S.R. 2015/86, art. 3(2)(g) (with art. 6(1))

**F70** Words in s. 20(7)(a)-(c) substituted (2.5.2016 for specified purposes, 27.9.2017 for specified purposes, 15.11.2017 and 13.12.2017 for specified purposes) by [The Welfare Reform \(Northern Ireland\) Order 2015](#) (S.I. 2015/2006), art. 2(2), **Sch. 3 para. 21(e)**; S.R. 2016/215, art. 2(2)(c)(vi); S.R. 2017/190, art. 6 (with arts. 7, 8, 10-25, Sch. 3); S.R. 2017/216, art. 4 (with art. 5)

**F71** Words in s. 20(8) repealed (5.4.2015) by [Work and Families Act \(Northern Ireland\) 2015](#) (c. 1), s. 23(1), **Sch. 1 para. 7(c)**, **Sch. 2**; S.R. 2015/86, art. 4(2)(f)(3) (with art. 7(2))

#### Modifications etc. (not altering text)

**C16** S. 20(2) excluded (27.10.2008) by [Employment and Support Allowance Regulations \(Northern Ireland\) 2008](#) (S.R. 2008/280), regs. 1(1), **80(2)**

**C17** S. 20(4) excluded (27.10.2008) by [Employment and Support Allowance Regulations \(Northern Ireland\) 2008](#) (S.R. 2008/280), regs. 1(1), **81(2)**

**C18** S. 20(6) excluded (day on which regulations made under or by virtue of ss. 167ZEA to 167ZEE of the Social Security Contributions and Benefits (Northern Ireland) Act 1992 come into operation for certain purposes, otherwise 27.10.2008) by [Employment and Support Allowance Regulations \(Northern Ireland\) 2008](#) (S.R. 2008/280), regs. 1(1)(3), **82(2)**

#### Commencement Information

**I15** S. 20 wholly in operation at 27.10.2008; s. 20 not in operation at Royal Assent see s. 60(1); s. 20(2)-(7) in operation for certain purposes at 1.7.2008 and wholly in operation at 27.10.2008 by [S.R. 2008/276](#), **art. 2(2)(c)**, **Sch. Pt. 1**; s. 20 in operation at 27.10.2008 in so far as not already in operation by [S.R. 2008/276](#), **art. 2(2)(d)**, **Sch. Pt. 2**

### Deemed entitlement for other purposes

**21** Regulations may provide for a person who would be entitled to an employment and support allowance but for the operation of any provision of, or made under, this Part, the Administration Act or Chapter 2 of Part 2 of the 1998 Order (social security decisions and appeals) to be treated as if entitled to

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the allowance for the purposes of any rights or obligations (whether his own or another's) which depend on his entitlement, other than the right to payment of it.

### Supplementary provisions

22 Schedule 2 (which contains further provisions in relation to an employment and support allowance) has effect.

#### Commencement Information

**I16** S. 22 wholly in operation at 27.10.2008; s. 22 not in operation at Royal Assent see s. 60(1); s. 22 in operation for certain purposes at 1.7.2008 and 27.10.2008 by [S.R. 2008/276, art. 2\(2\)\(c\), Sch. Pt. 1](#); s. 22 in operation at 27.10.2008 in so far as not already in operation by [S.R. 2008/276, art. 2\(2\)\(d\), Sch. Pt. 2](#)

### Recovery of sums in respect of maintenance

**23.**—<sup>F72</sup>(1) Regulations may make provision for the court to have power to make a recovery order against any person where an award of income-related allowance has been made to that person's spouse or civil partner.

(2) The reference in subsection (1) to a recovery order is to an order requiring the person against whom it is made to make payments to the Department or to such other person or persons as the court may determine.

(3) Regulations under subsection (1) may include—

- (a) provision as to the matters to which the court is, or is not, to have regard in determining any application under the regulations;
- (b) provision as to the enforcement of orders under the regulations;
- (c) provision for the transfer by the Department of the right to receive payments under, and to exercise rights in relation to, orders under the regulations.

(4) In this section, “the court” means a court of summary jurisdiction.]

#### Textual Amendments

**F72** S. 23 repealed (27.9.2017 for specified purposes, 15.11.2017 and 13.12.2017 for specified purposes) by [The Welfare Reform \(Northern Ireland\) Order 2015 \(S.I. 2015/2006\), art. 2\(2\), Sch. 12 Pt. 1](#); [S.R. 2017/190, art. 6, Sch. 2](#) (with [arts. 7, 8, 10-25, Sch. 3](#)); [S.R. 2017/216, art. 4](#) (with art. 5)

#### Commencement Information

**I17** S. 23 wholly in operation at 27.10.2008; s. 23 not in operation at Royal Assent see s. 60(1); s. 23(1)(3) in operation for certain purposes at 1.7.2008 and wholly in operation at

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27.10.2008 by [S.R. 2008/276](#), [art. 2\(2\)\(c\)](#), [Sch. Pt. 1](#); s. 23 in operation at 27.10.2008 in so far as not already in operation by [S.R. 2008/276](#), [art. 2\(2\)\(d\)](#), [Sch. Pt. 2](#)

## General

### Interpretation, etc. of Part 1

#### 24.—(1) In this Part—

[<sup>F73</sup>“child” means a person under the age of 16;]

“claimant” means a person who has claimed an employment and support allowance;

[<sup>F74</sup>“contributory allowance” has the meaning given by section 1(7);]

“employment” and “employed” have the meanings prescribed for the purposes of this Part;

“entitled”, in relation to an employment and support allowance, is to be construed in accordance with—

- (a) the provisions of this Act,
- (b) section 1 of the Administration Act (entitlement dependent on making of claim), and
- (c) Article 27 of the 1998 Order (restrictions on entitlement in certain cases of error);

[<sup>F74</sup>“income-related allowance” has the meaning given by section 1(7);]

“income support” means income support under section 123 of the Contributions and Benefits Act;]

“limited capability for work” shall be construed in accordance with section 1(4);

“limited capability for work-related activity” shall be construed in accordance with section 2(5);

“period of limited capability for work” has the meaning prescribed for the purposes of this Part;

“prescribed” means specified in, or determined in accordance with, regulations;

“regulations” means regulations made by the Department;

[<sup>F73</sup>“single person” means an individual who is not a member of a couple (within the meaning of Part 2 of the Welfare Reform (Northern Ireland) Order 2015);]

“week” means a period of seven days beginning with a Sunday or such other period of seven days as may be prescribed;

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[<sup>F73cc</sup>“work” has such meaning as may be prescribed;]

[<sup>F73cc</sup>“work-focused interview requirement” has the meaning given by section 11B;]

[<sup>F73cc</sup>“work preparation requirement” has the meaning given by section 11C;]

[<sup>F75cc</sup>“work-related activity”, in relation to a person, means activity which makes it more likely that the person will obtain or remain in work or be able to do so;]

[<sup>F73cc</sup>“work-related requirement” has the meaning given by section 11;]

(2) For the purposes of this Part, the assessment phase, in relation to a claimant, is the period—

(a) beginning, subject to subsection (3), with the first day of the period for which he is entitled to an employment and support allowance, and

(b) ending with such day as may be prescribed.

(3) Regulations may prescribe circumstances in which the assessment phase is to begin with such day as may be prescribed.

[<sup>F76</sup>(3A) For the purposes of this Part, a person is a lone parent if the person—

(a) is not a member of a couple (within the meaning given by section 133(1) of the Contributions and Benefits Act), and

(b) is responsible for, and a member of the same household as, a person under the age of 16.

(3B) For the purposes of subsection (3A)(b) regulations may make provision—

(a) as to circumstances in which one person is to be treated as responsible or not responsible for another;

(b) as to circumstances in which persons are to be treated as being or not being members of the same household.]

(4) For the purposes of this Part, a person is a member of the support group if he is a person in respect of whom it is determined that he has, or is to be treated as having, limited capability for work-related activity.

<sup>F77</sup>(5) .....

<sup>F77</sup>(6) .....

#### Textual Amendments

**F73** Words in s. 24(1) inserted (2.5.2016 for specified purposes, 27.9.2017 for specified purposes and subsequently on the days on which and for the purposes for which "the amending provisions" are brought into force by secondary legislation) by [The Welfare Reform \(Northern Ireland\) Order 2015 \(S.I. 2015/2006\)](#), arts. 2(2), **63(4)(a)**; S.R. 2016/215, art. 2(3) (b); S.R. 2017/190, art. 9 (with arts. 10-25)

*Status: Point in time view as at 15/11/2017.*

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- F74** Words in s. 24(1) repealed (27.9.2017 for specified purposes, 15.11.2017 and 13.12.2017 for specified purposes) by [The Welfare Reform \(Northern Ireland\) Order 2015 \(S.I. 2015/2006\)](#), art. 2(2), [Sch. 12 Pt. 1](#); S.R. 2017/190, art. 6, Sch. 2 (with arts. 7, 8, 10-25, Sch. 3); S.R. 2017/216, art. 4 (with art. 5)
- F75** Words in s. 24(1) substituted (2.5.2016 for specified purposes, 27.9.2017 for specified purposes and subsequently on the days on which and for the purposes for which "the amending provisions" are brought into force by secondary legislation) by [The Welfare Reform \(Northern Ireland\) Order 2015 \(S.I. 2015/2006\)](#), arts. 2(2), [63\(4\)\(b\)](#); S.R. 2016/215, art. 2(3)(b); S.R. 2017/190, art. 9 (with arts. 10-25)
- F76** S. 24(3A)(3B) inserted (13.8.2010) by [Welfare Reform Act \(Northern Ireland\) 2010 \(c. 13\)](#), ss. [3\(5\)](#), [36\(1\)\(b\)](#)
- F77** S. 24(5)(6) omitted (8.5.2016) by virtue of [The Departments \(Transfer of Functions\) Order \(Northern Ireland\) 2016 \(S.R. 2016/76\)](#), art. 1(2), [Sch. 6 para. 59](#) (with art. 9(2))

#### Commencement Information

- I18** S. 24 wholly in operation at 27.10.2008; s. 24 not in operation at Royal Assent see s. 60(1); s. 24(1) in operation for certain purposes at 1.7.2008 and 27.10.2008 by [S.R. 2008/276](#), [art. 2\(2\)\(c\)](#), [Sch. Pt. 1](#); s. 24(2)(b)(3) in operation for certain purposes at 1.7.2008 and wholly in operation at 27.10.2008 by [S.R. 2008/276](#), [art. 2\(2\)\(c\)](#), [Sch. Pt. 1](#); s. 24 in operation at 27.10.2008 in so far as not already in operation by [S.R. 2008/276](#), [art. 2\(2\)\(d\)](#), [Sch. Pt. 2](#)

#### Regulations [<sup>F78</sup>and orders]

**25.—(1)** Where any power under this Part to make regulations [<sup>F79</sup>or an order] is expressed to be exercisable for alternative purposes, it may be exercised in relation to the same case for all or any of those purposes.

(2) Any power under this Part to make regulations [<sup>F79</sup>or an order] includes power—

- (a) to make such incidental, supplementary, consequential or transitional provision or savings as appear to the Department to be expedient;
- (b) to provide for a person to exercise a discretion in dealing with any matter.

(3) Without prejudice to the generality of the provisions of this section, regulations under any of sections 11 [<sup>F80</sup>to 11J] may make provision which applies only in relation to an area or areas specified in the regulations.

(4) The fact that a power to make regulations is conferred by this Part is not to be taken to prejudice the extent of any other power to make regulations so conferred.

#### Textual Amendments

- F78** Words in s. 25 heading inserted (28.11.2016) by [The Welfare Reform \(Northern Ireland\) Order 2015 \(S.I. 2015/2006\)](#), arts. 2(2), [57\(2\)\(a\)](#); S.R. 2016/46, art. 5(a) (as substituted by [S.R. 2016/166](#), art. 3)

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**F79** Words in s. 25(1)(2) inserted (28.11.2016) by [The Welfare Reform \(Northern Ireland\) Order 2015 \(S.I. 2015/2006\)](#), arts. 2(2), **57(2)(b)**; S.R. 2016/46, art. 5(a) (as substituted by S.R. 2016/166, art. 3)

**F80** Words in s. 25(3) substituted (2.5.2016 for specified purposes, 27.9.2017 for specified purposes and subsequently on the days on which and for the purposes for which "the amending provisions" are brought into force by secondary legislation) by [The Welfare Reform \(Northern Ireland\) Order 2015 \(S.I. 2015/2006\)](#), arts. 2(2), **63(5)**; S.R. 2016/215, art. 2(3)(b); S.R. 2017/190, art. 9 (with arts. 10-25)

#### Commencement Information

**I19** S. 25 wholly in operation at 27.10.2008; s. 25 not in operation at Royal Assent see s. 60(1); s. 25(1)(2) in operation for certain purposes at 1.7.2008 and wholly in operation at 27.7.2008 by [S.R. 2008/276](#), art. **2(2)(a)**; s. 25 in operation at 27.10.2008 in so far as not already in operation by [S.R. 2008/276](#), art. **2(2)(d)**, Sch. Pt. 2

#### Assembly control

**26.—**(1) Subject to the following provisions of this section, any regulations made under this Part [<sup>F81</sup>or any order made under section 1A] are subject to negative resolution.

(2) Regulations to which this subsection applies—

- (a) must be laid before the Assembly after being made; and
- (b) take effect on such date as may be specified in the regulations, but (without prejudice to the validity of anything done thereunder or to the making of new regulations) cease to have effect upon the expiration of a period of six months from that date unless at some time before the expiration of that period the regulations are approved by a resolution of the Assembly.

(3) Subsection (2) applies to—

- (a) regulations under section 2(2)(c) or (3)(c) [<sup>F82</sup>or 4(4)(c) or (5)(c)];
- (b) the first regulations under section 13;
- (c) regulations which by virtue of section 19(1) are to have effect for a limited period.

(4) This subsection applies to any regulations made under this Part which—

- (a) but for subsection (5), would be subject to negative resolution, and
- (b) are contained in a statutory rule which includes any regulations subject to the confirmatory procedure.

(5) Any regulations to which subsection (4) applies shall not be subject to negative resolution, but shall be subject to the confirmatory procedure.

(6) In this section “the confirmatory procedure” means the procedure described in subsection (2).



**Status:** Point in time view as at 15/11/2017.

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### Textual Amendments

- F81** Words in s. 26(1) inserted (28.11.2016) by [The Welfare Reform \(Northern Ireland\) Order 2015 \(S.I. 2015/2006\)](#), arts. 2(2), **57(3)**; S.R. 2016/46, art. 5(a) (as substituted by S.R. 2016/166, art. 3)
- F82** Words in s. 26(3)(a) repealed (27.9.2017 for specified purposes, 15.11.2017 and 13.12.2017 for specified purposes) by [The Welfare Reform \(Northern Ireland\) Order 2015 \(S.I. 2015/2006\)](#), art. 2(2), **Sch. 12 Pt. 1**; S.R. 2017/190, art. 6, Sch. 2 (with arts. 7, 8, 10-25, Sch. 3); S.R. 2017/216, art. 4 (with art. 5)

### Commencement Information

- I20** S. 26 wholly in operation at 27.10.2008; s. 26 not in operation at Royal Assent see s. 60(1); s. 26(1) in operation for certain purposes at 1.7.2008 and wholly in operation at 27.7.2008 by [S.R. 2008/276](#), **art. 2(2)(a)**; s. 26 in operation at 27.10.2008 in so far as not already in operation by [S.R. 2008/276](#), **art. 2(2)(d)**, Sch. Pt. 2

## Financial provisions relating to Part 1

**27.—**(1) There shall be paid out of the Northern Ireland National Insurance Fund [<sup>F83</sup>any sums payable by way of employment and support allowance] .

(2) The Department shall pay into the Northern Ireland National Insurance Fund sums estimated by it to be equivalent in amount to sums recovered by it in connection with payments of [<sup>F84</sup>employment and support] allowance.

(3) [<sup>F85</sup>The Department shall pay into the Consolidated Fund sums estimated by it to be equivalent in amount to sums recovered by it in connection with payments made by way of income-related allowance.]

### Textual Amendments

- F83** Words in s. 27(1) substituted (27.9.2017 for specified purposes, 15.11.2017 and 13.12.2017 for specified purposes) by [The Welfare Reform \(Northern Ireland\) Order 2015 \(S.I. 2015/2006\)](#), art. 2(2), **Sch. 3 para. 20(a)**; S.R. 2017/190, art. 6 (with arts. 7, 8, 10-25, Sch. 3); S.R. 2017/216, art. 4 (with art. 5)
- F84** Words in s. 27(2) substituted (27.9.2017 for specified purposes, 15.11.2017 and 13.12.2017 for specified purposes) by [The Welfare Reform \(Northern Ireland\) Order 2015 \(S.I. 2015/2006\)](#), art. 2(2), **Sch. 3 para. 20(b)**; S.R. 2017/190, art. 6 (with arts. 7, 8, 10-25, Sch. 3); S.R. 2017/216, art. 4 (with art. 5)
- F85** S. 27(3) repealed (27.9.2017 for specified purposes, 15.11.2017 and 13.12.2017 for specified purposes) by [The Welfare Reform \(Northern Ireland\) Order 2015 \(S.I. 2015/2006\)](#), art. 2(2), **Sch. 12 Pt. 1**; S.R. 2017/190, art. 6, Sch. 2 (with arts. 7, 8, 10-25, Sch. 3); S.R. 2017/216, art. 4 (with art. 5)



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## Consequential amendments relating to Part 1

**28.—**(1) Schedule 3 (which makes amendments consequential on this Part) has effect.

(2) Regulations may make provision consequential on this Part amending, repealing or revoking any statutory provision.

### Commencement Information

**I21** S. 28 partly in operation; s. 28 not in operation at Royal Assent see s. 60(1); s. 28(1) in operation for certain purposes at 1.7.2008 and 27.7.2008 by [S.R. 2008/276, art. 2\(2\)\(a\)](#); s. 28(2) in operation for certain purposes at 1.7.2008 and wholly in operation at 27.7.2008 by [S.R. 2008/276, art. 2\(2\)\(a\)](#); s. 28(1) in operation for certain purposes at 27.7.2008 by [S.R. 2008/276, art. 2\(2\)\(b\)](#); s. 28(1) in operation for certain purposes at 1.7.2008 and 27.10.2008 by [S.R. 2008/276, art. 2\(2\)\(c\)](#), [Sch. Pt. 1](#); s. 28 (except s. 28(1) for certain purposes) in operation at 27.10.2008 in so far as not already in operation by [S.R. 2008/276, art. 2\(2\)\(d\)](#), [Sch. Pt. 2](#)

## Transition relating to Part 1

**29** Schedule 4 (which makes provision with respect to transition in relation to this Part) has effect.

### Commencement Information

**I22** S. 29 partly in operation; s. 29 not in operation at Royal Assent see s. 60(1); s. 29 in operation for certain purposes at 1.7.2008 and 27.7.2008 by [S.R. 2008/276, art. 2\(2\)\(a\)](#); s. 29 in operation for certain purposes at 27.7.2008 by [S.R. 2008/276, art. 2\(2\)\(b\)](#); s. 29 in operation for certain purposes at 27.10.2008 by [S.R. 2008/276, art. 2\(2\)\(d\)](#), [Sch. Pt. 2](#); s. 29 in operation for certain purposes at 9.9.2010 by [S.R. 2010/308, art. 2](#)

**Status:**

Point in time view as at 15/11/2017.

**Changes to legislation:**

Welfare Reform Act (Northern Ireland) 2007, PART 1 is up to date with all changes known to be in force on or before 13 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations.