

## ANNEX I

## CHAPTER 4

**NOTES FOR THE COMPLETION OF MODEL ANIMAL HEALTH  
CERTIFICATES, OFFICIAL CERTIFICATES AND ANIMAL HEALTH/  
OFFICIAL CERTIFICATES FOR THE ENTRY INTO THE UNION  
OF ANIMALS, PRODUCTS OF ANIMAL ORIGIN, COMPOSITE  
PRODUCTS, GERMINAL PRODUCTS, ANIMAL BY-PRODUCTS, SPROUTS  
INTENDED FOR HUMAN CONSUMPTION AND SEEDS INTENDED  
FOR THE PRODUCTION OF SPROUTS FOR HUMAN CONSUMPTION**

**General**

To positively select any option, please tick or mark the relevant box with a cross (x).

Unless otherwise specified or established by Union legislation, all entries or boxes apply to the model animal health certificates, official certificates and animal health/official certificates in Chapter 3.

Where a box is not compulsory, its content shall appear in strike-through.

Only one of the options may be selected in boxes I.18 and I.20.

Only one box from boxes I.21 to I.23 may be selected.

Where a box allows one or more options to be selected, only the selected option(s) will be displayed in the electronic version of the certificate.

**PART I – DESCRIPTION OF CONSIGNMENT**

<b>Box</b>	<b>Description</b>
	<b>Country</b>
	<b>Indicate the name of the third country issuing the certificate.</b>
<b>I.1</b>	<b>Consignor/Exporter</b>
	Indicate the name and address, country and ISO country code <sup>a</sup> , of the natural or legal person dispatching the consignment. This person shall be established in a third country, except for the re-entry of consignments originating in the Union.
<b>I.2</b>	<b>Certificate reference</b>
	Indicate the unique alphanumeric code assigned by the competent authority of the third country. This box is not compulsory for certificates submitted in IMSOC. Repeated in box II.a
<b>I.2a</b>	<b>IMSOC reference</b>
	This is the unique alphanumeric code assigned by the IMSOC. Repeated in box II.b

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	This box shall not be completed if the certificate is not submitted in IMSOC.
<b>I.3</b>	<b>Central competent authority</b>
	Indicate the name of the central authority in the third country issuing the certificate.
<b>I.4</b>	<b>Local competent authority</b>
	Indicate, if applicable, the name of the local authority in the third country issuing the certificate.
<b>I.5</b>	<b>Consignee/Importer</b>
	Indicate the name and address of the natural or legal person to whom the consignment is destined in the Member State or third country of destination in the case of transit. This box is optional for consignments in transit through the Union.
<b>I.6</b>	<b>Operator responsible for the consignment</b>
	Indicate the name and address, country and ISO country code, of the natural or legal person in the Member State in charge of the consignment when presented at the Border Control Post (BCP) who makes the necessary declarations to the competent authorities as the importer or on behalf of the importer. This operator may be the same as indicated in box I.5. For products in transit through the Union: this box is compulsory. For certain animals: this box is compulsory if required by the relevant Union legislation. For animals and products for the placing on the market: this box is optional.
<b>I.7</b>	<b>Country of origin</b>
	For products: indicate the name and ISO country code of the country where the goods were produced, manufactured or packaged (labelled with the identification mark). For animals: indicate the country of residence during the required period as set out in the relevant Union legislation. For registered horses re-entering the Union after temporary export for competition, races, or invited for specific cultural events in certain third countries, indicate the country from which they were last consigned. In the case of trade involving more than one third country (triangular trade), a separate

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	certificate must be completed for each country of origin.
<b>I.8</b>	<b>Region of origin</b>
	Where relevant for the movement of animals or products that are affected by regionalisation measures in accordance with Union legislation, indicate the code of the approved regions, zones or compartments as indicated in the Official Journal of the European Union.
<b>I.9</b>	<b>Country of destination</b>
	Indicate the name and ISO country code of Member State of destination of the animals or products. If the products are in transit, indicate the name and ISO country code of the third country of destination.
<b>I.10</b>	<b>Region of destination</b>
	See box I.8
<b>I.11</b>	<b>Place of dispatch</b>
	Indicate the name and address, country and ISO country code of the establishment(s) from where the animals or the products come from. Where required by Union legislation, indicate its registration or approval number. For animals: indicate the establishment where animals are regularly kept. For semen, oocytes or embryos intended for artificial reproduction, indicate as appropriate semen collection centre, embryo collection or production team, germinal product processing establishment, germinal product storage centre or confined establishment. In the case of semen of ovine and caprine animals, the place of dispatch may be the establishment keeping donor animals. For certain fishery products referred to in Article 10 of Commission Delegated Regulation (EU) 2019/625 <sup>b</sup> : the place of dispatch may be a vessel. For other products: any unit of a company in the food or animal by-product sector. Only the establishment shipping the products is to be named. In the case of trade involving more than one third country (triangular trade), the place of dispatch is the last third-country establishment of the export

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	chain from which the final consignment is transported to the Union.
<b>I.12</b>	<b>Place of destination</b>
	<p>Indicate the name and address, country and ISO country code, of the place where the consignment is being delivered for final unloading. Where applicable, also indicate the registration or approval number of the establishment of destination.</p> <p>For storage of products in transit: indicate the name, address and approval number of the warehouse as defined in Article 2(3) of Commission Delegated Regulation (EU) 2019/2124<sup>c</sup>. This box is optional in the case of transit without storage of products.</p>
<b>I.13</b>	<b>Place of loading</b>
	<p>For animals: indicate the name and address of the place where the animals are loaded in the means of transport, and in the case they are assembled beforehand, the name and address of the establishment approved for assembly operations.</p> <p>For products: indicate the name, address and category (for example, establishment, port or airport) of the final place where the products are to be loaded in the means of transport for the journey to the European Union. In the case of a container, state where it is to be placed aboard the final means of transport to the European Union. In the case of a ferry, indicate the place where the truck is to be embarked.</p>
<b>I.14</b>	<b>Date and time of departure</b>
	<p>For animals: the date and time at which the animals are scheduled to leave in their means of transport (aircraft, vessel, railway or road vehicle).</p> <p>For products: the date when the means of transport departs (aircraft, vessel, railway or road vehicle).</p>
<b>I.15</b>	<b>Means of transport</b>
	<p>Select one or more of the following means of transport for animals or goods leaving the country of dispatch, and indicate its identification:</p> <p>— aircraft (indicate the flight number);</p> <p>— vessel (indicate the vessel name and number);</p>

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	<ul style="list-style-type: none"> <li>— railway (indicate the train identity and wagon number);</li> <li>— road vehicle (indicate the registration number with trailer number, if applicable).</li> </ul> <p>In the case of a ferry, tick ‘vessel’ and identify the road vehicle(s) with registration number (with trailer number, if applicable), in addition to the name and number of the scheduled ferry.</p>
<b>I.16</b>	<b>Entry Border Control Post</b>
	Indicate the name of the BCP of entry into the Union for certificates not submitted in IMSOC or select the name of the BCP of entry into the Union and its unique alphanumeric code assigned by the IMSOC.
<b>I.17</b>	<b>Accompanying documents</b>
	<p>Indicate the type of required document: for example CITES permit, permit for invasive alien species (IAS), declarations or other documents including of a commercial nature. Indicate the unique code of required accompanying documents and country of issue.</p> <p>Commercial document references: indicate for example the airway bill number, the bill of lading number or the commercial number of the train or road vehicle.</p>
<b>I.18</b>	<b>Transport conditions</b>
	<p>Indicate the category of required temperature during the transport of products (ambient, chilled, frozen).</p> <p>This box does not apply to animals.</p>
<b>I.19</b>	<b>Container number/Seal number</b>
	<p>Where applicable, indicate the container number and seal number (more than one possible).</p> <p>The container number must be provided if the goods are transported in closed containers.</p> <p>Only the official seal number must be stated. An official seal applies if a seal is affixed to the container, truck or rail wagon under the supervision of the competent authority issuing the certificate.</p>
<b>I.20</b>	<b>Certified as or for</b>

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	<p>Select the purpose of the movement of animals, the intended use of goods or the category as specified in the relevant Union legislation:</p> <p>Feedstuffs: concerns only animal by-products intended for feeding farmed animals as referred to in Regulation (EC) No 1069/2009 of the European Parliament and of the Council<sup>d</sup>.</p> <p>Petfood: concerns only animal by-products intended for use as petfood or manufacturing of petfood as referred to in Regulation (EC) No 1069/2009.</p> <p>Organic fertilisers and soil improvers: concerns certain animal by-products or derived products as referred to in Regulation (EC) No 1069/2009.</p> <p>Technical use: animal by-products or derived products unfit for human or animal consumption, as referred to in Article 36 of Regulation (EC) No 1069/2009.</p> <p>Pharmaceutical use: animal by-products unfit for human or animal consumption, as referred to in Article 33 of Regulation (EC) No 1069/2009.</p> <p>Trade samples: as defined in point 39 of Annex I to Commission Regulation (EU) No 142/2011<sup>e</sup>.</p> <p>Exhibition: concerns animals intended for an exhibition and sporting, cultural or similar events or display items as defined in point 34 of Annex I to Regulation (EU) No 142/2011.</p> <p>Canning industry: concerns products for human consumption, (for example tuna) specifically intended only for the canning industry.</p> <p>Products for human consumption: concerns only products of animal origin intended for human consumption for which an animal health, official certificate or animal health/ official certificate is required by Union legislation.</p> <p>Further processing: concerns products that have to be further processed before being placed on the market as well as live aquatic animals and products of animal origin from aquatic animals other than live aquatic animals, which are destined for a disease control aquatic food establishment as defined in Article 4(52) of Regulation (EU) 2016/429 of the European Parliament and of the Council.</p>
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	<p>Live aquatic animals for human consumption: aquatic animals intended for direct human consumption i.e. aquatic animals, which are delivered to the final consumer live or consumed live.</p> <p>Confined establishment: as defined in Article 4(48) of Regulation (EU) 2016/429.</p> <p>Quarantine establishment: as provided for in Article 14 of Commission Delegated Regulation (EU) 2019/2035<sup>f</sup> as regards terrestrial animals and Article 15 of Commission Delegated Regulation (EU) 2020/691<sup>g</sup> as regards aquaculture animals.</p> <p>Travelling circus/Animal acts: as defined in respectively Article 2(34) and (35) of Delegated Regulation (EU) 2019/2035.</p> <p>Release into the wild: concerns only live animals, which are to be released into the wild at the place of destination.</p> <p>Registered equine animal: as defined in Article 2(30) of Delegated Regulation (EU) 2019/2035.</p> <p>Further keeping: animals intended for establishments keeping live animals or for pet keepers, unless a more specific purpose or category from I.20 applies to them (e.g. quarantine, confined establishments etc.). It also includes animals, which are intended to restock game supplies or to be released into the wild, if those are intended to pass through an establishment before being released.</p> <p>Purification centre: as defined in Article 2(2) of Delegated Regulation (EU) 2020/691.</p> <p>Dispatch centre: as defined in Article 2(3) of Delegated Regulation (EU) 2020/691.</p> <p>Relaying area: as defined in Article 2(4) of Delegated Regulation (EU) 2020/691.</p> <p>Ornamental aquaculture establishment: as provided for in Article 17 or Article 18 of Delegated Regulation (EU) 2020/691.</p> <p>Slaughter: for animals destined for a slaughterhouse, either directly or via an establishment approved for assembly operations.</p> <p>Germinal products: as defined in Article 4(28) of Regulation (EU) 2016/429.</p> <p>Other: intended for purposes not listed elsewhere in this classification, including aquatic animals intended for fishing baits.</p>
<b>I.21</b>	<b>For transit</b>
	<p>Tick this box for the transit of animals or products through the European Union from</p>

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	one third country to another third country or from one part of a third country to another part of the same third country. Indicate the name and ISO country code of the third country of destination.
<b>I.22</b>	<b>For internal market</b>
	Tick this box where consignments are intended to be placed on the Union market.
<b>I.23</b>	<b>For re-entry</b>
	Tick this box in the case of registered equine animals intended for competition or races, or invited for specific cultural events, and authorised for re-entering the European Union after their temporary export.
<b>I.24</b>	<b>Total number of packages</b>
	Indicate the total number of packages in the consignment, where appropriate: For animals: indicate the number of boxes, cages, containers, hives or stalls, in which the animals are being transported. For semen, oocytes and embryos intended for artificial reproduction: indicate the number of containers. In the case of bulk consignments, this box is optional.
<b>I.25</b>	<b>Total quantity</b>
	For terrestrial animals or germinal products: indicate as appropriate the total number of heads, hatching eggs or straws expressed as units. For aquatic animals: indicate as appropriate, the total number of animals, eggs or larvae expressed as units.
<b>I.26</b>	<b>Total net weight/gross weight (kg)</b>
	The total net weight is the mass of the animals or goods themselves, without immediate containers or any packaging. It is automatically calculated by the IMSOC on the basis of the information entered in box I.27. The declared net weight of glazed food shall be exclusive of the glaze. Indicate the total gross weight, i.e. the aggregate mass of the animals or goods, plus immediate containers and all their packaging, but excluding transport containers and other transport equipment.
<b>I.27</b>	<b>Description of consignment</b>



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	<p>Indicate the relevant Harmonised System (HS) code and the title defined by the World Customs Organisation as referred to in Council Regulation (EEC) No 2658/87<sup>h</sup>. This customs description shall be supplemented, if necessary, by additional information required to classify the animals or the products in veterinary terms. In addition, state any specific requirements relating to the animals or to the nature/processing of the products as defined in the relevant Union legislation.</p> <p>For animals: indicate the species, category, identification method, identification number, age, sex, quantity or net weight, and test. For honeybees and bumble bees, indicate either of the following: queens with maximum 20 attendants, colonies with brood or other.</p> <p>For semen, oocytes or embryos intended for artificial reproduction: indicate</p> <ul style="list-style-type: none"> <li>— the type (semen, <i>in vivo</i> derived embryos, <i>in vivo</i> derived oocytes, <i>in vitro</i> produced embryos or micromanipulated embryos);</li> <li>— the collection or production date;</li> <li>— the approval number of the establishment of collection or production (semen collection centre, embryo collection or production team, germinal product processing establishment, germinal product storage centre or confined establishment);</li> <li>— the identification mark on the straw or other package;</li> <li>— the quantity;</li> <li>— the species, the subspecies (for animals from confined establishments, if needed) and identification number of the donor animal(s).</li> </ul> <p>For products: indicate the species, type of products, type of treatment, identification mark and approval number of establishments when applicable together with ISO country code (such as slaughterhouse, processing plant, cold store), number of packages, type of packaging, batch number, net weight and the (oldest) date of collection/production. Tick 'final consumer' where products are packaged for final consumers.</p> <p>For animal by-products or derived products: indicate the species, type of products,</p>
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	<p>type of treatment, approval or registration number of the manufacturing or production establishment together with ISO country code, number of packages, type of packaging, batch number, net weight.</p> <p>Species: indicate the scientific name or as defined in accordance with Union legislation.</p> <p>Type of packaging: identify the type of packaging according to the definition given in Recommendation No 21<sup>i</sup> of UN/CEFACT (United Nations Centre for Trade Facilitation and Electronic Business).</p>
<b>PART II – CERTIFICATION</b>	
<b>Box</b>	<b>Description</b>
	<b>Country</b>
	Indicate the name of the third country issuing the certificate.
	<b>Certificate model</b>
	This box refers to the specific title of each model of certificate.
<b>II</b>	<b>Health information</b>
	<p>This box refers to the specific Union health and welfare requirements applicable to the animal species or to the nature of the products and as defined in the equivalence agreements with certain third countries or in other Union legislation, such as that for certification.</p> <p>Where there are no animal or public health or other attestations for the consignment, then the whole of this section shall be deleted or invalidated or not be present at all in accordance with the footnotes for Part II of the specific Union certificates.</p>
<b>II.2a</b>	<b>Certificate reference</b>
	This is the unique alphanumeric code indicated in box I.2.
<b>II.2b</b>	<b>IMSOC reference</b>
	This is the unique alphanumeric code indicated in box I.2a
	<b>Certifying officer</b>
	This box refers to the signature of the certifying officer as defined in point (26) of Article 3 of Regulation (EU) 2017/625 of the European Parliament and of the Council.

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	Indicate the name in capital letters, qualification and title, where applicable, of the signatory, and the name and original stamp of the competent authority the signatory is attached to and date of signature.
<b>a</b>	International standard two-letter code for a country in accordance with the ISO 3166 alpha-2 international standard; <a href="http://www.iso.org/iso/country_codes/iso-3166-1_decoding_table.htm">http://www.iso.org/iso/country_codes/iso-3166-1_decoding_table.htm</a> .
<b>b</b>	Commission Delegated Regulation (EU) 2019/625 of 4 March 2019 supplementing Regulation (EU) 2017/625 of the European Parliament and of the Council with regard to requirements for the entry into the Union of consignments of certain animals and goods intended for human consumption ( <a href="#">OJ L 131, 17.5.2019, p. 18</a> ).
<b>c</b>	Commission Delegated Regulation (EU) 2019/2124 of 10 October 2019 supplementing Regulation (EU) 2017/625 of the European Parliament and of the Council as regards rules for official controls of consignments of animals and goods in transit, transshipment and onward transportation through the Union, and amending Commission Regulations (EC) No 798/2008, (EC) No 1251/2008, (EC) No 119/2009, (EU) No 206/2010, (EU) No 605/2010, (EU) No 142/2011, (EU) No 28/2012, Commission Implementing Regulation (EU) 2016/759 and Commission Decision 2007/777/EC ( <a href="#">OJ L 321, 12.12.2019, p. 73</a> ).
<b>d</b>	Regulation (EC) No 1069/2009 of the European Parliament and of the Council of 21 October 2009 laying down health rules as regards animal by-products and derived products not intended for human consumption and repealing Regulation (EC) No 1774/2002 (Animal by-products Regulation) ( <a href="#">OJ L 300, 14.11.2009, p. 1</a> ).
<b>e</b>	Commission Regulation (EU) No 142/2011 of 25 February 2011 implementing Regulation (EC) No 1069/2009 of the European Parliament and of the Council laying down health rules as regards animal by-products and derived products not intended for human consumption and implementing Council Directive 97/78/EC as regards certain samples and items exempt from veterinary checks at the border under that Directive ( <a href="#">OJ L 54, 26.2.2011, p. 1</a> ).
<b>f</b>	Commission Delegated Regulation (EU) 2019/2035 of 28 June 2019 supplementing Regulation (EU) 2016/429 of the European Parliament and of the Council as regards rules for establishments keeping terrestrial animals and hatcheries, and the traceability of certain kept terrestrial animals and hatching eggs ( <a href="#">OJ L 314, 5.12.2019, p. 115</a> ).
<b>g</b>	Commission Delegated Regulation (EU) 2020/691 of 30 January 2020 supplementing Regulation (EU) 2016/429 of the European Parliament and of Council as regards rules for aquaculture establishments and transporters of aquatic animals ( <a href="#">OJ L 174, 3.6.2020, p. 345</a> ).
<b>h</b>	Council Regulation (EEC) No 2658/87 of 23 July 1987 on the tariff and statistical nomenclature and on the Common Customs Tariff ( <a href="#">OJ L 256, 7.9.1987, p. 1</a> ).
<b>i</b>	Last version: <a href="http://www.unece.org/uneclfact/codelistrecs.html">www.unece.org/uneclfact/codelistrecs.html</a>

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