ANNEX I

CHAPTER 2

NOTES FOR THE COMPLETION OF MODEL ANIMAL HEALTH CERTIFICATES, OFFICIAL CERTIFICATES AND ANIMAL HEALTH/ OFFICIAL CERTIFICATES FOR MOVEMENTS OF ANIMALS AND PRODUCTS BETWEEN MEMBER STATES OR WITHIN THE UNION ral

General

To positively select any option, please tick or mark the relevant box with a cross (x).

Unless otherwise specified or established by Union legislation, all entries or boxes apply to the model animal health certificate, official certificate and animal health/official certificate in Chapter 1.

Paper copies of an electronic certificate shall bear a unique machine-readable optical label which hyperlinks to the electronic version.

Only one of the options may be selected in boxes I.18 and I.20.

Where a box allows one or more options to be selected, only the selected option(s) will be displayed in the electronic version of the certificate.

Where a box is not compulsory, its content shall be strike-through.

Box	Description
I.1	Consignor
	Indicate the name and address, country and ISO country code ^a of the natural or legal person dispatching the consignment.
I.2	IMSOC reference
	This is the unique alphanumeric code assigned by the IMSOC. Repeated in boxes II.a and III.2
I. 2a	Local reference
	Indicate the unique alphanumeric code the competent authority may assign. Repeated in boxes II.b and III.2a
I.3	Central competent authority
	Indicate the name of the central competent authority in the country issuing the certificate.
I.4	Local competent authority
	Indicate the name of the local competent authority in the country issuing the certificate.

PART I – DESCRIPTION OF CONSIGNMENT

I.5	Consignee
	Indicate the name and address, country and ISO country code of the natural or legal person to whom the consignment is intended in the country of destination.
I.6	Operator conducting assembly operations independently of an establishment
	Concerns operators conducting assembly operations for kept ungulates and poultry, independently of an establishment, as referred to in Article 90 of Regulation (EU) 2016/429 of the European Parliament and of the Council ^b . Indicate the registration number and name of the registered operator.
I.7	Country of origin
	Indicate the name and ISO country code of the country from which the animals or products (germinal products, products of animal origin and animal by-products) originate.
I.8	Region of origin
	Where relevant, for the movement of animals or products that are affected by regionalisation measures in accordance with Union legislation, indicate the code of the approved regions or zones as indicated in the Official Journal of the European Union, or the name of compartments for aquatic animal diseases as listed on http://ec.europa.eu/food/ animal/liveanimals/aquaculture/index_en.htm
I.9	Country of destination
	Indicate the name and ISO country code of the country to which the animals or products are destined.
I.10	Region of destination
	See box I.8
I.11	Place of dispatch
	Indicate the name and address, country and ISO country code of the establishment(s), or where relevant other place(s), from where the animals or the products come from. Where applicable, also indicate the registration or approval number of the establishment(s).

Changes to legislation: T	here are currently	no known outstanding o	effects for the Commission
Implementing Regulation	ı (EU) 2020/2235,	CHAPTER 2. (See end	of Document for details)

	For animals: indicate the establishment where animals are regularly kept or where they are assembled. For semen, oocytes or embryos intended for artificial reproduction: indicate as appropriate semen collection centre, embryo collection or production team, germinal product processing establishment, germinal product storage centre or confined establishment. In the case of semen of ovine and caprine animals, the place of dispatch may be the establishment keeping donor animals. For other products: any unit of a company in the food or animal by-product sector. Only the establishment shipping the products is to be named.
I.12	Place of destination
	Indicate the name and address, country and ISO country code of the establishment, or where relevant another place, where animals or products are being delivered for final unloading. Where applicable, also indicate the registration or approval number of the establishment of destination.
I.13	Place of loading
	For animals only: indicate the name and address of the place where the animals are loaded in the means of transport, and in the case they are assembled beforehand, the name and address of the establishment approved for assembly operations and its approval number. For products: indicate the name, address and category (for example, establishment, port or airport) of the final place where the products are to be loaded in the means of transport.
I.14	Date and time of departure
	Indicate the date and, when required, time, when animals or products are scheduled to leave the place of loading.
I.15	Means of transport
	Select one or more of the following means of transport for animals or products leaving the country of dispatch, and indicate its (their) identification(s): — aircraft (indicate the flight number);

	 vessels, indicate the unique number of the certificate of approval); railway (indicate the train identity and wagon number); road vehicle (indicate the registration number plate with trailer number plate, if applicable. In the case of road vehicle used for long journeys, indicate also the unique number of the certificate of approval). other (means of transport other than those mentioned in point (n) of Article 2 of Council Regulation (EC) No 1/2005^e) In the case of a ferry, tick 'vessel' and identify the road vehicle(s) with registration number (with trailer number, if applicable), in addition to the name and number of the scheduled ferry.
I.16	Transporter
	This box applies only to animals and products where this is required by Union legislation. Indicate the name, address, country and ISO country code of the natural or legal person(s) in charge of the transport. Indicate the registration or authorisation number where applicable.
I.17	Accompanying documents
	Indicate the type of document: for example CITES permit in accordance with Article 9 of Council Regulation (EC) No 338/97 ^d , permit for invasive alien species (IAS) in accordance with Article 8(1) and (2) of Regulation (EU) No 1143/2014 of the European Parliament and of the Council ^e , declarations or other documents including of a commercial nature. Indicate the unique code of accompanying documents and country of issue. Commercial document references: indicate for example the airway bill number, the bill of lading number or the commercial number of the train or road vehicle. For products (products of animal origin and animal by-products): indicate the commercial

	For semen, oocytes or embryos intended for artificial reproduction dispatched from germinal product processing establishments and germinal products storage centres: indicate the reference of the initial official document(s) or certificate(s) that accompanied semen, oocytes and/or embryos of this consignment to those germinal product processing establishments and germinal products storage centres from: — the semen collection centre where the semen was collected and/or — the embryo collection or production team collecting or producing the oocytes or embryos, and/or — the germinal product processing establishment where semen, oocytes or embryos were processed and stored, and/or — the germinal product storage centre where the semen, oocytes or embryos were stored. For dogs, cats and ferrets, and where applicable for equidae: indicate the passport number. For animals of protected species: indicate the CITES permit number. For kept ungulates dispatched from an establishment approved for assembly operations: indicate the serial number(s)
	of the official document(s) and/or the certificate(s) based on which the certificate for this consignment is issued.
I.18	Transport conditions
	Indicate the category of required temperature during the transport of products (ambient, abilled frager)
	chilled, frozen). This box does not apply to animals.
I.19	

I.20	Certified as or for
	Select the purpose of the movement of animals, the intended use of goods or the category as specified in the relevant Union
	legislation:
	Organic fertilisers and soil improvers:
	concerns certain animal by-products or derived products as referred to in Regulation
	(EC) No 1069/2009 of the European
	Parliament and of the Council ^f .
	Technical use: animal by-products or
	derived products unfit for human or animal
	consumption, as referred to in Article 36 of
	Regulation (EC) No 1069/2009.
	Exhibition: concerns animals intended for a
	exhibition and sporting, cultural or similar
	events in accordance with Union legislation
	Products for human consumption: concern
	only products of animal origin intended for
	human consumption for which a certificate
	required by Union legislation.
	Further processing: concerns products
	that have to be further processed before
	being placed on the market as well as live
	aquatic animals and products of animal
	origin from aquatic animals other than live aquatic animals, which are destined for a
	disease control aquatic food establishment
	defined in Article 4(52) of Regulation (EU
	2016/429.
	Live aquatic animals for human
	consumption: aquatic animals intended
	for direct human consumption i.e. aquatic
	animals, which are delivered to the final
	consumer live or consumed live.
	Confined establishment: as defined in Arti-
	4(48) of Regulation (EU) 2016/429.
	Quarantine or similar establishment: as
	provided for in Article 14 of Commission
	Delegated Regulation (EU) 2019/2035 ^g as
	regards terrestrial animals and in Article
	15 or Article 16 of Commission Delegated
	Regulation (EU) 2020/691 ^h as regards
	aquaculture animals. Travelling circus/Animal acts: as defined
	in respectively Article 2(34) and (35) of
	Delegated Regulation (EU) 2019/2035.
	Release into the wild: concerns only live
	animals, which are to be released into the
	wild at the place of destination.
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Changes to legislation: There are currently no known outstanding effects for the Commission Implementing Regulation (EU) 2020/2235, CHAPTER 2. (See end of Document for details)

Registered equine animal: as defined in Article 2(30) of Delegated Regulation (EU) 2019/2035.

2019/2035. Further keeping: animals intended for establishments keeping live animals including for research purposes or for pet keepers, unless a more specific purpose or category from I.20 applies to them (e.g. quarantine, confined establishments etc.). It also includes animals, which are intended to restock game supplies or to be released into
the wild, if those are intended to pass through an establishment before being released. Purification centre: as defined in Article 2(2) of Delegated Regulation (EU) 2020/691. Dispatch centre: as defined in Article 2(3) of Delegated Regulation (EU) 2020/691. Relaying area: as defined in Article 2(4) of Delegated Regulation (EU) 2020/691. Ornamental aquaculture establishment: as provided for in Article 17 or Article 18 of Delegated Regulation (EU) 2020/691. Slaughter: for animals destined for a slaughterhouse, either directly or via an
establishment approved for assembly operations. Germinal products: as defined in Article 4(28) of Regulation (EU) 2016/429. Event or activity near borders: concerns movements of kept terrestrial animals between Member States in accordance with Article 139 of Regulation (EU) 2016/429 where such movements are for: — recreational use near borders; — exhibitions, and sporting, cultural and similar events organised near borders;
 grazing of kept terrestrial animals in grazing areas shared between Member States; work done by kept terrestrial animals near borders of Member States. Other: intended for purposes not listed elsewhere in this classification, including aquatic animals intended for fishing baits.
For transit through a third country
Indicate the name and ISO country code of the transited third country in the case of road transport.

	Select the border control post of exit or indicate the name of the local authority of the place in which the exit point is situated. Select the border control post of entry into the Union.
I.22	For transit through Member States
	Indicate the name and ISO country code of the transited Member State(s) in the case of road transport.
I.23	For export
	Indicate the name and ISO country code of the third country of destination and select the border control post of exit or indicate the name of the local authority of the place in which the exit point is situated.
I.24	Estimated journey time
	This box only applies to animals falling within the scope of Regulation (EC) No 1/2005 and refers to the expected duration of the intended journey declared by the transporter in the transport documentation in accordance with Article 4(1)(e) thereof. The information entered in this box shall correspond to the total expected duration declared in Section 1 of the planning of the journey log set out in Annex II to that Regulation, in the case of domestic equidae other than registered equidae and domestic animals of bovine, ovine, caprine and porcine species subject to long journeys between Member States and with third countries (as defined in point (m) of Article 2 of that Regulation).
I.25	Journey log
	This box only applies to domestic equidae other than registered equidae and domestic animals of bovine, ovine, caprine and porcine species subject to long journeys between Member States and with third countries, as defined in point (m) of Article 2 of Regulation (EC) No 1/2005. By ticking 'yes', the IMSOC will automatically generate the journey log to be completed and submitted by the organizer of
	the journey in accordance with Annex II to that Regulation.

	Indicate the total number and type of packages in the consignment, where appropriate. For animals: the number of boxes, cages, containers, tanks, hives or stalls, in which the animals are being transported. For semen, oocytes and embryos intended for artificial reproduction: the number of containers. For products: the number of packages. In the case of bulk consignments, this box is optional.
I.27	Total quantity
	For terrestrial animals or germinal products: indicate as appropriate the total number of heads, hatching eggs or straws expressed as units. For aquatic animals: indicate as appropriate, the total number of animals, eggs or larvae expressed as units.
I.28	Total net weight/gross weight (kg)
	The total net weight is the mass of the animals or goods themselves, without immediate containers or any packaging. It is automatically calculated by the IMSOC on the basis of the information entered in box I.30. The declared net weight of glazed food shall be exclusive of the glaze. Indicate the total gross weight, i.e. the aggregate mass of the animals or goods, plus immediate containers and all their packaging, but excluding transport containers and other transport equipment.
I.29	Total space foreseen for the consignment (in m ²)
	This box applies only to animals falling within the scope of Regulation (EC) No 1/2005. Space allowances during transport shall at least comply with the figures laid down, in respect of the animals and the means of transport referred to, in Chapter VII of Annex I to Regulation (EC) No 1/2005. The information entered in this box shall correspond to the total space foreseen for the consignment declared in Section 1 of the planning of the journey log set out in Annex II to Regulation (EC) No 1/2005, in the case

	of domestic equidae other than registered equidae and domestic animals of bovine, ovine, caprine and porcine species subject to long journeys between Member States and with third countries (as defined in point (m) of Article 2 of that Regulation).
1.30	Description of consignment
1.30	Description of consignment State any specific requirements relating to the animals or to the nature/processing of the products as defined in the relevant Union legislation. For animals: indicate the species, category, identification method, identification number, age, sex, quantity or net weight, and test. For honeybees and bumble bees, indicate either of the following: queens with maximum 20 attendants, colonies with brood or other. For aquatic animals, indicate the number, volume or net weight, as appropriate to their life stage. For semen, oocytes or embryos intended for artificial reproduction: indicate — the type (semen, <i>in vivo</i> derived embryos, <i>in vivo</i> derived oocytes, <i>in vitro</i> produced embryos); — the collection or production date; Image: the approval number of the establishment of collection or production (semen collection or production team, germinal product processing establishment, germinal product toring, indicate the registration number of that establishment of origin, indicate the registration number of that establishment; identification mark on the straw or other package; — the quantity; — the quantity; — the species, the subspecies (for animals from confined establishments, if needed) and identification number of the donor animal(s).
	For products: indicate the species, types of products, type of treatment,
	approval or registration number of
	establishments together with ISO country
	code (slaughterhouse, processing plant, cold

store, collection centre), number of packages,
type of packaging, batch number, net weight.
Species: indicate the scientific name or as
defined in accordance with Union legislation.
Type of packaging: identify the type of
packaging according to the definition given
in Recommendation No 21 ⁱ of UN/CEFACT
(United Nations Centre for Trade Facilitation
and Electronic Business).

PART II – CERTIFICATION

Box	Description
	European Union
	This box refers to the issuing countries.
	Certificate model
	This box refers to the specific title of each model of certificate.
II.	Health information
	This box refers to the specific Union health requirements applicable to the animal species or to the nature of the products moved between Member States or within the Union.
II.a	IMSOC reference
	This is the unique alphanumeric code indicated in box I.2.
II.b	Local reference
	This is the unique alphanumeric code indicated in box I.2a.
	Certifying officer
	This box refers to the signature of the certifying officer as defined in point (26) of Article 3 of Regulation (EU) 2017/625 of the European Parliament and of the Council ^j . Indicate the name in capital letters, qualification and title, where applicable, of the signatory, and name and code of the control unit, original stamp of the competent authority the signatory is attached to and date of signature.
PART III – CONTROLS	·
Box	Description

Box	Description
III.1	Date of official controls
	Indicate the date when the official veterinarian as defined in point (32) of Article 3 of Regulation (EU) 2017/625

	has performed the official controls on the consignment.
III.2 I	IMSOC reference
	This is the unique alphanumeric code indicated in box I.2.
III.2a I	Local reference
	This is the unique alphanumeric code indicated in box I.2.a.
III.3 I	Documentary check
	This is the examination of the certificates, official attestations and other documents including documents of commercial nature, which are required to accompany the consignment, in order to verify compliance with Union legislation, including the additional animal health guarantees for Category C diseases as defined in point (3) of Article 1 of Commission Implementing Regulation (EU) 2018/1882 ^k . This also includes verification of compliance with national measures as relevant in accordance
	with Article 226 of Regulation (EU) 2016/429. Non-compliance with national measures means that the consignment is not satisfactory. Tick 'yes' or 'no' as appropriate.
	with Article 226 of Regulation (EU) 2016/429. Non-compliance with national measures means that the consignment is not satisfactory.
III.4 I III.4 I	with Article 226 of Regulation (EU) 2016/429. Non-compliance with national measures means that the consignment is not satisfactory. Tick 'yes' or 'no' as appropriate.
III.4 I III.5 I	with Article 226 of Regulation (EU) 2016/429. Non-compliance with national measures means that the consignment is not satisfactory. Tick 'yes' or 'no' as appropriate. Identity check This is a visual inspection to verify that the content and the labelling of the consignment, including the marks on animals, seals and means of transport, corresponds to the information provided in the certificate and other documents accompanying it. Tick 'yes' or 'no' as appropriate. Physical check
III.4 I III.5 I III.5 I	with Article 226 of Regulation (EU) 2016/429. Non-compliance with national measures means that the consignment is not satisfactory. Tick 'yes' or 'no' as appropriate. Identity check This is a visual inspection to verify that the content and the labelling of the consignment, including the marks on animals, seals and means of transport, corresponds to the information provided in the certificate and other documents accompanying it. Tick 'yes' or 'no' as appropriate.

	Tick 'yes' if a test has been performed. Tested for: select the category of substance or pathogen for which a laboratory test has been carried out. — tick 'random' where the consignment is not detained pending a test result. — tick 'suspicion' where animals or products are suspected of not complying with Union legislation (including cases where animals are suspected of having a disease or show signs of disease), and are detained pending a result. — tick 'emergency measures' where animals or products are tested under applicable Union or national emergency measures and are detained pending a result. — tick 'pending' where a test result is awaiting; — tick 'satisfactory' or 'not satisfactory' where the test result is available.
III.7	Welfare check
	This box only applies to animals falling within the scope of Regulation (EC) No 1/2005. Tick 'no' where the animals have not undergone a welfare check. Tick 'satisfactory' or 'not satisfactory' where the results of the check on the animals and on the transport conditions on arrival are available.
III.8	Non-compliance with welfare legislation
	Tick the appropriate box(es) depending on the nature of the established non- compliance(s) regarding the protection of animals during transport pursuant to the relevant provisions of Regulation (EC) No 1/2005:fitness for transport (Annex I, Chapter I and Chapter VI, paragraph 1.9);means of transport (Annex I, Chapters II and IV);transport practices (Annex I,

	 journey time limits (Annex I, Chapter V); additional provisions for long journey (Annex I, Chapter VI); space allowances (Annex I, Chapter VII); transporter's authorisation (Article 6); driver certificate of competence (Article 6(5)); journey log records (in case of missing or inconsistent information in the journey log); other (where none of the aforementioned non-compliances are applicable, complete as necessary).
III.9	Non-compliance with health legislation
	 Tick the appropriate box(es) depending on the nature of the established non- compliance(s): Invalid or absence of certificate (when a consignment is moved without certification or prior notification); Invalid proof of transporter's registration; Mis-match between identity and accompanying documents; Non-authorised movement (when Union or national emergency measure affect the country(ies) for the species under consideration); Non-approved region/zone/ compartment; Non-approved establishment; Prohibited species (banned in a Member State or protected by CITES); Absence of additional animal health guarantees for Category C diseases; Diseased or suspect animal; Unsatisfactory test result(s); Missing or non-compliant identification; Non-compliance with national measures; Invalid address of destination; Other (where none of the aforementioned non-compliances

	are applicable, complete as necessary).
III.10	Impact of the transport on animals
	This box applies only to animals. Number of dead animals: indicate how many animals have died. Number of unfit animals: indicate how many animals were unfit to travel. Number of births or abortions: indicate how many females gave birth or miscarried during transport. In the case of animals consigned in large numbers (day-old chicks, fish, molluscs, etc.), give an estimate of the number of dead or unfit animals.
III.11	Corrective action
	Indicate any decision taken to remedy one or more of the established non-compliances indicated in boxes III. 8 and III. 9, in line with Article 138(2) of Regulation (EU) 2017/625: — Unloading: unloading the animals and holding them in suitable accommodation with appropriate care until the problem is resolved; — Transfer to another means of transport: transfer the consignment of animals or part of it from a means of transport: transfer the consignment of animals or part of it from a means of transport that does not meet the legal requirements to one that does; — Quarantine/isolation; — Quarantine/isolation; — Destruction of carcases/products; — Destruction of carcases/products; — Treatment of animals or purposes other than those for which they were originally intended; — Other (where none of the aforementioned actions are applicable, complete as necessary).
III.12	Follow-up of quarantine or isolation
	For terrestrial animals: select 'humane killing/euthanasia' or 'release' of animals

		depending on the results of examinations during quarantine. For aquaculture animals: select 'humane killing/euthanasia' or 'release' of animals depending on the results of examinations during isolation in an establishment approved in accordance with Article 16 of Delegated Regulation (EU) 2020/691.
Ш	1.13	Place of official controls
		 Select a place of inspection: Registered establishment; Approved establishment; Establishment approved for assembly operations; Operator conducting assembly operations independently of an establishment; Confined establishment; Germinal product establishment; Gontrol post; Port; Airport; En route; Exit point; Other (where none of the aforementioned place is applicable).
Ш	[.14	Official veterinarian
		This box refers to the signature of the official veterinarian as defined in point (32) of Article 3 of Regulation (EU) 2017/625. Indicate the name in capital letters, qualification and title, where applicable, name and code of the control unit and date of signature.
a	International standard two-letter code for a country in accordance with the ISO 3166 alpha-2 international standard; http:// www.iso.org/iso/country_codes/iso-3166-1_decoding_table.htm	
b	Regulation (EU) 2016/429 of the European Parliament and of the Council of 9 March 2016 on transmissible animal diseases and amending and repealing certain acts in the area of animal health ('Animal Health Law') (OJ L 84, 31.3.2016, p. 1).	
c	Council Regulation (EC) No 1/2005 of 22 December 2004 on the protection of animals during transport and related operations and amending Directives 64/432/EEC and 93/119/EC and Regulation (EC) No 1255/97 (OJ L 3, 5.1.2005, p. 1).	
d	Council Regulation (EC) No 338/97 of 9 December 1996 on the protection of species of wild fauna and flora by regulating trade therein (OJ L 61, 3.3.1997, p. 1).	
e	Regulation (EU) No 1143/2014 of the European Parliament and of the Council of 22 October 2014 on the prevention and management of the introduction and spread of invasive alien species (OJ L 317, 4.11.2014, p. 35).	
f	Regulation (EC) No 1069/2009 of the European Parliament and of the Council of 21 October 2009 laying down health rules as regards animal by-products and derived products not intended for human consumption and repealing Regulation (EC) No 1774/2002 (Animal by-products Regulation) (OJ L 300, 14.11.2009, p. 1).	

- **g** Commission Delegated Regulation (EU) 2019/2035 of 28 June 2019 supplementing Regulation (EU) 2016/429 of the European Parliament and of the Council as regards rules for establishments keeping terrestrial animals and hatcheries, and the traceability of certain kept terrestrial animals and hatching eggs (OJ L 314, 5.12.2019, p. 115).
- h Commission Delegated Regulation (EU) 2020/691 of 30 January 2020 supplementing Regulation (EU) 2016/429 of the European Parliament and of Council as regards rules for aquaculture establishments and transporters of aquatic animals (OJ L 174, 3.6.2020, p. 345).
- i Last version: http://www.unece.org/uncefact/codelistrecs.html
- j Regulation (EU) 2017/625 of the European Parliament and of the Council of 15 March 2017 on official controls and other official activities performed to ensure the application of food and feed law, rules on animal health and welfare, plant health and plant protection products, amending Regulations (EC) No 999/2001, (EC) No 396/2005, (EC) No 1069/2009, (EC) No 1107/2009, (EU) No 1151/2012, (EU) No 652/2014, (EU) 2016/429 and (EU) 2016/2031 of the European Parliament and of the Council Regulations (EC) No 1/2005 and (EC) No 1099/2009 and Council Directives 98/58/EC, 1999/74/EC, 2007/43/EC, 2008/119/EC and 2008/120/EC, and repealing Regulations (EC) No 852/2004 and (EC) No 882/2004 of the European Parliament and of the Council, Council Directives 89/608/EEC, 89/662/EEC, 90/425/ EEC, 91/496/EEC, 96/23/EC and 97/78/EC and Council Decision 92/438/EEC (Official Controls Regulation) (OJ L 95, 7.4.2017, p. 1).
- k Commission Implementing Regulation (EU) 2018/1882 of 3 December 2018 on the application of certain disease prevention and control rules to categories of listed diseases and establishing a list of species and groups of species posing a considerable risk for the spread of those listed diseases (OJ L 308, 4.12.2018, p. 21).

Changes to legislation:

There are currently no known outstanding effects for the Commission Implementing Regulation (EU) 2020/2235, CHAPTER 2.