

Changes to legislation: There are outstanding changes not yet made to Commission Implementing Regulation (EU) 2018/1624. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details) EUR 2018 No. 1624 may be subject to amendment by EU Exit Instruments made by the Bank of England under powers set out in The Financial Regulators' Powers (Technical Standards etc.) (Amendment etc.) (EU Exit) Regulations 2018 (S.I. 2018/1115), regs. 2, 3, Sch. Pt. 3. These amendments are not currently available on legislation.gov.uk. Details of relevant amending instruments can be found on their website/s. (See end of Document for details) View outstanding changes

Commission Implementing Regulation (EU) 2018/1624 of 23 October 2018
laying down implementing technical standards with regard to procedures and
standard forms and templates for the provision of information for the purposes of
resolution plans for credit institutions and investment firms pursuant to Directive
2014/59/EU of the European Parliament and of the Council, and repealing
Commission Implementing Regulation (EU) 2016/1066 (Text with EEA relevance)

Article 1	Subject matter
Article 2	Definitions
Article 3	Provision of core information for the purpose of individual and group resolution plans
Article 4	Level of consolidation of information
Article 5	Frequency, reference dates and remittance dates
Article 6	Format for the submission of information
Article 7	Provision of additional information for the purpose of individual or group resolution plans
Article 8	Cooperation between competent and resolution authorities
Article 9	Transition period
Article 10	Repeal
Article 11	Entry into force Signature

ANNEX I

ANNEX II

Instructions

- I. General instructions
- I.1 Structure
 - 1. The framework consists of 15 templates, organised in 3 blocks:...
 - I.2 References
 - 2. For the purposes of this Annex, the following abbreviations shall...
 - I.3 Accounting standards
 - 3. Unless otherwise specified in these instructions, institutions shall report all...
 - 4. For institutions that report under IFRS, references have been inserted...
 - I.4 Scope of consolidation
 - 5. This framework refers, depending on the template, to:
 - 6. For each template, institutions shall follow the consolidation basis or...
 - I.5 Numbering and other conventions
 - 7. These instructions follow the labelling convention laid out below, when...
 - 8. The following general notation is used in these instructions to...
 - 9. In the case of validations inside a template, in which...

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10. In the case of templates with only one column, only...
11. An asterisk sign is used to express that the validation...
12. Where an information item is not applicable to the entities...
13. Where these instructions refer to a primary key, this shall...

II. Template-related instructions

II.1 Z 01.00 — Organisational structure (ORG)

II.1.1 General remarks

14. This template provides an overview over the group's legal and...

II.1.2 Instructions concerning specific positions

II.2 Z 02.00 — Liability Structure (LIAB)

II.2.1 General remarks

15. This template requires granular information on the liability structure of...
16. Where a maturity breakdown is set out in this template,...
17. By default, amounts reported in this template shall be outstanding...
18. By way of derogation from the previous paragraph, balance sheet...

II.2.2 Instructions concerning specific positions

II.3 Z 03.00 — Own funds requirements (OWN)

II.3.1 General remarks

19. This template gathers information on the own funds requirements for...
20. All information reported shall reflect the own funds requirements applicable...
21. The information on Pillar 2 requirements reported in this template...
22. Where the entity the report refers to is not subject...

II.3.2 Instructions concerning specific positions

II.4 Z 04.00 — Intragroup financial interconnections (IFC)

II.4.1 General remarks

23. This template requires information on intragroup liabilities not excluded from...
24. All financial interconnections between relevant legal entities that are included...
25. The combination of values reported in columns 0020, 0040 and...

II.4.2 Instructions concerning specific positions

II.5 Z 05.01 and Z 05.02 — Major Counterparties (MCP)

II.5.1 General remarks

26. These templates collect information on liabilities towards major counterparties (Z...
27. Liabilities and off-balance sheet items for which the counterparty cannot...

II.5.2 Z 05.01 – Major liabilities counterparties — Instructions concerning specific...

28. The combination of values reported in columns 0020 and 0060...

II.5.3 Z 05.02 – Major off-balance sheet counterparties: Instructions concerning specific...

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29. The combination of values reported in columns 0020 and 0060...
- II.6 Z 06.00 — Deposit insurance (DIS)
 - II.6.1 General remarks
 30. This template provides an overview of deposits insurance within a...
 31. Every credit institution belonging to the group shall be reported...
 - II.6.2 Instructions concerning specific positions
- II.7 Critical functions and core business lines
 - II.7.1 General remarks
 32. The four templates of this section provide key data and...
 33. More specifically, the templates are dedicated to the following topics:...
 34. Template Z 07.01 — Criticality assessment of economic functions (FUNC...
 35. Template Z 07.02 — Mapping of critical functions by legal...
 36. Z 07.03 — Mapping of Core Business Lines by legal...
 37. Template Z 07.04 — Mapping of critical functions to core...
 38. Pursuant to Article 2(1) point 35 of the Directive 2014/59/EU,...
 39. Pursuant to Article 6(1) of Commission Regulation (EU) 2016/778, a...
 40. Pursuant to Article 2(1), point (36) of the Directive 2014/59/EU,...
 41. For the purposes of this template, economic functions shall refer...
 42. For each category of economic functions, an economic function 'other'...
 43. Counterparties referred to in rows 0010 to 0070 and rows...
 - II.7.2 Z 07.01 — Criticality assessment of economic functions (FUNC 1):...
 44. This template shall be reported once for each Member State...
 45. It covers all economic functions performed in that Member State...
 - II.7.3 Z 07.02 — Mapping of critical functions by legal entity...
 46. This template shall be reported for the entire group. Only...
 47. The combination of values reported in columns 0010, 0020 and...
 - II.7.4 Z 07.03 — Mapping of Core Business Lines to legal...
 48. The combination of values reported in columns 0020 and 0040...
 49. Only material entities, as identified in {Z 07.02;0060}, shall be...
 - II.7.5 Z 07.04 — Mapping of critical functions to core business...
 50. The combination of values reported in columns 0010, 0020 and...
 51. Only critical functions, as identified in {Z 07.01;0070}, shall be...
- II.8 Z 08.00 — Critical services (SERV)
 - II.8.1 General instructions
 52. The information to be included in this template shall be...
 53. Critical services shall mean the underlying operations, activities and services...

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- 54. Services that are performed entirely internally to a legal entity...
- 55. Services that do not have a material impact on critical...
- 56. The combination of values reported in columns 0010, 0030, 0050,...
- II.8.2 Instructions concerning specific positions
- II.9 Z 09.00 — FMI Services — Providers and Users —...
 - II.9.1 General remarks
 - 57. This template identifies clearing, payments, securities settlement and custody activities,...
 - 58. This template shall be reported once for the entire institution...
 - 59. Only the Financial Market Infrastructures the disruption of which would...
 - II.9.2 Instructions concerning specific positions
- II.10 Critical information systems
 - II.10.1 General remarks
 - 60. This section consists of the following templates:
 - 61. A Critical Information System ('CIS') shall be understood as an...
 - 62. These templates shall be reported for the entire group.
 - II.10.2 Z 10.01 — Critical Information systems (General information) (CIS 1):...
 - 63. The value reported in column 0010 of this template forms...
 - II.10.3 Z 10-02 — Mapping of information systems (CIS 2): Instructions...
 - 64. The combination of values reported in columns 0010, 0030, 0040...

ANNEX III

Single data point model

All data items set out in Annex I shall be...
The single data point model shall meet the following criteria:...
provide a structured representation of all data items set out...

ANNEX IV

Validation rules

The data items set out in Annex I shall be...
define the logical relationships between relevant data points;
include filters...

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- (1) [OJ L 173, 12.6.2014, p. 190.](#)
- (2) Commission Implementing Regulation (EU) 2016/1066 of 17 June 2016 laying down implementing technical standards with regard to procedures, standard forms and templates for the provision of information for the purpose of resolution plans for credit institutions and investment firms pursuant to Directive 2014/59/EU of the European Parliament and of the Council ([OJ L 181, 6.7.2016, p. 1](#)).
- (3) Regulation (EU) No 1093/2010 of the European Parliament and of the Council of 24 November 2010 establishing a European Supervisory Authority (European Banking Authority), amending Decision No 716/2009/EC and repealing Commission Decision 2009/78/EC ([OJ L 331, 15.12.2010, p. 12](#)).

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Changes and effects yet to be applied to :

- Regulation power to amend conferred by [S.I. 2018/1115](#), Sch. Pt. 3 para. 113A (as inserted) by [S.I. 2019/576](#) reg. 2(5)
- Regulation power to modify conferred by [2023 c. 29 s. 3 Sch. 1 Pt. 3](#)
- Regulation revoked by [2023 c. 29 Sch. 1 Pt. 3](#)