

Changes to legislation: There are currently no known outstanding effects for the Regulation (EU) No 376/2014 of the European Parliament and of the Council, ANNEX I. (See end of Document for details)

ANNEX I

LIST OF REQUIREMENTS APPLICABLE TO THE MANDATORY AND VOLUNTARY OCCURRENCE REPORTING SCHEMES

Note:

The data fields must be completed with the information requested. If it is not possible for the [F¹CAA] to include that information because it has not been provided by the organisation or the reporter, the data field may be completed with the value 'unknown'. However, with a view to ensuring that the appropriate information is transmitted, use of that 'unknown' value should, to the best extent possible, be avoided, and the report should, where possible, be completed with the information later.

Textual Amendments

- F1** Words in Annex 1 Note substituted (31.12.2020) by [The Aviation Safety \(Amendment etc.\) \(EU Exit\) Regulations 2019 \(S.I. 2019/645\)](#), regs. 1, **79(2)** (with Sch. 3) (as amended by S.I. 2019/1098, regs. 1(3), **12**); 2020 c. 1, **Sch. 5 para. 1(1)**

1. COMMON MANDATORY DATA FIELDS

When entering, in their respective databases, information on every occurrence mandatorily reported and, to the best extent possible, every occurrence voluntarily reported, organisations [F² and the CAA] must ensure that occurrence reports recorded in their databases contain at least the following information:

Textual Amendments

- F2** Words in Annex 1 para. 1 substituted (31.12.2020) by [The Aviation Safety \(Amendment etc.\) \(EU Exit\) Regulations 2019 \(S.I. 2019/645\)](#), regs. 1, **79(3)(a)** (with Sch. 3) (as amended by S.I. 2019/1098, regs. 1(3), **12**); 2020 c. 1, **Sch. 5 para. 1(1)**

- (1) **Headline**
 - Headline
- (2) **Filing Information**
 - Responsible Entity
 - File Number
 - Occurrence Status
- (3) **When**
 - UTC Date
- (4) **Where**
 - State/Area of Occurrence
 - Location of Occurrence
- (5) **Classification**
 - Occurrence Class
 - Occurrence Category
- (6) **Narrative**

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- F3
...
 - Narrative [^{F4}(in English)]
- (7) Events
- Event Type
- (8) Risk classification

Textual Amendments

- F3** Words in Annex 1 para. 1 omitted (31.12.2020) by virtue of [The Aviation Safety \(Amendment etc.\) \(EU Exit\) Regulations 2019 \(S.I. 2019/645\)](#), regs. 1, [79\(3\)\(b\)\(i\)](#) (with Sch. 3) (as amended by S.I. 2019/1098, regs. 1(3), [12](#)); 2020 c. 1, [Sch. 5 para. 1\(1\)](#)
- F4** Words in Annex 1 para. 1 inserted (31.12.2020) by [The Aviation Safety \(Amendment etc.\) \(EU Exit\) Regulations 2019 \(S.I. 2019/645\)](#), regs. 1, [79\(3\)\(b\)\(ii\)](#) (with Sch. 3) (as amended by S.I. 2019/1098, regs. 1(3), [12](#)); 2020 c. 1, [Sch. 5 para. 1\(1\)](#)

2. SPECIFIC MANDATORY DATA FIELDS

2.1. Aircraft-related data fields

When entering, in their respective databases, information on every occurrence mandatorily reported and, to the best extent possible, every occurrence voluntarily reported, organisations [^{F5}and the CAA] must ensure that occurrence reports recorded in their databases contain at least the following information:

Textual Amendments

- F5** Words in Annex 1 para. 2 substituted (31.12.2020) by [The Aviation Safety \(Amendment etc.\) \(EU Exit\) Regulations 2019 \(S.I. 2019/645\)](#), regs. 1, [79\(4\)](#) (with Sch. 3) (as amended by S.I. 2019/1098, regs. 1(3), [12](#)); 2020 c. 1, [Sch. 5 para. 1\(1\)](#)

- (1) Aircraft Identification
- State of Registry
 - Make/Model/Series
 - Aircraft serial number
 - Aircraft Registration
 - Call sign
- (2) Aircraft Operation
- Operator
 - Type of operation
- (3) Aircraft Description
- Aircraft Category
 - Propulsion Type
 - Mass Group
- (4) History of Flight
- Last Departure Point
 - Planned Destination

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- Flight Phase

(5) Weather

- Weather relevant

2.2. Data fields relating to air navigation services

When entering, in their respective databases, information on every occurrence mandatorily reported and, to the best extent possible, every occurrence voluntarily reported, organisations [F⁵ and the CAA] must ensure that occurrence reports recorded in their databases contain at least the following information:

(1) ATM relation

- ATM contribution

- Service affected (effect on ATM service)

(2) ATS Unit Name

2.2.1. Separation Minima Infringement/Loss of Separation and Airspace Infringement-related data fields

When entering, in their respective databases, information on every occurrence mandatorily reported and, to the best extent possible, every occurrence voluntarily reported, organisations [F⁵ and the CAA] must ensure that occurrence reports recorded in their databases contain at least the following information:

(1) Airspace

- Airspace type

- Airspace class

- FIR/UIR name

2.3. Aerodrome-related data fields

When entering, in their respective databases, information on every occurrence mandatorily reported and, to the best extent possible, every occurrence voluntarily reported, organisations [F⁵ and the CAA] must ensure that occurrence reports recorded in their databases contain at least the following information:

(1) Location Indicator (ICAO indicator of the airport)

(2) Location on the aerodrome

2.4. Aircraft damage or personal injury-related data fields

When entering, in their respective databases, information on every occurrence mandatorily reported and, to the best extent possible, every occurrence voluntarily reported, organisations [F⁵ and the CAA] must ensure that occurrence reports recorded in their databases contain at least the following information:

(1) Severity

- Highest Damage

- Injury Level

(2) Injuries to persons

- Number of injuries on ground (fatal, serious, minor)

- Number of injuries on aircraft (fatal, serious, minor)

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