Changes to legislation: There are currently no known outstanding effects for the Commission Delegated Regulation (EU) No 150/2013. (See end of Document for details) EUR 2013 No. 150 may be subject to amendment by EU Exit Instruments made by the Financial Conduct Authority under powers set out in The Financial Regulators' Powers (Technical Standards etc.) (Amendment etc.) (EU Exit) Regulations 2018 (S.I. 2018/1115), regs. 2, 3, Sch. Pt. 1. These amendments are not currently available on legislation.gov.uk. Details of relevant amending instruments can be found on their website/s. (See end of Document for details)

Commission Delegated Regulation (EU) No 150/2013 of 19 December 2012 supplementing Regulation (EU) No 648/2012 of the European Parliament and of the Council on OTC derivatives, central counterparties and trade repositories with regard to regulatory technical standards specifying the details of the application for registration as a trade repository (Text with EEA relevance)

CHAPTER 1

REGISTRATION

	SECTION 1
	General
Article 1 Article 2	Identification, legal status and class of derivatives Policies and procedures
	SECTION 2
	Ownership
Article 3 Article 4	Ownership of the trade repository Ownership chart
	SECTION 3
	Organisational structure, governance and compliance
Article 5 Article 6 Article 7 Article 8 Article 9	Organisational chart Corporate governance Internal control Regulatory compliance Senior management and members of the Board

SECTION 4

Staffing and remuneration

Article 10 Staffing policies and procedures Article 11 Fitness and properness

SECTION 5

Financial resources for the performance of the trade repository

Article 12 Financial reports and business plans

Document Generated: 2024-03-13

Changes to legislation: There are currently no known outstanding effects for the Commission Delegated Regulation (EU) No 150/2013. (See end of Document for details) EUR 2013 No. 150 may be subject to amendment by EU Exit Instruments made by the Financial Conduct Authority under powers set out in The Financial Regulators' Powers (Technical Standards etc.) (Amendment etc.) (EU Exit) Regulations 2018 (S.I. 2018/1115), regs. 2, 3, Sch. Pt. 1. These amendments are not currently available on legislation.gov.uk. Details of relevant amending instruments can be found on their website/s. (See end of Document for details)

SECTION 6

Conflicts of interest

	Conflicts of interest
Article 13 Article 14 Article 15	Management of conflicts of interest Confidentiality Inventory and mitigation of conflicts of interest
	SECTION 7
	Resources and procedures
Article 16 Article 17	Information Technology resources and outsourcing Ancillary services
	SECTION 8
	Access rules
Article 18 Article 19 Article 20	Transparency of access rules Verification of completeness and correctness of data Pricing policy transparency
	SECTION 9
	Operational reliability
Article 21	Operational risk
	SECTION 10
	Recordkeeping
Article 22	Recordkeeping policy
	SECTION 11
	Data availability
Article 23 Article 23a Article 24 Article 25	Data availability mechanisms Direct and immediate access to data by authorities Verification of the accuracy and completeness of the application Entry into force Signature

Document Generated: 2024-03-13

Changes to legislation: There are currently no known outstanding effects for the Commission Delegated Regulation (EU) No 150/2013. (See end of Document for details) EUR 2013 No. 150 may be subject to amendment by EU Exit Instruments made by the Financial Conduct Authority under powers set out in The Financial Regulators' Powers (Technical Standards etc.) (Amendment etc.) (EU Exit) Regulations 2018 (S.I. 2018/1115), regs. 2, 3, Sch. Pt. 1. These amendments are not currently available on legislation.gov.uk. Details of relevant amending instruments can be found on their website/s. (See end of Document for details)

- (1) Not yet published in the Official Journal.
- (2) OJ L 201, 27.7.2012, p. 1.
- (**3**) OJ L 331, 15.12.2010, p. 84.

Changes to legislation:

There are currently no known outstanding effects for the Commission Delegated Regulation (EU) No 150/2013.

EUR 2013 No. 150 may be subject to amendment by EU Exit Instruments made by the Financial Conduct Authority under powers set out in The Financial Regulators' Powers (Technical Standards etc.) (Amendment etc.) (EU Exit) Regulations 2018 (S.I. 2018/1115), regs. 2, 3, Sch. Pt. 1. These amendments are not currently available on legislation.gov.uk. Details of relevant amending instruments can be found on their website/s.