

Commission Regulation (EU) No 445/2011 of 10 May 2011 on a system of certification of entities in charge of maintenance for freight wagons and amending Regulation (EC) No 653/2007 (Text with EEA relevance) (repealed)

Article 1	Purpose
Article 2	Scope
Article 3	Definitions
Article 4	Maintenance system
Article 5	Relationships between parties involved in the maintenance process
Article 6	Certification bodies
Article 7	System of certification for entities in charge of maintenance
Article 8	System of certification for outsourced maintenance functions
Article 9	Role of the supervision regime
Article 10	Provision of information to the Commission and the Agency
Article 11	Amendment to Regulation (EC) No 653/2007
Article 12	Transitional provisions
Article 13	Entry into force
	Signature

ANNEX I

Principles to be used for organisations applying for a certificate in respect of maintenance functions outsourced by an entity in charge of maintenance

1. For certification of an entity or organisation taking on one...
2. For certification of a maintenance workshop taking on the maintenance...

ANNEX II

Criteria for accreditation or recognition of certification bodies involved in the assessment and award of ECM certificates

1. ORGANISATION
2. INDEPENDENCE
3. COMPETENCE
4. IMPARTIALITY
5. RESPONSIBILITY
6. OPENNESS
7. CONFIDENTIALITY

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) No 445/2011 (repealed). Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes

8. RESPONSIVENESS TO COMPLAINTS

9. LIABILITY AND FINANCING

ANNEX III

Requirements and assessment criteria for organisations applying for an ECM certificate or for a certificate in respect of maintenance functions outsourced by an entity in charge of maintenance

I. Management function requirements and assessment criteria

- 1. Leadership — commitment to the development and implementation of the...
- 2. Risk assessment — a structured approach to assess risks associated...
 - 2.1. The organisation must have procedures for:
 - 2.2.
 - 2.3.
 - 2.4.
- 3. Monitoring — a structured approach to ensure that risk control...
 - 3.1. The organisation must have a procedure to regularly collect, monitor...
 - 3.2.
 - 3.3. For a periodic review of all processes, the organisation must...
- 4. Continuous improvement — a structured approach to analyse the information...
- 5. Structure and responsibility — a structured approach to define the...
 - 5.1.
 - 5.2.
 - 5.3.
 - 5.4.
 - 5.5.
- 6. Competence management — a structured approach to ensure that employees...
 - 6.1. The organisation must set up a competence management system providing...
 - 6.2. Within the organisation’s competence management system, there must be procedures...
- 7. Information — a structured approach to ensure that important information...
 - 7.1.
 - 7.2. To ensure an adequate exchange of information, the organisation must...
 - 7.3. The organisation must have procedures to ensure that key operational...
 - 7.4. The requirements set out in points 7.1, 7.2 and 7.3...
- 8. Documentation — a structured approach to ensure the traceability of...
 - 8.1.
 - 8.2. The organisation must have adequate procedures in place to:
- 9. Contracting activities — a structured approach to ensure that subcontracted...
 - 9.1.
 - 9.2. When making use of contractors and/or suppliers for safety related...
 - 9.3.
 - 9.4.
 - 9.5.
 - 9.6. At least the basic principles for the following processes must...

II. Requirements and assessment criteria for the maintenance development function

- 1.

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) No 445/2011 (repealed). Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details) [View outstanding changes](#)

2.
3.
4.
5.
6.
7.
III.	Requirements and assessment criteria for the fleet maintenance management function...
1.
2.
3.
4.
5.
6.
7.
8.
9.
10.
IV.	Requirements and assessment criteria for the maintenance delivery function
1.
2.
3.
4.
5.
6.
7.
8.
9.
10.

ANNEX IV

.....

ANNEX V

.....

ANNEX VI

.....

Changes to legislation:

There are outstanding changes not yet made to Commission Regulation (EU) No 445/2011 (repealed). Any changes that have already been made to the legislation appear in the content and are referenced with annotations.

[View outstanding changes](#)

Changes and effects yet to be applied to :

- Regulation implicit repeal by [EUR 2018/763](#) Regulation
- Regulation revoked by [S.I. 2019/837](#) reg. 7