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ANNEX XXXVII

LIST OF MINIMUM INFORMATION TO FORM THE BASIS FOR 5 YEARLY REPORT ON THE APPLICATION OF THE CONTROL REGULATION

1. GENERAL PRINCIPLES SUMMARY

Articles 5 to 7 of the Control Regulation

2. GENERAL CONDITIONS FOR ACCESS TO WATERS AND RESOURCES SUMMARY

2.1 Article 6 of the Control Regulation

FISHING LICENCES:

- Number of fishing licences issued
- Number of fishing licences temporarily suspended
- Number of fishing licences permanently withdrawn
- Number of fishing licensing infringements detected

2.2 Article 7 of the Control Regulation

FISHING AUTHORISATION:

- Specific national schemes notified to the commission
- Number of fishing authorisations issued
- Number of fishing authorisations suspended
- Number of fishing authorisations permanently withdrawn
- Number of fishing authorisation infringements detected

2.3 Article 8 of the Control Regulation

MARKING OF THE FISHING GEAR:

- Number of infringements detected

2.4 Article 9 of the Control Regulation

FISHING VESSEL MONITORING SYSTEMS

- Number of fishing vessels <12 and >15 metre length overall with operational VMS installed
- Number of fishing vessels 15 metres length overall and above with operational VMS installed
- Number of fishing auxiliary vessels fitted with operational VMS
- Number of fishing vessels less than 15 metres exempted from VMS
- Number of VMS infringements detected concerning [^{F1}Union fishing vessels]
- Details of competent authority responsible for FMC

Textual Amendments

- F1** Substituted by [Commission Implementing Regulation \(EU\) 2015/1962 of 28 October 2015 amending Implementing Regulation \(EU\) No 404/2011 laying down detailed rules for the implementation of Council Regulation \(EC\) No 1224/2009 establishing a Community control system for ensuring compliance with the rules of the common fisheries policy.](#)

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2.5 Article 10 of the Control Regulation

AUTOMATIC IDENTIFICATION SYSTEM (AIS)

- Number of fishing vessels fitted with AIS
- Number of FMCs AIS capable

2.6 Article 11 of the Control Regulation

VESSEL DETECTION SYSTEMS (VDS)

- Number of FMCs VDS capable

2.7 Article 13 of the Control Regulation

NEW TECHNOLOGIES

- Pilot projects implemented

3. CONTROL OF FISHERIES

SUMMARY

CONTROL OF THE USE OF FISHING OPPORTUNITIES

3.1 Articles 14, 15 and 16 of the Control Regulation

COMPLETION AND SUBMISSION OF FISHING LOGBOOKS AND LANDING DECLARATIONS

- Number of fishing vessels using the electronic fishing logbook
- Number of fishing vessels using paper format fishing logbook
- Number of under 10 metre fishing vessels using paper fishing logbook
- Number of vessel fishing logbook and landing declaration infringements detected

3.2 Articles 16 and 25 of the Control Regulation

FISHING VESSELS NOT SUBJECT TO VESSEL FISHING LOGBOOK AND LANDING DECLARATION REQUIREMENTS

- Number of fishing vessels subject to sampling plans
- Number of fishing vessels subject to monitoring by sales notes
- Number of infringements detected

3.3 Article 17 of the Control Regulation

PRIOR NOTIFICATION

- Number of prior notification messages received by FMC
- Number of infringements detected

3.4 Article 18 of the Control Regulation

PRIOR NOTIFICATION OF LANDING IN ANOTHER MEMBER STATE

- Number of prior notification messages received by FMC of coastal state
- Number of infringements detected

3.5 Article 20 of the Control Regulation

TRANSHIPMENT OPERATIONS IN PORTS OR PLACES

- Number of transshipments approved by member state
- Number of infringements detected

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3.6 Articles 21 and 22 of the Control Regulation

TRANSHIPMENT OPERATIONS IN PORTS OR PLACES

- Number of exempted fishing vessels

3.7 Article 26 of the Control Regulation

MONITORING OF FISHING EFFORT

- Number of infringements detected involving fishing effort reports
- Number of vessels excluded from fishing effort regimes by areas
- Number of infringements detected where gear not notified

3.8 Articles 33 and 34 of the Control Regulation

RECORDING OF CATCHES AND FISHING EFFORT

- Implementation of Article 33 of the Control Regulation
- Details of fisheries closure notifications made each year

3.9 Article 35 of the Control Regulation

CLOSURE OF FISHERIES

- Implementation of Article 35 of the Control Regulation

4. CONTROL OF FLEET MANAGEMENT

4.1 Article 38 of the Control Regulation

FISHING CAPACITY

- Compliance with Article 38(1) of the Control Regulation
- Number of engine power verifications in accordance with Article 41
- Number of infringements detected

4.2 Article 42 of the Control Regulation

TRANSHIPMENT IN PORT

- Number of pelagic transhipments approved

4.3 Article 43 of the Control Regulation

DESIGNATED PORTS

- Number of infringements detected

4.4 Article 44 of the Control Regulation

SEPARATE STOWAGE OF DEMERSAL CATCHES SUBJECT TO MULTI ANNUAL PLANS

- Number of infringements detected

4.5 Article 46 of the Control Regulation

NATIONAL CONTROL ACTIONS PROGRAMMES

- Details of programmes defined by Member States
- Number of infringements detected

5. CONTROL OF TECHNICAL MEASURES SUMMARY

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5.1 Article 47 of the Control Regulation

— Number of stowage of gear infringements detected

5.2 Article 48 of the Control Regulation

RETRIEVAL OF LOST GEAR

— Number of infringements detected

5.3 Article 49 of the Control Regulation

CATCH COMPOSITION

— Number of infringements detected

6. CONTROL OF FISHING RESTRICTED AREAS SUMMARY

6.1 Article 50 of the Control Regulation

— Number of infringements detected for [^{F1}Union] and third country vessels

7. REAL TIME CLOSURE OF FISHERIES SUMMARY

7.1 Article 53 of the Control Regulation

— Details of real time closures initiated

— Number of infringements detected

8. CONTROL OF RECREATIONAL FISHERIES SUMMARY

8.1 Article 55 of the Control Regulation

— Number of infringements detected of illegal marketing detected

9. CONTROL OF MARKETING SUMMARY

9.1 Article 56 of the Control Regulation

PRINCIPLES FOR THE CONTROL OF MARKETING

— Details of state of implementation

9.2 Article 57 of the Control Regulation

COMMON MARKETING STANDARDS

— Number of infringements detected

9.3 Article 58 of the Control Regulation

TRACEABILITY

— State of implementation

— Number of infringements detected

9.4 Article 59 of the Control Regulation

FIRST SALE

— Number of registered buyers, registered auctions or other bodies or persons responsible for the first marketing of fisheries products

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— Number of infringements detected

9.5 Article 60 of the Control Regulation

WEIGHING

— Number of sampling plans for weighing on landing
 — Number of fishing vessels permitted to weigh at sea
 — Number of infringements

9.6 Article 61 of the Control Regulation

WEIGHING AFTER TRANSPORT

— Number of control plans to weigh after transport
 — Number of Common Control Programmes with other member States for transporting before weighing
 — Number of infringements detected

9.7 Article 62 of the Control Regulation

COMPLETION AND SUBMISSION OF SALES NOTES

— Number of above submitted electronic sales notes
 — Number of exemptions granted from sales notes requirements
 — Number of infringements detected

9.8 Article 66 of the Control Regulation

TAKE OVER DECLARATIONS

— Number of infringements detected

9.9 Article 68 of the Control Regulation

COMPLETION AND SUBMISSION OF TRANSPORT DOCUMENTS

— State of implementation
 — Number of infringements detected

10. PRODUCER ORGANISATIONS AND PRICE AND INTERVENTION SUMMARY

10.1 Article 69 of the Control Regulation

MONITORING OF PRODUCER ORGANISATIONS

— Number of checks accomplished
 — Number of infringements regarding Regulation (EC) No 104/2000 detected

10.2 Article 70 of the Control Regulation

MONITORING OF PRICE AND INTERVENTION ARRANGEMENTS

— Number of price and intervention checks accomplished
 — Number infringements detected

11. SURVEILLANCE SUMMARY

11.1 Article 71 of the Control Regulation

SIGHTINGS AND DETECTION AT SEA

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- Number of reports created
- Number of reports received
- Number of infringements detected

11.2 Article 73 of the Control Regulation

CONTROL OBSERVERS

- Number of control observer schemes implemented
- Number of control observer reports received
- Number of infringements reported

12. INSPECTION AND ENFORCEMENT SUMMARY

12.1 Articles 74 and 76 of the Control Regulation

CONDUCT OF INSPECTIONS

- Number of full/part time fisheries inspectors
- Percentage of working time of full/part time fisheries inspectors spent on fisheries control and inspection
- Number of inspections by type by full/ part time inspectors
- Number of infringements detected by full/ part time inspectors

12.2 INSPECTION RESOURCE: VESSELS

- Number of EU co-financed dedicated inspection vessels and total annual patrol days at sea
- Number of non EU co-financed dedicated inspection vessels and total annual patrol days at sea
- Percentage of total operational time spent on fisheries control by EU co-financed dedicated inspection vessels
- Percentage of total operational time spent on fisheries control by non EU co-financed dedicated inspection vessels
- Percentage of total operational time spent on fisheries control by all dedicated inspection vessels
- Percentage of total working time spent on fisheries control by dedicated inspection vessels co financed by the EU
- Number of non dedicated inspection vessels and total annual patrol days at sea
- Percentage of time spent on fisheries control
- Total days at sea all vessels

12.3 INSPECTIONS ACTIVITY: AT SEA

- Number of inspections at sea of all fishing vessels of each Member State
- Number of infringements detected at sea by Member State
- Number of inspections at sea on third country fishing vessels (indicate third country)
- Number of infringements detected auxiliary fishing vessels

12.4 INSPECTION RESOURCE: SURVEILLANCE AIRCRAFT

- Number of surveillance aircraft dedicated to fisheries control and total hours on fisheries control and surveillance
- Percentage of operational hours spent on fisheries control and surveillance
- Number of infringements detected

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12.5 FOLLOW UP OF INSPECTIONS AND INFRINGEMENTS DETECTED

- Number of surveillance reports input to fisheries control and surveillance database
- Number of inspection reports input to fisheries control and surveillance database
- Number of occasions penalty points awarded
- Number of proceedings transferred to another member state
- Number of infringements detected by community inspectors within Member State jurisdiction

12.6 Article 75 of the Control Regulation

DUTIES OF THE OPERATOR

- Number of infringements detected

12.7 Article 79

UNION INSPECTORS

- Number of Joint Deployment Plans (JDP) within Member States jurisdiction
- Number of infringements detected during JDPs

12.8 Articles 80, 81, 82, 83 and 84 of the Control Regulation

INSPECTIONS OF FISHING VESSELS OUTSIDE THE WATERS OF THE INSPECTING MEMBER STATE

- Number of inspections
- Number of infringements detected

12.9 Articles 85 and 86 of the Control Regulation

PROCEEDINGS OF INFRINGEMENTS DETECTED IN THE COURSE OF INSPECTIONS

- Number of inspections
- Number of infringements
- Number of proceedings transferred to flag state
- Number of inspections by Union inspectors

13. ENFORCEMENT

SUMMARY

Articles 89, 90 and 91 of the Control Regulation

MEASURES TO ENSURE COMPLIANCE

- State of implementation

13.1 Article 92 of the Control Regulation

PENALTY POINTS SYSTEM

- Number of serious infringements detected
- Number of occasions when points awarded to licence holder
- State of implementation for points system for masters of fishing vessels

13.2 Article 93 of the Control Regulation

NATIONAL REGISTER OF INFRINGEMENTS

- State of implementation

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14. CONTROL PROGRAMMES

14.1 Article 94 of the Control Regulation

COMMON CONTROL PROGRAMMES

— Number of Common control programmes implemented

14.2 Article 95 of the Control Regulation

SPECIFIC CONTROL AND INSPECTION PROGRAMMES

— Number of specific control and inspection programmes implemented

15. DATA AND INFORMATION

ANALYSIS AND AUDIT OF DATA

15.1 Articles 109 to 116 of the Control Regulation

— Summary of state of implementation

16. IMPLEMENTATION

16.1 Articles 117 and 118 of the Control Regulation

ADMINISTRATIVE AND MUTUAL CO-OPERATION

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Changes and effects yet to be applied to :

- Regulation continued by [S.I. 2019/739 reg. 5\(1\)](#)
- Annex 33-37 omitted by [S.I. 2019/739 reg. 5\(120\)](#)

Changes and effects yet to be applied to the whole legislation item and associated provisions

- Title 10 s. 3 omitted by [S.I. 2019/739 reg. 5\(102\)](#)
- Title 10 s. 4 omitted by [S.I. 2019/739 reg. 5\(102\)](#)
- Title 10 s. 5 omitted by [S.I. 2019/739 reg. 5\(102\)](#)
- Art. 2(1) omitted by [S.I. 2019/739 reg. 5\(3\)\(a\)](#)
- Art. 2(2) omitted by [S.I. 2019/739 reg. 5\(3\)\(a\)](#)
- Art. 2(4) omitted by [S.I. 2019/739 reg. 5\(3\)\(b\)](#)
- Art. 2(12) words substituted by [S.I. 2019/739 reg. 5\(3\)\(c\)](#)
- Art. 2(14) omitted by [S.I. 2019/739 reg. 5\(3\)\(d\)](#)
- Art. 2(15) words substituted by [S.I. 2019/739 reg. 5\(3\)\(e\)](#)
- Art. 2(17) words omitted by [S.I. 2019/739 reg. 5\(3\)\(f\)](#)
- Art. 2(19) inserted by [S.I. 2019/739 reg. 5\(3\)\(g\)](#)
- Art. 7(1)(e) words substituted by [S.I. 2019/739 reg. 5\(9\)\(b\)\(iii\)](#)
- Art. 18(2)(a) words substituted by [S.I. 2019/739 reg. 5\(15\)\(c\)\(ii\)](#)
- Art. 23(c) words substituted by [S.I. 2019/739 reg. 5\(20\)\(c\)](#)
- Art. 33(1)(c) words substituted by [S.I. 2019/739 reg. 5\(30\)](#)
- Art. 39(2)(a) words substituted by [S.I. 2019/739 reg. 5\(35\)\(b\)\(i\)](#)
- Art. 39(2)(e) words substituted by [S.I. 2019/739 reg. 5\(35\)\(b\)\(ii\)](#)
- Art. 42(1)(a) words substituted by [S.I. 2019/739 reg. 5\(38\)\(a\)\(ii\)](#)
- Art. 42(1)(b) word substituted by [S.I. 2019/739 reg. 5\(38\)\(a\)\(iii\)](#)
- Art. 42(1)(c) word substituted by [S.I. 2019/739 reg. 5\(38\)\(a\)\(iii\)](#)
- Art. 47(1)(e) words substituted by [S.I. 2019/739 reg. 5\(43\)\(a\)\(iii\)](#)
- Art. 62(1)(b) words omitted by [S.I. 2019/739 reg. 5\(52\)\(a\)\(iv\)](#)
- Art. 62(1)(c) words substituted by [S.I. 2019/739 reg. 5\(52\)\(a\)\(v\)](#)
- Art. 67(13)(a) words omitted by [S.I. 2019/739 reg. 5\(54\)\(c\)](#)
- Art. 80(1)(d) words substituted by [S.I. 2019/739 reg. 5\(63\)\(b\)\(iv\)](#)
- Art. 99(d) omitted by [S.I. 2019/739 reg. 5\(76\)](#)
- Art. 105(1)(b) words substituted by [S.I. 2019/739 reg. 5\(78\)\(a\)](#)
- Art. 113(2)(a) words substituted by [S.I. 2019/739 reg. 5\(84\)\(b\)](#)