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# COUNCIL REGULATION (EC) No 1215/2009

of 30 November 2009

introducing exceptional trade measures for countries and territories participating in or linked to the European Union's Stabilisation and Association process

(codified version)

(OJ L 328, 15.12.2009, p. 1)

# Amended by:

<u>B</u>

		No	page	date
<u>M1</u>	Regulation (EU) No 1336/2011 of the European Parliament and of the Council of 13 December 2011	L 347	1	30.12.2011
► <u>M2</u>	Council Regulation (EU) No 517/2013 of 13 May 2013	L 158	1	10.6.2013

#### COUNCIL REGULATION (EC) No 1215/2009

#### of 30 November 2009

introducing exceptional trade measures for countries and territories participating in or linked to the European Union's Stabilisation and Association process

(codified version)

THE COUNCIL OF THE EUROPEAN UNION,

Having regard to the Treaty establishing the European Community, and in particular Article 133 thereof,

Having regard to the proposal from the Commission,

Whereas:

- (1) Council Regulation (EC) No 2007/2000 of 18 September 2000 introducing exceptional trade measures for countries and territories participating in or linked to the European Union's Stabilisation and Association process, amending Regulation (EC) No 2820/98, and repealing Regulations (EC) No 1763/1999 and (EC) No 6/2000 (¹), has been substantially amended several times (²). In the interests of clarity and rationality the said Regulation should be codified.
- (2) At its meeting in Lisbon on 23 and 24 March 2000, the European Council concluded that Stabilisation and Association Agreements with Western Balkan countries should be preceded by asymmetrical trade liberalisation.
- (3) A continued Community market opening to imports from the Western Balkan countries is expected to contribute to the process of political and economic stabilisation in the region while not creating negative effects for the Community.
- (4) It is, therefore, appropriate further to improve the Community's autonomous trade preferences by removing all remaining tariff ceilings for industrial products and by further improving access to the Community market for agricultural and fishery products, including processed products.
- (5) These measures are proposed as part of the EU Stabilisation and Association process, in a response to the specific situation in the Western Balkans. They will not constitute a precedent for Community trade policy with other third countries.

<sup>(1)</sup> OJ L 240, 23.9.2000, p. 1.

<sup>(2)</sup> See Annex III.

- In accordance with the EU Stabilisation and Association process, based on the earlier Regional Approach and the Council Conclusions of 29 April 1997, the development of bilateral relations between the European Union and the Western Balkan countries is subject to certain conditions. The granting of autonomous trade preferences is linked to respect for fundamental principles of democracy and human rights and to the readiness of the countries concerned to develop economic relations between themselves. The granting of improved autonomous trade preferences in favour of countries participating in the EU Stabilisation and Association process should be linked to their readiness to engage in effective economic reforms and in regional cooperation, in particular through the establishment of free trade areas in accordance with relevant GATT/WTO standards. In addition, entitlement to benefit from autonomous trade preferences is conditional on the involvement of the beneficiaries in effective administrative cooperation with the Community in order to prevent any risk of fraud.
- (7) Trade preferences can only be granted to countries or territories possessing a customs administration.
- (8) Bosnia and Herzegovina, Serbia and Kosovo, as defined by the United Nations Security Council Resolution 1244 (1999) subject to international civil administration by the United Nations Mission in Kosovo (UNMIK) (hereinafter referred to as Kosovo), fulfil these conditions, and similar trade preferences should be granted to all of them in order to avoid discrimination within the region.
- (9) The trade measures provided for in this Regulation should take into account that Serbia and Kosovo each constitute a separate customs territory.
- (10) The Community has concluded an agreement on trade in textile products with Serbia (1).
- (11) Albania, Croatia, the former Yugoslav Republic of Macedonia and Montenegro should remain beneficiaries of this Regulation only in so far as this Regulation provides for concessions which are more favourable than the concessions existing under the contractual regimes between the Community and those countries.
- (12) For the purposes of certification of origin and administrative cooperation procedures, the relevant provisions of Commission Regulation (EEC) No 2454/93 of 2 July 1993 laying down provisions for the implementation of Council Regulation (EEC) No 2913/92 establishing the Community Customs Code (2) should be applied.
- (13) For the sake of rationalisation and simplification, it is appropriate to provide that the Commission may, after consulting the Customs Code Committee and without prejudice to the specific procedures provided for in this Regulation, make any necessary changes and technical amendments necessary to this Regulation.

<sup>(1)</sup> OJ L 90, 8.4.2005, p. 36.

<sup>(2)</sup> OJ L 253, 11.10.1993, p. 1.

# **▼**B

- (14) The measures necessary for the implementation of this Regulation should be adopted in accordance with Council Decision 1999/468/EC of 28 June 1999 laying down the procedures for the exercise of implementing powers conferred on the Commission (1).
- (15) The import arrangements provided for by this Regulation should be renewed on the basis of the conditions established by the Council and in the light of the experience gained in granting these arrangements under this Regulation. It is appropriate to limit the duration of the arrangements to 31 December 2010,

HAS ADOPTED THIS REGULATION:

#### **▼** M1

#### Article 1

## Preferential arrangements

- 1. Subject to the special provisions laid down in Article 3, products originating in the customs territory of Kosovo, other than those of headings 0102, 0201, 0202, 0301, 0302, 0303, 0304, 0305, 1604, 1701, 1702 and 2204 of the Combined Nomenclature, shall be admitted for import into the Union without quantitative restrictions or measures having equivalent effect and with exemption from customs duties and charges having equivalent effect.

**▼**B

# Article 2

### Conditions for entitlement to the preferential arrangements

1. Entitlement to benefit from the preferential arrangements introduced by Article 1 shall be subject to the following:

# **▼**M1

(a) compliance with the definition of 'originating products' provided for in Part I, Title IV, Chapter 2, Section 2 of Regulation (EEC) No 2454/93;

# **▼**B

- (b) the abstention of the countries and territories referred to in Article 1 from introducing new duties or charges having equivalent effect and new quantitative restrictions or measures having equivalent effect in respect of imports originating in the Community or from increasing existing levels of duties or charges or from introducing any other restrictions from 30 September 2000; and
- (c) the involvement of beneficiaries in effective administrative cooperation with the Community in order to prevent any risk of fraud.

<sup>(1)</sup> OJ L 184, 17.7.1999, p. 23.

# **▼**B

2. Without prejudice to the conditions provided for in paragraph 1, entitlement to benefit from the preferential arrangements introduced by Article 1 shall be subject to the readiness of the beneficiary countries to engage in effective economic reforms and in regional cooperation with other countries concerned by the European Union's Stabilisation and Association process, in particular through the establishment of free trade areas in conformity with Article XXIV of the GATT 1994 and other relevant WTO provisions.

In the event of non-compliance in that respect, the Council may take the appropriate measures by a qualified majority vote, on the basis of a Commission proposal.

# **▼**<u>M1</u>

3. In the event of non-compliance by a country or territory with paragraphs 1 or 2, the Commission may, by means of implementing acts, suspend, in whole or in part, the entitlement of the country or territory concerned to benefits under this Regulation. Those implementing acts shall be adopted in accordance with the examination procedure referred to in Article 8(4).

## **▼**B

#### Article 3

# Agricultural products — tariff quotas

1. For certain fishery products and for wine, as listed in Annex I, originating in the countries and territories referred to in Article 1, the customs duties applicable to imports into the Community shall be suspended during the periods, at the levels, within the limits of the Community tariff quotas and under the conditions indicated for each product and origin set out in that Annex.

# **▼** M1

2. The customs duties applicable to imports into the Union of 'babybeef' products defined in Annex II and originating in the customs territory of Kosovo, shall be 20 % of the *ad valorem* duty and 20 % of the specific duty as laid down in the Common Customs Tariff, within the limit of an annual tariff quota of 475 tonnes expressed in carcase weight.

Any request for import within this quota shall be accompanied by an authenticity certificate issued by the competent authorities of the exporting territory and attesting that the goods originate in the territory concerned and correspond to the definition in Annex II to this Regulation. That certificate shall be drawn up by the Commission by means of implementing acts. Those implementing acts shall be adopted in accordance with the examination procedure referred to in Article 8(4).

<sup>4.</sup> Notwithstanding other provisions of this Regulation, and in particular Article 10, given the particular sensitivity of the agricultural and fishery markets, where imports of agricultural and fishery products cause serious disturbance to Union markets and their regulatory mechanisms, the Commission may adopt appropriate measures by means of implementing acts. Those implementing acts shall be adopted in accordance with the examination procedure referred to in Article 8(4).

#### Article 4

# Implementation of tariff quota for 'baby beef'

The detailed rules for implementing the tariff quota for 'baby-beef' products shall be determined by the Commission by means of implementing acts. Those implementing acts shall be adopted in accordance with the examination procedure referred to in Article 8(4).

# **▼**B

#### Article 5

## Administration of tariff quotas

The tariff quotas referred to in Article 3(1) of this Regulation shall be administered by the Commission in accordance with Articles 308a, 308b and 308c of Regulation (EEC) No 2454/93.

Communication for that purpose between the Member States and the Commission shall be effected, as far as possible, by telematic link.

#### Article 6

# Access to tariff quotas

Each Member State shall ensure that importers have equal and uninterrupted access to the tariff quotas for as long as the balance of the relevant quota volume so permits.

# **▼**<u>M1</u>

# Article 7

## Conferral of powers

The Commission shall be empowered to adopt delegated acts in accordance with Article 7a concerning:

- (a) necessary amendments and technical adjustments to Annexes I and II following amendments to the Combined Nomenclature codes and to the TARIC subdivisions;
- (b) necessary adjustments following the granting of trade preferences under other arrangements between the Union and the countries and territories referred to in Article 1.

## Article 7a

# Exercise of the delegation

- The power to adopt delegated acts is conferred on the Commission subject to the conditions laid down in this Article.
- The power to adopt delegated acts referred to in Article 7 shall be conferred on the Commission until the date of expiry of this Regulation. The Commission shall draw up a report in respect of the delegation of power not later than 9 months before that date.
- The power to adopt delegated acts referred to in Article 7 may be revoked at any time by the European Parliament or by the Council. A decision to revoke shall put an end to the delegation of the power specified in that decision. It shall take effect on the day following the publication of the decision in the Official Journal of the European Union or at a later date specified therein. It shall not affect the validity of any delegated acts already in force.

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# **▼** <u>M1</u>

- 4. As soon as it adopts a delegated act, the Commission shall notify it simultaneously to the European Parliament and to the Council.
- 5. A delegated act adopted pursuant to Article 7 shall enter into force only if no objection has been expressed either by the European Parliament or the Council within a period of 2 months of notification of that act to the European Parliament and the Council or if, before the expiry of that period, the European Parliament and the Council have both informed the Commission that they will not object. That period shall be extended by 2 months at the initiative of the European Parliament or of the Council.

#### Article 8

#### Committee procedure

- 1. For the purposes of Articles 2 and 10, the Commission shall be assisted by the Western Balkans Implementation Committee. That Committee shall be a committee within the meaning of Regulation (EU) No 182/2011 of the European Parliament and of the Council of 16 February 2011 laying down the rules and general principles concerning mechanisms for control by Member States of the Commission's exercise of implementing powers (1).
- 2. For the purposes of Article 3(4), the Commission shall be assisted by the committee established by Article 4(1) of Council Regulation (EC) No 260/2009 of 26 February 2009 on the common rules for imports (2). That Committee shall be a committee within the meaning of Regulation (EU) No 182/2011.
- 3. For the purposes of Article 3(2) and Article 4, the Commission shall be assisted by the committee established by Article 195(1) of Council Regulation (EC) No 1234/2007 of 22 October 2007 establishing a common organisation of agricultural markets and on specific provisions for certain agricultural products (Single CMO Regulation) (3). That Committee shall be a committee within the meaning of Regulation (EU) No 182/2011.
- 4. Where reference is made to this paragraph, Article 5 of Regulation (EU) No 182/2011 shall apply.

#### **▼**B

# Article 9

## Cooperation

Member States and the Commission shall cooperate closely to ensure that this Regulation, and in particular the provisions set out in Article 10(1), are complied with.

## Article 10

# Temporary suspension

1. Where the Commission finds that there is sufficient evidence of fraud or failure to provide administrative cooperation as required for the verification of evidence of origin, or that there is a massive increase of exports into the Community above the level of normal production and export capacity or a failure of compliance with the provisions of Article 2(1) by countries and territories referred to in Article 1, it may take measures to suspend in whole or in part the arrangements provided for in this Regulation for a period of three months, provided that it has first:

<sup>(1)</sup> OJ L 55, 28.2.2011, p. 13.

<sup>(2)</sup> OJ L 84, 31.3.2009, p. 1.

<sup>(3)</sup> OJ L 299, 16.11.2007, p. 1.

# **▼** M1

(a) informed the Western Balkans Implementation Committee;

## **▼**B

- (b) called on the Member States to take such precautionary measures as are necessary in order to safeguard the Community's financial interests and/or to secure compliance by the beneficiary countries and territories with Article 2(1);
- (c) published a notice in the *Official Journal of the European Union* stating that there are grounds for reasonable doubts about the application of the preferential arrangements and/or compliance with Article 2(1) by the beneficiary country or territory concerned which may call into question its right to continue enjoying the benefits granted by this Regulation.

# **▼**M1

The measures referred to in the first subparagraph shall be adopted by means of implementing acts. Those implementing acts shall be adopted in accordance with the examination procedure referred to in Article 8(4).

3. On conclusion of the period of suspension, the Commission shall decide either to terminate the provisional suspension measure or to extend the suspension measure in accordance with paragraph 1.

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#### Article 11

## Repeal

Regulation (EC) No 2007/2000 is repealed.

References to the repealed Regulation shall be construed as references to this Regulation and shall be read in accordance with the correlation table in Annex IV.

## Article 12

# Entry into force and application

This Regulation shall enter into force on the 20th day following its publication in the *Official Journal of the European Union*.

## **▼** M1

It shall apply until 31 December 2015.

The preferences provided for in this Regulation shall cease to apply, in whole or in part, in the event that they would not be permitted by a waiver granted by the WTO. Such cessation shall apply from the day on which the waiver is no longer in effect. The Commission shall, sufficiently prior to that date, publish a notice in the *Official Journal of the European Union* to inform operators and the competent authorities thereof. The notice shall specify which preferences provided for in this Regulation are no longer in effect and the date on which they cease to apply.

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This Regulation shall be binding in its entirety and directly applicable in all Member States.

# ANNEX I

# TARIFF QUOTAS REFERRED TO IN ARTICLE 3(1)

Notwithstanding the rules for the interpretation of the Combined Nomenclature, the wording for the description of the products is to be considered as having no more than an indicative value, the preferential scheme being determined, within the context of this Annex, by the coverage of the CN codes. Where ex CN codes are indicated, the preferential scheme is to be determined by application of the CN code and corresponding description taken together.

Order No	CN Code	Description	Quota volume per year (¹)	Beneficiaries	Rate of duty
09.1571	0301 91 10 0301 91 90 0302 11 10 0302 11 20 0302 11 80 0303 21 10 0303 21 20 0303 21 80 0304 19 15 0304 19 17 ex 0304 19 18 ex 0304 19 91 0304 29 15 0304 29 17 ex 0304 29 18 ex 0304 99 21 ex 0305 10 00 ex 0305 30 90 0305 49 45 ex 0305 69 80	Trout (Salmo trutta, Oncorhynchus mykiss, Oncorhynchus aguabonita, Oncorhynchus gilae, Oncorhynchus apache and Oncorhynchus chrysogaster): live; fresh or chilled; frozen; dried, salted or in brine, smoked; fillets and other fish meat; flours, meals and pellets, fit for human consumption	15 tonnes	customs territory of Kosovo	0 %
09.1573	0301 93 00 0302 69 11 0303 79 11 ex 0304 19 18 ex 0304 19 91 ex 0304 29 18 ex 0304 99 21 ex 0305 10 00 ex 0505 30 90 ex 0305 49 80 ex 0305 69 80	Carp: live; fresh or chilled; frozen; dried, salted or in brine, smoked; fillets and other fish meat; flours, meals and pellets, fit for human consumption	20 tonnes	customs territory of Kosovo	0 %

# **▼**<u>M1</u>

Order No	CN Code	Description	Quota volume per year (1)	Beneficiaries	Rate of duty
09.1575	ex 0301 99 80 0302 69 61 0303 79 71 ex 0304 19 39 ex 0304 19 99 ex 0304 29 99 ex 0304 99 99 ex 0305 10 00 ex 0305 30 90 ex 0305 49 80 ex 0305 59 80 ex 0305 69 80	Sea bream (Dentex dentex and Pagellus spp.): live; fresh or chilled; frozen; dried, salted or in brine, smoked; fillets and other fish meat; flours, meals and pellets, fit for human consumption	45 tonnes	customs territory of Kosovo	0 %
09.1577	ex 0301 99 80 0302 69 94 ex 0303 77 00 ex 0304 19 39 ex 0304 19 99 ex 0304 29 99 ex 0304 99 99 ex 0305 10 00 ex 0305 30 90 ex 0305 49 80 ex 0305 69 80	Sea bass ( <i>Dicentrarchus labrax</i> ): live; fresh or chilled; frozen; dried; salted or in brine, smoked; fillets and other fish meat; flours, meals and pellets, fit for human consumption	30 tonnes	customs territory of Kosovo	0 %
09.1515	ex 2204 21 93 ex 2204 21 94 ex 2204 21 95 ex 2204 21 96 ex 2204 21 97 ex 2204 21 98 ex 2204 29 93 ex 2204 29 94 ex 2204 29 95 ex 2204 29 97 ex 2204 29 98	Wine of fresh grapes, of an actual alcoholic strength by volume not exceeding 15 % vol, other than sparkling wine	50 000 hl (²)	Albania (³), Bosnia and Herzegovina (⁴)  ▶ M2  , the former Yugoslav Republic of Macedonia (6), Montenegro (7), Serbia (8) or customs territory of Kosovo	Exemption

<sup>(1)</sup> One global volume per tariff quota accessible to imports originating in the beneficiaries.
(2) The volume of this global tariff quota shall be reduced if the quota volume of the individual tariff quota applicable under order No 09.1588 for certain wines originating in Croatia is increased.
(3) Access for wine originating in Albania to this global tariff quota is subject to the prior exhaustion of both individual tariff quotas provided for in the Additional Protocol on wine concluded with Albania. These individual tariff quotas are opened under order Nos 09.1512 and 09.1513.

Access for wine originating in Bosnia and Herzegovina to this global tariff quota is subject to the prior exhaustion of both individual tariff quotas provided for in the Protocol on wine concluded with Bosnia and Herzegovina. These individual tariff quotas are opened under order Nos 09.1528 and 09.1529.

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## <u>M2</u> —— ◀

- (6) Access for wine originating in the former Yugoslav Republic of Macedonia to this global tariff quota is subject to the prior exhaustion of both individual tariff quotas provided for in the Additional Protocol on wine concluded with the former Yugoslav Republic of Macedonia. These individual tariff quotas are opened under order Nos 09.1558 and 09.1559.
- (7) Access for wine originating in Montenegro to this global tariff quota is subject to the prior exhaustion of the individual tariff quota provided for in the Protocol on wine concluded with Montenegro. This individual tariff quota is opened under order No 09.1514.
- (8) Access for wine originating in Serbia to this global tariff quota is subject to the prior exhaustion of both individual tariff quotas provided for in the Protocol on wine concluded with Serbia. These individual tariff quotas are opened under order Nos 09.1526 and 09.1527.

# ANNEX II

# Definition of 'baby beef' products referred to in Article 3(2)

Notwithstanding the rules for the interpretation of the Combined Nomenclature, the wording for the description of the products is to be considered as having no more than an indicative value, the preferential scheme being determined, within the context of this Annex, by the coverage of the CN codes. Where ex CN codes are indicated, the preferential scheme is to be determined by application of the CN code and corresponding description taken together.

CN code	TARIC subdivision	Description	
		Live bovine animals:	
		- Other:	
		– – Domestic species:	
		Of a weight exceeding 300 kg:	
		Heifers (female bovines that have never calved):	
ex 0102 90 51		For slaughter:	
	10	<ul> <li>Not yet having any permanent teeth, of a weight of 320 kg or more but not exceeding 470 kg (¹)</li> </ul>	
ex 0102 90 59		Other:	
	11 21 31 91	Not yet having any permanent teeth, of a weight of 320 kg or more but not exceeding 470 kg (¹)	
		Other:	
ex 0102 90 71		For slaughter:	
	10	Bulls and steers not yet having permanent teeth, of a weight of 350 kg or more but not exceeding 500 kg (¹)	
ex 0102 90 79		Other:	
	21 91	<ul> <li>Bulls and steers not yet having permanent teeth, of a weight of 350 kg or more but not exceeding 500 kg (¹)</li> </ul>	
		Meat of bovine animals, fresh or chilled:	
ex 0201 10 00		- Carcasses and half-carcasses	
	91	<ul> <li>Carcasses of a weight of 180 kg or more but not exceeding 300 kg, and half carcasses of a weight of 90 kg or more but not exceeding 150 kg, with a low degree of ossification of the cartilages (in particular those of the symphysis pubis and the vertebral apophyses), the meat of which is a light pink colour and the fat of which, of extremely fine texture, is white to light yellow in colour (1)</li> </ul>	

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CN code	TARIC subdivision	Description
		- Other cuts with bone in:
ex 0201 20 20		'Compensated' quarters:
	91	- 'Compensated' quarters of a weight of 90 kg or more but not exceeding 150 kg, with a low degree of ossification of the cartilages (in particular those of the symphysis pubis and the vertebral apophyses), the meat of which is a light pink colour and the fat of which, of extremely fine texture, is white to light yellow in colour (1)
ex 0201 20 30		Unseparated or separated forequarters:
	91	<ul> <li>Separated forequarters, of a weight of 45 kg or more but not exceeding 75 kg, with a low degree of ossification of the cartilages (in particular those of the vertebral apophyses), the meat of which is a light pink colour and the fat of which, of extremely fine texture, is white to light yellow in colour (1)</li> </ul>
ex 0201 20 50		Unseparated or separated hindquarters:
	91	<ul> <li>Separated hindquarters of a weight of 45 kg or more but not exceeding 75 kg (but 38 kg or more and not exceeding 68 kg in the case of 'Pistola' cuts), with a low degree of ossification of the cartilages (in particular those of the vertebral apophyses), the meat of which is a light pink colour and the fat of which, of extremely fine texture, is white to light yellow in colour (¹)</li> </ul>

<sup>(1)</sup> Entry under this subheading is subject to conditions laid down in the relevant Community provisions.

## ANNEX III

# Repealed Regulation

with list of its successive amendments

Council Regulation (EC) No 2007/2000 (OJ L 240, 23.9.2000, p. 1).

Council Regulation (EC) No 2563/2000

(OJ L 295, 23.11.2000, p. 1).

Commission Regulation (EC) No 2487/2001

(OJ L 335, 19.12.2001, p. 9).

Commission Regulation (EC) No 607/2003

(OJ L 86, 3.4.2003, p. 18).

Council Regulation (EC) No 374/2005

(OJ L 59, 5.3.2005, p. 1).

Commission Regulation (EC) No 1282/2005

(OJ L 203, 4.8.2005, p. 6).

Council Regulation (EC) No 1946/2005

(OJ L 312, 29.11.2005, p. 1).

Council Regulation (EC) No 530/2007

(OJ L 125, 15.5.2007, p. 1).

Commission Regulation (EC) No 407/2008

(OJ L 122, 8.5.2008, p. 7).

only Article 1

# ANNEX IV

# Correlation table

Regulation (EC) No 2007/2000	This Regulation
Article 1(1)	Article 1(1)
Article 1(2)	Article 1(3)
Article 1(3)	Article 1(2)
Article 2	Article 2
Article 4(1)	Article 3(1)
Article 4(2), first subparagraph	Article 3(2), first subparagraph
Article 4(2), second subparagraph, introductory wording	Article 3(2), second subparagraph, introductor wording
Article 4(2), second subparagraph, point (a)	Article 3(2), second subparagraph, point (a)
Article 4(2), second subparagraph, point (d)	Article 3(2), second subparagraph, point (b)
Article 4(2), third and fourth subparagraphs	Article 3(2), third and fourth subparagraphs
Article 4(3)	Article 3(4)
Article 4(4)	Article 3(3)
Article 6	Article 4
Article 7	Article 5
Article 8	Article 6
Article 9	Article 7
Article 10	Article 8
Article 11	Article 9
Article 12	Article 10
Article 13	_
Article 14	_
Article 15	_
Article 16	_
_	Article 11
Article 17	Article 12
Annex I	Annex I
Annex II	Annex II
_	Annex III
_	Annex IV