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(Acts whose publication is obligatory)

COUNCIL REGULATION (EC) No 338/97

of 9 December 1996

on the protection of species of wild fauna and flora by regulating trade therein

THE COUNCIL OF THE EUROPEAN UNION,

Having regard to the Treaty establishing the European Community, and in particular Article 130s (1) thereof,

Having regard to the proposal from the Commission ⁽¹⁾,

Having regard to the opinion of the Economic and Social Committee ⁽²⁾,

Acting in accordance with the procedure laid down in Article 189c of the Treaty ⁽³⁾,

- (1) Whereas Regulation (EEC) No 3626/82 ⁽⁴⁾ applies the Convention on International Trade in Endangered Species of Wild Fauna and Flora in the Community with effect from 1 January 1984; whereas the purpose of the Convention is to protect endangered species of fauna and flora through controls on international trade in specimens of those species;
- (2) Whereas, in order to improve the protection of species of wild fauna and flora which are threatened by trade or likely to be so threatened, Regulation (EEC) No 3626/82 must be replaced by

a Regulation taking account of the scientific knowledge acquired since its adoption and the current structure of trade; whereas, moreover, the abolition of controls at internal borders resulting from the Single Market necessitates the adoption of stricter trade control measures at the Community's external borders, with documents and goods being checked at the customs office at the border where they are introduced;

- (3) Whereas the provisions of this Regulation do not prejudice any stricter measures which may be taken or maintained by Member States, in compliance with the Treaty, in particular with regard to the holding of specimens of species covered by this Regulation;
- (4) Whereas it is necessary to lay down objective criteria for the inclusion of species of wild fauna and flora in the Annexes to this Regulation;
- (5) Whereas the implementation of this Regulation necessitates the application of common conditions for the issue, use and presentation of documents relating to authorization of the introduction into the Community and the export or re-export from the Community of specimens of the species covered by this Regulation; whereas it is necessary to lay down specific provisions relating to the transit of specimens through the Community;
- (6) Whereas it is for a management authority of the Member State of destination, assisted by the scientific authority of that Member State and, where appropriate, taking into account any opinion of the Scientific Review Group, to decide

⁽¹⁾ OJ No C 26, 3. 2. 1992, p. 1, and OJ No C 131, 12. 5. 1994, p. 1.

⁽²⁾ OJ No C 223, 31. 8. 1992, p. 19.

⁽³⁾ Opinion of the European Parliament of 15 December 1995 (OJ No C 17, 22. 1. 1996, p. 430). Common position of the Council of 26 February 1996 (OJ No C 196, 6. 7. 1996, p. 58) and Decision of the European Parliament of 18 September 1996 (OJ No C 320, 28. 10. 1996).

⁽⁴⁾ OJ No L 384, 31. 12. 1982, p. 1. Regulation as last amended by Commission Regulation (EC) No 558/95 (OJ No L 57, 15. 3. 1995, p. 1).

- on the requests for introduction of specimens into the Community;
- (7) Whereas it is necessary to supplement the provisions on re-export with a consultation procedure, in order to limit the risk of infringement;
- (8) Whereas, in order to guarantee effective protection of species of wild fauna and flora, additional restrictions may be imposed on the introduction of specimens into, and the export thereof from, the Community; whereas, with regard to live specimens, these restrictions may be supplemented by restrictions at Community level on the holding or movement of such specimens within the Community;
- (9) Whereas it is necessary to lay down specific provisions applicable to captive-born and bred, or artificially propagated specimens, to specimens which are personal or household effects, and to non-commercial loans, donations or exchanges between registered scientists and scientific institutions;
- (10) Whereas there is a need, in order to ensure the broadest possible protection for species covered by this Regulation, to lay down provisions for controlling trade and movement of specimens within the Community, and the conditions for housing specimens; whereas the certificates issued under this Regulation, which contribute to controlling these activities, must be governed by common rules on their issue, validity and use;
- (11) Whereas measures should be taken to minimize the adverse effects on live specimens of transport to their destination, from or within the Community;
- (12) Whereas, to ensure effective controls and to facilitate customs procedures, customs offices should be designated, with trained personnel responsible for carrying out the necessary formalities and corresponding checks where specimens are introduced into the Community, in order to assign them a customs-approved treatment or use within the meaning of Council Regulation (EEC) No 2913/92 of 12 October 1992 establishing the Community Customs Code⁽¹⁾, or where they are exported or re-exported from the Community; whereas there should also be facilities guaranteeing that live specimens are adequately housed and cared for;
- (13) Whereas the implementation of this Regulation also calls for the designation of management and scientific authorities by the Member States;
- (14) Whereas informing the public and making them aware of the provisions of this Regulation, particularly at border crossing points, is likely to encourage compliance with these provisions;
- (15) Whereas, in order to ensure effective enforcement of this Regulation, Member States should closely monitor compliance with its provisions and, to that end, cooperate closely between themselves and with the Commission; whereas this requires the communication of information relating to the implementation of this Regulation;
- (16) Whereas the monitoring of levels of trade in the species of wild fauna and flora covered by this Regulation is of crucial importance for assessing the effects of trade on the conservation status of species; whereas detailed annual reports should be drawn up in a common format;
- (17) Whereas, in order to guarantee compliance with this Regulation, it is important that Member States impose sanctions for infringements in a manner which is both sufficient and appropriate to the nature and gravity of the infringement;
- (18) Whereas it is essential to lay down a Community procedure enabling the implementing provisions and amendments to the Annexes of this Regulation to be adopted within a suitable period; whereas a Committee must be set up to permit close and effective cooperation between the Member States and the Commission in this field;
- (19) Whereas the multitude of biological and ecological aspects to be considered in the implementation of this Regulation requires the setting up of a Scientific Review Group, whose opinions will be forwarded by the Commission to the Committee and the management bodies of the Member States, to assist them in making their decisions,

⁽¹⁾ OJ No L 302, 19. 10. 1992, p. 1. Regulation as last amended by the 1994 Act of Accession.

HAS ADOPTED THIS REGULATION:

Article 1

Object

The object of this Regulation is to protect species of wild fauna and flora and to guarantee their conservation by regulating trade therein in accordance with the following Articles.

This Regulation shall apply in compliance with the objectives, principles and provisions of the Convention defined in Article 2.

Article 2

Definitions

For the purposes of this Regulation:

- (a) 'Committee' shall mean the Committee on Trade in Wild Fauna and Flora, established under Article 18;
- (b) 'Convention' shall mean the Convention on International Trade in Endangered Species of Wild Fauna and Flora (Cites);
- (c) 'country of origin' shall mean the country in which a specimen was taken from the wild, captive-bred or artificially propagated;
- (d) 'import notification' shall mean the notification given by the importer or his agent or representative, at the time of the introduction into the Community of a specimen of a species included in Annexes C or D, on a form prescribed by the Commission in accordance with the procedure laid down in Article 18;
- (e) 'introduction from the sea' shall mean the introduction into the Community of any specimen which was taken in, and is being introduced directly from, the marine environment not under the jurisdiction of any State, including the air-space above the sea and the sea-bed and subsoil beneath the sea;
- (f) 'issuance' shall mean the completion of all procedures involved in preparing and validating a permit or certificate and its delivery to the applicant;
- (g) 'management authority' shall mean a national administrative authority designated, in the case of a Member State, in accordance with Article 13(1)(a) or, in the case of a third country party to the Convention, in accordance with Article IX of the Convention;
- (h) 'Member State of destination' shall mean the Member State of destination mentioned in the document used to export or re-export a specimen; in the event of introduction from the sea, it shall mean the Member State within whose jurisdiction the place of destination of a specimen lies;
- (i) 'offering for sale' shall mean offering for sale and any action that may reasonably be construed as such, including advertising or causing to be advertised for sale and invitation to treat;
- (j) 'personal or household effects' shall mean dead specimens, parts and derivatives thereof, that are the belongings of a private individual and that form, or are intended to form, part of his normal goods and chattels;
- (k) 'place of destination' shall mean the place at which at the time of introduction into the Community, it is intended that specimens will normally be kept; in the case of live specimens, this shall be the first place where specimens are intended to be kept following any period of quarantine or other confinement for the purposes of sanitary checks and controls;
- (l) 'population' shall mean a biologically or geographically distinct total number of individuals;
- (m) 'primarily commercial purposes' shall mean all purposes the non-commercial aspects of which do not clearly predominate;
- (n) 're-export from the Community' shall mean export from the Community of any specimen that has previously been introduced;
- (o) 'reintroduction into the Community' shall mean introduction into the Community of any specimen that has previously been exported or re-exported;
- (p) 'sale' shall mean any form of sale. For the purposes of this Regulation, hire, barter or exchange shall be regarded as sale; cognate expressions shall be similarly construed;
- (q) 'scientific authority' shall mean a scientific authority designated, in the case of a Member State, in accordance with Article 13(1)(b) or, in the case of a third country party to the Convention, in accordance with Article IX of the Convention;
- (r) 'Scientific Review Group' shall mean the consultative body established under Article 17;
- (s) 'species' shall mean a species, subspecies or population thereof;
- (t) 'specimen' shall mean any animal or plant, whether alive or dead, of the species listed in Annexes A to D, any part or derivative thereof, whether or not contained in other goods, as well as any other goods which appear from an accompanying document, the packaging or a mark or label, or from any other

circumstances, to be or to contain parts or derivatives of animals or plants of those species, unless such parts or derivatives are specifically exempted from the provisions of this Regulation or from the provisions relating to the Annex in which the species concerned is listed by means of an indication to that effect in the Annexes concerned.

A specimen will be considered to be a specimen of a species listed in Annexes A to D if it is, or is part of or derived from, an animal or plant at least one of whose 'parents' is of a species so listed. In cases where the 'parents' of such an animal or plant are of species listed in different Annexes, or of species only one of which is listed, the provisions of the more restrictive Annex shall apply. However, in the case of specimens of hybrid plants, if one of the 'parents' is of a species listed in Annex A, the provisions of the more restrictive Annex shall apply only if that species is annotated to that effect in the Annex;

- (u) 'trade' shall mean the introduction into the Community, including introduction from the sea, and the export and re-export therefrom, as well as the use, movement and transfer of possession within the Community, including within a Member State, of specimens subject to the provisions of this Regulation;
- (v) 'transit' shall mean the transport of specimens between two points outside the Community through the territory of the Community which are shipped to a named consignee and during which any interruption in the movement arises only from the arrangements necessitated by this form of traffic;
- (w) 'worked specimens that were acquired more than 50 years previously' shall mean specimens that were significantly altered from their natural raw state for jewellery, adornment, art, utility, or musical instruments, more than 50 years before the entry into force of this Regulation and that have been, to the satisfaction of the management authority of the Member State concerned, acquired in such conditions. Such specimens shall be considered as worked only if they are clearly in one of the aforementioned categories and require no further carving, crafting or manufacture to effect their purpose;
- (x) 'checks at the time of introduction, export, re-export and transit' shall mean documentary checks on the certificates, permits and notifications provided for in this Regulation and — in cases where Community provisions so provide or in other cases by representative sampling of the consignments — examination of the specimens, where appropriate accompanied by the taking of samples with a view to analysis or more detailed checks.

Article 3

Scope

1. Annex A shall contain:

- (a) the species listed in Appendix I to the Convention for which the Member States have not entered a reservation;
- (b) any species:
 - (i) which is, or may be, in demand for utilization in the Community or for international trade and which is either threatened with extinction or so rare that any level of trade would imperil the survival of the species;
 - or
 - (ii) which is in a genus of which most of the species or which is a species of which most of the subspecies are listed in Annex A in accordance with the criteria in subparagraphs (a) or (b)(i) and whose listing in the Annex is essential for the effective protection of those taxa.

2. Annex B shall contain:

- (a) the species listed in Appendix II to the Convention, other than those listed in Annex A, for which the Member States have not entered a reservation;
- (b) the species listed in Appendix I to the Convention for which a reservation has been entered;
- (c) any other species not listed in Appendices I or II to the Convention:
 - (i) which is subject to levels of international trade that might not be compatible:
 - with its survival or with the survival of populations in certain countries, or
 - with the maintenance of the total population at a level consistent with the role of the species in the ecosystems in which it occurs;
 - or
 - (ii) whose listing in the Annex for reasons of similarity in appearance to other species listed in Annex A or Annex B, is essential in order to ensure the effectiveness of controls on trade in specimens of such species;
- (d) species in relation to which it has been established that the introduction of live specimens into the natural habitat of the Community would constitute an ecological threat to wild species of fauna and flora indigenous to the Community.

3. Annex C shall contain:

- (a) the species listed in Appendix III to the Convention, other than those listed in Annexes A or B, for which the Member States have not entered a reservation;
- (b) the species listed in Appendix II to the Convention for which a reservation has been entered.

4. Annex D shall contain:

- (a) species not listed in Annexes A to C which are imported into the Community in such numbers as to warrant monitoring;
- (b) the species listed in Appendix III to the Convention for which a reservation has been entered.

5. Where the conservation status of species covered by this Regulation warrants their inclusion in one of the Appendices to the Convention, the Member States shall contribute to the necessary amendments.

Article 4

Introduction into the Community

1. The introduction into the Community of specimens of the species listed in Annex A shall be subject to completion of the necessary checks and the prior presentation, at the border customs office at the point of introduction, of an import permit issued by a management authority of the Member State of destination.

The import permit may be issued only in accordance with the restrictions established pursuant to paragraph 6 and when the following conditions have been met:

- (a) the competent scientific authority, after considering any opinion by the Scientific Review Group, has advised that the introduction into the Community:
 - (i) would not have a harmful effect on the conservation status of the species or on the extent of the territory occupied by the relevant population of the species;
 - (ii) is taking place:
 - for one of the purposes referred to in Article 8(3)(e), (f) and (g), or
 - for other purposes which are not detrimental to the survival of the species concerned;
- (b) (i) the applicant provides documentary evidence that the specimens have been obtained in accordance with the legislation on the protection of the species concerned which, in the case of import from a third country of specimens of a species

listed in the Appendices to the Convention, shall be an export permit or re-export certificate, or copy thereof, issued in accordance with the Convention by a competent authority of the country of export or re-export;

- (ii) however, the issuance of import permits for species listed in Annex A in accordance with Article 3(1)(a) shall not require such documentary evidence, but the original of any such import permit shall be withheld from the applicant pending presentation of the export permit or re-export certificate;
- (c) the competent scientific authority is satisfied that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly;
- (d) the management authority is satisfied that the specimen is not to be used for primarily commercial purposes;
- (e) the management authority is satisfied, following consultation with the competent scientific authority, that there are no other factors relating to the conservation of the species which militate against issuance of the import permit; and
- (f) in the case of introduction from the sea, the management authority is satisfied that any live specimen will be so prepared and shipped as to minimize the risk of injury, damage to health or cruel treatment.

2. The introduction into the Community of specimens of the species listed in Annex B shall be subject to completion of the necessary checks and the prior presentation, at the border customs office at the point of introduction, of an import permit issued by a management authority of the Member State of destination.

The import permit may be issued only in accordance with the restrictions established pursuant to paragraph 6 and when:

- (a) the competent scientific authority, after examining available data and considering any opinion from the Scientific Review Group, is of the opinion that the introduction into the Community would not have a harmful effect on the conservation status of the species or on the extent of the territory occupied by the relevant population of the species, taking account of the current or anticipated level of trade. This opinion shall be valid for subsequent imports as long as the abovementioned aspects have not changed significantly;
- (b) the applicant provides documentary evidence that the intended accommodation for a live specimen at the

- place of destination is adequately equipped to conserve and care for it properly;
- (c) the conditions referred to in paragraph 1(b)(i), (e) and (f) have been met.
3. The introduction into the Community of specimens of the species listed in Annex C shall be subject to completion of the necessary checks and the prior presentation, at the border customs office at the point of introduction, of an import notification and:
- (a) in the case of export from a country mentioned in relation to the species concerned in Annex C, the applicant shall provide documentary evidence, by means of an export permit issued in accordance with the Convention by an authority of that country competent for the purpose, that the specimens have been obtained in accordance with the national legislation on the conservation of the species concerned; or
- (b) in the case of export from a country not mentioned in relation to the species concerned in Annex C or re-export from any country, the applicant shall present an export permit, a re-export certificate or a certificate of origin issued in accordance with the Convention by an authority of the exporting or re-exporting country competent for the purpose.
4. The introduction into the Community of specimens of the species listed in Annex D shall be subject to completion of the necessary checks and the prior presentation of an import notification at the border customs office at the point of introduction.
5. The conditions for the issuance of an import permit as referred to in paragraph 1(a) and (d) and in paragraph 2(a), (b) and (c) shall not apply to specimens for which the applicant provides documentary evidence:
- (a) that they had previously been legally introduced into or acquired in the Community and that they are, modified or not, being reintroduced into the Community; or
- (b) that they are worked specimens that were acquired more than 50 years previously.
6. In consultation with the countries of origin concerned, in accordance with the procedure laid down in Article 18 and taking account of any opinion from the Scientific Review Group, the Commission may establish general restrictions, or restrictions relating to certain countries of origin, on the introduction into the Community:
- (a) on the basis of the conditions referred to in paragraph 1(a)(i) or (e), of specimens of species listed in Annex A;
- (b) on the basis of the conditions referred to in paragraph 1(e) or paragraph 2(a), of specimens of species listed in Annex B; and
- (c) of live specimens of species listed in Annex B which have a high mortality rate during shipment or for which it has been established that they are unlikely to survive in captivity for a considerable proportion of their potential life span; or
- (d) of live specimens of species for which it has been established that their introduction into the natural environment of the Community presents an ecological threat to wild species of fauna and flora indigenous to the Community.
- The Commission shall on a quarterly basis publish a list of such restrictions, if any, in the *Official Journal of the European Communities*.
7. Where special cases of transshipment, air transfer or rail transport occur following introduction into the Community, derogations from completion of the checks and presentations of import documents at the border customs office at the point of introduction which are referred to in paragraphs 1 to 4 shall be granted in accordance with the procedure laid down in Article 18, in order to permit such checks and presentations to be made at another customs office designated in accordance with Article 12 (1).

Article 5

Export or re-export from the Community

1. The export or re-export from the Community of specimens of the species listed in Annex A shall be subject to completion of the necessary checks and the prior presentation, at the customs office at which the export formalities are completed, of an export permit or re-export certificate issued by a management authority of the Member State in which the specimens are located.
2. An export permit for specimens of the species listed in Annex A may be issued only when the following conditions have been met:
- (a) the competent scientific authority has advised in writing that the capture or collection of the specimens in the wild or their export will not have a harmful effect on the conservation status of the species or on the extent of the territory occupied by the relevant population of the species;
- (b) the applicant provides documentary evidence that the specimens have been obtained in accordance with the legislation in force on the protection of the species in question; where the application is made to a Member State other than the Member State of origin, such documentary evidence shall be furnished by means of a certificate stating that the specimen was taken from the wild in accordance with the legislation in force on its territory;
- (c) the management authority is satisfied that:

- (i) any live specimen will be so prepared and shipped as to minimize the risk of injury, damage to health or cruel treatment; and
- (ii) — the specimens of species not listed in Annex I to the Convention will not be used for primarily commercial purposes, or
 - in the case of export to a State party to the Convention of specimens of the species referred to in Article 3(1)(a) of this Regulation, an import permit has been issued;

and

- (d) the management authority of the Member State is satisfied, following consultation with the competent scientific authority, that there are no other factors relating to the conservation of the species which militate against issuance of the export permit.

3. A re-export certificate may be issued only when the conditions referred to in paragraph 2 (c) and (d) have been met and when the applicant provides documentary evidence that the specimens:

- (a) were introduced into the Community in accordance with the provisions of this Regulation;
- (b) if introduced into the Community before the entry into force of this Regulation, were introduced in accordance with the provisions of Regulation (EEC) No 3626/82; or
- (c) if introduced into the Community before 1984, entered international trade in accordance with the provisions of the Convention; or
- (d) were legally introduced into the territory of a Member State before the provisions of the Regulations referred to in (a) and (b) or of the Convention became applicable to them, or became applicable in that Member State.

4. The export or re-export from the Community of specimens of the species listed in Annexes B and C shall be subject to completion of the necessary checks and the prior presentation, at the customs office at which the export formalities are completed, of an export permit or re-export certificate issued by a management authority of the Member State in whose territory the specimens are located.

An export permit may be issued only when the conditions referred to in paragraph 2 (a), (b), (c) (i) and (d) have been met.

A re-export certificate may be issued only when the conditions referred to in paragraph 2 (c) (i) and (d) and in paragraph 3 (a) to (d) have been met.

5. Where an application for a re-export certificate concerns specimens introduced into the Community under an import permit issued by another Member State, the management authority must first consult the management authority which issued the permit. The consultation procedures and the cases in which consultation is necessary shall be established in accordance with the procedure laid down in Article 18.

6. The conditions for the issuance of an export permit or re-export certificate as referred to in paragraph 2 (a) and (c) (ii) shall not apply to:

- (i) worked specimens that were acquired more than 50 years previously; or
- (ii) dead specimens and parts and derivatives thereof for which the applicant provides documentary evidence that they were legally acquired before the provisions of this Regulation, or of Regulation (EEC) No 3626/82 or of the Convention became applicable to them.

7. (a) The competent scientific authority in each Member State shall monitor the issuance of export permits by that Member State for specimens of species listed in Annex B and actual exports of such specimens. Whenever such scientific authority determines that the export of specimens of any such species should be limited in order to maintain that species throughout its range at a level consistent with its role in the ecosystem in which it occurs, and well above the level at which that species might become eligible for inclusion in Annex A in accordance with Article 3 (1) (a) or (b) (i), the scientific authority shall advise the competent management authority, in writing, of suitable measures to be taken to limit the issuance of export permits for specimens of that species.

- (b) Whenever a management authority is advised of the measures referred to in (a), it shall inform and send comments to the Commission which shall, if appropriate, recommend restrictions on exports of the species concerned in accordance with the procedure laid down in Article 18.

Article 6

Rejection of applications for permits and certificates referred to in Articles 4, 5 and 10

1. When a Member State rejects an application for a permit or certificate in a case of significance in respect of the objectives of this Regulation, it shall immediately inform the Commission of the rejection and of the reasons for rejection.

2. The Commission shall communicate information received in accordance with paragraph 1 to the other Member States in order to ensure uniform application of this Regulation.

3. When an application is made for a permit or certificate relating to specimens for which such an application has previously been rejected, the applicant must inform the competent authority to which the application is submitted of the previous rejection.

4. (a) Member States shall recognize the rejection of applications by the competent authorities of the other Member States, where such rejection is based on the provisions of this Regulation.

(b) However, this need not apply where the circumstances have significantly changed or where new evidence to support an application has become available. In such cases, if a management authority issues a permit or certificate, it shall inform the Commission thereof, stating the reasons for issuance.

Article 7

Derogations

1. *Specimens born and bred in captivity or artificially propagated*

(a) Save where Article 8 applies, specimens of species listed in Annex A that have been born and bred in captivity or artificially propagated shall be treated in accordance with the provisions applicable to specimens of species listed in Annex B.

(b) In the case of artificially propagated plants, the provisions of Articles 4 and 5 may be waived under special conditions laid down by the Commission, relating to:

- (i) the use of phytosanitary certificates;
- (ii) trade by registered commercial traders and by the scientific institutions referred to in paragraph 4 of this Article; and
- (iii) trade in hybrids.

(c) The criteria for determining whether a specimen has been born and bred in captivity or artificially propagated and whether for commercial purposes, as well as the special conditions referred to in (b), shall be specified by the Commission in accordance with the procedure laid down in Article 18.

2. *Transit*

(a) By way of derogation from Article 4, where a specimen is in transit through the Community, checks and presentation at the border customs office at the point of introduction of the prescribed permits, certificates and notifications shall not be required.

(b) In the case of species listed in the Annexes in accordance with Article 3 (1) and Article 3 (2) (a) and (b), the derogation referred to in (a) shall apply only where a valid export or re-export document provided for by the Convention, relating to the specimens that it accompanies and specifying the destination of the specimens, has been issued by the competent authorities of the exporting or re-exporting third country.

(c) If the document referred to in (b) has not been issued before export or re-export, the specimen must be seized and may, where applicable, be confiscated unless the document is submitted retrospectively in compliance with the conditions specified by the Commission in accordance with the procedure laid down in Article 18.

3. *Personal and household effects*

By way of derogation from Articles 4 and 5, the provisions therein shall not apply to dead specimens, parts and derivatives of species listed in Annexes A to D which are personal or household effects being introduced into the Community, or exported or re-exported therefrom, in compliance with provisions that shall be specified by the Commission in accordance with the procedure laid down in Article 18.

4. *Scientific institutions*

The documents referred to in Articles 4, 5, 8 and 9 shall not be required in the case of non-commercial loans, donations and exchanges between scientists and scientific institutions, registered by the management authorities of the States in which they are located, of herbarium specimens and other preserved, dried or embedded museum specimens, and of live plant material, bearing a label, the model for which has been determined in accordance with the procedure laid down in Article 18 or a similar label issued or approved by a management authority of a third country.

Article 8

Provisions relating to the control of commercial activities

1. The purchase, offer to purchase, acquisition for commercial purposes, display to the public for commercial purposes, use for commercial gain and sale, keeping for sale, offering for sale or transporting for sale of specimens of the species listed in Annex A shall be prohibited.

2. Member States may prohibit the holding of specimens, in particular live animals of the species listed in Annex A.

3. In accordance with the requirements of other Community legislation on the conservation of wild fauna and flora, exemption from the prohibitions referred to in paragraph 1 may be granted by issuance of a certificate to that effect by a management authority of the Member State in which the specimens are located, on a case-by-case basis where the specimens:

- (a) were acquired in, or were introduced into, the Community before the provisions relating to species listed in Appendix I to the Convention or in Annex C1 to Regulation (EEC) No 3626/82 or in Annex A became applicable to the specimens; or
- (b) are worked specimens that were acquired more than 50 years previously; or
- (c) were introduced into the Community in compliance with the provisions of this Regulation and are to be used for purposes which are not detrimental to the survival of the species concerned; or
- (d) are captive-born and bred specimens of an animal species or artificially propagated specimens of a plant species or are parts or derivatives of such specimens; or
- (e) are required under exceptional circumstances for the advancement of science or for essential biomedical purposes pursuant to Council Directive 86/609/EEC of 24 November 1986 on the approximation of laws, regulations and administrative provisions of the Member States regarding the protection of animals used for experimental and other scientific purposes⁽¹⁾ where the species in question proves to be the only one suitable for those purposes and where there are no specimens of the species which have been born and bred in captivity; or
- (f) are intended for breeding or propagation purposes from which conservation benefits will accrue to the species concerned; or
- (g) are intended for research or education aimed at the preservation or conservation of the species; or
- (h) originate in a Member State and were taken from the wild in accordance with the legislation in force in that Member State.

4. General derogations from the prohibitions referred to in paragraph 1 based on the conditions referred to in paragraph 3, as well as general derogations with regard

to species listed in Annex A in accordance with Article 3 (1) (b) (ii) may be defined by the Commission in accordance with the procedure laid down in Article 18. Any such derogations must be in accordance with the requirements of other Community legislation on the conservation of wild fauna and flora.

5. The prohibitions referred to in paragraph 1 shall also apply to specimens of the species listed in Annex B except where it can be proved to the satisfaction of the competent authority of the Member State concerned that such specimens were acquired and, if they originated outside the Community, were introduced into it, in accordance with the legislation in force for the conservation of wild fauna and flora.

6. The competent authorities of the Member States shall have discretion to sell any specimen of the species listed in Annexes B to D they have confiscated under this Regulation, provided that it is not thus returned directly to the person or entity from whom it was confiscated or who was party to the offence. Such specimens may then be treated for all purposes as if they had been legally acquired.

Article 9

Movement of live specimens

1. Any movement within the Community of a live specimen of a species listed in Annex A from the location indicated in the import permit or in any certificate issued in compliance with this Regulation shall require prior authorization from a management authority of the Member State in which the specimen is located. In other cases of movement, the person responsible for moving the specimen must be able, where applicable, to provide proof of the legal origin of the specimen.

2. Such authorization shall:

- (a) be granted only when the competent scientific authority of such Member State or, where the movement is to another Member State, the competent scientific authority of the latter, is satisfied that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly;
- (b) be confirmed by issuance of a certificate; and
- (c) where applicable, be immediately communicated to a management authority of the Member State in which the specimen is to be located.

3. However, no such authorization shall be required if a live animal must be moved for the purpose of urgent veterinary treatment and is returned directly to its authorized location.

⁽¹⁾ OJ No L 358, 18. 12. 1986, p. 1.

4. Where a live specimen of a species listed in Annex B is moved within the Community, the holder of the specimen may relinquish it only after ensuring that the intended recipient is adequately informed of the accommodation, equipment and practices required to ensure the specimen will be properly cared for.

5. When any live specimens are transported into, from or within the Community or are held during any period of transit or transshipment, they shall be prepared, moved and cared for in a manner such as to minimize the risk of injury, damage to health or cruel treatment and, in the case of animals, in conformity with Community legislation on the protection of animals during transport.

6. Under the procedure laid down in Article 18, the Commission may establish restrictions on the holding or movement of live specimens of species in relation to which restrictions on introduction into the Community have been established in accordance with Article 4 (6).

Article 10

Certificates to be issued

On receiving an application, together with all the requisite supporting documents, from the person concerned and provided that all the conditions governing their issuance have been fulfilled, a management authority of a Member State may issue a certificate for the purposes referred to in Article 5 (2) (b), 5 (3) and (4), Article 8 (3) and Article 9 (2) (b).

Article 11

Validity of and special conditions for permits and certificates

1. Without prejudice to stricter measures which the Member States may adopt or maintain, permits and certificates issued by the competent authorities of the Member States in accordance with this Regulation shall be valid throughout the Community.

2. (a) However, any such permit or certificate, as well as any permit or certificate issued on the basis of it, shall be deemed void if a competent authority or the Commission, in consultation with the competent authority which issued the permit or certificate, establishes that it was issued on the false premise that the conditions for its issuance were met.

(b) Specimens situated in the territory of a Member State and covered by such documents shall be

seized by the competent authorities of that Member State and may be confiscated.

3. Any permit or certificate issued in accordance with this Regulation may stipulate conditions and requirements imposed by the issuing authority to ensure compliance with the provisions thereof. Where such conditions or requirements need to be incorporated in the design of permits or certificates, Member States shall inform the Commission thereof.

4. Any import permit issued on the basis of a copy of the corresponding export permit or re-export certificate shall be valid for the introduction of specimens into the Community only when accompanied by the original of the valid export permit or re-export certificate.

5. The Commission shall establish time limits for the issuance of permits and certificates in accordance with the procedure laid down in Article 18.

Article 12

Places of introduction and export

1. Member States shall designate customs offices for carrying out the checks and formalities for the introduction into and export from the Community, in order to assign to them a customs-approved treatment or use, within the meaning of Regulation (EEC) No 2913/92, of specimens of species covered by this Regulation and shall state which offices are specifically intended to deal with live specimens.

2. All offices designated in accordance with paragraph 1 shall be provided with sufficient and adequately trained staff. Member States shall ensure that accommodation is provided in accordance with relevant Community legislation as regards the transport and accommodation of live animals and that, where necessary, adequate steps are taken for live plants.

3. All offices designated in accordance with paragraph 1 shall be notified to the Commission which shall publish a list of them in the *Official Journal of the European Communities*.

4. In exceptional cases and in accordance with criteria defined under the procedure laid down in Article 18, a management authority may authorize the introduction into the Community or the export or re-export therefrom at a customs office other than one designated in accordance with paragraph 1.

5. Member States shall ensure that at border crossing-points the public are informed of the implementing provisions of this Regulation.

Article 13

Management and scientific authorities and other competent authorities

1. (a) Each Member State shall designate a management authority with primary responsibility for implementation of this Regulation and for communication with the Commission.
- (b) Each Member State may also designate additional management authorities and other competent authorities to assist in implementation, in which case the primary management authority shall be responsible for providing the additional authorities with all the information required for correct application of this Regulation.
2. Each Member State shall designate one or more scientific authorities with appropriate qualifications whose duties shall be separate from those of any designated management authority.
3. (a) Not later than three months before the date of application of this Regulation, Member States shall forward the names and addresses of the designated management authorities, other authorities competent to issue permits or certificates and scientific authorities to the Commission, which shall publish this information in the *Official Journal of the European Communities* within a month.
- (b) Each management authority referred to in paragraph 1 (a) shall, if so requested by the Commission, communicate to it within two months the names and specimen signatures of people authorized to sign permits or certificates, and impressions of the stamps, seals or other devices used to authenticate permits or certificates.
- (c) Member States shall communicate to the Commission any changes in the information already provided, not later than two months after the implementation of such change.

Article 14

Monitoring of compliance and investigation of infringements

1. (a) The competent authorities of the Member States shall monitor compliance with the provisions of this Regulation.
- (b) If, at any time, the competent authorities have reason to believe that these provisions are being

infringed, they shall take the appropriate steps to ensure compliance or to instigate legal action.

- (c) Member States shall inform the Commission and, in the case of species listed in the Appendices to the Convention, the Convention Secretariat of any steps taken by the competent authorities in relation to significant infringements of this Regulation, including seizures and confiscations.
2. The Commission shall draw the attention of the competent authorities of the Member States to matters whose investigation it considers necessary under this Regulation. Member States shall inform the Commission and, in the case of species listed in the Appendices to the Convention, the Convention Secretariat of the outcome of any subsequent investigation.
3. (a) An enforcement group shall be established consisting of the representatives of each Member State's authorities with responsibility for ensuring the implementation of the provisions of this Regulation. The group shall be chaired by the representative of the Commission.
- (b) The enforcement group shall examine any technical question relating to the enforcement of this Regulation raised by the chairman, either on his own initiative or at the request of the members of the group or the committee.
- (c) The Commission shall convey the opinions expressed in the enforcement group to the committee.

Article 15

Communication of information

1. The Member States and the Commission shall communicate to one another the information necessary for implementing this Regulation.

The Member States and the Commission shall ensure that the necessary steps are taken to make the public aware and inform it of the provisions regarding implementation of the Convention and of this Regulation and of the latter's implementing measures.

2. The Commission shall communicate with the Convention Secretariat so as to ensure that the Convention is effectively implemented throughout the territory to which this Regulation applies.
3. The Commission shall immediately communicate any advice from the Scientific Review Group to the management authorities of the Member States concerned.
4. (a) The management authorities of the Member States shall communicate to the Commission before 15

June each year all the information relating to the preceding year required for drawing up the reports referred to in Article VIII.7 (a) of the Convention and equivalent information on international trade in all specimens of species listed in Annexes A, B and C and on introduction into the Community of specimens of species listed in Annex D. The information to be communicated and the format for its presentation shall be specified by the Commission in accordance with the procedure laid down in Article 18.

- (b) On the basis of the information referred to in (a), the Commission shall publish before 31 October each year a statistical report on the introduction into, and the export and re-export from, the Community of specimens of the species to which this Regulation applies and shall forward to the Convention Secretariat information on the species to which the Convention applies.
- (c) Without prejudice to Article 20, the management authorities of the Member States shall, before 15 June of each second year, and for the first time in 1999, communicate to the Commission all the information relating to the preceding two years required for drawing up the reports referred to in Article VIII.7 (b) of the Convention and equivalent information on the provisions of this Regulation that fall outside the scope of the Convention. The information to be communicated and the format for its presentation shall be specified by the Commission in accordance with the procedure laid down in Article 18.
- (d) On the basis of the information referred to in (c), the Commission shall, before 31 October of each second year, and for the first time in 1999, draw up a report on the implementation and enforcement of this Regulation.

5. With a view to the preparation of amendments to the Annexes, the competent authorities of the Member States shall forward all relevant information to the Commission. The Commission shall specify the information required, in accordance with the procedure laid down in Article 18.

6. In accordance with Council Directive 90/313/EEC of 7 June 1990 on the freedom of access to information on the environment⁽¹⁾, the Commission shall take appropriate measures to protect the confidentiality of information obtained in implementation of this Regulation.

Article 16

Sanctions

1. Member States shall take appropriate measures to ensure the imposition of sanctions for at least the following infringements of this Regulation:

- (a) introduction into, or export or re-export from, the Community of specimens without the appropriate permit or certificate or with a false, falsified or invalid permit or certificate or one altered without authorization by the issuing authority;
- (b) failure to comply with the stipulations specified on a permit or certificate issued in accordance with this Regulation;
- (c) making a false declaration or knowingly providing false information in order to obtain a permit or certificate;
- (d) using a false, falsified or invalid permit or certificate or one altered without authorization as a basis for obtaining a Community permit or certificate or for any other official purpose in connection with this Regulation;
- (e) making no import notification or a false import notification;
- (f) shipment of live specimens not properly prepared so as to minimize the risk of injury, damage to health or cruel treatment;
- (g) use of specimens of species listed in Annex A other than in accordance with the authorization given at the time of issuance of the import permit or subsequently;
- (h) trade in artificially propagated plants contrary to the provisions laid down in accordance with Article 7(1)(b);
- (i) shipment of specimens into or out of or in transit through the territory of the Community without the appropriate permit or certificate issued in accordance with this Regulation and, in the case of export or re-export from a third country party to the Convention, in accordance therewith, or without satisfactory proof of the existence of such permit or certificate;
- (j) purchase, offer to purchase, acquisition for commercial purposes, use for commercial gain, display to the public for commercial purposes, sale, keeping for sale, offering for sale or transporting for sale of specimens in contravention of Article 8;
- (k) use of a permit or certificate for any specimen other than one for which it was issued;
- (l) falsification or alteration of any permit or certificate issued in accordance with this Regulation;

⁽¹⁾ OJ No L 158, 23. 6. 1990, p. 56.

(m) failure to disclose rejection of an application for a Community import, export or re-export permit or certificate, in accordance with Article 6 (3).

2. The measures referred to in paragraph 1 shall be appropriate to the nature and gravity of the infringement and shall include provisions relating to the seizure and, where appropriate, confiscation of specimens.

3. Where a specimen is confiscated, it shall be entrusted to a competent authority of the Member State of confiscation which:

(a) following consultation with a scientific authority of that Member State, shall place or otherwise dispose of the specimen under conditions which it deems to be appropriate and consistent with the purposes and provisions of the Convention and this Regulation; and

(b) in the case of a live specimen which has been introduced into the Community, may, after consultation with the State of export, return the specimen to that State at the expense of the convicted person.

4. Where a live specimen of a species listed in Annex B or C arrives at a point of introduction into the Community without the appropriate valid permit or certificate, the specimen must be seized and may be confiscated or, if the consignee refuses to acknowledge the specimen, the competent authorities of the Member State responsible for the point of introduction may, if appropriate, refuse to accept the shipment and require the carrier to return the specimen to its place of departure.

Article 17

The Scientific Review Group

1. A Scientific Review Group is hereby established, consisting of the representatives of each Member State's scientific authority or authorities and chaired by the representative of the Commission.

2. (a) The Scientific Review Group shall examine any scientific question relating to the application of this Regulation — in particular concerning Article 4 (1) (a), (2) (a) and (6) — raised by the chairman, either on his own initiative or at the request of the members of the Group or the Committee.

(b) The Commission shall convey the opinions of the Scientific Review Group to the Committee.

Article 18

The Committee

1. The Commission shall be assisted by a Committee composed of the representatives of the Member States and chaired by the representative of the Commission.

The representative of the Commission shall submit to the Committee a draft of the measures to be taken. The Committee shall deliver its opinion on the draft within a time limit which the chairman may lay down according to the urgency of the matter. The opinion shall be delivered by the majority laid down in Article 148 (2) of the Treaty in the case of decisions which the Council is required to adopt on a proposal from the Commission. The votes of the representatives of the Member States within the Committee shall be weighted in the manner set out in that Article. The chairman shall not vote.

The Commission shall adopt the measures envisaged if they are in accordance with the opinion of the Committee.

If the measures envisaged are not in accordance with the opinion of the Committee, or if no opinion is delivered, the Commission shall without delay submit to the Council a proposal relating to the measures to be taken. The Council shall act by a qualified majority.

2. As regards the Committee's tasks referred to in points 1 and 2 of Article 19, if, on the expiry of a period of three months from the date of referral to the Council, the Council has not acted, the proposed measures shall be adopted by the Commission.

3. As regards the Committee's tasks referred to in points 3 and 4 of Article 19, if, on the expiry of a period of three months from the date of referral to the Council, the Council has not acted, the proposed measures shall be adopted by the Commission, save where the Council has decided against the said measures by a simple majority.

Article 19

In accordance with the procedure laid down in Article 18, the Commission shall:

1. lay down uniform conditions and criteria for:

(i) the issue, validity and use of the documents referred to in Articles 4, 5, 7 (4) and 10; it shall determine the design thereof;

(ii) the use of phytosanitary certificates; and

- (iii) the establishment of procedures, where necessary, for marking specimens in order to facilitate identification and ensure enforcement of the provisions;
2. adopt the measures referred to in Article 4 (6) and (7), Article 5 (5) and (7) (b), Article 7 (1) (c), (2) (c) and (3), Article 8 (4), Article 9 (6), Article 11 (5), Article 15 (4) (a), (c) and (5) and Article 21 (3);
 3. amend Annexes A to D except in the case of amendments to Annex A which do not result from decisions of the Conference of the Parties to the Convention;
 4. adopt, where necessary, additional measures to implement resolutions of the Conference of the Parties to the Convention, decisions or recommendations of the Standing Committee of the Convention and recommendations of the Convention Secretariat.

Article 20

Final provisions

Each Member State shall notify the Commission and the Convention Secretariat of the provisions which it adopts specifically for the implementation of this Regulation and of all legal instruments used and measures taken for its implementation and enforcement.

The Commission shall communicate this information to the other Member States.

This Regulation shall be binding in its entirety and directly applicable in all Member States.

Done at Brussels, 9 December 1996.

Article 21

1. Regulation (EEC) No 3626/82 is hereby repealed.
2. Until the measures provided for in points 1 and 2 of Article 19 have been adopted, Member States may maintain or continue to apply the measures adopted in accordance with Regulation (EEC) No 3626/82 and Commission Regulation (EEC) No 3418/83 of 28 November 1983 laying down provisions for the uniform issue and use of the documents required for the implementation in the Community of the Convention on International Trade in Endangered Species of Wild Fauna and Flora ⁽¹⁾.
3. Two months before this Regulation is implemented the Commission, in accordance with the procedure laid down in Article 18 and in consultation with the Scientific Review Group:
 - (a) must check that there is no justification for restrictions on the introduction into the Community of the species listed in Annex C1 to Regulation (EEC) No 3626/82 which are not included in Annex A to this Regulation;
 - (b) shall adopt a Regulation amending Annex D into a representative list of species meeting the criteria laid down in Article 3 (4) (a).

Article 22

This Regulation shall enter into force on the date of its publication in the *Official Journal of the European Communities*.

It shall apply from 1 June 1997.

Articles 12, 13, 14 (3), 16, 17, 18, 19 and 21(3) shall apply from the date of entry into force of this Regulation.

For the Council

The President

B. HOWLIN

⁽¹⁾ OJ No L 344, 7. 12. 1983, p. 1.

ANNEX

Notes on interpretation of Annexes A, B, C and D

1. Species included in these Annexes A, B, C and D are referred to:
 - (a) by the name of the species; or
 - (b) as being all of the species included in a higher taxon or designated part thereof.
2. The abbreviation 'spp.' is used to denote all species of a higher taxon.
3. Other references to taxa higher than species are for the purposes of information or classification only.
4. Species printed in bold in Annex A are listed there in consistency with their protection as provided for by Directive 79/409/EEC ⁽¹⁾ ('Birds Directive') or Directive 92/43/EEC ⁽²⁾ ('Habitats Directive').
5. The abbreviation 'p.e.' is used to denote species that are possibly extinct.
6. An asterisk '*' placed against the name of a species or higher taxon indicates that one or more geographically separate populations, sub-species or species of that species or taxon are included in Annex A and are excluded from Annex B.
7. Two asterisks '**' placed against the name of a species or higher taxon indicate that one or more geographically separate populations, sub-species or species of that species or taxon are included in Annex B and are excluded from Annex A.
8. The symbols '(I)', '(II)' and '(III)' and the symbol 'x' followed by a number placed against the name of a species or higher taxon refer to the Appendices of the Convention in which the species concerned are listed, as indicated in notes 9 to 12. Where none of these annotations appears, the species concerned are not listed in the Appendices to the Convention.
9. (I) against the name of a species or higher taxon indicates that the species or higher taxon concerned is included in Appendix I to the Convention.
10. (II) against the name of a species or higher taxon indicates that the species or higher taxon concerned is included in Appendix II to the Convention.
11. (III) against the name of a species or higher taxon indicates that it is included in Appendix III to the Convention. In this case the country with respect to which the species or higher taxon is included in Appendix III is also indicated by a two-letter code as follows: BW (Botswana), CA (Canada), CO (Colombia), CR (Costa Rica), GH (Ghana), GT (Guatemala), HN (Honduras), IN (India), MY (Malaysia), MU (Mauritius), NP (Nepal), TN (Tunisia) and UY (Uruguay).
12. The symbol 'x' followed by a number placed against the name of a species or higher taxon in Annex A or B denotes that certain geographically separate populations, species, groups of species or families of that species or taxon are listed in Appendix I, II or III to the Convention, as follows:
 - x701 The species is listed in Appendix II but the sub- *Cercocebus galeritus galeritus* is listed in Appendix I.
 - x702 The species is listed in Appendix II but the sub-species *kirkii* (also referenced as *Colobus bodius kirkii*) is listed in Appendix I.
 - x703 All species are listed in Appendix II except *Lipotes vexillifer*, *Platanista* spp., *Berardius* spp., *Hyperoodon* spp., *Physeter catodon* (includes synonym *Physeter macrocephalus*), *Sotalia* spp., *Sousa* spp., *Neophocaena phocaenoides*, *Phocoena sinus*, *Eschrichtius robustus*

⁽¹⁾ OJ No L 103, 25. 4. 1979, p. 1. Directive as last amended by Directive 94/24/EC (OJ No L 164, 30. 6. 1994, p. 9).

⁽²⁾ OJ No L 206, 22. 7. 1992, p. 7. Directive as last amended by the 1994 Act of Accession.

(includes synonym *Eschrichtius glaucus*), *Balaenoptera* spp. (except population of West Greenland of *Balaenoptera acutorostrata*), *Megaptera novaeangliae*, *Eubalaena* spp. (formerly included in genus *Balaena*) and *Caperea marginata* which are listed in Appendix I. Specimens of the species listed in Appendix II to the Convention, including products and derivatives other than meat products for commercial purposes, taken by the people of Greenland under licence granted by the competent authority concerned, shall be treated as belonging to Annex B.

- ×704 Populations of Bhutan, India, Nepal and Pakistan are listed in Appendix I, other populations are listed in Appendix II.
- ×705 Populations of Bhutan, China, Mexico and Mongolia and *isabellinus* listed in Appendix I, other populations and subspecies listed in Appendix II.
- ×706 The species is listed in Appendix I except the population of Australia which is listed in Appendix II.
- ×707 *Trichechus inunguis* and *Trichechus manatus* are listed in Appendix I. *Trichechus senegalensis* is listed in Appendix II.
- ×708 The species is listed in Appendix II but sub-species *Equus hemionus hemionus* is listed in Appendix I.
- ×709 *Haliaeetus albicilla* and *H. leucocephalus* are listed in Appendix I, the other species in Appendix II.
- ×710 The following species are listed in Appendix III: *Crax daubentoni* and *Crax globulosa* for Colombia and *Crax rubra* for Colombia, Costa Rica, Guatemala and Honduras.
- ×711 *Pauxi pauxi* is listed in Appendix III for Colombia.
- ×712 The species is listed in Appendix II but sub-species *Grus canadensis nesiotis* and *Grus canadensis pulla* are listed in Appendix I.
- ×713 *Mantella aurantiaca* is listed in Appendix II.
13. The symbol ‘-’ followed by a number placed against the name of a species or higher taxon denotes the designated geographically separate populations, species, groups of species or families of that species or taxon are excluded from the appendix concerned, as follows:
- 101 Populations of Spain north of the Duero, Greek populations north of the 39th parallel
- 102 Population of the USA
- 103 — Chile: part of the population of Parinacota, the Region of Tarapacá
— Peru: the whole population
- 104 Populations of Afghanistan, Bhutan, India, Myanmar, Nepal and Pakistan
- 105 Cathartidae
- 106 *Melopsittacus undulatus*, *Nymphicus hollandicus* and *Psittacula krameri*
- 107 Population of Ecuador, subject to zero export quotas in 1995 and 1996 and then annual export quotas approved by the Cites Secretariat and the IUCN/SSC Crocodile Specialist Group
- 108 Populations of Botswana, Ethiopia, Kenya, Malawi, Mozambique, South Africa, the United Republic of Tanzania, Zambia and Zimbabwe and populations of the following countries subject to the specified annual export quotas:

| | 1995 | 1996 | 1997 |
|---------------------------|-------|-------|-------|
| — Madagascar | 4 700 | 5 200 | 5 200 |
| (— ranched specimens | 4 500 | 5 000 | 5 000 |
| — wild nuisance specimens | 200 | 200 | 200) |
| — Uganda | 2 500 | 2 500 | 2 500 |

Apart from ranched specimens, the United Republic of Tanzania will authorize the export of no more than 1 100 wild specimens (including 100 hunting trophies) in 1995 and 1996, and a number to be approved by the Cites Secretariat and the IUCN/SSC Crocodile Specialist Group in 1997.

- 109 Populations of Australia, Indonesia and Papua New Guinea
 - 110 Population of Chile
 - 111 All species that are not succulent
 - 112 *Aloe vera*; also referenced as *Aloe barbadensis*.
14. The symbol '+' followed by a number placed against the name of a species or higher taxon denotes that only designated geographically separate populations, sub-species or species of that species or taxon are included in the appendix concerned, as follows:
- +201 Populations of Spain north of the Duero, Greek populations north of the 39th parallel
 - +202 Population of Cameroon and Nigeria
 - +203 Population of Asia
 - +204 Population of Central and North America
 - +205 Populations of Bangladesh, India and Thailand
 - +206 Population of India
 - +207 — Chile: part of the population of Parinacota, the Region of Tarapacá
— Peru: the whole population
 - +208 Populations of Afghanistan, Bhutan, India, Myanmar, Nepal and Pakistan
 - +209 Populations of Mexico
 - +210 Populations of Algeria, Burkino Faso, Cameroon, Central African Republic, Chad, Mali, Mauritania, Morocco, Niger, Nigeria, Senegal and Sudan
 - +211 Population of the Seychelles
 - +212 Population of Europe, except the area which formerly constituted the USSR
 - +213 All species of New Zealand
 - +214 Population of Chile
 - +215 All populations of the species in the Americas.
15. The symbol '=' followed by a number placed against the name of a species or higher taxon denotes that the name of that species or taxon shall be interpreted as follows:
- =301 Also referenced as *Phalanger maculatus*
 - =302 Also referenced as *Vampyrops lineatus*
 - =303 Includes family Tupaiidae
 - =304 Formerly included in family Lemuridae
 - =305 Formerly included as sub-species of *Callithrix jacchus*
 - =306 Includes generic synonym *Leontideus*
 - =307 Formerly included in species *Saguinus oedipus*
 - =308 Formerly included as *Alouatta palliata (villosa)*
 - =309 Includes synonym *Cercopithecus roloway*
 - =310 Formerly included in genus *Papio*
 - =311 Includes generic synonym *Simias*
 - =312 Includes synonym *Colobus badius rufomitratus*
 - =313 Includes generic synonym *Rhinopithecus*
 - =314 Also referenced as *Presbytis entellus*
 - =315 Also referenced as *Presbytis geei* and *Semnopithecus geei*
 - =316 Also referenced as *Presbytis pileata* and *Semnopithecus pileatus*
 - =317 Formerly included as *Tamandua tetradactyla* (in part)

- =318 Includes synonyms *Bradypus boliviensis* and *Bradypus griseus*
- =319 Includes synonym *Cabassous gymnurus*
- =320 Includes synonym *Priodontes giganteus*
- =321 Includes generic synonym *Coendou*
- =322 Includes generic synonym *Cuniculus*
- =323 Formerly included in genus *Dusicyon*
- =324 Includes synonym *Dusicyon fulvipes*
- =325 Includes generic synonym *Fennecus*
- =326 Also referenced as *Selenarctos thibetanus*
- =327 Formerly included as *Nasua nasua*
- =328 Also referenced as *Aonyx microdon* or *Paraonyx microdon*
- =329 Includes synonym *Galictis allamandi*
- =330 Formerly included in genus *Lutra*
- =331 Formerly included in genus *Lutra*; includes synonyms *Lutra annectens*, *Lutra enudris*, *Lutra incarum* and *Lutra platensis*
- =332 Includes generic synonym *Viverra*
- =333 Includes synonym *Eupleres major*
- =334 Formerly included as *Viverra megapila*
- =335 Formerly included as *Herpestes fuscus*
- =336 Formerly included as *Herpestes auropunctatus*
- =337 Also referenced as *Hyaena brunnea*
- =338 Also referenced as *Felis caracal* and *Lynx caracal*
- =339 Formerly included in genus *Felis*
- =340 Also referenced as *Felis pardina* or *Felis lynx pardina*
- =341 Formerly included in genus *Panthera*
- =342 Also referenced as *Equus asinus*
- =343 Formerly included in species *Equus hemionus*
- =344 Also referenced as *Equus caballus przewalskii*
- =345 Also referenced as *Choeropsis liberiensis*
- =346 Also referenced as *Cervus porcinus annamiticus*
- =347 Also referenced as *Cervus porcinus calamianensis*
- =348 Also referenced as *Cervus porcinus kublii*
- =349 Also referenced as *Cervus dama mesopotamicus*
- =350 Includes synonym *Bos frontalis*
- =351 Includes synonym *Bos grunniens*
- =352 Includes generic synonym *Novibos*
- =353 Formerly included as *Bubalus bubalis* (domesticated form)
- =354 Includes generic synonym *Anoa*
- =355 Also referenced as *Damaliscus dorcas dorcas*
- =356 Formerly included in species *Naemorhedus goral*
- =357 Also referenced as *Capricornis sumatraensis*
- =358 Includes synonym *Oryx tao*

- =359 Includes synonym *Ovis aries ophion*
- =360 Also referenced as *Rupicapra rupicapra ornata*
- =361 Also referenced as *Boocercus eurycerus*; includes generic synonym *Taurotragus*
- =362 Also referenced as *Pterocnemia pennata*
- =363 Also referenced as *Sula abbotti*
- =364 Also referenced as *Ardeola ibis*
- =365 Also referenced as *Egretta alba*
- =366 Also referenced as *Ciconia ciconia boyciana*
- =367 Also referenced as *Hagedashia hagedash*
- =368 Also referenced as *Lampribus rara*
- =369 Includes synonyms *Anas chlorotis* and *Anas nesiotis*
- =370 Also referenced as *Spatula clypeata*
- =371 Also referenced as *Anas platyrhynchos laysanensis*
- =372 Probably a hybrid between *Anas platyrhynchos* and *Anas superciliosa*
- =373 Also referenced *Nyroca nyroca*
- =374 Includes synonym *Dendrocygna fulva*
- =375 Also referenced as *Cairina hartlaubii*
- =376 Also referenced as *Aquila heliaca adalberti*
- =377 Also referenced as *Chondrohierax wilsonii*
- =378 Also referenced as *Falco peregrinus babylonicus* and *Falco peregrinus pelegrinoides*
- =379 Also referenced as *Crax mitu mitu*
- =380 Formerly included in genus *Crax*
- =381 Formerly included in genus *Aburria*
- =382 Formerly included as *Arborophila brunneopectus* (in part)
- =383 Formerly included in species *Crossoptilon crossoptilon*
- =384 Formerly included in species *Polyplectron malacense*
- =385 Includes synonym *Rheinardia nigrescens*
- =386 Also referenced as *Tricholimnas sylvestris*
- =387 Also referenced as *Choriotis nigriceps*
- =388 Also referenced as *Houbaropsis bengalensis*
- =389 Also referenced as *Turturoena iriditorques*; formerly included as *Columba malherbii* (in part)
- =390 Also referenced as *Nesoenas mayeri*
- =391 Formerly included as *Treron australis* (in part)
- =392 Also referenced as *Calopelia brehmeri*; includes synonym *Calopelia puella*
- =393 Also referenced as *Tympanistria tympanistria*
- =394 Also referenced as *Amazona dufresniana rhodocorytha*
- =395 Often traded under the incorrect designation *Ara caninde*
- =396 Also referenced as *Cyanoramphus novaezelandiae cookii*
- =397 Also referenced as *Opopsitta diophthalma coxeni*
- =398 Also referenced as *Pezoporus occidentalis*
- =399 Formerly included in species *Psephotus chrysopterygius*

- =400 Also referenced as *Psittacula krameri echo*
- =401 Formerly included in genus *Gallirex*; also referenced as *Tauraco porphyreolophus*
- =402 Also referenced as *Otus gurneyi*
- =403 Also referenced as *Ninox novaeseelandiae royana*
- =404 Also referenced as *Strix ulula*
- =405 Formerly included in genus *Glaucis*
- =406 Includes generic synonym *Ptilolaemus*
- =407 Formerly included in genus *Rhinoplax*
- =408 Also referenced as *Pitta brachyura nympba*
- =409 Also referenced as *Musicapa ruecki* or *Niltava ruecki*
- =410 Also referenced as *Dasyornis brachypterus longirostris*
- =411 Also referenced as *Tchitrea bourbonnensis*
- =412 Also referenced as *Meliphaga cassidix*
- =413 Formerly included in genus *Spinus*
- =414 Formerly included as *Serinus gularis* (in part)
- =415 Also referenced as *Estrilda subflava* or *Sporaeginthus subflavus*
- =416 Formerly included as *Lagonosticta larvata* (in part)
- =417 Includes generic synonym *Spermestes*
- =418 Also referenced as *Euodice cantans*; formerly included as *Lonchura malabarica* (in part)
- =419 Also referenced as *Hypargos nitidulus*
- =420 Formerly included as *Parmoptila woodhousei* (in part)
- =421 Includes synonyms *Pyrenestes frommi* and *Pyrenestes rothschildi*
- =422 Also referenced as *Estrilda bengala*
- =423 Also referenced as *Malimbus rubriceps* or *Anaplectes melanotis*
- =424 Also referenced as *Coliuspasser ardens*
- =425 Formerly included as *Euplectes orix* (in part)
- =426 Also referenced as *Coliuspasser macrourus*
- =427 Also referenced as *Ploceus superciliosus*
- =428 Includes synonym *Ploceus nigriceps*
- =429 Also referenced as *Sitagra luteola*
- =430 Also referenced as *Sitagra melanocephala*
- =431 Formerly included as *Ploceus velatus*
- =432 Also referenced as *Hypochoera chalybeata*; includes synonyms *Vidua amauropteryx*, *Vidua centralis*, *Vidua neumanni*, *Vidua okavangoensis* and *Vidua ultramarina*
- =433 Formerly included as *Vidua paradisea* (in part)
- =434 Includes synonym *Cuora criskarannarum*
- =435 Formerly included as *Kachuga tecta tecta*
- =436 Includes generic synonyms *Nicoria* and *Geoemyda* (in part)
- =437 Also referenced as *Chrysemys scripta elegans*
- =438 Also referenced as *Geochelone elephantopus*; also referenced in genus *Testudo*
- =439 Also referenced as in genus *Testudo*
- =440 Also referenced as in genus *Aspideretes*
- =441 Formerly included in *Podocnemis* spp.
- =442 Also referenced as *Pelusios subniger*

- =443 Includes *Alligatoridae*, *Crocodylidae* and *Gavialidae*
- =444 Also referenced as *Crocodylus mindorensis*
- =445 Formerly included in *Chamaeleo* spp.
- =446 Also referenced as *Constrictor constrictor occidentalis*
- =447 Includes synonym *Python molurus pimbura*
- =448 Includes synonym *Pseudoboa cloelia*
- =449 Also referenced as *Hydrodynastes gigas*
- =450 Also referenced as *Alsophis chamissonis*
- =451 Formerly included in genus *Natrix*
- =452 Includes generic synonym *Megalobatrachus*
- =453 *Sensu* D'Abrera
- =454 Also referenced as *Conchodromus dromas*
- =455 Also referenced in genera *Dysnomia* and *Plagiola*
- =456 Includes generic synonym *Proptera*
- =457 Also referenced in genus *Carunculina*
- =458 Also referenced as *Megaloniaias nickliniana*
- =459 Also referenced as *Cyrtonaias tampicoensis tecomatensis* and *Lampsilis tampicoensis tecomatensis*
- =460 Includes generic synonym *Micromya*
- =461 Includes generic synonym *Papuina*
- =462 Includes only the family Helioporidae with one species *Heliopora coerulea*
- =463 Also referenced as *Podophyllum emodi* and *Sinopodophyllum hexandrum*
- =464 Also referenced in genus *Echinocactus*
- =465 Also referenced as *Lobeira macdougallii* or *Nopalxochia macdougallii*
- =466 Also referenced as *Echinocereus lindsayi*
- =467 Also referenced as *Wilcoxia schmollii*
- =468 Also referenced in genus *Coryphantha*
- =469 Also referenced as *Solisia pectinata*
- =470 Also referenced as *Backebergia militaris*
- =471 Also referenced in genus *Toumeya*
- =472 Includes synonym *Ancistrocactus tobuschii*
- =473 Also referenced in genus *Neolloydia* or in genus *Echinomastus*
- =474 Also referenced in genus *Toumeya* or in genus *Pediocactus*
- =475 Also referenced in genus *Neolloydia*
- =476 Also referenced as *Saussurea lappa*
- =477 Includes *Euphorbia cylindrifolia tuberifera*
- =478 Also referenced as *Euphorbia capsaintemariensis* var. *tulearensis*
- =479 Also referenced as *Engelhardia pterocarpa*
- =480 Includes *Aloe compressa* var. *rugosquamosa* and *Aloe compressa* var. *schistophila*
- =481 Includes *Aloe haworthioides* var. *aurantiaca*
- =482 Includes *Aloe laeta* var. *maniaensis*
- =483 Includes families *Apostasiaceae* and *Cypripediaceae* as subfamilies *Apostasioideae* and *Cypripedioideae*

- =484 Also referenced as *Sarracenia rubra alabamensis*
- =485 Also referenced as *Sarracenia rubra jonesii*
- =486 Includes synonym *Stangeria paradoxa*
- =487 Also referenced as *Taxus baccata wallichiana*
- =488 Includes synonym *Welwitschia bainesii*
- =489 Includes synonym *Vulpes vulpes leucopus*.

16. The symbol '°', followed by a number placed against the name of a species or higher taxon shall be interpreted as follows:

- °501 Specimens of domesticated forms are not subject to the provisions of the Regulation.
- °502 Annual exports quotas for live specimens and hunting trophies are granted as follows:
 - Botswana: 5
 - Namibia: 150
 - Zimbabwe: 50The trade in such specimens is subject to the provisions of Article 4 (1) of the Regulation
- °503 For the exclusive purpose of allowing international trade in live animals to appropriate and acceptable destinations and hunting trophies.
- °504 For the exclusive purpose of allowing international trade in wool sheared from live vicunas of the populations included in Annex B (see +207), and the extant stock in Peru of 3 249 kg of wool, and in cloth and items made thereof. The reverse side of the cloth must bear the logotype by the range states of the species, which are signatories to the *Convenio para la conservacion y manejo de la vicuna*, and the selvages either the words Vicunandes — Chile or the words Vicunandes — Peru, depending on the country of origin.
- °505 Fossils are not subject to the provisions of the Regulation.
- °506 No exports of adult plants of *Pachypodium brevicaule* from Madagascar are permitted until the 10th meeting of the Conference of the Parties.
- °507 Seedling or tissue cultures obtained *in vitro*, in solid or liquid media, transported in sterile containers are not subject to the provisions of the Regulation.

17. In accordance with Article 2 (t) of the Regulation, the symbol '#' followed by a number placed against the name of a species or higher taxon included in Annex B designates parts or derivatives which are specified in relation thereto for the purposes of the Regulation as follows:

- #1 designates all parts and derivatives, except:
 - (a) seeds, spores and pollen (including pollinia); and
 - (b) seedling or tissue cultures obtained *in vitro*, in solid or liquid media, transported in sterile containers;
- #2 designates all parts and derivations, except:
 - (a) seeds and pollen;
 - (b) seedling or tissue cultures obtained *in vitro*, in solid or liquid media, transported in sterile containers, and
 - (c) chemical derivations;
- #3 designates roots and readily recognizable parts thereof;
- #4 designates all parts and derivatives, except:
 - (a) seeds and pollen;
 - (b) seedling or tissue cultures obtained *in vitro*, in solid or liquid media, transported in sterile containers;
 - (c) fruits and parts and derivatives thereof of naturalized or artificially propagated plants; and
 - (d) separate stem joints (pads) and parts and derivatives therefore of naturalized or artificially propagated plants of the genus *Opuntia* subgenus *Opuntia* spp.;

- #5 designates saw-logs, sawn wood and veneers;
 - #6 designates logs, wood-chips and unprocessed broken material;
 - #7 designates all parts and derivatives, except:
 - (a) seeds and pollen (including pollinia);
 - (b) seedling or tissue cultures obtained *in vitro*, in solid or liquid media, transported in sterile containers;
 - (c) cut flowers of artificially propagated plants; and
 - (d) fruits and parts and derivatives thereof of artificially propagated plants of the genus *Vanilla*.
 - #8 designates all parts and derivatives, except:
 - (a) seeds and pollen;
 - (b) seedling or tissue cultures obtained *in vitro*, in solid or liquid media, transported in sterile containers; and
 - (c) finished pharmaceutical products.
18. As none of the species or higher taxa of Flora included in Annex A is annotated to the effect that their hybrids shall be treated in accordance with the provisions of Article 4.1 of the Regulation, this means that artificially propagated hybrids produced from one or more of these species or taxa may be traded with a certificate of artificial propagation, and that seeds and pollen (including pollinia), cut flowers, seedling tissue cultures obtained *in vitro*, in solid or liquid media, transported in sterile containers of these hybrids are not subject to the provisions of the Regulation.

| | Annex A | Annex B | Annex C |
|------------------------|---|--|---------|
| FAUNA | | | |
| MAMMALIA | | | |
| MONOTREMATA | | | |
| <i>Tachyglossidae</i> | | <i>Zaglossus</i> spp. (II) (Long-nosed echidna) | |
| DASYUROMORPHA | | | |
| <i>Dasyuridae</i> | <i>Sminthopsis longicaudata</i> (I) (Long-tailed dunnart) <i>Sminthopsis psammophila</i> (I) (Sandhill dunnart) | | |
| <i>Thylacinidae</i> | <i>Thylacinus cynocephalus</i> p.e. (I) (Thylacine) | | |
| PERAMELEMORPHIA | | | |
| <i>Peramelidae</i> | <i>Chaeropus ecaudatus</i> p.e. (I) (Pig-footed bandicoot) <i>Macrotis lagotis</i> (I) (Greater bilby) <i>Macrotis leucura</i> (I) (Lesser bilby) <i>Perameles bougainville</i> (I) (Western barred bandicoot) | | |
| DIPROTODONTIA | | | |
| <i>Phalangeridae</i> | | <i>Phalanger orientalis</i> (II) (Grey cuscus) <i>Spilocuscus maculatus</i> (II) =301 (Common spotted cuscus) | |
| <i>Burramyidae</i> | | <i>Burramys parvus</i> (II) (Mountain pygmy possum) | |
| <i>Vombatidae</i> | <i>Lasiorhinus krefftii</i> (I) (Northern hairy-nosed wombat) | | |
| <i>Macropodidae</i> | | <i>Dendrolagus bennettianus</i> (II) (Bennett's tree-kangaroo) <i>Dendrolagus dorianus</i> (Unicoloured tree kangaroo) <i>Dendrolagus goodfellowi</i> (Goodfellow's tree kangaroo) <i>Dendrolagus inustus</i> (II) (Grizzled tree-kangaroo) <i>Dendrolagus lumholtzi</i> (II) (Lumholtz's tree-kangaroo) <i>Dendrolagus matschiei</i> (Matschie's tree kangaroo) <i>Dendrolagus ursinus</i> (II) (Vogelkop tree-kangaroo) | |
| | <i>Lagorchestes hirsutus</i> (I) (Rufous hare-wallaby) <i>Lagostrophus fasciatus</i> (I) (Banded hare-wallaby) | | |

| | Annex A | Annex B | Annex C |
|-----------------------|---|--|--|
| <i>Potoroidae</i> | <i>Onychogalea fraenata</i> (I) (Bridled nailtail wallaby) <i>Onychogalea lunata</i> (I) (Crescent nailtail wallaby) <i>Bettongia</i> spp. (I) (Bettongs) <i>Caloprymnus campestris</i> p.e. (I) (Desert rat-kangaroo) | | |
| CHIROPTERA | | | |
| <i>Pteropodidae</i> | <i>Acerodon jubatus</i> (I) (Golden-capped fruit bat) <i>Acerodon lucifer</i> (I) (Panay giant fruit bat) <i>Pteropus insularis</i> (I) (Truk flying-fox) <i>Pteropus livingstonei</i> (II) (Comoro black flying-fox) <i>Pteropus mariannus</i> (I) (Micronesian flying-fox) <i>Pteropus molossinus</i> (I) (Pohnpei flying-fox) <i>Pteropus phaeocephalus</i> (I) (Mortlock flying-fox) <i>Pteropus pilosus</i> (I) (Large Palau flying-fox) <i>Pteropus rodricensis</i> (II) (Rodrigues flying-fox) <i>Pteropus samoensis</i> (I) (Samoan flying-fox) <i>Pteropus tonganus</i> (I) (Insular flying-fox) <i>Pteropus voeltzkowi</i> (II) (Pemba flying-fox) | <i>Acerodon</i> spp.* (II) (Flying-foxes) <i>Pteropus</i> spp.* (II) (Flying-foxes) | |
| <i>Phyllostomidae</i> | | | <i>Platyrrhinus lineatus</i> (III UY) =302 (White-lined bat) |
| PRIMATES | | | |
| <i>Lemuridae</i> | <i>Lemuridae</i> spp. (I) (Lemurs) | | |
| <i>Megaladapidae</i> | <i>Megaladapidae</i> spp. (I) =304 (Sportive lemurs) | | |
| <i>Cheirogaleidae</i> | <i>Cheirogaleidae</i> spp. (I) (Dwarf lemurs and mouse-lemurs) | | |
| <i>Indriidae</i> | <i>Indriidae</i> spp. (I) (Indri, sifakas and woolly lemurs) | | |
| <i>Daubentoniidae</i> | <i>Daubentonia madagascariensis</i> (I) (Aye-aye) | | |
| <i>Tarsiidae</i> | <i>Tarsius</i> spp. (II) (Tarsiers) | Primates spp.* (II) =303 (Primates) | |

| | Annex A | Annex B | Annex C |
|------------------------|--|---------|---------|
| <i>Callithricidae</i> | <p><i>Callimico goeldii</i> (I) (Goeldi's marmoset)</p> <p><i>Callithrix aurita</i> (I) =305 (Buffy-tufted-ear marmoset)</p> <p><i>Callithrix flaviceps</i> (I) =305 (Buffy-headed marmoset)</p> <p><i>Leontopithecus</i> spp. (I) =306 (Lion tamarins)</p> <p><i>Saguinus bicolor</i> (I) (Bare-faced tamarin)</p> <p><i>Saguinus geoffroyi</i> (I) =307 (Rufous-naped tamarin)</p> <p><i>Saguinus leucopus</i> (I) (White-footed tamarin)</p> <p><i>Saguinus oedipus</i> (I) (Cotton-headed tamarin)</p> | | |
| <i>Cebidae</i> | <p><i>Alouatta coibensis</i> (I) =308 (Coibensis howler)</p> <p><i>Alouatta palliata</i> (I) (Mantled howler)</p> <p><i>Alouatta pigra</i> (I) =308 (Guatemalan howler)</p> <p><i>Ateles geoffroyi frontatus</i> (I) (Black-browed spider monkey)</p> <p><i>Ateles geoffroyi panamensis</i> (I) (Red spider monkey)</p> <p><i>Brachyteles arachnoides</i> (I) (Woolly spider monkey)</p> <p><i>Cacajao</i> spp. (I) (Uakaris)</p> <p><i>Callicebus personatus</i> (II) (Masked titi)</p> <p><i>Chiropotes albinasus</i> (I) (White-nosed saki)</p> <p><i>Lagothrix flavicauda</i> (I) (Yellow-tailed woolly monkey)</p> <p><i>Saimiri oerstedii</i> (I) (Central American squirrel monkey)</p> | | |
| <i>Cercopithecidae</i> | <p><i>Cercocebus galeritus</i> (I/II) ×701 (Crested mangabey)</p> <p><i>Cercopithecus diana</i> (I) =309 (Diana guenon)</p> <p><i>Cercopithecus solatus</i> (II) (Sun-tailed monkey)</p> <p><i>Colobus satanas</i> (II) (Black colobus)</p> <p><i>Macaca silenus</i> (I) (Lion-tailed macaque)</p> <p><i>Mandrillus leucophaeus</i> (I) =310 (Drill)</p> <p><i>Mandrillus sphinx</i> (I) (Mandrill)</p> <p><i>Nasalis concolor</i> (I) =311 (Pig-tailed langur)</p> <p><i>Nasalis larvatus</i> (I) (Proboscis monkey)</p> <p><i>Presbytis potenziani</i> (I) (Mentawai leaf monkey)</p> | | |

| | Annex A | Annex B | Annex C |
|------------------------|---|--|--|
| | <i>Procolobus pennantii</i> (I/II) ×702 (Eastern red colobus) <i>Procolobus preussi</i> (II) (Preuss's colobus) <i>Procolobus rufomitratus</i> (I) =312 (Tana River colobus) <i>Pygathrix</i> spp. (I) =313 (Snub-nosed monkeys) <i>Semnopithecus entellus</i> (I) =314 (Hanuman langur) <i>Trachypithecus francoisi</i> (II) (François' leaf monkey) <i>Trachypithecus geei</i> (I) =315 (Golden langur) <i>Trachypithecus johnii</i> (II) (Nilgiri langur) <i>Trachypithecus pileatus</i> (I) =316 (Capped langur) | | |
| Hylobatidae | <i>Hylobatidae</i> spp. (I) (Gibbons) | | |
| Hominidae | <i>Gorilla gorilla</i> (I) (Gorilla) <i>Pan</i> spp. (I) (Chimpanzees) <i>Pongo pygmaeus</i> (I) (Orang-utan) | | |
| XENARTHA | | | |
| Myrmecophagidae | | <i>Myrmecophaga tridactyla</i> (II) (Giant anteater) | <i>Tamandua mexicana</i> (III GT) = 317 (Tamandua) |
| Bradypodidae | | <i>Bradypus variegatus</i> (II) =318 (Brown-throated sloth) | |
| Megalonychidae | | | <i>Choloepus hoffmanni</i> (III CR) (Hoffmann's two-toed sloth) |
| Dasypodidae | | | <i>Cabassous centralis</i> (III CR) (Northern naked-tailed armadillo) <i>Cabassous tatouay</i> (III UY) =319 (Greater naked-tailed armadillo) |
| | <i>Priodontes maximus</i> (I) =320 (Giant armadillo) | | |
| PHOLIDOTA | | | |
| Manidae | | <i>Manis</i> spp. (II) (Pangolins) | |
| LAGOMORPHA | | | |
| Leporidae | <i>Caprolagus hispidus</i> (I) (Hispid rabbit) <i>Romerolagus diazi</i> (I) (Volcano rabbit) | | |

| | Annex A | Annex B | Annex C |
|-----------------------|---|---|---|
| RODENTIA | | | |
| <i>Sciuridae</i> | <i>Cynomys mexicanus</i> (I) (Mexican prairie dog) | | <i>Epixerus ebii</i> (III GH) (Ebian's palm squirrel) <i>Marmota caudata</i> (III IN) (Long-tailed marmot) <i>Marmota himalayana</i> (III IN) (Himalayan marmot) |
| <i>Anomaluridae</i> | | <i>Ratufa</i> spp. (II) (Giant squirrels) | <i>Sciurus deppei</i> (III CR) (Deppe's squirrel) <i>Anomalurus beecrofti</i> (III GH) (Beecroft's flying squirrel) <i>Anomalurus derbianus</i> (III GH) (Lord Derby's flying squirrel) <i>Anomalurus pelii</i> (III GH) (Pel's flying squirrel) <i>Idiurus macrotis</i> (III GH) (Long-eared flying squirrel) |
| <i>Muridae</i> | <i>Leporillus conditor</i> (I) (Greater stick-nest rat) <i>Pseudomys praeconis</i> (I) (Shark Bay mouse) <i>Xeromys myoides</i> (I) (False water-rat) <i>Zyzomys pedunculatus</i> (I) (Central rock-rat) | | |
| <i>Hystriidae</i> | <i>Hystrix cristata</i> (III GH) (North African crested porcupine) | | |
| <i>Erethizontidae</i> | | | <i>Sphiggurus mexicanus</i> (III HN) =321 (Mexican tree porcupine) <i>Sphiggurus spinosus</i> (III UY) =321 (Spiny tree porcupine) |
| <i>Agoutidae</i> | | | <i>Agouti paca</i> (III HN) =322 (Spotted paca) |
| <i>Dasyproctidae</i> | | | <i>(Dasyprocta punctata)</i> (III HN) (Central American agouti) |
| <i>Chinchillidae</i> | <i>Chinchilla</i> spp. (I) *501 (Chinchillas) | | |
| CETACEA | <i>Cetacea</i> spp. (I/II) *703 (Cetaceans) | | |
| CARNIVORA | | | |
| <i>Canidae</i> | <i>Canis lupus</i> ** (I/II) -101 *703 bis (Grey wolf) <i>Canis simensis</i> (Simian fox) | <i>Canis lupus</i> * (II) +201 (Grey wolf) | <i>Canis aureus</i> (III IN) (Golden jackal) |

| | Annex A | Annex B | Annex C |
|--------------------|--|---|---|
| | | <i>Cerdocyon thous</i> (II) (Forest fox) <i>Chrysocyon brachyurus</i> (II) (Maned wolf) <i>Cuon alpinus</i> (II) (Asiatic wild dog) <i>Pseudalopex culpaeus</i> (II) =323 (Colpeo fox) <i>Pseudalopex griseus</i> (II) =324 (Argentine grey fox) <i>Pseudalopex gymnocercus</i> (II) =323 (Pampa fox) | |
| | <i>Speothos venaticus</i> (I) (Bush dog) | <i>Vulpes cana</i> (II) (Blanford's fox) <i>Vulpes zerda</i> (II) =325 (Fennec fox) | <i>Vulpes bengalensis</i> (III IN) (Bengal fox) |
| <i>Ursidae</i> | <i>Ailuropoda melanoleuca</i> (I) (Giant panda) <i>Ailurus fulgens</i> (I) (Lesser panda) <i>Helarctos malayanus</i> (I) (Sun bear) <i>Melursus ursinus</i> (I) (Sloth bear) <i>Tremarctos ornatus</i> (I) (Spectacled bear) <i>Ursus arctos</i> (I/II) ×705 (Brown bear) <i>Ursus thibetanus</i> (I) =326 (Asiatic black bear) | <i>Ursidae</i> spp.* (II) (Bears) | |
| <i>Procyonidae</i> | | | <i>Bassaricyon gabbii</i> (III CR) (Bushy-tailed olingo) <i>Bassariscus sumichrasti</i> (III CR) (Central American cacomistle) <i>Nasua narica</i> (III HN) =327 (Coati) <i>Nasua nasua solitaria</i> (III UY) (South Brazilian coati) <i>Potos flavus</i> (III HN) (Kinkajou) |
| <i>Mustelidae</i> | <i>Aonyx congicus</i> ** (I) +202 =328 (Cameroon clawless otter) | <i>Conepatus humboldtii</i> (II) (Patagonian hog-nosed skunk) | <i>Eira barbara</i> (III HN) (Tayra) |
| | <i>Enhydra lutris nereis</i> (I) (Southern sea otter) | | <i>Galictis vittata</i> (III CR) =329 (Greater grison) |
| | <i>Lontra felina</i> (I) =330 (Marine otter) <i>Lontra longicaudis</i> (I) =331 (South American river otter) | | |

| | Annex A | Annex B | Annex C |
|--------------------|--|---|--|
| | <p><i>Lontra provocax</i> (I) =330 (Southern river otter)</p> <p><i>Lutra lutra</i> (I) (Eurasian otter)</p> | <p><i>Lutrinae</i> spp. * (II) (Otters)</p> | <p><i>Martes flavigula</i> (III IN) (Yellow-throated marten)</p> <p><i>Martes foina intermedia</i> (III IN) (Stone marten)</p> <p><i>Martes gwatkinsii</i> (III IN) (Nilgiri marten)</p> <p><i>Mellivora capensis</i> (III BW/GH) (Honey badger)</p> <p><i>Mustela altaica</i> (III IN) (Mountain weasel)</p> <p><i>Mustela kathiah</i> (III IN) (Yellow-bellied weasel)</p> |
| | <p><i>Mustela nigripes</i> (I) (Black-footed ferret)</p> | | <p><i>Mustela sibirica</i> (III IN) (Siberian weasel)</p> |
| | <p><i>Pteronura brasiliensis</i> (I) (Giant otter)</p> | | <p><i>Arctictis binturong</i> (III IN) (Binturong)</p> <p><i>Civettictis civetta</i> (III BW) =332 (African civet)</p> |
| <i>Viverridae</i> | | <p><i>Cryptoprocta ferox</i> (II) (Fossa)</p> <p><i>Cynogale bennettii</i> (II) (Otter-civet)</p> <p><i>Eupleres goudotii</i> (II) =333 (Falanouc)</p> <p><i>Fossa fossana</i> (II) (Malagasy civet)</p> <p><i>Hemigalus derbyanus</i> (II) (Banded palm civet)</p> | <p><i>Paguma larvata</i> (III IN) (Masked palm civet)</p> <p><i>Paradoxurus hemaphroditus</i> (III IN) (Common palm civet)</p> <p><i>Paradoxurus jerdoni</i> (III IN) (Jerdon's palm civet)</p> |
| | <p><i>Prionodon pardicolor</i> (I) (Spotted linsang)</p> | <p><i>Prionodon linsang</i> (II) (Banded linsang)</p> | <p><i>Viverra civettima</i> (III IN) =334 (Malabar large-spotted civet)</p> <p><i>Viverra zibetha</i> (III IN) (Large Indian civet)</p> <p><i>Viverricula indica</i> (III IN) (Small Indian civet)</p> |
| <i>Herpestidae</i> | | | <p><i>Herpestes brachyurus fusca</i> (III IN) =335 (Indian brown mongoose)</p> <p><i>Herpestes edwardsii</i> (III IN) (Indian grey mongoose)</p> |

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|------------------|--|---|---|
| | | | <i>Herpestes javanicus auropunctata</i> (III IN) =336 (Small Indian mongoose) <i>Herpestes smithii</i> (III IN) (Ruddy mongoose) <i>Herpestes urva</i> (III IN) (Crab-eating mongoose) <i>Herpestes vitticollis</i> (III IN) (Stripe-necked mongoose) |
| <i>Hyaenidae</i> | | <i>Parahyaena brunnea</i> (II) =337 (Brown hyaena) | <i>Proteles cristatus</i> (III BW) (Aardwolf) |
| <i>Felidae</i> | <i>Acinonyx jubatus</i> (I) °502 (Cheetah) <i>Caracal caracal</i> ** (I) +203 =338 (Asian Caracal) <i>Catopuma temminckii</i> (I) =339 (Asiatic golden cat) <i>Felis nigripes</i> (I) (Black-footed cat) <i>Felis silvestris</i> (II) (Wild cat) <i>Herpailurus yaguarondi</i> ** (I) +204 =339 (Jaguarundi) <i>Leopardus pardalis</i> (I) =339 (Ocelot) <i>Leopardus tigrinus</i> (I) =339 (Little spotted cat) <i>Leopardus wiedii</i> (I) =339 (Margay) <i>Lynx lynx</i> (II) =339 (Eurasian lynx) <i>Lynx pardinus</i> (I) =340 (Spanish lynx) <i>Neofelis nebulosa</i> (I) (Clouded leopard) <i>Oncifelis geoffroyi</i> (I) =339 (Geoffroy's cat) <i>Oreailurus jacobita</i> (I) =339 (Andean cat) <i>Panthera leo persica</i> (I) (Asiatic lion) <i>Panthera onca</i> (I) (Jaguar) <i>Panthera pardus</i> (I) (Leopard) <i>Panthera tigris</i> (I) (Tiger) <i>Pardofelis marmorata</i> (I) =339 (Marbled cat) <i>Prionailurus bengalensis bengalensis</i> ** (I) +205 =339 (Bengal leopard cat) <i>Prionailurus bengalensis iriomotensis</i> (II) (Iriomote cat) | <i>Felidae</i> spp. * (II) (Cats) | |

| | Annex A | Annex B | Annex C |
|-----------------------|--|--|---------|
| | <i>Prionailurus planiceps</i> (I) =339 (Flat-headed cat) <i>Prionailurus rubiginosus</i> ** (I) +206 (Rusty-spotted cat) <i>Puma concolor coryi</i> (I) =339 (Florida puma) <i>Puma concolor costaricensis</i> (I) =339 (Costa Rican puma) <i>Puma concolor cougar</i> (I) =339 (Eastern puma) <i>Uncia uncia</i> (I) =341 (Snow leopard) | | |
| Otariidae | | <i>Arctocephalus</i> spp.* (II) (Fur seals) | |
| | <i>Arctocephalus philippii</i> (II) (Juan Fernandez fur seal) <i>Arctocephalus townsendi</i> (I) (Guadelupe fur seal) | | |
| Odobenidae | | <i>Odobenus rosmarus</i> (III CA) (Walrus) | |
| Phocidae | | <i>Mirounga leonina</i> (II) (Southern elephant-seal) | |
| | <i>Monachus</i> spp. (I) (Monk seals) | | |
| PROBOSCIDEA | | | |
| Elephantidae | <i>Elephas maximus</i> (I) (Asian elephant) <i>Loxodonta africana</i> (I) (African elephant) | | |
| SIRENIA | | | |
| Dugongidae | <i>Dugong dugon</i> (I/II) x706 (Dugong) | | |
| Trichechidae | <i>Trichechidae</i> spp. (I/II) x707 (Manatees) | | |
| PERISSODACTYLA | | | |
| Equidae | <i>Equus africanus</i> (I) =342 (African ass) <i>Equus grevyi</i> (I) (Grevy's zebra) <i>Equus hemionus</i> (I/II) x708 (Asiatic wild ass) <i>Equus kiang</i> (II) =343 (Kiang) <i>Equus onager khur</i> (I) =343 (Indian wild ass) <i>Equus przewalskii</i> (I) =344 (Przewalski's horse) <i>Equus zebra zebra</i> (I) (Cape mountain zebra) | <i>Equus onager</i> * (II) =343 (Onager ass) <i>Equus zebra hartmannae</i> (II) (Hartmann's mountain zebra) | |

| | Annex A | Annex B | Annex C |
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| <i>Tapiridae</i> | <i>Tapiridae</i> spp.** (I) (Tapirs) | <i>Tapirus terrestris</i> (II) (Brazilian tapir) | |
| <i>Rhinocerotidae</i> | <i>Rhinocerotidae</i> spp.** (I) (Rhinoceroses) | <i>Ceratotherium simum simum</i> (II) °502 (Southern white rhino) | |
| ARTIODACTYLA | | | |
| <i>Suidae</i> | <i>Babyrousa babyrossa</i> (I) (Babirusa) <i>Sus salvanus</i> (I) (Pygmy hog) | | |
| <i>Tayassuidae</i> | <i>Catagonus wagneri</i> (I) (Chacoan peccary) | <i>Tayassuidae</i> spp.* (II) -102 (Peccaries) | |
| <i>Hippopotamidae</i> | | <i>Hexaprotodon liberiensis</i> (II) =345 (Pygmy hippopotamus) <i>Hippopotamus amphibius</i> (II) (Hippopotamus) | |
| <i>Camelidae</i> | <i>Vicugna vicugna</i> ** (I) -103 (Vicuña) | <i>Lama guanicoe</i> (II) (Guanaco) <i>Vicugna vicugna</i> * (II) °504 +207 (Vicuña) | |
| <i>Tragulidae</i> | | | <i>Hyemoschus aquaticus</i> (III GH) (Water chevrotain) |
| <i>Moschidae</i> | <i>Moschus</i> spp.** (I) +208 (Musk deer) | <i>Moschus</i> spp.* (II) -104 (Musk deer) | |
| <i>Cervidae</i> | <i>Axis porcinus annamiticus</i> (I) =346 (Indochina hog deer) <i>Axis porcinus calamianensis</i> (I) =347 (Calamian hog deer) <i>Axis porcinus kuhli</i> (I) =348 (Kuhl's hog deer) <i>Blastocerus dichotomus</i> (I) (Marsh deer) <i>Cervus duvaucelii</i> (I) (Swamp deer) | <i>Cervus elaphus bactrianus</i> (II) (Bactrian deer) | <i>Cervus elaphus barbarus</i> (III TN) (Barbary deer) |
| | <i>Cervus elaphus hanglu</i> (I) (Hangul) <i>Cervus eldi</i> (I) (Thamin) <i>Dama mesopotamica</i> (I) =349 (Persian fallow deer) <i>Hippocamelus</i> spp. (I) (Huemuls) | | <i>Mazama americana cerasina</i> (III GT) (Middle American red brocket) |

| | Annex A | Annex B | Annex C |
|-----------------------|--|--|--|
| | <p><i>Megamuntiacus vuquanghensis</i> (I) (Giant muntjac)</p> <p><i>Muntiacus crinifrons</i> (I) (Black muntjac)</p> <p><i>Ozotoceros bezoarticus</i> (I) (Pampas deer)</p> <p><i>Pudu pudu</i> (I) (Southern pudu)</p> | | |
| Antilocapridae | <p><i>Antilocapra americana</i> (I) (Mexican pronghorn)</p> | <p><i>Pudu mephistophiles</i> (II) (Northern pudu)</p> | <p><i>Odocoileus virginianus mayensis</i> (III GT) (Guatemalan white-tailed deer)</p> |
| Bovidae | <p><i>Addax nasomaculatus</i> (I) (Addax)</p> <p><i>Bison bison athabascae</i> (I) (Wood bison)</p> <p><i>Bos gaurus</i> (I) =350 (Gaur)</p> <p><i>Bos mutus</i> (I) °501 =351 (Wild yak)</p> <p><i>Bos sauveli</i> (I) =352 (Kouprey)</p> <p><i>Bubalus depressicornis</i> (I) =354 (Lowland anoa)</p> <p><i>Bubalus mindorensis</i> (I) =354 (Tamaraw)</p> <p><i>Bubalus quarlesi</i> (I) =354 (Mountain anoa)</p> <p><i>Capra falconeri</i> (I) (Markhor)</p> <p><i>Cephalophus jentinki</i> (I) (Jentink's duiker)</p> | <p><i>Ammotragus lervia</i> (II) (Barbary sheep)</p> <p><i>Budorcas taxicolor</i> (II) (Takin)</p> <p><i>Cephalophus dorsalis</i> (II) (Bay duiker)</p> <p><i>Cephalophus monticola</i> (II) (Blue duiker)</p> <p><i>Cephalophus ogilbyi</i> (II) (Ogilby's duiker)</p> <p><i>Cephalophus sylvicultor</i> (II) (Yellow-backed duiker)</p> <p><i>Cephalophus zebra</i> (II) (Banded duiker)</p> <p><i>Damaliscus pygargus pygargus</i> (II) =355 (Bontebok)</p> <p><i>Gazella cuvieri</i> (III TN) (Edmi gazelle)</p> | <p><i>Antilope cervicapra</i> (III NP) (Blackbuck)</p> <p><i>Bubalus arnee</i> (III NP) =353 (Wild Asiatic buffalo)</p> <p><i>Damaliscus lunatus</i> (III GH) (Tsessebi)</p> |

| | Annex A | Annex B | Annex C |
|--|---|---|---|
| | <p><i>Gazella dama</i> (I) (Dama gazelle)</p> <p><i>Hippotragus niger variani</i> (I) (Giant sable antelope)</p> <p><i>Naemorhedus baileyi</i> (I) =356 (Red goral)</p> <p><i>Naemorhedus caudatus</i> (I) =356 (Chinese goral)</p> <p><i>Naemorhedus goral</i> (I) (Goral)</p> <p><i>Naemorhedus sumatraensis</i> (I) =357 (Mainland serow)</p> <p><i>Oryx dammah</i> (I) =358 (Scimitar-horned oryx)</p> <p><i>Oryx leucoryx</i> (I) (Arabian oryx)</p> <p><i>Ovis ammon hodgsonii</i> (I) (Tibetan argali)</p> <p><i>Ovis orientalis ophion</i> (I) =359 (Cyprus mouflon)</p> <p><i>Ovis vignei</i> (I) (Urial)</p> <p><i>Pantholops hodgsonii</i> (I) (Chiru)</p> <p><i>Pseudoryx nghetinhensis</i> (I) (Vu Quang ox)</p> <p><i>Rupicapra pyrenaica ornata</i> (I) =360 (Abruzzo chamois)</p> | <p><i>Gazella dorcas</i> (III TN) (Dorcas gazelle)</p> <p><i>Gazella leptoceros</i> (III TN) (Rhim)</p> <p><i>Kobus leche</i> (II) (Lechwe)</p> <p><i>Ovis ammon</i> * (II) (Argali)</p> <p><i>Ovis canadensis</i> (II) +209 (Mexican bighorn sheep)</p> <p><i>Saiga tatarica</i> (II) (Saiga antelope)</p> | <p><i>Tetracerus quadricornis</i> (III NP) (Four-horned antelope)</p> <p><i>Tragelaphus eurycerus</i> (III GH) =361 (Bongo)</p> <p><i>Tragelaphus spekei</i> (III GH) (Sitatunga)</p> |

| | Annex A | Annex B | Annex C |
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| AVES | | | |
| STRUTHIONIFORMES | | | |
| <i>Struthionidae</i> | <i>Struthio camelus</i> (I) +210 (Ostrich) | | |
| RHEIFORMES | | | |
| <i>Rheidae</i> | <i>Rhea pennata</i> (I) =362 (Lesser rhea) | <i>Rhea americana</i> (II) (Greater rhea) | |
| TINAMIFORMES | | | |
| <i>Tinamidae</i> | <i>Tinamus solitarius</i> (I) (Solitary tinamou) | | |
| SPHENISCIFORMES | | | |
| <i>Spheniscidae</i> | <i>Spheniscus humboldti</i> (I) (Humboldt penguin) | <i>Spheniscus demersus</i> (II) (Jackass penguin) | |
| PODICIPEDIFORMES | | | |
| <i>Podicipedidae</i> | <i>Podilymbus gigas</i> (I) (Atitlan Grebe) | | |
| PROCELLARIIFORMES | | | |
| <i>Diomedeidae</i> | <i>Diomedea albatrus</i> (I) (Short-tailed albatross) | | |
| PELECANIFORMES | | | |
| <i>Pelecanidae</i> | <i>Pelecanus crispus</i> (I) (Dalmatian pelican) | | |
| <i>Sulidae</i> | <i>Papasula abbotti</i> (I) =363 (Abbott's booby) | | |
| <i>Fregatidae</i> | <i>Fregata andrewsi</i> (I) (Christmas frigatebird) | | |
| CICONIIFORMES | | | |
| <i>Ardeidae</i> | <i>Bubulcus ibis</i> (III GH) =364 (Cattle egret) <i>Casmerodius albus</i> (III GH) =365 (Great egret) <i>Egretta garzetta</i> (III GH) (Little egret) | | <i>Ardea goliath</i> (III GH) (Goliath heron) |

| | Annex A | Annex B | Annex C |
|--------------------------|---|---|---|
| <i>Balaenicipitidae</i> | | <i>Balaeniceps rex</i> (II) (Shoebill) | |
| <i>Ciconiidae</i> | <i>Ciconia boyciana</i> (I) =366 (Oriental stork) <i>Ciconia nigra</i> (II) (Black stork) <i>Ciconia stormi</i> (Storm's stork) | | <i>Ephippiorhynchus senegalensis</i> (III GH) (Saddle-billed stork) <i>Leptoptilos crumeniferus</i> (III GH) (Marabou stork) |
| <i>Threskiornithidae</i> | <i>Jabiru mycteria</i> (I) (Jabiru) <i>Leptoptilos dubius</i> (Greater adjutant stork) <i>Mycteria cinerea</i> (I) (Milky stork) | <i>Eudocimus ruber</i> (II) (Scarlet ibis) | <i>Bostrychia hagedash</i> (III GH) =367 (Hadada ibis) <i>Bostrychia rara</i> (III GH) =368 (Spot-breasted ibis) <i>Threskiornis aethiopicus</i> (III GH) (Sacred ibis) |
| | <i>Geronticus calvus</i> (II) (Bald ibis) <i>Geronticus eremita</i> (I) (Waldrapp) <i>Nipponia nippon</i> (I) (Crested ibis) <i>Platalea leucorodia</i> (II) (Eurasian spoonbill) <i>Pseudibis gigantea</i> (Giant ibis) | | |
| <i>Phoenicopteridae</i> | <i>Phoenicopterus ruber</i> (II) (Greater flamingo) | <i>Phoenicopteridae</i> spp.* (II) (Flamingos) | |
| ANSERIFORMES | | | |
| <i>Anatidae</i> | <i>Anas aucklandica</i> (I) =369 (Brown teal) | <i>Anas bernieri</i> (II) (Madagascar teal) | <i>Alopochen aegyptiacus</i> (III GH) (Egyptian goose) <i>Anas acuta</i> (III GH) (Northern pintail) |

| | Annex A | Annex B | Annex C |
|--|---|---|---|
| | <p><i>Anas laysanensis</i> (I) =371 (Laysan duck)</p> <p><i>Anas oustaleti</i> (I) =372 (Marianas mallard)</p> <p><i>Anas querquedula</i> (III GH) (Garganey)</p> <p><i>Aythya innotata</i> (Madagascar pochard)</p> <p><i>Aythya nyroca</i> (III GH) =373 (Ferruginous duck)</p> <p><i>Branta canadensis leucopareia</i> (I) (Aleutian goose)</p> <p><i>Branta ruficollis</i> (II) (Red-breasted goose)</p> <p><i>Branta sandvicensis</i> (I) (Nene)</p> <p><i>Cairina scutulata</i> (I) (White-winged duck)</p> <p><i>Mergus octosetaceus</i> (Brazilian merganser)</p> <p><i>Oxyura leucocephala</i> (II) (White-headed duck)</p> <p><i>Rhodonessa caryophyllacea</i> p. e. (I) (Pink-headed duck)</p> | <p><i>Anas formosa</i> (II) (Baikal teal)</p> <p><i>Coscoroba coscoroba</i> (II) (Coscoroba swan)</p> <p><i>Cygnus melanocorypha</i> (II) (Black-necked swan)</p> <p><i>Dendrocygna arborea</i> (II) (West Indian whistling-duck)</p> | <p><i>Anas capensis</i> (III GH) (Cape teal)</p> <p><i>Anas clypeata</i> (III GH) =370 (Northern shoveler)</p> <p><i>Anas crecca</i> (III GH) (Common teal)</p> <p><i>Anas penelope</i> (III GH) (Eurasian wigeon)</p> <p><i>Cairina moschata</i> (III HN) (Muscovy duck)</p> <p><i>Dendrocygna autumnalis</i> (III HN) (Black-bellied whistling-duck)</p> <p><i>Dendrocygna bicolor</i> (III GH/HN) =374 (Fulvous whistling-duck)</p> <p><i>Dendrocygna viduata</i> (III GH) (White-faced whistling-duck)</p> <p><i>Nettapus auritus</i> (III GH) (African pygmy-goose)</p> <p><i>Plectropterus gambensis</i> (III GH) (Spur-winged goose)</p> <p><i>Pteronetta hartlaubii</i> (III GH) =375 (Hartlaub's duck)</p> |

| | Annex A | Annex B | Annex C |
|---------------------|---|---|---|
| | | <i>Sarkidiornis melanotos</i> (II) (Comb duck) | |
| FALCONIFORMES | <i>Tadorna cristata</i> (Crested shelduck) | | |
| <i>Cathartidae</i> | <i>Gymnogyps californianus</i> (I) (California condor) | | <i>Sarcoramphus papa</i> (III HN) (King vulture) |
| | <i>Vultur gryphus</i> (I) (Andean condor) | | |
| <i>Pandionidae</i> | <i>Pandion haliaetus</i> (II) (Osprey) | FALCONIFORMES spp.* (II) -105 (Diurnal birds of prey) | |
| <i>Accipitridae</i> | <i>Accipiter brevipes</i> (II) (Levant sparrowhawk) | | |
| | <i>Accipiter gentilis</i> (II) (Northern goshawk) | | |
| | <i>Accipiter nisus</i> (II) (Eurasian sparrowhawk) | | |
| | <i>Aegypius monachus</i> (II) (Cinereous vulture) | | |
| | <i>Aquila adalberti</i> (I) (Adalbert's eagle) | | |
| | <i>Aquila chrysaetos</i> (II) (Golden eagle) | | |
| | <i>Aquila clanga</i> (II) (Greater spotted eagle) | | |
| | <i>Aquila heliaca</i> (I) =376 (Imperial eagle) | | |
| | <i>Aquila pomarina</i> (II) (Lesser spotted eagle) | | |
| | <i>Buteo buteo</i> (II) (Common buzzard) | | |
| | <i>Buteo lagopus</i> (II) (Rough legged buzzard) | | |
| | <i>Buteo rufinus</i> (II) (Long-legged buzzard) | | |
| | <i>Chondrohierax uncinatus wilsonii</i> (I) =377 (Cuban hook-billed kite) | | |
| | <i>Circaetus gallicus</i> (II) (Short-toed snake-eagle) | | |
| | <i>Circus aeruginosus</i> (II) (Western marsh-harrier) | | |
| | <i>Circus cyaneus</i> (II) (Northern harrier) | | |
| | <i>Circus macrourus</i> (II) (Pallid harrier) | | |

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|-------------------|--|---------|---------|
| | <p><i>Circus pygargus</i> (II) (Montagu's harrier)</p> <p><i>Elanus caeruleus</i> (II) (Black-winged kite)</p> <p><i>Eutriorchis astur</i> (II) (Madagascar serpent-eagle)</p> <p><i>Gypaetus barbatus</i> (II) (Lammergeier)</p> <p><i>Gyps fulvus</i> (II) (Eurasian griffon)</p> <p><i>Haliaeetus</i> spp. (I/II) ×709 (Sea-eagles)</p> <p><i>Harpia harpyja</i> (I) (Harpy eagle)</p> <p><i>Hieraaetus fasciatus</i> (II) (Bonelli's eagle)</p> <p><i>Hieraaetus pennatus</i> (II) (Booted eagle)</p> <p><i>Leucopternis occidentalis</i> (II) (Grey-backed hawk)</p> <p><i>Milvus migrans</i> (II) (Black kite)</p> <p><i>Milvus milvus</i> (II) (Red kite)</p> <p><i>Neophron percnopterus</i> (II) (Egyptian vulture)</p> <p><i>Pernis apivorus</i> (II) (European honey-buzzard)</p> <p><i>Pitheophaga jefferyi</i> (I) (Great Philippine eagle)</p> | | |
| <i>Falconidae</i> | <p><i>Falco araea</i> (I) (Seychelles kestrel)</p> <p><i>Falco biarmicus</i> (II) (Lanner falcon)</p> <p><i>Falco cherrug</i> (II) (Saker falcon)</p> <p><i>Falco columbarius</i> (II) (Merlin)</p> <p><i>Falco eleonora</i> (II) (Eleonora's falcon)</p> <p><i>Falco jugger</i> (I) (Laggar falcon)</p> <p><i>Falco naumanni</i> (II) (Lesser kestrel)</p> <p><i>Falco newtoni</i>** (I) +211 (Newton's kestrel)</p> <p><i>Falco pelegrinoides</i> (I) =378 (Barbary falcon)</p> <p><i>Falco peregrinus</i> (I) (Peregrine falcon)</p> | | |

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| | <i>Falco punctatus</i> (I) (Mauritius kestrel) <i>Falco rusticolus</i> (I) (Gyr Falcon) <i>Falco subbuteo</i> (II) (Eurasian hobby) <i>Falco tinnunculus</i> (II) (Common kestrel) <i>Falco vespertinus</i> (II) (Red-footed falcon) | | |
| GALLIFORMES | | | |
| <i>Megapodiidae</i> | <i>Macrocephalon maleo</i> (I) (Maleo) | | |
| <i>Cracidae</i> | | <i>Crax</i> spp.* (-/III) ×710 (Curassows) | |
| | <i>Crax alberti</i> (III CO) (Blue-knobbed curassow) <i>Crax blumenbachii</i> (I) (Red-billed curassow) <i>Mitu mitu mitu</i> (I) =379 (Alagoas curassow) <i>Oreophasis derbianus</i> (I) (Horned guan) | <i>Ortalis vetula</i> (III GT/HN) (Plain chachalaca) <i>Pauxi</i> spp. (-/III) ×711 =380 (Helmeted curassows) | |
| | <i>Penelope albipennis</i> (I) (White-winged guan) | | <i>Penelope purpurascens</i> (III HN) (Crested guan) |
| | | <i>Penelopina nigra</i> (III GT) (Highland guan) | |
| | <i>Pipile jacutinga</i> (I) =381 (Black-fronted piping guan) <i>Pipile pipile pipile</i> (I) =381 (Trinidad piping guan) | | |
| <i>Phasianidae</i> | | <i>Agelastes meleagrides</i> (III GH) (White-breasted guineafowl) | |
| | | <i>Arborophila charltonii</i> (III MY) (Chestnut-necklaced partridge) <i>Arborophila orientalis</i> (III MY) =382 (Grey-breasted partridge) <i>Argusianus argus</i> (II) (Great argus) | <i>Agriocharis ocellata</i> (III GT) (Ocellated turkey) |
| | | | <i>Caloperdix ocellata</i> (III MY) (Ferruginous partridge) |

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| | <p><i>Catreus wallichii</i> (I) (Cheer pheasant)</p> <p><i>Colinus virginianus ridgwayi</i> (I) (Masked bobwhite)</p> <p><i>Crossoptilon crossoptilon</i> (I) (White eared-pheasant)</p> <p><i>Crossoptilon harmani</i> (I) =383 (Tibetan eared-pheasant)</p> <p><i>Crossoptilon mantchuricum</i> (I) (Brown eared-pheasant)</p> <p><i>Lophophorus impejanus</i> (I) (Himalayan monal)</p> <p><i>Lophophorus lhuysii</i> (I) (Chinese monal)</p> <p><i>Lophorus sclateri</i> (I) (Sclater's monal)</p> <p><i>Lophura edwardsi</i> (I) (Edward's pheasant)</p> <p><i>Lophura imperialis</i> (I) (Imperial pheasant)</p> <p><i>Lophura swinhoii</i> (I) (Swinhoe's pheasant)</p> <p><i>Odontophorus strophium</i> (Gorgeted wood-quail)</p> <p><i>Ophrysia superciliosa</i> (Himalayan quail)</p> <p><i>Polyplectron emphanum</i> (I) (Palawan peacock pheasant)</p> | <p><i>Gallus sonneratii</i> (II) (Grey junglefowl)</p> <p><i>Ithaginis cruentus</i> (II) (Blood pheasant)</p> <p><i>Lophura bulweri</i> (Bulwer's pheasant)</p> <p><i>Lophura diardi</i> (Siamese fireback)</p> <p><i>Lophura erythroptalma</i> (III MY) (Crestless fireback)</p> <p><i>Lophura hatinhensis</i> (Vietnamese fireback)</p> <p><i>Lophura hoogerwerfi</i> (Sumatran pheasant)</p> <p><i>Lophura ignita</i> (III MY) (Crested fireback)</p> <p><i>Lophura inornata</i> (Salvadori's pheasant)</p> <p><i>Lophura leucomelanos</i> (Kalij pheasant)</p> <p><i>Pavo muticus</i> (II) (Green peafowl)</p> <p><i>Polyplectron bicalcaratum</i> (II) (Grey peacock-pheasant)</p> <p><i>Polyplectron germaini</i> (II) (Germain's peacock-pheasant)</p> | <p><i>Melanoperdix nigra</i> (III MY) (Black partridge)</p> <p><i>Polyplectron inopinatum</i> (III MY) (Mountain peacock-pheasant)</p> |

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| | <p><i>Rheinardia ocellata</i> (I) =385 (Crested argus)</p> <p><i>Syrnaticus ellioti</i> (I) (Elliot's pheasant)</p> <p><i>Syrnaticus humiae</i> (I) (Hume's pheasant)</p> <p><i>Syrnaticus mikado</i> (I) (Mikado pheasant)</p> <p><i>Tetraogallus caspius</i> (I) (Caspian snowcock)</p> <p><i>Tetraogallus tibetanus</i> (I) (Tibetan snowcock)</p> <p><i>Tragopan blythii</i> (I) (Blyth's tragopan)</p> <p><i>Tragopan caboti</i> (I) (Cabot's tragopan)</p> <p><i>Tragopan melanocephalus</i> (I) (Western tragopan)</p> <p><i>Tympanuchus cupido attwateri</i> (I) (Attwater's prairie-chicken)</p> | <p><i>Polyplectron malacense</i> (II) (Malayan peacock-pheasant)</p> <p><i>Polyplectron schleiermachersi</i> (II) =384 (Bornean peacock-pheasant)</p> | <p><i>Rhizothera longirostris</i> (III MY) (Long-billed partridge)</p> <p><i>Rollulus rouloul</i> (III MY) (Crested partridge)</p> <p><i>Tragopan satyra</i> (III NP) (Satyr tragopan)</p> |
| GRUIFORMES | | | |
| <i>Turnicidae</i> | | <i>Turnix melanogaster</i> (II) (Black-breasted buttonquail) | |
| <i>Pedionomidae</i> | | <i>Pedionomus torquatus</i> (II) (Plains-wanderer) | |
| <i>Gruidae</i> | <p><i>Grus americana</i> (I) (Whooping crane)</p> <p><i>Grus canadensis</i> (I/II) x712 (Sandhill crane)</p> <p><i>Grus grus</i> (II) (Common crane)</p> <p><i>Grus japonensis</i> (I) (Red-crowned crane)</p> <p><i>Grus leucogeranus</i> (I) (Siberian crane)</p> <p><i>Grus monacha</i> (I) (Hooded crane)</p> <p><i>Grus nigricollis</i> (I) (Black-necked crane)</p> <p><i>Grus vipio</i> (I) (White-necked crane)</p> | <i>Gruidae</i> spp.* (II) (Cranes) | |
| <i>Rallidae</i> | <i>Gallirallus sylvestris</i> (I) =386 (Lord Howe rail) | <i>Gallirallus australis hectori</i> (II) (Buff weka) | |

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| <i>Rhynochetidae</i> | <i>Rhynochetos jubatus</i> (I) (Kagu) | | |
| <i>Otididae</i> | <i>Ardeotis nigriceps</i> (I) =387 (Indian bustard) <i>Chlamydotis undulata</i> (I) (Houbara bustard) <i>Eupodotis indica</i> (II) (Lesser florican) <i>Eupodotis bengalensis</i> (I) =388 (Bengal florican) <i>Otis tarda</i> (II) (Great bustard) <i>Tetrax tetrax</i> (II) (Little bustard) | <i>Otididae</i> spp.* (II) (Bustards) | |
| CHARADRIIFORMES | | | |
| <i>Burhinidae</i> | | | <i>Burhinus bistriatus</i> (III GT) (Double-striped thick-knee) |
| <i>Scolopacidae</i> | <i>Numenius borealis</i> (I) (Eskimo curlew) <i>Numenius tenuirostris</i> (I) (Slender-billed curlew) <i>Tringa guttifer</i> (I) (Nordmann's greenshank) | | |
| <i>Laridae</i> | <i>Larus relictus</i> (I) (Relict gull) | | |
| COLUMBIFORMES | | | |
| <i>Columbidae</i> | <i>Caloenas nicobarica</i> (I) (Nicobar pigeon) <i>Claravis godefrida</i> (Purple-winged ground-dove) <i>Columba livia</i> (III, GH) (Rock pigeon) <i>Ducula mindorensis</i> (I) (Mindoro zone-tailed pigeon) <i>Leptoptila wellsi</i> (Grenada dove) | <i>Columba carebaea</i> (Ring-tailed pigeon) <i>Didunculus strigirostris</i> (Tooth-billed pigeon) <i>Gallicolumba luzonica</i> (II) (Luzon bleeding-heart) <i>Goura</i> spp. (II) (Crowned-pigeons) | <i>Columba guinea</i> (III GH) (Speckled pigeon) <i>Columba iriditorques</i> (III GH) =389 (Western bronze-naped pigeon) <i>Columba mayeri</i> (III MU) =390 (Pink pigeon) <i>Columba uncinata</i> (III GH) (Afe pigeon) |

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| | | | <i>Oena capensis</i> (III GH) (Namaqua dove) <i>Streptopelia decipiens</i> (III GH) (Mourning collared-dove) <i>Streptopelia roseogrisea</i> (III GH) (African collared-dove) <i>Streptopelia semitorquata</i> (III GH) (Red-eyed dove) <i>Streptopelia senegalensis</i> (III GH) (Laughing dove) |
| | <i>Streptopelia turtur</i> (III GH) (European turtle-dove) | | <i>Streptopelia vinacea</i> (III GH) (Vinaceous dove) <i>Treron calva</i> (III GH) =391 (African green-pigeon) <i>Treron waalia</i> (III GH) (Bruce's green-pigeon) <i>Turtur abyssinicus</i> (III GH) (Black-billed wood dove) <i>Turtur afer</i> (III GH) (Blue-spotted wood dove) <i>Turtur brehmeri</i> (III GH) =392 (Blue-headed wood-dove) <i>Turtur tympanistria</i> (III GH) =393 (Tambourine dove) |
| PSITTACIFORMES | | PSITTACIFORMES spp.* (II)-106 (Parrots, etc.) | |
| <i>Psittacidae</i> | <i>Amazona arausiaca</i> (I) (Red-necked parrot) <i>Amazona barbadensis</i> (I) (Yellow-shouldered parrot) <i>Amazona brasiliensis</i> (I) (Red-tailed parrot) <i>Amazona guildingii</i> (I) (St Vincent parrot) <i>Amazona imperialis</i> (I) (Imperial parrot) <i>Amazona leucocephala</i> (I) (Cuban parrot) <i>Amazona pretrei</i> (I) (Red-spectacled parrot) <i>Amazona rhodocorytha</i> (I) =394 (Red-browed parrot) <i>Amazona tucumana</i> (I) (Tucuman parrot) <i>Amazona versicolor</i> (I) (Saint Lucia parrot) <i>Amazona vinacea</i> (I) (Vinaceous parrot) <i>Amazona vittata</i> (I) (Puerto Rican parrot) <i>Anodorhynchus</i> spp. (I) (Blue macaws) <i>Ara ambigua</i> (I) (Great green macaw) <i>Ara glaucogularis</i> (I) =395 (Blue-throated macaw) | | |

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| | <p><i>Ara macao</i> (I) (Scarlet macaw)</p> <p><i>Ara maracana</i> (I) (Blue-winged macaw)</p> <p><i>Ara militaris</i> (I) (Military macaw)</p> <p><i>Ara rubrogenys</i> (I) (Red-fronted macaw)</p> <p><i>Aratinga guarouba</i> (I) (Golden parakeet)</p> <p><i>Cacatua goffini</i> (I) (Tanimbar cockatoo)</p> <p><i>Cacatua haematuropygia</i> (I) (Philippine cockatoo)</p> <p><i>Cacatua moluccensis</i> (I) (Salmon-crested cockatoo)</p> <p><i>Cyanopsitta spixii</i> (I) (Spix's macaw)</p> <p><i>Cyanoramphus auriceps forbesi</i> (I) (Catham Island yellow-fronted parakeet)</p> <p><i>Cyanoramphus cookii</i> (I) = 396 (Norfolk parakeet)</p> <p><i>Cyanoramphus novaehollandiae</i> (I) (Red-fronted parakeet)</p> <p><i>Cyclopsitta diophthalma coxeni</i> (I) = 397 (Coxen's double-eyed fig parrot)</p> <p><i>Eos histrio</i> (I) (Red and blue lory)</p> <p><i>Geopsittacus occidentalis</i> (I) = 398 (Night parrot)</p> <p><i>Neophema chrysogaster</i> (I) (Orange-bellied parrot)</p> <p><i>Ognorhynchus icterotis</i> (I) (Yellow-eared parrot)</p> <p><i>Pezoporus wallicus</i> (I) (Ground parrot)</p> <p><i>Pionopsitta pileata</i> (I) (Pileated parrot)</p> <p><i>Probosciger aterrimus</i> (I) (Palm cockatoo)</p> <p><i>Psephotus chrysopterygius</i> (I) (Golden-shouldered parrot)</p> <p><i>Psephotus dissimilis</i> (I) = 399 (Hooded parrot)</p> <p><i>Psephotus pulcherrimus</i> p.e. (I) (Paradise parrot)</p> <p><i>Psittacula echo</i> (I) = 400 (Mauritius parakeet)</p> <p><i>Pyrrhura cruentata</i> (I) (Blue-throated parakeet)</p> <p><i>Rhynchopsitta</i> spp. (I) (Thick-billed parrots)</p> <p><i>Strigops habroptilus</i> (I) (Kakapo)</p> <p><i>Vini</i> spp. (II) (Blue lorikeets)</p> | | <p><i>Psittacula krameri</i> (III GH) (Rose-ringed parakeet)</p> |

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| CUCULIFORMES | | | |
| <i>Musophagidae</i> | | <i>Corythaeola cristata</i> (III GH) (Great blue turaco) <i>Crinifer piscator</i> (III GH) (Western grey plantain-eater) <i>Musophaga porphyreolophus</i> (II) = 401 (Purple-crested turaco) <i>Musophaga violacea</i> (III GH) (Violet turaco) <i>Tuaraco</i> spp.* (II) (Turacos) | |
| | <i>Tauraco bannermani</i> (II) (Bannerman's turaco) | | |
| STRIGIFORMES | | | |
| <i>Tytonidae</i> | <i>Tyto alba</i> (II) (Barn owl) <i>Tyto soumagnei</i> (I) (Soumagne's owl) | STRIGIFORMES spp.* (II) (Owls) | |
| <i>Strigidae</i> | <i>Aegolius funereus</i> (II) (Boreal owl) <i>Asio flammeus</i> (II) (Short-eared owl) <i>Asio otus</i> (II) (Long-eared owl) <i>Athene blewitti</i> (I) (Forest owlet) <i>Athene noctua</i> (II) (Little owl) <i>Bubo bubo</i> (II) (Eurasian eagle-owl) <i>Glaucidium passerinum</i> (II) (Eurasian pygmy-owl) <i>Mimizuku gurneyi</i> (I) = 402 (Lesser eagle-owl) <i>Ninox novaeseelandiae undulata</i> (I) = 403 (Norfolk boobook) <i>Ninox squamipila natalis</i> (I) (Christmas hawk-owl) <i>Nyctea scandiaca</i> (II) (Snowy owl) <i>Otus ireneae</i> (II) (Sokoke scops-owl) <i>Otus scops</i> (II) (Eurasian scops-owl) <i>Strix aluco</i> (II) (Tawny owl) <i>Strix nebulosa</i> (II) (Great grey owl) <i>Strix uralensis</i> (II) (Ural owl) <i>Surnia ulula</i> (II) = 405 bis (Northern hawk owl) | | |

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| APODIFORMES | | | |
| <i>Trochilidae</i> | | <i>Trochilidae</i> spp.* (II) (Hummingbirds) | |
| | <i>Ramphodon dornhii</i> (I) = 405 (Hook-billed hermit) | | |
| TROGONIFORMES | | | |
| <i>Trogonidae</i> | <i>Pharomachrus mocinno</i> (I) (Resplendent quetzal) | | |
| CORACIIFORMES | | | |
| <i>Bucerotidae</i> | | <i>Aceros</i> spp.* (II) (Hornbills) | |
| | <i>Aceros nipalensis</i> (I) (Rufous-necked hornbill) | | |
| | <i>Aceros subruficollis</i> (I) (Plain-pouched hornbill) | | |
| | | <i>Anorrhinus</i> spp. (II) = 406 (Hornbills) | |
| | | <i>Anthracoceros</i> spp. (II) (Hornbills) | |
| | | <i>Buceros</i> spp.* (II) (Hornbills) | |
| | <i>Buceros bicornis</i> (I) (Great hornbill) | | |
| | <i>Buceros vigil</i> (I) = 407 (Helmeted hornbill) | | |
| | | <i>Penelopides</i> spp. (II) (Hornbills) | |
| PICIFORMES | | | |
| <i>Capitonidae</i> | | <i>Semnornis ramphastinus</i> (III CO) (Toucan barbet) | |
| <i>Ramphastidae</i> | | <i>Bailloni</i> <i>bailloni</i> (III AR) (Saffron toucanet) | |
| | | <i>Pteroglossus aracari</i> (II) (Black-necked aracari) | |
| | | <i>Pteroglossus castanotis</i> (III AR) (Chestnut-eared aracari) | |
| | | <i>Pteroglossus viridis</i> (II) (Green aracari) | |
| | | <i>Ramphastos dicolorus</i> (III AR) (Red-breasted toucan) | |
| | | <i>Ramphastos sulfuratus</i> (II) (Keel-billed toucan) | |
| | | <i>Ramphastos toco</i> (II) (Toco toucan) | |
| | | <i>Ramphastos tucanus</i> (II) (Red-billed toucan) | |
| | | <i>Ramphastos vitellinus</i> (II) (Channel-billed toucan) | |
| | | <i>Selenidera maculirostris</i> (III AR) (Spot-billed toucanet) | |
| <i>Picidae</i> | <i>Campephilus imperialis</i> (I) (Imperial woodpecker) | | |
| | <i>Dryocopus javensis richardsi</i> (I) (Tristram's woodpecker) | | |

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| PASSERIFORMES | | | |
| <i>Cotingidae</i> | <i>Cotinga maculata</i> (I) (Banded cotinga) | <i>Rupicola</i> spp. (II) (Cock-of-the-rocks) | <i>Cephalopterus ornatus</i> (III CO) (Amazonian umbrella bird) <i>Cephalopterus penduliger</i> (III CO) (Long-wattled umbrella bird) |
| | <i>Xipholena atropurpurea</i> (I) (White-winged cotinga) | | |
| <i>Pittidae</i> | <i>Pitta gurneyi</i> (I) (Gurney's pitta) <i>Pitta kochi</i> (I) (Whiskered pitta) | <i>Pitta guajana</i> (II) (Banded pitta) <i>Pitta nympha</i> (II) =407 (Fairy pitta) | |
| <i>Atrichornithidae</i> | <i>Atrichornis clamosus</i> (I) (Noisy scrub-bird) | | |
| <i>Hirundinidae</i> | <i>Pseudochelidon sirintarae</i> (I) (White-eyed river-martin) | | |
| <i>Muscicapidae</i> | <i>Bebrornis rodericanus</i> (III MU) (Rodriguez brush-warbler) <i>Dasyornis broadbenti</i> <i>litoralis</i> p.e. (I) (Western rufous bristlebird) <i>Dasyornis longirostris</i> (I) = 410 (Western Bristlebird) | <i>Cyornis ruckii</i> (II) =408 (Rueck's blue-flycatcher) <i>Leiothrix</i> spp. (Pekin robins) <i>Liocichla omieiensis</i> (Omei shan liocichia) | |
| | <i>Picarthes gymnocephalus</i> (I) (White-necked rockfowl) <i>Picarthes oreas</i> (I) (Grey-necked rockfowl) | | <i>Terpsiphone bourbonensis</i> (III MU) = 411 (Mascarene paradise-flycatcher) |
| <i>Nectariniidae</i> | | <i>Anthreptes pallidigaster</i> (Amani sunbird) <i>Anthreptes rubritorques</i> (Banded sunbird) | |
| <i>Zosteropidae</i> | <i>Zosterops albogularis</i> (I) (White-chested white-eye) | | |
| <i>Meliphagidae</i> | <i>Lichenostomus melanops</i> <i>cassidix</i> (I) = 412 (Helmeted honeyeater) | | |

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| <i>Emberizidae</i> | | <i>Gubernatrix cristata</i> (II) (Yellow cardinal) <i>Paroaria capitata</i> (II) (Yellow-billed cardinal) <i>Paroaria coronata</i> (II) (Red-crested cardinal) <i>Tangara fastuosa</i> (Seven-coloured tanager) | |
| <i>Icteridae</i> | <i>Agelaius flavus</i> (I) (Saffron-cowled blackbird) | | |
| <i>Fringillidae</i> | <i>Carduelis cucullata</i> (I) = 413 (Red siskin) | <i>Carduelis yarrellii</i> (II) = 413 (Yellow-faced siskin) | <i>Serinus canicapillus</i> (III GH) = 414 (West African seedeater) <i>Serinus leucopygius</i> (III GH) (White-rumped seedeater) <i>Serinus mozambicus</i> (III GH) (Yellow-fronted canary) |
| <i>Estrildidae</i> | | | <i>Amadina fasciata</i> (III GH) (Cut throat) <i>Amandava subflava</i> (III GH) = 415 (Zebra waxbill) <i>Estrilda astrild</i> (III GH) (Common waxbill) <i>Estrilda caerulescens</i> (III GH) (Lavender waxbill) <i>Estrilda melpoda</i> (III GH) (Orange-cheeked waxbill) <i>Estrilda troglodytes</i> (III GH) (Black-rumped waxbill) <i>Lagonosticta rara</i> (III GH) (Black-bellied firefinch) <i>Lagonosticta rubricata</i> (III GH) (African firefinch) <i>Lagonosticta rufopicta</i> (III GH) (Bar-breasted firefinch) <i>Lagonosticta senegala</i> (III GH) (Red-billed firefinch) <i>Lagonosticta vinacea</i> (III GH) = 416 (Black-faced firefinch) <i>Lonchura bicolor</i> (III GH) = 417 (Black-and-white mannia) <i>Lonchura cantans</i> (III GH) = 418 (African silverbill) <i>Lonchura cucullata</i> (III GH) = 417 (Bronze mannia) <i>Lonchura fringilloides</i> (III GH) = 417 (Magpie mannia) <i>Mandingoa nitidula</i> (III GH) = 419 (Green-backed twinspace) <i>Nesocharis capistrata</i> (III GH) (Grey-headed olive-back) |

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| <i>Ploceidae</i> | | <p><i>Poephila cincta cincta</i> (II) (Southern black-throated finch)</p> | <p><i>Nigrita bicolor</i> (III GH) (Chestnut-breasted negrofinch)</p> <p><i>Nigrita canicapilla</i> (III GH) (Grey-headed negrofinch)</p> <p><i>Nigrita fusconota</i> (III GH) (White-breasted negrofinch)</p> <p><i>Nigrita luteifrons</i> (III GH) (Pale-fronted negrofinch)</p> <p><i>Ortygospiza atricollis</i> (III GH) (African quailfinch)</p> <p><i>Parmoptila rubrifrons</i> (III GH) = 420 (Jameson's antpecker)</p> <p><i>Pholidornis ruficauda</i> (III GH) (Tit-hylia)</p> <p><i>Pyrenestes ostrinus</i> (III GH) = 420 (Black-bellied seedcracker)</p> <p><i>Pytilia hypogrammica</i> (III GH) (Red-faced pytilia)</p> <p><i>Pytilia phoenicoptera</i> (III GH) (Red-winged pytilia)</p> <p><i>Spermophaga haematina</i> (III GH) (Western bluebill)</p> <p><i>Uraeginthus bengalus</i> (III GH) = 422 (Red-cheeked cordonbleu)</p> <p><i>Amblyospiza albifrons</i> (III GH) (Grosbeak weaver)</p> <p><i>Anaplectes rubriceps</i> (III GH) = 423 (Red-headed weaver)</p> <p><i>Anomalospiza imberbis</i> (III GH) (Parasitic weaver)</p> <p><i>Bubalornis albirostris</i> (III GH) (White-billed buffalo weaver)</p> <p><i>Euplectes afer</i> (III GH) (Yellow-crowned bishop)</p> <p><i>Euplectes ardens</i> (III GH) = 424 (Red-collared widowbird)</p> <p><i>Euplectes franciscanus</i> (III GH) = 425 (Orange bishop)</p> <p><i>Euplectes hordeaceus</i> (III GH) (Black-winged bishop)</p> <p><i>Euplectes macrourus</i> (III GH) = 426 (Yellow-mantled widowbird)</p> <p><i>Malimbus cassini</i> (III GH) (Black-throated malimbe)</p> <p><i>Malimbus malimbicus</i> (III GH) (Crested malimbe)</p> <p><i>Malimbus nitens</i> (III GH) (Gray's malimbe)</p> <p><i>Malimbus rubricollis</i> (III GH) (Red-headed malimbe)</p> <p><i>Malimbus scutatus</i> (III GH) (Red-vented malimbe)</p> |

| | Annex A | Annex B | Annex C |
|------------------|---|---------|--|
| | | | <i>Pachyphantes superciliosus</i> (III GH) = 427 (Compact weaver) <i>Passer griseus</i> (III GH) (Grey-headed sparrow) <i>Petronia dentata</i> (III GH) (Bush petronia) <i>Plocepasser superciliosus</i> (Chestnut-crowned sparrow-weaver) <i>Ploceus albinucha</i> (III GH) (Maxwell's black weaver) <i>Ploceus aurantius</i> (III GH) (Orange weaver) <i>Ploceus cucullatus</i> (III GH) = 428 (Village weaver) <i>Ploceus heuglini</i> (III GH) (Heuglin's masked-weaver) <i>Ploceus luteolus</i> (III GH) = 429 (Little weaver) <i>Ploceus melanocephalus</i> (III GH) = 430 (Black-headed weaver) <i>Ploceus nigerrimus</i> (III GH) (Vieillot's black weaver) <i>Ploceus nigricollis</i> (III GH) (Black-necked weaver) <i>Ploceus pelzelni</i> (III GH) (Slender-billed weaver) <i>Ploceus preussi</i> (III GH) (Preuss's weaver) <i>Ploceus tricolor</i> (III GH) (Yellow-mantled weaver) <i>Ploceus vitellinus</i> (III GH) = 431 (Vitelline masked-weaver) <i>Quelea erythrops</i> (III GH) (Red-headed quelea) <i>Sporopipes frontalis</i> (III GH) (Speckle-fronted weaver) <i>Vidua chalybeata</i> (III GH) = 432 (Village indigobird) <i>Vidua interjecta</i> (III GH) (Uelle paradise-whydah) <i>Vidua larvaticola</i> (III GH) (Baka indigobird) <i>Vidua macroura</i> (III GH) (Pin-tailed whydah) <i>Vidua orientalis</i> (III GH) = 433 (Northern paradise whydah) <i>Vidua varicola</i> (III GH) (Jambandu indigobird) <i>Vidua togoensis</i> (III GH) (Togo paradise-whydah) <i>Vidua wilsoni</i> (III GH) (Pale-winged indigobird) |
| <i>Sturnidae</i> | | | <i>Gracula religiosa</i> (III TH) (Hill myna) |
| | <i>Leucopsar rothschildi</i> (1) (Bali myna) | | |

| | Annex A | Annex B | Annex C |
|----------------------|---------|---|---------|
| <i>Paradisaeidae</i> | | <i>Paradisaeidae</i> spp. (II) (Birds of paradise) | |

REPTILIA

TESTUDINATA

Dermatemydidae

Dermatemys mawii (II)
(Central American river turtle)

Emydidae

Batagur baska (I)
(Batagur)

Clemmys insculpta (II)
(Wood turtle)

Clemmys mublenbergi (I)
(Bog turtle)

Cuora pani =433
(Pan's box turtle)

Geoclemys hamiltonii (I)
(Black pond turtle)

Kachuga tecta (I) =435
(Indian sawback turtle)

Melanochelys tricarinata (I) =436
(Three-keeled land tortoise)

Morenia ocellata (I)
(Burmese swamp turtle)

Terrapene spp.* (II)
(Box-turtles)

Terrapene coahuila (I)
(Aquatic box turtle)

Trachemys scripta elegans =437
(Red-eared terrapin)

Testudinidae

Testudinidae spp. * (II)
(Tortoises)

Geochelone nigra (I) =438
(Galapagos giant tortoise)

Geochelone radiata (I) =439
(Radiated tortoise)

Geochelone yniphora (I) =439
(Angonoka)

Gopherus flavomarginatus (I)
(Bolson tortoise)

Homopus bergeri (II)
(Berger's cape tortoise)

Malacochersus tornieri (II)
(Pancake tortoise)

Psammobates geometricus
(I) =439
(Geometric tortoise)

Pyxis planicauda (II)
(Madagascar flat-shelled tortoise)

Testudo graeca (II)
(Spur-thighed tortoise)

Testudo hermanni (II)
(Hermann's tortoise)

Testudo kleinmanni (I)
(Egyptian tortoise)

Testudo marginata (II)
(Marginated tortoise)

| | Annex A | Annex B | Annex C |
|-----------------------|---|--|---|
| <i>Cheloniidae</i> | <i>Cheloniidae</i> spp. (I) (Sea turtles) | | |
| <i>Dermochelyidae</i> | <i>Dermochelys coriacea</i> (I) (Leatherback) | | |
| <i>Trionychidae</i> | <i>Trionyx ater</i> (I) =440 (Cuatro Cienagas soft-shell turtle) <i>Trionyx gangeticus</i> (I) =440 (Indian soft-shell turtle) <i>Trionyx hurum</i> (I) =440 (Peacock soft-shell turtle) <i>Trionyx nigricans</i> (I) =440 (Black soft-shell turtle) | <i>Lissemys punctata</i> (II) (Indo-Gangetic flap-shelled turtle) | <i>Trionyx triunguis</i> (III GH) (African soft-shell turtle) |
| <i>Pelomedusidae</i> | | <i>Erymnochelys madagascariensis</i> (II) =441 (Madagascar sideneck turtle) <i>Peltocephalus dumeriliana</i> (II) =441 (Big-headed sideneck turtle) | <i>Pelomedusa subrufa</i> (III GH) (Helmeted turtle) <i>Pelusios adansonii</i> (III GH) (Adanson's mud turtle) <i>Pelusios castaneus</i> (III GH) (West African mud turtle) <i>Pelusios gabonensis</i> (III GH) =442 (African forest turtle) <i>Pelusios niger</i> (III GH) (West African black forest turtle) |
| <i>Chelidae</i> | <i>Pseudemydura umbrina</i> (I) (Western swamp turtle) | <i>Podocnemis</i> spp. (II) (Sideneck turtles) | |
| CROCODYLIA | | CROCODYLIA spp.* (II) =443 (Caimans, crocodiles, alligators) | |
| <i>Alligatoridae</i> | <i>Alligator sinensis</i> (I) (Chinese alligator) <i>Caiman crocodilus apaporiensis</i> (I) (Rio Apaporis spectacled caiman) <i>Caiman latirostris</i> (I) (Broad-nosed caiman) <i>Melanosuchus niger</i> ** (I) -107 (Black caiman) | | |
| <i>Crocodylidae</i> | <i>Crocodylus acutus</i> (I) (American crocodile) <i>Crocodylus cataphractus</i> (I) (African slender-snouted crocodile) <i>Crocodylus intermedius</i> (I) (Orinoco crocodile) <i>Crocodylus moreletii</i> (I) (Morelet's crocodile) | | |

| | Annex A | Annex B | Annex C |
|-----------------------|---|---|---------|
| | <i>Crocodylus niloticus</i> ** (I) -108 (Nile crocodile) <i>Crocodylus novaeguineae mindorensis</i> (I) =444 (Philippine crocodile) <i>Crocodylus palustris</i> (I) (Mugger crocodile) <i>Crocodylus porosus</i> ** (I) -109 (Estuarine crocodile) <i>Crocodylus rhombifer</i> (I) (Cuban crocodile) <i>Crocodylus siamensis</i> (I) (Siamese crocodile) <i>Osteolaemus tetraspis</i> (I) (West African dwarf crocodile) <i>Tomistoma schlegelii</i> (I) (False gharial) | | |
| <i>Gavialidae</i> | <i>Gavialis gangeticus</i> (I) (Gharial) | | |
| RHYNCHOCEPHALIA | | | |
| <i>Sphenodontidae</i> | <i>Sphenodon</i> spp. (I) (Tuataras) | | |
| SAURIA | | | |
| <i>Gekkonidae</i> | | <i>Cyrtodactylus serpensinsula</i> (II) (Serpent Island gecko) <i>Phelsuma</i> spp.* (II) (Day-geckos) <i>Phelsuma guentheri</i> (II) (Round Island day gecko) | |
| <i>Agamidae</i> | | <i>Uromastyx</i> spp. (II) (Spiny-tailed lizards) | |
| <i>Chamaeleonidae</i> | | <i>Bradypodion</i> spp. (II) =445 (Dwarf chameleons) <i>Chamaeleo</i> spp.* (II) (Chameleons) <i>Chamaeleo chamaeleon</i> (II) (European chameleon) | |
| <i>Iguanidae</i> | <i>Brachylophus</i> spp. (I) (Fiji iguanas) <i>Cyclura</i> spp. (I) (Ground iguanas) <i>Sauromalus varius</i> (I) (San Esteban Island chuckwalla) | <i>Amblyrhynchus cristatus</i> (II) (Galapagos marine iguana) <i>Conolophus</i> spp. (II) (Galapagos land iguanas) <i>Iguana</i> spp. (II) (Iguanas) <i>Liolaemus gravenhorstii</i> (Striped ground iguana) <i>Phrynosoma coronatum</i> (II) (Coast horned lizard) | |

| | Annex A | Annex B | Annex C |
|-----------------------|--|--|---------|
| <i>Lacertidae</i> | <i>Gallotia simonyi</i> (I) (Hierro giant lizard) <i>Podarcis lilfordi</i> (II) (Lilford's wall lizard) <i>Podarcis pityusensis</i> (II) (Ibiza wall lizard) | | |
| <i>Cordylidae</i> | | <i>Cordylus</i> spp. (II) (Girdled lizards) <i>Pseudocordylus</i> spp. (II) (Crag lizards) | |
| <i>Teiidae</i> | | <i>Cnemidophorus hyperythrus</i> (II) (Orange-throated whiptail) <i>Crocodilurus lacertinus</i> (II) (Dragon lizard) <i>Dracaena</i> spp. (II) (Caiman lizards) <i>Tupinambis</i> spp. (II) (Tegus) | |
| <i>Scincidae</i> | | <i>Corucia zebrata</i> (II) (Prehensile-tailed skink) | |
| <i>Xenosauridae</i> | | <i>Shinisaurus crocodilurus</i> (II) (Chinese crocodile lizard) | |
| <i>Helodermatidae</i> | | <i>Heloderma</i> spp. (II) (Gila monster and bearded lizard) | |
| <i>Varanidae</i> | <i>Varanus bengalensis</i> (I) (Indian monitor) <i>Varanus flavescens</i> (I) (Yellow monitor) <i>Varanus griseus</i> (I) (Desert monitor) <i>Varanus komodoensis</i> (I) (Komodo dragon) <i>Varanus olivaceus</i> (II) (Gray's monitor) | <i>Varanus</i> spp.* (II) (Monitor lizards) | |
| SERPENTES | | | |
| <i>Boidae</i> | <i>Acrantophis</i> spp. (I) (Madagascar ground boas) <i>Boa constrictor occidentalis</i> (I) =446 (Argentine boa constrictor) <i>Bolyeria multocarinata</i> (I) (Round Island boa) <i>Casarea dussumieri</i> (I) (Round Island keel-scaled boa) <i>Epicrates inornatus</i> (I) (Puerto Rican boa) <i>Epicrates monensis</i> (I) (Virgin Island tree boa) <i>Epicrates subflavus</i> (I) (Jamaican boa) | <i>Boidae</i> spp.* (II) (Boas and pythons) | |

| | Annex A | Annex B | Annex C |
|-------------------|--|--|---|
| <i>Colubridae</i> | <p><i>Eryx jaculus</i> (II) (Spotted sand boa)</p> <p><i>Python molurus molurus</i> (I) =447 (Indian python)</p> <p><i>Sanzimia madagascariensis</i> (I) (Madagascar tree boa)</p> | <p><i>Clelia clelia</i> (II) =448 (Mussurana)</p> <p><i>Cyclagras gigas</i> (II) =449 (False cobra)</p> <p><i>Dromicus chamissonis</i> =450 (Chilean ground snake)</p> <p><i>Elachistodon westermanni</i> (II) (Indian egg-eating snake)</p> <p><i>Ptyas mucosus</i> (II) (Dhaman)</p> | <p><i>Atretium schistosum</i> (III IN) (Olive keel-back)</p> <p><i>Cerberus rhynchops</i> (III IN) (Dog-faced water snake)</p> |
| <i>Elapidae</i> | | <p><i>Hoplocephalus bungaroides</i> (II) (Broad-headed snake)</p> | <p><i>Xenochrophis piscator</i> (III IN) =451 (Checkered keel-back)</p> |
| <i>Viperidae</i> | <p><i>Vipera latifii</i> (Latifi's viper)</p> <p><i>Vipera ursinii</i> (I) +212 (Orsini's viper)</p> | <p><i>Naja naja</i> (II) (Asiatic cobra)</p> <p><i>Ophiophagus hannah</i> (II) (King cobra)</p> <p><i>Crotalus unicolor</i> (Aruba Island rattlesnake)</p> <p><i>Crotalus willardi</i> (Ridge-nosed rattlesnake)</p> <p><i>Vipera wagneri</i> (II) (Wagner's viper)</p> | <p><i>Micrurus diastema</i> (III HN) (Atlantic coral snake)</p> <p><i>Micrurus nigrocinctus</i> (III HN) (Central American coral snake)</p> <p><i>Agkistrodon bilineatus</i> (III HN) (Cantil)</p> <p><i>Bothrops asper</i> (III HN) (Terciopolo)</p> <p><i>Bothrops nasutus</i> (III HN) (Rainforest hog-nose pit viper)</p> <p><i>Bothrops nummifer</i> (III HN) (Jumping viper)</p> <p><i>Bothrops ophryomegas</i> (III HN) (Slender hog-nosed pit viper)</p> <p><i>Bothrops schlegelii</i> (III HN) (Eyelash palm viper)</p> <p><i>Crotalus durissus</i> (III HN) (Neotropical rattlesnake)</p> <p><i>Vipera russellii</i> (III IN) (Russell's viper)</p> |

| | Annex A | Annex B | Annex C |
|--------------------------|--|--|---------|
| AMPHIBIA | | | |
| CAUDATA | | | |
| <i>Ambystomidae</i> | | <i>Ambystoma dumerilii</i> (II) (Lake Patzcuaro salamander) <i>Ambystoma mexicanum</i> (II) (Axolotl) | |
| <i>Cryptobranchidae</i> | <i>Andrias</i> spp. (I) =452 (Giant salamanders) | | |
| ANURA | | | |
| <i>Buфонidae</i> | <i>Atelopus varius zeteki</i> (I) (Golden frog) <i>Bufo periglenes</i> (I) (Golden toad) <i>Bufo superciliaris</i> (I) (Cameroon toad) <i>Nectophrynoides</i> spp. (I) (Viviparous toads) | <i>Bufo retiformis</i> (II) (Sonoran green toad) | |
| <i>Myobatrachidae</i> | | <i>Rheobatrachus</i> spp.* (II) (Gastric brooding frog) | |
| | <i>Rheobatrachus silus</i> (II) (Platypus frog) | | |
| <i>Dendrobatidae</i> | | <i>Dendrobates</i> spp. (II) (Poison-arrow frogs) <i>Phyllobates</i> spp. (II) (Poison-dart frogs) | |
| <i>Ranidae</i> | | <i>Conraua goliath</i> (Goliath frog) <i>Mantella</i> spp. (-/II) x713 (Mantella frogs) <i>Rana catesbeiana</i> (American bullfrog) <i>Rana hexadactyla</i> (II) (Six-fingered frog) <i>Rana tigerina</i> (II) (Tiger frog) | |
| <i>Microhylidae</i> | <i>Dyscophus antongilii</i> (I) (Tomato frog) | | |
| PISCES | | | |
| CERATODONTIFORMES | | | |
| <i>Ceratodidae</i> | | <i>Neoceratodus forsteri</i> (II) (Australian lungfish) | |
| COELACANTHIFORMES | | | |
| <i>Coelacanthidae</i> | <i>Latimeria chalumnae</i> (I) (Coelacanth) | | |

| | Annex A | Annex B | Annex C |
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| ACIPENSERIFORMES | | | |
| <i>Acipenseridae</i> | <i>Acipenser brevirostrum</i> (I) (Shortnose sturgeon) | <i>Acipenser oxyrhynchus</i> (II) (Atlantic sturgeon) | |
| | <i>Acipenser sturio</i> (I) (Common sturgeon) | | |
| <i>Polyodontidae</i> | | <i>Polyodon spathula</i> (II) (Spoonbill cat) | |
| OSTEOGLOSSIFORMES | | | |
| <i>Osteoglossidae</i> | <i>Sleropages formosus</i> (I) (Asian arawana) | <i>Arapaima gigas</i> (II) (Arapaima) | |
| CYPRINIFORMES | | | |
| <i>Cyprinidae</i> | <i>Probarbus jullieni</i> (I) (Ikan temoleh) | <i>Caecobarbus geertsi</i> (II) (African blind barb fish) | |
| <i>Catostomidae</i> | <i>Chasmistes cujus</i> (I) (Cui-ui) | | |
| SILURIFORMES | | | |
| <i>Schilbeidae</i> | <i>Pangasianodon gigas</i> (I) (Giant catfish) | | |
| PERCIFORMES | | | |
| <i>Sciaenidae</i> | <i>Cynoscion macdonaldi</i> (I) (Totoaba) | | |

INSECTA

| | | | |
|---------------------|--|--|--|
| LEPIDOPTERA | | | |
| <i>Papilionidae</i> | <i>Ornithoptera alexandrae</i> (I) (Queen Alexandra's birdwing) | <i>Atrophaneura palu</i> (Palu swallowtail butterfly) <i>Baronia brevicornis</i> (Short-horned baronia) <i>Bhutanitis</i> spp. (II) (Swallowtail butterflies) <i>Graphium sandawanum</i> (Apo swallowtail butterfly) <i>Graphium stresemanni</i> (A swallowtail butterfly) <i>Ornithoptera</i> spp.* (II) =453 (Birdwing butterflies) | |
| | <i>Papilio chikae</i> (I) (Luzon peacock swallowtail) | <i>Papilio benguetanus</i> (A swallowtail butterfly) <i>Papilio esperanza</i> (A swallowtail butterfly) <i>Papilio groesmithi</i> (A swallowtail butterfly) | |

| | Annex A | Annex B | Annex C |
|--|---|---|---------|
| | <p><i>Papilio homerus</i> (I) (Homerus swallowtail)</p> <p><i>Papilio hospiton</i> (I) (Corsican swallowtail)</p> <p><i>Parnassius apollo</i> (II) (Apollo butterfly)</p> | <p><i>Papilio maraho</i> (A swallowtail butterfly)</p> <p><i>Papilio morondavana</i> (Madagascan emperor swallowtail)</p> <p><i>Papilio neumoegeni</i> (A swallowtail butterfly)</p> <p><i>Parides ascanius</i> (Fluminense swallowtail butterfly)</p> <p><i>Parides habneli</i> (Hahnel's amazonian swallowtail butterfly)</p> <p><i>Teinopalpus</i> spp. (II) (Kaiser-I-Hind butterflies)</p> <p><i>Trogonoptera</i> spp. (II) =453 (Birdwing butterflies)</p> <p><i>Troides</i> spp. (II) = 453 (Birdwing butterflies)</p> | |

ARACHNIDA

SCORPIONES

Scorpionidae

Pandinus dictator (II)
(A scorpion)

Pandinus gambiensis (II)
(Giant Senegalese scorpion)

Pandinus imperator (II)
(Emperor scorpion)

ARANEAE

Theraphosidae

Brachypelma spp. (II)
(Tarantulas)

ANNELIDA

ARHYNCHOBDELLAE

Hirudimidae

Hirudo medicinalis (II)
(Medicinal leech)

MOLLUSCA

VENEROIDA

Tridacnidae

Tridacnidae spp. (II)
(Giant clams)

UNIONOIDA

Unionidae

Conradilla caelata (I)
(Birdwing pearly mussel)

Cyprogenia aberti (II)
(Western fanshell mussel)

| | Annex A | Annex B | Annex C |
|--|---|---|---------|
| | <p><i>Dromus dromas</i> (I) =454 (Dromedary pearly mussel)</p> <p><i>Epioblasma curtisi</i> (I) =455 (Curtis' pearly mussel)</p> <p><i>Epioblasma florentina</i> (I) =455 (Yellow-blossom pearly mussel)</p> <p><i>Epioblasma sampsoni</i> (I) =455 (Wabash riffleshell)</p> <p><i>Epioblasma sulcata perobliqua</i> (I) =455 (White catspaw mussel)</p> <p><i>Epioblasma torulosa gubernaculum</i> (I) =455 (Green-blossom pearly mussel)</p> <p><i>Epioblasma torulosa torulosa</i> (I) =455 (Turbercled-blossom pearly mussel)</p> <p><i>Epioblasma turgidula</i> (I) =455 (Turgid-blossom pearly mussel)</p> <p><i>Epioblasma walkeri</i> (I) =455 (Tan riffleshell)</p> <p><i>Fusconaia cuneolus</i> (I) (Fine-rayed pigtoe pearly mussel)</p> <p><i>Fusconaia edgariana</i> (I) (Shiny pigtoe pearly mussel)</p> <p><i>Lampsilis bigginsi</i> (I) (Higgins' eye pearly mussel)</p> <p><i>Lampsilis orbiculata orbiculata</i> (I) (Pink mucket pearly mussel)</p> <p><i>Lampsilis satur</i> (I) (Sandback pocketbook mussel)</p> <p><i>Lampsilis virescens</i> (I) (Alabama lamp pearly mussel)</p> <p><i>Plethobasus cicatricosus</i> (I) (White warty-back pearly mussel)</p> <p><i>Plethobasus cooperianus</i> (I) (Orange-footed pimpleback mussel)</p> <p><i>Pleurobema plenum</i> (I) (Rough pigtoe pearly mussel)</p> <p><i>Potamilus capax</i> (I) =456 (Fat pocketbook pearly mussel)</p> <p><i>Quadrula intermedia</i> (I) (Cumberland monkey-face pearly mussel)</p> <p><i>Quadrula sparsa</i> (I) (Appalachian monkey-face pearly mussel)</p> <p><i>Toxolasma cylindrella</i> (I) =457 (Pale lilliput pearly mussel)</p> | <p><i>Epioblasma torulosa rangiana</i> (II) (Northern riffleshell)</p> <p><i>Fusconaia subrotunda</i> (II) (Long solid mussel)</p> <p><i>Lampsilis brevicula</i> (II) (Ozark broken-ray mussel)</p> <p><i>Lexingtonia dolabelloides</i> (II) (Slab-sided pearly mussel)</p> <p><i>Pleurobema clava</i> (II) (Clubshell pearly mussel)</p> | |

| | <i>Annex A</i> | <i>Annex B</i> | <i>Annex C</i> |
|------------------------|--|--|----------------|
| | <i>Unio nicklimiana</i> (I) =458 (Nicklin's pearly mussel) <i>Unio tampicoensis tecomatensis</i> (I) =459 (Tampico pearly mussel) <i>Villosa trabalis</i> (I) =460 (Cumberland bean pearly mussel) | | |
| STYLOMMATOPHORA | | | |
| <i>Achatinellidae</i> | <i>Achatinella</i> spp. (I) (Little agate shells) | | |
| <i>Camaenidae</i> | | <i>Papustyla pulcherrima</i> (II) (Manus green tree snail) | |
| <i>Paryphantidae</i> | | <i>Paryphanta</i> spp. (II) +213 =461 (New Zealand land snails) | |
| MESOGASTROPODA | | | |
| <i>Strombidae</i> | | <i>Strombus gigas</i> (II) (Queen conch) | |

ANTHOZOA

| | | | |
|----------------------|--|---|--|
| COENOTHECALIA | | COENOTHECALIA spp. (II) °505 (Blue coral) =462 | |
| STOLONIFERA | | | |
| <i>Tubiporidae</i> | | <i>Tubiporidae</i> spp. (II) °505 (Organpipe corals) | |
| ANTIPATHARIA | | | |
| | | ANTIPATHARIA spp. (II) (Black corals) | |
| SCLERACTINIA | | | |
| | | SCLERACTINIA spp. (II) °505 (Stony corals) | |

HYDROZOA

| | | | |
|----------------------|--|--|--|
| MILLEPORINA | | | |
| <i>Milliporidae</i> | | <i>Milliporidae</i> spp. (II) °505 (Fire corals) | |
| STYLASTERINA | | | |
| <i>Stylasteridae</i> | | <i>Stylasteridae</i> spp. (II) °505 (Lace corals) | |

| | Annex A | Annex B | Annex C |
|----------------|--|--|---------|
| FLORA | | | |
| AGAVACEAE | <i>Agave arizonica</i> (I) (New River agave) <i>Agave parviflora</i> (I) (Santa Cruz striped agave) <i>Nolina interrata</i> (I) (Dehesa bear-grass) | <i>Agave victoriae-reginae</i> (II) #1 (Queen Victoria agave) | |
| AMARYLLIDACEAE | | <i>Galanthus</i> spp. (II) #1 (Snowdrops) <i>Sternbergia</i> spp. (II) #1 (Sternbergias) | |
| APOCYNACEAE | <i>Pachypodium ambongense</i> (I) <i>Pachypodium baronii</i> (I) <i>Pachypodium decaryi</i> (I) | <i>Pachypodium</i> spp.* (II) °506 #1 (Elephant trunks) <i>Rauwolfia serpentina</i> (II) #2 (Snake-root devil-pepper) | |
| ARALIACEAE | | <i>Panax quinquefolius</i> (II) #3 (American ginseng) | |
| ARAUCARIACEAE | <i>Araucaria araucana</i> ** (I) +214 (Monkey-puzzle tree) | <i>Araucaria araucana</i> * (II) #1 -110 (Monkey-puzzle tree) | |
| ASCLEPIADACEAE | <i>Ceropegia chrysanta</i> (II) | <i>Ceropegia</i> spp. (II) #1 (Rosary vines) <i>Frerea indica</i> (II) #1 (Milkweed) | |
| BERBERIDACEAE | | <i>Podophyllum hexandrum</i> (II) #2 =462 (Himalayan may-apple) | |
| BROMELIACEAE | | <i>Tillandsia harrisii</i> (II) #1 (Harris' tillandsia) <i>Tillandsia kammii</i> (II) #1 (Kamm's tillandsia) <i>Tillandsia kautskyi</i> (II) #1 (Kautsky's tillandsia) <i>Tillandsia mauryana</i> (II) #1 (Maury's tillandsia) <i>Tillandsia sprengeliana</i> (II) #1 (Sprengel's tillandsia) <i>Tillandsia sucrei</i> (II) #1 (Sucre tillandsia) <i>Tillandsia xerographica</i> (II) #1 (Xerographic tillandsia) | |
| BYBLIDACEAE | | <i>Byblis</i> spp. (II) #1 (Rainbow plant) | |
| CACTACEAE | <i>Ariocarpus</i> spp. (I) (Living rock cacti) | CACTACEAE spp.* (II) #4 (Cacti) | |

| | Annex A | Annex B | Annex C |
|--|---|---------|---------|
| | <p><i>Astrophytum asterias</i> (I) =464 (Star cactus)</p> <p><i>Aztekium ritteri</i> (I) (Aztec cactus)</p> <p><i>Coryphantha werdermannii</i> (I) (Jobali pincushion cactus)</p> <p><i>Discocactus</i> spp. (I) (Discocacti)</p> <p><i>Discocactus macdougallii</i> (I) =465 (MacDougall's cacti)</p> <p><i>Echinocereus ferreirianus</i> <i>var. lindsayi</i> (I) =465 (Lindsay's hedgehog cacti)</p> <p><i>Echinocereus schmollii</i> (I) =467 (Lamb's tail cactus)</p> <p><i>Escobaria minima</i> (I) =467 (Nelle's cactus)</p> <p><i>Escobaria sneedii</i> (I) =467 (Sneed's pincushion cactus)</p> <p><i>Mammillaria pectinifera</i> (I) =469 (Conchilisque)</p> <p><i>Mammillaria solisoides</i> (I) (Pitayita)</p> <p><i>Melocactus conoideus</i> (I) (Conelike Turk's-cap cactus)</p> <p><i>Melocactus deinacanthus</i> (I) (Wonderfully-bristled Turk's-cap cactus)</p> <p><i>Melocactus glaucescens</i> (I) (Wooly waxy-stemmed Turk's-cap cactus)</p> <p><i>Melocactus paucispinus</i> (I) (Few-spined Turk's-cap cactus)</p> <p><i>Obregonia denegrii</i> (I) (Artichoke cactus)</p> <p><i>Pachycereus militaris</i> (I) =470 (Teddy-bear cactus)</p> <p><i>Pediocactus bradyi</i> (I) =471 (Brady's pincushion cactus)</p> <p><i>Pediocactus despainii</i> (I) (San Rafael cactus)</p> <p><i>Pediocactus knowltonii</i> (I) =471 (Knowlton's cactus)</p> <p><i>Pediocactus parademei</i> (I) (Houserock valley cactus)</p> <p><i>Pediocactus peeblesianus</i> (I) =471 (Peebles' Navajo cactus)</p> <p><i>Pediocactus sileri</i> (I) (Siler's pincushion cactus)</p> <p><i>Pediocactus winkleri</i> (I) (Winkler's cactus)</p> <p><i>Pelecyphora</i> spp. (I) (Hatchet cacti)</p> <p><i>Sclerocactus breviphamatus</i> (I) =472 (Tobusch fishhook cactus)</p> <p><i>Sclerocactus erectocentrus</i> (I) =473</p> <p><i>Sclerocactus glaucus</i> (I) (Vinta Basin hookless cactus)</p> <p><i>Sclerocactus mariposensis</i> (I) =473 (Mariposa cactus)</p> <p><i>Sclerocactus mesae-verdae</i> (I) (Mesa Verde cactus)</p> | | |

| | Annex A | Annex B | Annex C |
|----------------------------|--|--|---------|
| | <i>Sclerocactus papyracanthus</i> (I) =474 (Grana-grass cactus) <i>Sclerocactus pubispinus</i> (I) (Great-Basin fishhook cactus) <i>Sclerocactus wrightiae</i> (I) (Wright's fishhook cactus) <i>Strombocactus disciformis</i> (I) (Disc cactus) <i>Turbincarpus</i> spp. (I) =475 (Turbincarps) <i>Uebelmannia</i> spp. (I) (Uebelmann cacti) | | |
| CARYOCARACEAE | | <i>Caryocar costaricense</i> (II) #1 (Ajo) | |
| CEPHALOTACEAE | | <i>Cephalotus follicularis</i> (II) #1 (Albany pitcher plant) | |
| COMPOSITAE (ASTERACEAE) | <i>Saussurea costus</i> (I) =476 (Costus) | | |
| CRASSULACEAE | <i>Dudleya stolonifera</i> (I) (Laguna Beach dudleya) <i>Dudleya traskiae</i> (I) (Santa Barbara Islands dudleya) | | |
| CUPRESSACEAE | <i>Fitzroya cupressoides</i> (I) (Alerce) <i>Pilgerodendron uviferum</i> (I) (Pilgerodendron) | | |
| CYATHEACEAE | | CYATHEACEAE spp. (II) #1 (Tree ferns) | |
| CYCADACEAE | | CYCADACEAE spp.* (II) #1 (Cycads) | |
| | <i>Cycas beddomei</i> (I) (Beddome cycad) | | |
| DIAPENSIACEAE | | <i>Shortia galacifolia</i> (II) #1 (Oconne bells) | |
| DICKSONIACEAE | <i>Calcita macrocarpa</i> (II) | DICKSONIACEAE spp. (II) #1 (Tree ferns) | |
| DIDIEREACEAE | | DIDIEREACEAE spp. (II) #1 | |
| DIOSCOREACEAE | | <i>Dioscorea deltoidea</i> (II) #1 (Elephant's foot) | |
| DROSERACEAE | | <i>Dionaea muscipula</i> (II) #1 (Venus Ay-trap) | |
| ERICACEAE | | <i>Kalmia cuneata</i> (II) #1 (White wicky) | |

| | Annex A | Annex B | Annex C |
|---------------------------|--|--|------------------------------------|
| EUROPHORBIACEAE | <i>Euphorbia ambovombensis</i> (I) <i>Euphorbia cremersii</i> (I) <i>Euphorbia cylindrifolia</i> (I) =477 <i>Euphorbia decaryi</i> (I) <i>Euphorbia francoisii</i> (I) <i>Euphorbia handiensis</i> (II) <i>Euphorbia lambii</i> (II) <i>Euphorbia moratii</i> (I) <i>Euphorbia parvicyathophora</i> (I) <i>Euphorbia quartziticola</i> (I) <i>Euphorbia tulearensis</i> (I) =478 <i>Euphorbia stygiana</i> (II) | <i>Euphorbia</i> spp.* (II) #1 -111 (Euphorbias) | |
| FOUQUIERIACEAE | <i>Fouquieria fasciculata</i> (I) (Arbol del barril) <i>Fouquieria purpusii</i> (I) | <i>Fouquieria columnaris</i> (II) #1 (Boojum tree) | |
| GNETACEAE | | | <i>Gnetum montanum</i> (III NP) #1 |
| JUGLANDACEAE | | <i>Oreomunnea pterocarpa</i> (II) #1 =479 (Gavilán) | |
| LEGUMINOSAE (FABACEAE) | <i>Dalbergia nigra</i> (I) (Brazilian rosewood) | <i>Pericopsis elata</i> (II) #5 (Afromosia) <i>Platymiscium pleiostachyum</i> (II) #1 (Quira macawood) <i>Pterocarpus santalinus</i> (II) #6 (Red sandalwood) | |
| LILIACEAE | <i>Aloe albida</i> (I) <i>Aloe albiflora</i> (I) <i>Aloe alfredii</i> (I) <i>Aloe bakeri</i> (I) <i>Aloe bellatula</i> (I) <i>Aloe calcairophila</i> (I) <i>Aloe compressa</i> (I) =480 <i>Aloe delphinensis</i> (I) <i>Aloe descoingsii</i> (I) <i>Aloe fragilis</i> (I) | <i>Aloe</i> spp.* (except <i>A.vera</i>) (II) #1 -112 (Aloes) | |

| | Annex A | Annex B | Annex C |
|--------------|--|--|---|
| | <i>Aloe haworthioides</i> (I) =481 <i>Aloe helenae</i> (I) <i>Aloe laeta</i> (I) =482 <i>Aloe parallellifolia</i> (I) <i>Aloe parvula</i> (I) <i>Aloe pillansii</i> (I) (Boomaalyn) <i>Aloe polyphylla</i> (I) (Spiral aloe) <i>Aloe raubii</i> (I) <i>Aloe suzannae</i> (I) <i>Aloe thorncroftii</i> (I) <i>Aloe versicolor</i> (I) <i>Aloe vossii</i> (I) | | |
| MAGNOLIACEAE | | | <i>Talauma hodgsonii</i> (III NP) #1 (Safan) |
| MELIACEAE | | <i>Swietenia humilis</i> (II) #1 (Honduras mahogany) <i>Swietenia mahagoni</i> (II) #5 (Caribbean mahogany) | <i>Swietenia macrophylla</i> (III CR) #5 +215 (American mahogany) |
| NEPENTHACEAE | <i>Nepenthes khasiana</i> (I) (Indian pitcher plant) <i>Nepenthes rajah</i> (I) (Giant tropical pitcher plant) | <i>Nepenthes</i> spp.* (II) #1 (Tropical pitcher plants) | |
| ORCHIDACEAE | <i>Cattleya trianaei</i> (I) °507 (Winter cattleya) <i>Cephalanthera cucullata</i> (II) °507 <i>Cypripedium calceolus</i> (II) °507 (Lady's slipper orchid) <i>Dendrobium cruentum</i> (I) °507 <i>Goodyera macrophylla</i> (II) °507 <i>Laelia jongheana</i> (I) °507 <i>Laelia lobata</i> (I) °507 <i>Liparis loeselii</i> (II) °507 (Fen orchid) <i>Ophrys argolica</i> (II) °507 (Eyed bee orchid) <i>Ophrys lunulata</i> (II) °507 (Crescent ophrys) <i>Orchis scopulorum</i> (II) °507 <i>Paphiopedilum</i> spp. (I) °507 (Asian tropical lady's slippers) <i>Peristeria elata</i> (I) °507 (Holy ghost) <i>Phragmipedium</i> spp. (I) °507 (New World tropical lady's slippers) | ORCHIDACEAE spp.* (II) #7 =482 (Orchids) | |

| | Annex A | Annex B | Annex C |
|----------------------|---|--|---|
| | <i>Renanthera imschootiana</i> (I) °507 (Red vanda) <i>Spiranthes aestivalis</i> (II) °507 <i>Vanda coerulea</i> (I) °507 (Blue vanda) | | |
| PALMAE (AREACEAE) | | <i>Chyrsalidocarpus decipiens</i> (II) #1 (Butterfly palm) <i>Neodypsis decaryi</i> (II) #1 (Triangle palm) | |
| PAPAVERACEAE | | | <i>Meconopsis regia</i> (III NP) #1 (Himalayan poppy) |
| PINACEAE | <i>Abies guatemalensis</i> (I) (Guatemalan fir) | | |
| PODOCARPACEAE | <i>Podocarpus parlatoresi</i> (I) (Parlatore's podocarp) | | <i>Podocarpus nerifolius</i> (III NP) #1 (Yellow wood) |
| PORTULACACEAE | | <i>Anacampseros</i> spp. (II) #1 (Purselanes) <i>Lewisia cotyledon</i> (II) #1 (Siskiyou lewisia) <i>Lewisia maguirei</i> (II) #1 (Maguire's lewisia) <i>Lewisia serrata</i> (II) #1 (Saw-toothed lewisia) <i>Lewisia tweedy</i> (II) #1 (Tweedy's lewisia) | |
| PRIMULACEAE | | <i>Cyclamen</i> spp. (II) #1 (Cyclamens) | |
| PROTEACEAE | <i>Orothamnus zeyheri</i> (I) (Marsh-rose) <i>Protea odorata</i> (I) (Ground-rose) | | |
| ROSACEAE | | <i>Prunus africana</i> (II) #1 (African cherry) | |
| RUBIACEAE | <i>Balmea stormiae</i> (I) (Ayugue) | | |
| SARRACENIACEAE | <i>Sarracenia alabamensis</i> <i>alabamensis</i> (I) =484 (Alabama canebreak pitcher plant) <i>Sarracenia jonesii</i> (I) =485 (Mountain sweet pitcher plant) <i>Sarracenia oreophila</i> (I) (Green pitcher plant) | <i>Darlingtonia californica</i> (II) #1 (Cobra pitcher plant) <i>Sarracenia</i> spp. (II) #1 (Pitcher plants) | |

| | Annex A | Annex B | Annex C |
|-----------------|---|---|---|
| STANGERIACEAE | <i>Stangeria eriopus</i> (I) =486 (Hottentot's head) | | |
| TAXACEAE | | <i>Taxus wallichiana</i> (II) #8 =487 (Himalayan yew) | |
| TETRACENTACEAE | | | <i>Tetracentron sinense</i> (III NP) #1 |
| THEACEAE | | <i>Camellia chrysantha</i> (II) #1 (Yellow-flowered camellia) | |
| THYMELACEAE | | <i>Aquilaria malaccensis</i> (II) #1 (Agarwood) | |
| WELWITSCHIACEAE | | <i>Welwitschia mirabilis</i> (II) #1 =488 (Welwitschia) | |
| ZAMIACEAE | <i>Ceratozamia</i> spp. (I) (Horncones) <i>Chigua</i> spp. (I) <i>Encephalartos</i> spp. (I) (Bread palms) <i>Microcycas calocoma</i> (I) (Palm corcho) | ZAMIACEAE spp.* (II) #1 (Cycads) | |
| ZINGIBERACEAE | | <i>Hedychium philippinense</i> (II) #1 (Philippine garland-flower) | |
| ZYGOPHYLLACEAE | | <i>Guaiacum officinale</i> (II) #1 (Lignum-vitae) <i>Guaiacum sanctum</i> (II) #1 (Lignum-vitae) | |

Annex D

MAMMALIA

CARNIVORA

*Canidae**Vulpes vulpes griffithi* (III IN)
(Red fox)*Vulpes vulpes montana* (III IN)
(Red fox)*Vulpes vulpes pusilla* (III IN) =489
(Red fox)*Mustelidae**Mustela erminea ferghanae* (III IN)
(Stoat)