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### COUNCIL REGULATION (EC) No 338/97

#### of 9 December 1996

### on the protection of species of wild fauna and flora by regulating trade therein

(OJ L 61, 3.3.1997, p. 1)

### Amended by:

<u>B</u>

Official	Journal

		No	page	date
► <u>M1</u>	Commission Regulation (EC) No 938/97 of 26 May 1997	L 140	1	30.5.1997
<u>M2</u>	Commission Regulation (EC) No 2307/97 of 18 November 1997	L 325	1	27.11.1997
► <u>M3</u>	Commission Regulation (EC) No 2214/98 of 15 October 1998	L 279	3	16.10.1998
► <u>M4</u>	Commission Regulation (EC) No 1476/1999 of 6 July 1999	L 171	5	7.7.1999
► <u>M5</u>	Commission Regulation (EC) No 2724/2000 of 30 November 2000	L 320	1	18.12.2000
► <u>M6</u>	Commission Regulation (EC) No 1579/2001 of 1 August 2001	L 209	14	2.8.2001
► <u>M7</u>	Commission Regulation (EC) No 2476/2001 of 17 December 2001	L 334	3	18.12.2001
<u>M8</u>	Commission Regulation (EC) No 1497/2003 of 18 August 2003	L 215	3	27.8.2003
► <u>M9</u>	Regulation (EC) No 1882/2003 of the European Parliament and of the Council of 29 September 2003	L 284	1	31.10.2003
► <u>M10</u>	Commission Regulation (EC) No 834/2004 of 28 April 2004	L 127	40	29.4.2004
► <u>M11</u>	Commission Regulation (EC) No 1332/2005 of 9 August 2005	L 215	1	19.8.2005
► <u>M12</u>	Commission Regulation (EC) No 318/2008 of 31 March 2008	L 95	3	8.4.2008
► <u>M13</u>	Commission Regulation (EC) No 407/2009 of 14 May 2009	L 123	3	19.5.2009
► <u>M14</u>	Regulation (EC) No 398/2009 of the European Parliament and of the Council of 23 April 2009	L 126	5	21.5.2009
► <u>M15</u>	Commission Regulation (EU) No 709/2010 of 22 July 2010	L 212	1	12.8.2010
► <u>M16</u>	Commission Regulation (EU) No 101/2012 of 6 February 2012	L 39	133	11.2.2012
► <u>M17</u>	Commission Regulation (EU) No 1158/2012 of 27 November 2012	L 339	1	12.12.2012
► <u>M18</u>	Commission Regulation (EU) No 750/2013 of 29 July 2013	L 212	1	7.8.2013
► <u>M19</u>	Commission Regulation (EU) No 1320/2014 of 1 December 2014	L 361	1	17.12.2014
► <u>M20</u>	Commission Regulation (EU) 2016/2029 of 10 November 2016	L 316	1	23.11.2016
► <u>M21</u>	Commission Regulation (EU) 2017/128 of 20 January 2017	L 21	1	26.1.2017
► <u>M22</u>	Commission Regulation (EU) 2017/160 of 20 January 2017	L 27	1	1.2.2017
► <u>M23</u>	Regulation (EU) 2019/1010 of the European Parliament and of the Council of 5 June 2019	L 170	115	25.6.2019
► <u>M24</u>	Commission Regulation (EU) 2019/2117 of 29 November 2019	L 320	13	11.12.2019

### Corrected by:

- ►<u>C1</u> Corrigendum, OJ L 298, 1.11.1997, p. 70 (338/97)
- ►C2 Corrigendum, OJ L 139, 5.6.2009, p. 35 (407/2009)
- ►<u>C3</u> Corrigendum, OJ L 23, 28.1.2017, p. 123 (2017/128)
- ►<u>C4</u> Corrigendum, OJ L 330, 20.12.2019, p. 104 (2019/2117)

#### COUNCIL REGULATION (EC) No 338/97

#### of 9 December 1996

on the protection of species of wild fauna and flora by regulating trade therein

#### Article 1

### **Object**

The object of this Regulation is to protect species of wild fauna and flora and to guarantee their conservation by regulating trade therein in accordance with the following Articles.

This Regulation shall apply in compliance with the objectives, principles and provisions of the Convention defined in Article 2.

#### Article 2

#### **Definitions**

For the purposes of this Regulation:

- (a) 'committee' shall mean the Committee on Trade in Wild Fauna and Flora, established under Article 18;
- (b) 'convention' shall mean the Convention on International Trade in Endangered Species of Wild Fauna and Flora (Cites);
- (c) 'country of origin' shall mean the country in which a specimen was taken from the wild, captive-bred or artificially propagated;
- (d) 'import notification' shall mean the notification given by the importer or his agent or representative, at the time of the introduction into the Community of a specimen of a species included in Annexes C or D, on a form prescribed by the Commission in accordance with the procedure laid down in Article 18;
- (e) 'introduction from the sea' shall mean the introduction into the Community of any specimen which was taken in, and is being introduced directly from, the marine environment not under the jurisdiction of any State, including the air-space above the sea and the sea-bed and subsoil beneath the sea;
- (f) 'issuance' shall mean the completion of all procedures involved in preparing and validating a permit or certificate and its delivery to the applicant;
- (g) 'management authority' shall mean a national administrative authority designated, in the case of a Member State, in accordance with Article 13(1)(a) or, in the case of a third country party to the Convention, in accordance with Article IX of the Convention;
- (h) 'member state of destination' shall mean the Member State of destination mentioned in the document used to export or re-export a specimen; in the event of introduction from the sea, it shall mean the Member State within whose jurisdiction the place of destination of a specimen lies;
- (i) 'offering for sale' shall mean offering for sale and any action that may reasonably be construed as such, including advertising or causing to be advertised for sale and invitation to treat;

- (j) 'personal or household effects' shall mean dead specimens, parts and derivatives thereof, that are the belongings of a private individual and that form, or are intended to form, part of his normal goods and chattels;
- (k) 'place of destination' shall mean the place at which at the time of introduction into the Community, it is intended that specimens will normally be kept; in the case of live specimens, this shall be the first place where specimens are intended to be kept following any period of quarantine or other confinement for the purposes of sanitary checks and controls;
- (l) 'population' shall mean a biologically or geographically distinct total number of individuals;
- (m) 'primarily commercial purposes' shall mean all purposes the non-commercial aspects of which do not clearly predominate;
- (n) 're-export from the Community' shall mean export from the Community of any specimen that has previously been introduced;
- (o) 'reintroduction into the Community' shall mean introduction into the Community of any specimen that has previously been exported or re-exported;
- (p) 'sale' shall mean any form of sale. For the purposes of this Regulation, hire, barter or exchange shall be regarded as sale; cognate expressions shall be similarly construed;
- (q) 'scientific authority' shall mean a scientific authority designated, in the case of a Member State, in accordance with Article 13(1)(b) or, in the case of a third country party to the Convention, in accordance with Article IX of the Convention;
- (r) 'scientific review group' shall mean the consultative body established under Article 17;
- (s) 'species' shall mean a species, subspecies or population thereof;
- (t) 'specimen' shall mean any animal or plant, whether alive or dead, of the species listed in Annexes A to D, any part or derivative thereof, whether or not contained in other goods, as well as any other goods which appear from an accompanying document, the packaging or a mark or label, or from any other circumstances, to be or to contain parts or derivatives of animals or plants of those species, unless such parts or derivatives are specifically exempted from the provisions of this Regulation or from the provisions relating to the Annex in which the species concerned is listed by means of an indication to that effect in the Annexes concerned.

A specimen will be considered to be a specimen of a species listed in Annexes A to D if it is, or is part of or derived from, an animal or plant at least one of whose 'parents' is of a species so listed. In cases where the 'parents' of such an animal or plant are of species listed in different Annexes, or of species only one of which is listed, the provisions of the more restrictive Annex shall apply. However, in the case of specimens of hybrid plants, if one of the 'parents' is of a species listed in Annex A, the provisions of the more restrictive Annex shall apply only if that species is annotated to that effect in the Annex;

(u) 'trade' shall mean the introduction into the Community, including introduction from the sea, and the export and re-export therefrom, as well as the use, movement and transfer of possession within the Community, including within a Member State, of specimens subject to the provisions of this Regulation;

- (v) 'transit' shall mean the transport of specimens between two points outside the Community through the territory of the Community which are shipped to a named consignee and during which any interruption in the movement arises only from the arrangements necessitated by this form of traffic;
- (w) 'worked specimens that were acquired more than 50 years previously' shall mean specimens that were significantly altered from their natural raw state for jewellery, adornment, art, utility, or musical instruments, more than 50 years before the entry into force of this Regulation and that have been, to the satisfaction of the management authority of the Member State concerned, acquired in such conditions. Such specimens shall be considered as worked only if they are clearly in one of the aforementioned categories and require no further carving, crafting or manufacture to effect their purpose;
- (x) 'checks at the time of introduction, export, re-export and transit' shall mean documentary checks on the certificates, permits and notifications provided for in this Regulation and — in cases where Community provisions so provide or in other cases by representative sampling of the consignments — examination of the specimens, where appropriate accompanied by the taking of samples with a view to analysis or more detailed checks.

#### Scope

- 1. Annex A shall contain:
- (a) the species listed in Appendix I to the Convention for which the Member States have not entered a reservation;
- (b) any species:
  - (i) which is, or may be, in demand for utilization in the Community or for international trade and which is either threatened with extinction or so rare that any level of trade would imperil the survival of the species;

or

- (ii) which is in a genus of which most of the species or which is a species of which most of the subspecies are listed in Annex A in accordance with the criteria in subparagraphs (a) or (b)(i) and whose listing in the Annex is essential for the effective protection of those taxa.
- 2. Annex B shall contain:
- (a) the species listed in Appendix II to the Convention, other than those listed in Annex A, for which the Member States have not entered a reservation;
- (b) the species listed in Appendix I to the Convention for which a reservation has been entered;

### **▼**<u>B</u>

- (c) any other species not listed in Appendices I or II to the Convention:
  - (i) which is subject to levels of international trade that might not be compatible:
    - with its survival or with the survival of populations in certain countries, or
    - with the maintenance of the total population at a level consistent with the role of the species in the ecosystems in which it occurs:

or

- (ii) whose listing in the Annex for reasons of similarity in appearance to other species listed in Annex A or Annex B, is essential in order to ensure the effectiveness of controls on trade in specimens of such species;
- (d) species in relation to which it has been established that the introduction of live specimens into the natural habitat of the Community would constitute an ecological threat to wild species of fauna and flora indigenous to the Community.
- 3. Annex C shall contain:
- (a) the species listed in Appendix III to the Convention, other than those listed in Annexes A or B, for which the Member States have not entered a reservation;
- (b) the species listed in Appendix II to the Convention for which a reservation has been entered.
- 4. Annex D shall contain:
- (a) species not listed in Annexes A to C which are imported into the Community in such numbers as to warrant monitoring;
- (b) the species listed in Appendix III to the Convention for which a reservation has been entered.
- 5. Where the conservation status of species covered by this Regulation warrants their inclusion in one of the Appendices to the Convention, the Member States shall contribute to the necessary amendments.

### Article 4

### Introduction into the Community

1. The introduction into the Community of specimens of the species listed in Annex A shall be subject to completion of the necessary checks and the prior presentation, at the border customs office at the point of introduction, of an import permit issued by a management authority of the Member State of destination.

The import permit may be issued only in accordance with the restrictions established pursuant to paragraph 6 and when the following conditions have been met:

- (a) the competent scientific authority, after considering any opinion by the Scientific Review Group, has advised that the introduction into the Community:
  - (i) would not have a harmful effect on the conservation status of the species or on the extent of the territory occupied by the relevant population of the species;
  - (ii) is taking place:
    - for one of the purposes referred to in Article 8(3)(e), (f) and (g), or
    - for other purposes which are not detrimental to the survival of the species concerned;
- (b) (i) the applicant provides documentary evidence that the specimens have been obtained in accordance with the legislation on the protection of the species concerned which, in the case of import from a third country of specimens of a species listed in the Appendices to the Convention, shall be an export permit or re-export certificate, or copy thereof, issued in accordance with the Convention by a competent authority of the country of export or re-export;
  - (ii) however, the issuance of import permits for species listed in Annex A in accordance with Article 3(1)(a) shall not require such documentary evidence, but the original of any such import permit shall be withheld from the applicant pending presentation of the export permit or re-export certificate;
- (c) the competent scientific authority is satisfied that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly;
- (d) the management authority is satisfied that the specimen is not to be used for primarily commercial purposes;
- (e) the management authority is satisfied, following consultation with the competent scientific authority, that there are no other factors relating to the conservation of the species which militate against issuance of the import permit; and
- (f) in the case of introduction from the sea, the management authority is satisfied that any live specimen will be so prepared and shipped as to minimize the risk of injury, damage to health or cruel treatment.
- 2. The introduction into the Community of specimens of the species listed in Annex B shall be subject to completion of the necessary checks and the prior presentation, at the border customs office at the point of introduction, of an import permit issued by a management authority of the Member State of destination.

The import permit may be issued only in accordance with the restrictions established pursuant to paragraph 6 and when:

- (a) the competent scientific authority, after examining available data and considering any opinion from the Scientific Review Group, is of the opinion that the introduction into the Community would not have a harmful effect on the conservation status of the species or on the extent of the territory occupied by the relevant population of the species, taking account of the current or anticipated level of trade. This opinion shall be valid for subsequent imports as long as the abovementioned aspects have not changed significantly;
- (b) the applicant provides documentary evidence that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly;
- (c) the conditions referred to in paragraph 1(b)(i), (e) and (f) have been met.
- 3. The introduction into the Community of specimens of the species listed in Annex C shall be subject to completion of the necessary checks and the prior presentation, at the border customs office at the point of introduction, of an import notification and:
- (a) in the case of export from a country mentioned in relation to the species concerned in Annex C, the applicant shall provide documentary evidence, by means of an export permit issued in accordance with the Convention by an authority of that country competent for the purpose, that the specimens have been obtained in accordance with the national legislation on the conservation of the species concerned; or
- (b) in the case of export from a country not mentioned in relation to the species concerned in Annex C or re-export from any country, the applicant shall present an export permit, a re-export certificate or a certificate of origin issued in accordance with the Convention by an authority of the exporting or re-exporting country competent for the purpose.
- 4. The introduction into the Community of specimens of the species listed in Annex D shall be subject to completion of the necessary checks and the prior presentation of an import notification at the border customs office at the point of introduction.
- 5. The conditions for the issuance of an import permit as referred to in paragraph 1(a) and (d) and in paragraph 2(a), (b) and (c) shall not apply to specimens for which the applicant provides documentary evidence:
- (a) that they had previously been legally introduced into or acquired in the Community and that they are, modified or not, being reintroduced into the Community; or
- (b) that they are worked specimens that were acquired more than 50 years previously.

### **▼**M14

In consultation with the countries of origin concerned, in accordance with the regulatory procedure referred to in Article 18(2) and taking account of any opinion from the Scientific Review Group, the Commission may establish general restrictions, or restrictions relating to certain countries of origin, on the introduction into the Community:

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- (a) on the basis of the conditions referred to in paragraph 1(a)(i) or (e), of specimens of species listed in Annex A;
- (b) on the basis of the conditions referred to in paragraph 1(e) or paragraph 2(a), of specimens of species listed in Annex B; and
- (c) of live specimens of species listed in Annex B which have a high mortality rate during shipment or for which it has been established that they are unlikely to survive in captivity for a considerable proportion of their potential life span; or
- (d) of live specimens of species for which it has been established that their introduction into the natural environment of the Community presents an ecological threat to wild species of fauna and flora indigenous to the Community.

The Commission shall on a quarterly basis publish a list of such restrictions, if any, in the Official Journal of the European Communities.

## **▼** M<u>14</u>

Where special cases of transhipment, air transfer or rail transport occur following introduction into the Community, derogations from completion of the checks and presentations of import documents at the border customs office at the point of introduction which are referred to in paragraphs 1 to 4 shall be granted by the Commission in order to permit such checks and presentations to be made at another customs office designated in accordance with Article 12(1).

Those measures, designed to amend non-essential elements of this Regulation, by supplementing it, shall be adopted in accordance with the regulatory procedure with scrutiny referred to in Article 18(3).

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### Article 5

### Export or re-export from the Community

- The export or re-export from the Community of specimens of the species listed in Annex A shall be subject to completion of the necessary checks and the prior presentation, at the customs office at which the export formalities are completed, of an export permit or re-export certificate issued by a management authority of the Member State in which the specimens are located.
- An export permit for specimens of the species listed in Annex A may be issued only when the following conditions have been met:
- (a) the competent scientific authority has advised in writing that the capture or collection of the specimens in the wild or their export will not have a harmful effect on the conservation status of the species or on the extent of the territory occupied by the relevant population of the species;

- (b) the applicant provides documentary evidence that the specimens have been obtained in accordance with the legislation in force on the protection of the species in question; where the application is made to a Member State other than the Member State of origin, such documentary evidence shall be furnished by means of a certificate stating that the specimen was taken from the wild in accordance with the legislation in force on its territory;
- (c) the management authority is satisfied that:
  - (i) any live specimen will be so prepared and shipped as to minimize the risk of injury, damage to health or cruel treatment; and
  - (ii) the specimens of species not listed in Annex I to the Convention will not be used for primarily commercial purposes, or
    - in the case of export to a State party to the Convention of specimens of the species referred to in Article 3(1)(a) of this Regulation, an import permit has been issued;

and

- (d) the management authority of the Member State is satisfied, following consultation with the competent scientific authority, that there are no other factors relating to the conservation of the species which militate against issuance of the export permit.
- 3. A re-export certificate may be issued only when the conditions referred to in paragraph 2 (c) and (d) have been met and when the applicant provides documentary evidence that the specimens:
- (a) were introduced into the Community in accordance with the provisions of this Regulation;
- (b) if introduced into the Community before the entry into force of this Regulation, were introduced in accordance with the provisions of Regulation (EEC) No 3626/82; or
- (c) if introduced into the Community before 1984, entered international trade in accordance with the provisions of the Convention; or
- (d) were legally introduced into the territory of a Member State before the provisions of the Regulations referred to in (a) and (b) or of the Convention became applicable to them, or became applicable in that Member State.
- 4. The export or re-export from the Community of specimens of the species listed in Annexes B and C shall be subject to completion of the necessary checks and the prior presentation, at the customs office at which the export formalities are completed, of an export permit or re-export certificate issued by a management authority of the Member State in whose territory the specimens are located.

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An export permit may be issued only when the conditions referred to in paragraph 2 (a), (b), (c) (i) and (d) have been met.

A re-export certificate may be issued only when the conditions referred to in paragraph 2 (c) (i) and (d) and in paragraph 3 (a) to (d) have been met

### **▼**<u>M14</u>

5. Where an application for a re-export certificate concerns specimens introduced into the Community under an import permit issued by another Member State, the management authority must first consult the management authority which issued the permit. The consultation procedures and the cases in which consultation is necessary shall be established by the Commission. Those measures, designed to amend non-essential elements of this Regulation, by supplementing it, shall be adopted in accordance with the regulatory procedure with scrutiny referred to in Article 18(3).

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- 6. The conditions for the issuance of an export permit or re-export certificate as referred to in paragraph 2 (a) and (c) (ii) shall not apply to:
- (i) worked specimens that were acquired more than 50 years previously; or
- (ii) dead specimens and parts and derivatives thereof for which the applicant provides documentary evidence that they were legally acquired before the provisions of this Regulation, or of Regulation (EEC) No 3626/82 or of the Convention became applicable to them.
- 7. (a) The competent scientific authority in each Member State shall monitor the issuance of export permits by that Member State for specimens of species listed in Annex B and actual exports of such specimens. Whenever such scientific authority determines that the export of specimens of any such species should be limited in order to maintain that species throughout its range at a level consistent with its role in the ecosystem in which it occurs, and well above the level at which that species might become eligible for inclusion in Annex A in accordance with Article 3 (1) (a) or (b) (i), the scientific authority shall advise the competent management authority, in writing, of suitable measures to be taken to limit the issuance of export permits for specimens of that species

### **▼**M14

(b) Whenever a management authority is advised of the measures referred to in (a), it shall inform and send comments to the Commission which shall, if appropriate, recommend restrictions on exports of the species concerned in accordance with the regulatory procedure referred to in Article 18(2).

# Rejection of applications for permits and certificates referred to in Articles 4, 5 and 10

- 1. When a Member State rejects an application for a permit or certificate in a case of significance in respect of the objectives of this Regulation, it shall immediately inform the Commission of the rejection and of the reasons for rejection.
- 2. The Commission shall communicate information received in accordance with paragraph 1 to the other Member States in order to ensure uniform application of this Regulation.
- 3. When an application is made for a permit or certificate relating to specimens for which such an application has previously been rejected, the applicant must inform the competent authority to which the application is submitted of the previous rejection.
- 4. (a) Member States shall recognize the rejection of applications by the competent authorities of the other Member States, where such rejection is based on the provisions of this Regulation.
  - (b) However, this need not apply where the circumstances have significantly changed or where new evidence to support an application has become available. In such cases, if a management authority issues a permit or certificate, it shall inform the Commission thereof, stating the reasons for issuance.

### Article 7

### **Derogations**

- 1. Specimens born and bred in captivity or artificially propagated
- (a) Save where Article 8 applies, specimens of species listed in Annex A that have been born and bred in captivity or artificially propagated shall be treated in accordance with the provisions applicable to specimens of species listed in Annex B.
- (b) In the case of artificially propagated plants, the provisions of Articles 4 and 5 may be waived under special conditions laid down by the Commission, relating to:
  - (i) the use of phytosanitary certificates;
  - (ii) trade by registered commercial traders and by the scientific institutions referred to in paragraph 4 of this Article; and
  - (iii) trade in hybrids.

#### **▼**M14

(c) The criteria for determining whether a specimen has been born and bred in captivity or artificially propagated and whether for commercial purposes, as well as the special conditions referred to in (b), shall be specified by the Commission. Those measures, designed to amend non-essential elements of this Regulation, by supplementing it, shall be adopted in accordance with the regulatory procedure with scrutiny referred to in Article 18(3).

#### 2. Transit

- (a) By way of derogation from Article 4, where a specimen is in transit through the Community, checks and presentation at the border customs office at the point of introduction of the prescribed permits, certificates and notifications shall not be required.
- (b) In the case of species listed in the Annexes in accordance with Article 3 (1) and Article 3 (2) (a) and (b), the derogation referred to in (a) shall apply only where a valid export or re-export document provided for by the Convention, relating to the specimens that it accompanies and specifying the destination of the specimens, has been issued by the competent authorities of the exporting or re-exporting third country.

### **▼** M<u>14</u>

(c) If the document referred to in (b) has not been issued before export or re-export, the specimen must be seized and may, where applicable, be confiscated unless the document is submitted retrospectively in compliance with the conditions specified by the Commission. Those measures, designed to amend non-essential elements of this Regulation, by supplementing it, shall be adopted in accordance with the regulatory procedure with scrutiny referred to in Article 18(3).

### 3. Personal and household effects

By way of derogation from Articles 4 and 5, the provisions therein shall not apply to dead specimens, parts and derivatives of species listed in Annexes A to D which are personal or household effects being introduced into the Community, or exported or re-exported therefrom, in compliance with provisions that shall be specified by the Commission. Those measures, designed to amend non-essential elements of this Regulation, by supplementing it, shall be adopted in accordance with the regulatory procedure with scrutiny referred to in Article 18(3).

### 4. Scientific institutions

The documents referred to in Articles 4, 5, 8 and 9 shall not be required in the case of non-commercial loans, donations and exchanges between scientists and scientific institutions, registered by the management authorities of the States in which they are located, of herbarium specimens and other preserved, dried or embedded museum specimens, and of live plant material, bearing a label, the model for which has been determined in accordance with the regulatory procedure referred to in Article 18(2) or a similar label issued or approved by a management authority of a third country.

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### Article 8

### Provisions relating to the control of commercial activities

1. The purchase, offer to purchase, acquisition for commercial purposes, display to the public for commercial purposes, use for commercial gain and sale, keeping for sale, offering for sale or transporting for sale of specimens of the species listed in Annex A shall be prohibited.

- 2. Member States may prohibit the holding of specimens, in particular live animals of the species listed in Annex A.
- 3. In accordance with the requirements of other Community legislation on the conservation of wild fauna and flora, exemption from the prohibitions referred to in paragraph 1 may be granted by issuance of a certificate to that effect by a management authority of the Member State in which the specimens are located, on a case-by-case basis where the specimens:
- (a) were acquired in, or were introduced into, the Community before the provisions relating to species listed in Appendix I to the Convention or in Annex C1 to Regulation (EEC) No 3626/82 or in Annex A became applicable to the specimens; or
- (b) are worked specimens that were acquired more than 50 years previously; or
- (c) were introduced into the Community in compliance with the provisions of this Regulation and are to be used for purposes which are not detrimental to the survival of the species concerned; or
- (d) are captive-born and bred specimens of an animal species or artificially propagated specimens of a plant species or are parts or derivatives of such specimens; or
- (e) are required under exceptional circumstances for the advancement of science or for essential biomedical purposes pursuant to Council Directive 86/609/EEC of 24 November 1986 on the approximation of laws, regulations and administrative provisions of the Member States regarding the protection of animals used for experimental and other scientific purposes (¹) where the species in question proves to be the only one suitable for those purposes and where there are no specimens of the species which have been born and bred in captivity; or
- (f) are intended for breeding or propagation purposes from which conservation benefits will accrue to the species concerned; or
- (g) are intended for research or education aimed at the preservation or conservation of the species; or
- (h) originate in a Member State and were taken from the wild in accordance with the legislation in force in that Member State.

### **▼** <u>M14</u>

4. General derogations from the prohibitions referred to in paragraph 1 based on the conditions referred to in paragraph 3, as well as general derogations with regard to species listed in Annex A in accordance with point (ii) of Article 3(1)(b) may be defined by the Commission. Any such derogations must be in accordance with the requirements of other Community legislation on the conservation of wild fauna and flora. Those measures, designed to amend non-essential elements of this Regulation, by supplementing it, shall be adopted in accordance with the regulatory procedure with scrutiny referred to in Article 18(3).

<sup>(1)</sup> OJ No L 358, 18.12.1986, p. 1.

- 5. The prohibitions referred to in paragraph 1 shall also apply to specimens of the species listed in Annex B except where it can be proved to the satisfaction of the competent authority of the Member State concerned that such specimens were acquired and, if they originated outside the Community, were introduced into it, in accordance with the legislation in force for the conservation of wild fauna and flora.
- 6. The competent authorities of the Member States shall have discretion to sell any specimen of the species listed in Annexes B to D they have confiscated under this Regulation, provided that it is not thus returned directly to the person or entity from whom it was confiscated or who was party to the offence. Such specimens may then be treated for all purposes as if they had been legally acquired.

### Movement of live specimens

- 1. Any movement within the Community of a live specimen of a species listed in Annex A from the location indicated in the import permit or in any certificate issued in compliance with this Regulation shall require prior authorization from a management authority of the Member State in which the specimen is located. In other cases of movement, the person responsible for moving the specimen must be able, where applicable, to provide proof of the legal origin of the specimen.
- 2. Such authorization shall:
- (a) be granted only when the competent scientific authority of such Member State or, where the movement is to another Member State, the competent scientific authority of the latter, is satisfied that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly;
- (b) be confirmed by issuance of a certificate; and
- (c) where applicable, be immediately communicated to a management authority of the Member State in which the specimen is to be located
- 3. However, no such authorization shall be required if a live animal must be moved for the purpose of urgent veterinary treatment and is returned directly to its authorized location.
- 4. Where a live specimen of a species listed in Annex B is moved within the Community, the holder of the specimen may relinquish it only after ensuring that the intended recipient is adequately informed of the accommodation, equipment and practices required to ensure the specimen will be properly cared for.
- 5. When any live specimens are transported into, from or within the Community or are held during any period of transit or transhipment, they shall be prepared, moved and cared for in a manner such as to minimize the risk of injury, damage to health or cruel treatment and, in the case of animals, in conformity with Community legislation on the protection of animals during transport.

### **▼**M14

6. The Commission may establish restrictions on the holding or movement of live specimens of such species in relation to which restrictions on introduction into the Community have been established in accordance with Article 4(6). Those measures, designed to amend non-essential elements of this Regulation, by supplementing it, shall be adopted in accordance with the regulatory procedure with scrutiny referred to in Article 18(3).

### **▼**B

#### Article 10

#### Certificates to be issued

On receiving an application, together with all the requisite supporting documents, from the person concerned and provided that all the conditions governing their issuance have been fulfilled, a management authority of a Member State may issue a certificate for the purposes referred to in Article 5 (2) (b), 5 (3) and (4), Article 8 (3) and Article 9 (2) (b).

#### Article 11

### Validity of and special conditions for permits and certificates

- 1. Without prejudice to stricter measures which the Member States may adopt or maintain, permits and certificates issued by the competent authorities of the Member States in accordance with this Regulation shall be valid throughout the Community.
- 2. (a) However, any such permit or certificate, as well as any permit or certificate issued on the basis of it, shall be deemed void if a competent authority or the Commission, in consultation with the competent authority which issued the permit or certificate, establishes that it was issued on the false premise that the conditions for its issuance were met.
  - (b) Specimens situated in the territory of a Member State and covered by such documents shall be seized by the competent authorities of that Member State and may be confiscated.
- 3. Any permit or certificate issued in accordance with this Regulation may stipulate conditions and requirements imposed by the issuing authority to ensure compliance with the provisions thereof. Where such conditions or requirements need to be incorporated in the design of permits or certificates, Member States shall inform the Commission thereof.
- 4. Any import permit issued on the basis of a copy of the corresponding export permit or re-export certificate shall be valid for the introduction of specimens into the Community only when accompanied by the original of the valid export permit or re-export certificate.

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### **▼**M14

The Commission shall establish time limits for the issuance of permits and certificates. Those measures, designed to amend non-essential elements of this Regulation, by supplementing it, shall be adopted in accordance with the regulatory procedure with scrutiny referred to in Article 18(3).

### **▼**B

### Article 12

### Places of introduction and export

- Member States shall designate customs offices for carrying out the checks and formalities for the introduction into and export from the Community, in order to assign to them a customs-approved treatment or use, within the meaning of Regulation (EEC) No 2913/92, of specimens of species covered by this Regulation and shall state which offices are specifically intended to deal with live specimens.
- All offices designated in accordance with paragraph 1 shall be provided with sufficient and adequately trained staff. Member States shall ensure that accommodation is provided in accordance with relevant Community legislation as regards the transport and accommodation of live animals and that, where necessary, adequate steps are taken for live plants.
- All offices designated in accordance with paragraph 1 shall be notified to the Commission which shall publish a list of them in the Official Journal of the European Communities.

## **▼**M14

In exceptional cases and in accordance with the criteria defined by the Commission, a management authority may authorise the introduction into the Community or the export or re-export therefrom at a customs office other than one designated in accordance with paragraph 1. Those measures, designed to amend non-essential elements of this Regulation, by supplementing it, shall be adopted in accordance with the regulatory procedure with scrutiny referred to in Article 18(3).

### **▼**B

Member States shall ensure that at border crossing-points the public are informed of the implementing provisions of this Regulation.

### Article 13

#### Management and scientific authorities and other competent authorities

- (a) Each Member State shall designate a management authority with primary responsibility for implementation of this Regulation and for communication with the Commission:
  - (b) Each Member State may also designate additional management authorities and other competent authorities to assist in implementation, in which case the primary management authority shall be responsible for providing the additional authorities with all the information required for correct application of this Regulation.
- Each Member State shall designate one or more scientific authorities with appropriate qualifications whose duties shall be separate from those of any designated management authority.

- 3. (a) Not later than three months before the date of application of this Regulation, Member States shall forward the names and addresses of the designated management authorities, other authorities competent to issue permits or certificates and scientific authorities to the Commission, which shall publish this information in the Official Journal of the European Communities within a month.
  - (b) Each management authority referred to in paragraph 1 (a) shall, if so requested by the Commission, communicate to it within two months the names and specimen signatures of people authorized to sign permits or certificates, and impressions of the stamps, seals or other devices used to authenticate permits or certificates.
  - (c) Member States shall communicate to the Commission any changes in the information already provided, not later than two months after the implementation of such change.

### Monitoring of compliance and investigation of infringements

- (a) The competent authorities of the Member States shall monitor compliance with the provisions of this Regulation.
  - (b) If, at any time, the competent authorities have reason to believe that these provisions are being infringed, they shall take the appropriate steps to ensure compliance or to instigate legal action.
  - (c) Member States shall inform the Commission and, in the case of species listed in the Appendices to the Convention, the Convention Secretariat of any steps taken by the competent authorities in relation to significant infringements of this Regulation, including seizures and confiscations.
- 2. The Commission shall draw the attention of the competent authorities of the Member States to matters whose investigation it considers necessary under this Regulation. Member States shall inform the Commission and, in the case of species listed in the Appendices to the Convention, the Convention Secretariat of the outcome of any subsequent investigation.
- 3. (a) An enforcement group shall be established consisting of the representatives of each Member State's authorities with responsibility for ensuring the implementation of the provisions of this Regulation. The group shall be chaired by the representative of the Commission.
  - (b) The enforcement group shall examine any technical question relating to the enforcement of this Regulation raised by the chairman, either on his own initiative or at the request of the members of the group or the committee.
  - (c) The Commission shall convey the opinions expressed in the enforcement group to the committee.

### Communication of information

1. The Member States and the Commission shall communicate to one another the information necessary for implementing this Regulation.

The Member States and the Commission shall ensure that the necessary steps are taken to make the public aware and inform it of the provisions regarding implementation of the Convention and of this Regulation and of the latter's implementing measures.

- 2. The Commission shall communicate with the Convention Secretariat so as to ensure that the Convention is effectively implemented throughout the territory to which this Regulation applies.
- 3. The Commission shall immediately communicate any advice from the Scientific Review Group to the management authorities of the Member States concerned.
- 4. (a) The management authorities of the Member States shall communicate to the Commission before 15 June each year all the information relating to the preceding year required for drawing up the reports referred to in Article VIII.7 (a) of the Convention and equivalent information on international trade in all specimens of species listed in Annexes A, B and C and on introduction into the Community of specimens of species listed in Annex D. ►M14 The information to be communicated and the format for its presentation shall be specified by the Commission in accordance with the regulatory procedure referred to in Article 18(2). ◄

### **▼**<u>M23</u>

- (b) On the basis of the information submitted by the Member States referred to in point (a), the Commission services shall make publicly available, before 31 October each year a Union-wide overview on the introduction into, and the export and re-export from, the Union of specimens of the species to which this Regulation applies and shall forward to the Convention Secretariat information on the species to which the Convention applies.
- (c) Without prejudice to Article 20 of this Regulation, the management authorities of the Member States shall, one year before each meeting of the Conference of the Parties to the Convention, communicate to the Commission all the information relating to the relevant preceding period required for drawing up the reports referred to in Article VIII.7 (b) of the Convention and equivalent information on the provisions of this Regulation that fall outside the scope of the Convention. The format for its presentation shall be specified by the Commission by means of implementing acts. Those implementing acts shall be adopted in accordance with the examination procedure referred to in Article 18(2) of this Regulation.
- (d) On the basis of the information submitted by the Member States referred to in point (c), the Commission shall make publicly available a Union-wide overview on the implementation and enforcement of this Regulation.
- (e) The management authorities of the Member States shall communicate to the Commission before 15 June each year all the information relating to the preceding year for drawing up the annual illegal trade report referred to in CITES Resolution Conf. 11.17 (rev. CoP17).

### **▼**M14

5. With a view to the preparation of amendments to the Annexes, the competent authorities of the Member States shall forward all relevant information to the Commission. The Commission shall specify the information required, in accordance with the regulatory procedure referred to in Article 18(2).

#### **▼**B

▶ C1 6. Without prejudice to Council Directive  $90/313/\text{EEC} \blacktriangleleft$  of 7 June 1990 on the freedom of access to information on the environment (¹), the Commission shall take appropriate measures to protect the confidentiality of information obtained in implementation of this Regulation.

#### Article 16

#### **Sanctions**

- 1. Member States shall take appropriate measures to ensure the imposition of sanctions for at least the following infringements of this Regulation:
- (a) introduction into, or export or re-export from, the Community of specimens without the appropriate permit or certificate or with a false, falsified or invalid permit or certificate or one altered without authorization by the issuing authority;
- (b) failure to comply with the stipulations specified on a permit or certificate issued in accordance with this Regulation;
- (c) making a false declaration or knowingly providing false information in order to obtain a permit or certificate;
- (d) using a false, falsified or invalid permit or certificate or one altered without authorization as a basis for obtaining a Community permit or certificate or for any other official purpose in connection with this Regulation;
- (e) making no import notification or a false import notification;
- (f) shipment of live specimens not properly prepared so as to minimize the risk of injury, damage to health or cruel treatment;
- (g) use of specimens of species listed in Annex A other than in accordance with the authorization given at the time of issuance of the import permit or subsequently;
- (h) trade in artificially propagated plants contrary to the provisions laid down in accordance with Article 7(1)(b);
- (i) shipment of specimens into or out of or in transit through the territory of the Community without the appropriate permit or certificate issued in accordance with this Regulation and, in the case of export or re-export from a third country party to the Convention, in accordance therewith, or without satisfactory proof of the existence of such permit or certificate;
- (j) purchase, offer to purchase, acquisition for commercial purposes, use for commercial gain, display to the public for commercial purposes, sale, keeping for sale, offering for sale or transporting for sale of specimens in contravention of Article 8;
- (k) use of a permit or certificate for any specimen other than one for which it was issued;

- (l) falsification or alteration of any permit or certificate issued in accordance with this Regulation;
- (m) failure to disclose rejection of an application for a Community import, export or re-export permit or certificate, in accordance with Article 6 (3).
- 2. The measures referred to in paragraph 1 shall be appropriate to the nature and gravity of the infringement and shall include provisions relating to the seizure and, where appropriate, confiscation of specimens.
- 3. Where a specimen is confiscated, it shall be entrusted to a competent authority of the Member State of confiscation which:
- (a) following consultation with a scientific authority of that Member State, shall place or otherwise dispose of the specimen under conditions which it deems to be appropriate and consistent with the purposes and provisions of the Convention and this Regulation; and
- (b) in the case of a live specimen which has been introduced into the Community, may, after consultation with the State of export, return the specimen to that State at the expense of the convicted person.
- 4. Where a live specimen of a species listed in Annex B or C arrives at a point of introduction into the Community without the appropriate valid permit or certificate, the specimen must be seized and may be confiscated or, if the consignee refuses to acknowledge the specimen, the competent authorities of the Member State responsible for the point of introduction may, if appropriate, refuse to accept the shipment and require the carrier to return the specimen to its place of departure.

### The Scientific Review Group

- 1. A Scientific Review Group is hereby established, consisting of the representatives of each Member State's scientific authority or authorities and chaired by the representative of the Commission.
- 2. (a) The Scientific Review Group shall examine any scientific question relating to the application of this Regulation in particular concerning Article 4 (1) (a), (2) (a) and (6) raised by the chairman, either on his own initiative or at the request of the members of the Group or the Committee.
  - (b) The Commission shall convey the opinions of the Scientific Review Group to the Committee.

### **▼**<u>M9</u>

### Article 18

- 1. The Commission shall be assisted by a committee.
- 2. Where reference is made to this Article, Articles 5 and 7 of Decision 1999/468/EC (¹) shall apply, having regard to the provisions of Article 8 thereof.

Council Decision 1999/468/EC of 28 June 1999 laying down the procedures for the exercise of implementing powers conferred on the Commission (OJ L 184, 17.7.1999, p. 23).

The period laid down in Article 5(6) of Decision 1999/468/EC shall be set at three months. As regards the Committee's tasks referred to in points 1 and 2 of Article 19, if, on the expiry of a period of three months from the date of referral to the Council, the Council has not acted, the proposed measures shall be adopted by the Commission.

### **▼** M14

- 3. Where reference is made to this paragraph, Article 5a(1) to (4) and Article 7 of Decision 1999/468/EC shall apply, having regard to the provisions of Article 8 thereof.
- 4. Where reference is made to this paragraph, Article 5a(1) to (4) and (5)(b) and Article 7 of Decision 1999/468/EC shall apply, having regard to the provisions of Article 8 thereof.

The time limits laid down in Article 5a(3)(c) and (4)(b) and (e) of Decision 1999/468/EC shall be set at one month, one month and two months respectively.

#### Article 19

1. In accordance with the regulatory procedure referred to in Article 18(2), the Commission shall adopt the measures referred to in Article 4(6), Article 5(7)(b), Article 7(4), Article 15(4)(a) and (c), Article 15(5) and Article 21(3).

The Commission shall determine the design of the documents referred to in Articles 4, 5, 7(4) and 10 in accordance with the regulatory procedure referred to in Article 18(2).

- 2. The Commission shall adopt the measures referred to in Article 4(7), Article 5(5), Article 7(1)(c), (2)(c) and (3), Article 8(4), Article 9(6), Article 11(5) and Article 12(4). Those measures, designed to amend non-essential elements of this Regulation, by supplementing it, shall be adopted in accordance with the regulatory procedure with scrutiny referred to in Article 18(3).
- 3. The Commission shall lay down uniform conditions and criteria for:
- (a) the issue, validity and use of the documents referred to in Articles 4,5, 7(4) and 10;
- (b) the use of phytosanitary certificates referred to in Article 7(1)(b)(i);
- (c) the establishment of procedures, where necessary, for marking specimens in order to facilitate identification and ensure enforcement of the provisions.

Those measures, designed to amend non-essential elements of this Regulation, by supplementing it, shall be adopted in accordance with the regulatory procedure with scrutiny referred to in Article 18(3).

### **▼**M14

- 4. The Commission shall adopt, where necessary, additional measures to implement resolutions of the Conference of the Parties to the Convention, decisions or recommendations of the Standing Committee of the Convention and recommendations of the Convention Secretariat. Those measures, designed to amend non-essential elements of this Regulation, by supplementing it, shall be adopted in accordance with the regulatory procedure with scrutiny referred to in Article 18(3).
- 5. The Commission shall amend Annexes A to D except in the case of amendments to Annex A which do not result from decisions of the Conference of the Parties to the Convention. Those measures, designed to amend non-essential elements of this Regulation, shall be adopted in accordance with the regulatory procedure with scrutiny referred to in Article 18(4).

**▼**B

### Article 20

### Final provisions

Each Member State shall notify the Commission and the Convention Secretariat of the provisions which it adopts specifically for the implementation of this Regulation and of all legal instruments used and measures taken for its implementation and enforcement.

The Commission shall communicate this information to the other Member States.

#### Article 21

- 1. Regulation (EEC) No 3626/82 is hereby repealed.
- 2. Until the measures provided for in points 1 and 2 of Article 19 have been adopted, Member States may maintain or continue to apply the measures adopted in accordance with Regulation (EEC) No 3626/82 and Commission Regulation (EEC) No 3418/83 of 28 November 1983 laying down provisions for the uniform issue and use of the documents required for the implementation in the Community of the Convention on International Trade in Endangered Species of Wild Fauna and Flora (¹).

### **▼**<u>M14</u>

3. Two months before this Regulation is implemented the Commission, in accordance with the regulatory procedure referred to in Article 18(2) and in consultation with the Scientific Review Group:

### **▼**<u>B</u>

- (a) must check that there is no justification for restrictions on the introduction into the Community of the species listed in Annex C1 to Regulation (EEC) No 3626/82 which are not included in Annex A to this Regulation;
- (b) shall adopt a Regulation amending Annex D into a representative list of species meeting the criteria laid down in Article 3 (4) (a).

<sup>(1)</sup> OJ No L 344, 7.12.1983, p. 1.

This Regulation shall enter into force on the date of its publication in the Official Journal of the European Communities.

It shall apply from 1 June 1997.

Articles 12, 13, 14 (3), 116, 17, 18, 19 and 21(3) shall apply from the date of entry into force of this Regulation.

This Regulation shall be binding in its entirety and directly applicable in all Member States.

#### ANNEX

### Notes on interpretation of Annexes A, B, C and D

- 1. Species included in Annexes A, B, C and D are referred to:
  - (a) by the name of the species; or
  - (b) as being all of the species included in a higher taxon or designated part
- 2. The abbreviation 'spp.' is used to denote all species of a higher taxon.
- 3. Other references to taxa higher than species are for the purposes of information or classification only.
- Species printed in bold in Annex A are listed there in consistency with their protection as provided for by Directive 2009/147/EC of the European Parliament and of the Council (¹) or Council Directive 92/43/EEC (²).
- 5. The following abbreviations are used for plant taxa below the level of species:
  - (a) 'ssp.' is used to denote subspecies;
  - (b) 'var(s).' is used to denote variety (varieties); and
  - (c) 'fa.' is used to denote forma.
- 6. The symbols '(I)', '(II)' and '(III)' placed against the name of a species or higher taxon refer to the Appendices to the Convention in which the species concerned are listed as indicated in notes 7, 8 and 9. Where none of these annotations appears, the species concerned are not listed in the Appendices to the Convention.
- (I) against the name of a species or higher taxon indicates that the species or higher taxon concerned is included in Appendix I to the Convention.
- (II) against the name of a species or higher taxon indicates that the species or higher taxon concerned is included in Appendix II to the Convention.
- (III) against the name of a species or higher taxon indicates that it is included in Appendix III to the Convention. In this case the country with respect to which the species or higher taxon is included in Appendix III is also indicated.
- 10. 'Cultivar' means, following the definition of the 8th edition of the International Code of Nomenclature for Cultivated Plants, an assemblage of plants that (a) has been selected for a particular character or combination of characters, (b) is distinct, uniform, and stable in these characters, and (c) when propagated by appropriate means, retains those characters. No new taxon of a cultivar can be regarded as such until its category name and circumscription has been formally published in the latest edition of the International Code of Nomenclature for Cultivated Plants.

<sup>(</sup>¹) Directive 2009/147/EC of the European Parliament and of the Council of 30 November 2009 on the conservation of wild birds (OJ L 20, 26.1.2010, p. 7).

<sup>(2)</sup> Council Directive 92/43/EEC of 21 May 1992 on the conservation of natural habitats and of wild fauna and flora (OJ L 206, 22.7.1992, p. 7).

- 11. Hybrids may be specifically included in the Appendices but only if they form distinct and stable populations in the wild. Hybrid animals that have in their previous four generations of the lineage one or more specimens of species included in Annexes A or B shall be subject to this Regulation just as if they were full species, even if the hybrid concerned is not specifically included in the Annexes.
- 12. When a species is included in Annex A, B or C, the whole animal or plant, whether alive or dead, and all parts and derivatives thereof are also included. Regarding animal species listed in Annex C and plant species listed in Annex B or C, all parts and derivatives of the species are also included in the same Annex unless the species is annotated to indicate that only specific parts and derivatives are included. In accordance with Article 2(t), the symbol '#' followed by a number placed against the name of a species or higher taxon included in Annex B or C designates parts or derivatives which are specified in relation thereto for the purposes of this Regulation as follows:
  - #1 Designates all parts and derivatives, except:
    - (a) seeds, spores and pollen (including pollinia);
    - (b) seedling or tissue cultures obtained in vitro, in solid or liquid media, transported in sterile containers;
    - (c) cut flowers of artificially propagated plants; and
    - (d) fruits and parts and derivatives thereof of artificially propagated plants of the genus Vanilla.
  - #2 Designates all parts and derivatives, except:
    - (a) seeds and pollen; and
    - (b) finished products packaged and ready for retail trade.
  - #3 Designates whole and sliced roots and parts of roots, excluding manufactured parts or derivatives, such as powders, pills, extracts, tonics, teas and confectionery.
  - #4 Designates all parts and derivatives, except:
    - (a) seeds (including seedpods of Orchidaceae), spores and pollen (including pollinia). The exemption does not apply to seeds from Cactaceae spp. exported from Mexico, and to seeds from Beccariophoenix madagascariensis and Dypsis decaryi exported from Madagascar;
    - (b) seedling or tissue cultures obtained in vitro, in solid or liquid media, transported in sterile containers;
    - (c) cut flowers of artificially propagated plants;
    - (d) fruits and parts and derivatives thereof of naturalized or artificially propagated plants of the genus Vanilla (Orchidaceae) and of the family Cactaceae;
    - (e) stems, flowers, and parts and derivatives thereof of naturalized or artificially propagated plants of the genera *Opuntia* subgenus *Opuntia* and *Selenicereus* (Cactaceae); and
    - (f) finished products of Aloe ferox and Euphorbia antisyphilitica packaged and ready for retail trade.
  - #5 Designates logs, sawn wood and veneer sheets.

- #6 Designates logs, sawn wood, veneer sheets and plywood.
- #7 Designates logs, wood-chips, powder and extracts.
- #8 Designates underground parts (i.e. roots, rhizomes): whole, parts and powdered.
- #9 Designates all parts and derivatives, except those bearing a label 'Produced from Hoodia spp. material obtained through controlled harvesting and production under the terms of an agreement with the relevant CITES Management Authority of [Botswana under agreement No. BW/xxxxxx] [Namibia under agreement No. NA/xxxxxx] [South Africa under agreement No. ZA/xxxxxx]'.
- #10 Designates logs, sawn wood, veneer sheets, including unfinished wood articles used for the fabrication of bows for stringed musical instruments.
- #11 Designates logs, sawn wood, veneer sheets, plywood, powder and extracts. Finished products containing such extracts as ingredients, including fragrances, are not considered to be covered by this annotation.
- #12 Designates logs, sawn wood, veneer sheets, plywood and extracts. Finished products containing such extracts as ingredients, including fragrances, are not considered to be covered by this annotation.
- #13 Designates the kernel (also known as 'endosperm', 'pulp' or 'copra') and any derivative thereof.
- #14 Designates all parts and derivatives, except:
  - (a) seeds and pollen;
  - (b) seedling or tissue cultures obtained in vitro, in solid or liquid media, transported in sterile containers;
  - (c) fruits;
  - (d) leaves;
  - (e) exhausted agarwood powder, including compressed powder in all shapes; and
  - (f) finished products packaged and ready for retail trade, this exemption does not apply to wood chips, beads, prayer beads and carvings.
- #15 Designates all parts and derivatives, except:
  - (a) leaves, flowers, pollen, fruits, and seeds;
  - (b) finished products to a maximum weight of wood of the listed species of up to 10 kg per shipment;
  - (c) finished musical instruments, finished musical instrument parts and finished musical instrument accessories;
  - (d) parts and derivatives of *Dalbergia cochinchinensis* which are covered by Annotation #4;
  - (e) parts and derivatives of *Dalbergia* spp. originating and exported from Mexico which are covered by Annotation #6;
- #16 Designates seeds, fruits, and oils;
- #17 Logs, sawn wood, veener sheets, plywood and transformed wood.

13. The terms and expressions below, used in annotations in these Annexes, are defined as follows:

#### **Extract**

Any substance obtained directly from plant material by physical or chemical means regardless of the manufacturing process. An extract may be solid (e.g. crystals, resin, fine or coarse particles), semisolid (e.g. gums, waxes) or liquid (e.g. solutions, tinctures, oil and essential oils).

#### Finished musical instruments

A musical instrument (as referenced by the Harmonized System of the World Customs Organization, Chapter 92; musical instruments, parts and accessories of such articles) that is ready to play or needs only the installation of parts to make it playable. This term includes antique instruments (as defined by the Harmonized System codes 97.05 and 97.06; Works of art, collectors' pieces and antiques).

#### Finished musical instrument accessories

A musical instrument accessory (as referenced by the Harmonized System of the World Customs Organization, Chapter 92; musical instruments, parts and accessories of such articles) that is separate from the musical instrument, and is specifically designed or shaped to be used explicitly in association with an instrument, and that requires no further modification to be used.

### Finished musical instrument parts

A part (as referenced by the Harmonized System of the World Customs Organization, Chapter 92; musical instruments, parts and accessories of such articles) of a musical instrument that is ready to install and is specifically designed and shaped to be used explicitly in association with the instrument to make it playable.

### Finished products packaged and ready for retail trade

Products, shipped singly or in bulk, requiring no further processing, packaged, labelled for final use or the retail trade in a state fit for being sold to or used by the general public.

#### Powder

A dry, solid substance in the form of fine or coarse particles

#### Shipment

Cargo transported under the terms of a single bill of lading or air waybill, irrespective of the quantity or number of containers or packages; or pieces worn, carried or included in personal baggage.

#### 10 kg per shipment

For the term '10 kg per shipment', the 10 kg limit should be interpreted as referring to the weight of the individual portions of each item in the shipment made of wood of the species concerned. In other words, the 10 kg limit is to be assessed against the weight of the individual portions of wood of *Dalbergia/Guibourtia* species contained in each item of the shipment, rather than against the total weight of the shipment.

#### Transformed wood

Defined by Harmonized System code 44.09. Wood (including strips, friezes for parquet flooring, not assembled), continuously shaped (tongued, grooved, v-jointed, beaded or the like) along any edges, ends or faces, whether or not planed, sanded or end-jointed.

#### Woodchips

Wood that has been reduced to small pieces.

- 14. As none of the species or higher taxa of FLORA included in Annex A is annotated to the effect that its hybrids shall be treated in accordance with Article 4(1), this means that artificially propagated hybrids produced from one or more of these species or taxa may be traded with a certificate of artificial propagation, and that seeds and pollen (including pollinia), cut flowers, seedling or tissue cultures obtained *in vitro*, in solid or liquid media, transported in sterile containers of these hybrids are not subject to this Regulation.
- 15. Urine, faeces and ambergris which are waste products and gained without the manipulation of the animal concerned are not subject to this Regulation.
- 16. In respect of fauna species listed in Annex D, this Regulation shall apply only to live specimens and whole, or substantially whole, dead specimens except for taxa which are annotated as follows to show that other parts and derivatives are also covered:
  - § 1 Any whole, or substantially whole, skins, raw or tanned.
- 17. In respect of flora species listed in Annex D, this Regulation shall apply only to live specimens except for taxa which are annotated as follows to show that other parts and derivatives are also covered:
  - § 3 Dried and fresh plants, including, where appropriate; leaves, roots/rootstock, stems, seeds/spores, bark and fruits.
  - § 4 Logs, sawn wood and veneer sheets.

	FAUNA				
	CHORDATA (CHORDATES)				
MAMMALIA				Mammals	
ARTIODACTYLA					
Antilocapridae				Pronghorn	
	Antilocapra americana (I) (Only the population of Mexico; no other population is included in the Annexes to this Regulation)			Mexican pronghorn	
Bovidae	Addax nasomaculatus (I)			Antelopes, cattle, duikers, gazelles, goats, sheep etc.  Addax	
		Ammotragus lervia (II)	Antilope cervicapra (III Nepal/Pakistan)	Barbary sheep Blackbuck	
	Bos gaurus (I) (Excludes the domesticated form referenced as Bos frontalis which is not subject to this Regulation)			Gaur	
	Bos mutus (I) (Excludes the domesticated form referenced as Bos grunniens which is not subject to this Regulation)			Wild yak	

Annex B

Annex A

Bos sauveli (I)

Annex C

Boselaphus tragocamelus (III Pakistan)

Common name

Kouprey

Nilgai

Annex A	Annex B	Annex C	Common name
		Bubalus arnee (III Nepal) (Excludes the domesticated form referenced as Bubalus bubalis, which is not subject to this Regulation)	Wild Asiatic buffalo
Bubalus depressicornis (I)			Lowland anoa
Bubalus mindorensis (I)			Tamarau
Bubalus quarlesi (I)			Mountain anoa
	Budorcas taxicolor (II)		Takin
Capra falconeri (I)			Markhor
	Capra caucasica (II)		Caucasian Tur
		Capra hircus aegagrus (III Pakistan) (Specimens of the domesticated form are not subject to this Regulation)	Wild goat
		Capra sibirica (III Pakistan)	Siberian Ibex
Capricornis milneedwardsii (I)			Chinese serow
Capricornis rubidus (I)			Red serow
Capricornis sumatraensis (I)			Sumatran serow
Capricornis thar (I)			Himalayan serow
	Cephalophus brookei (II)		Brooke's duiker
	Cephalophus dorsalis (II)		Bay duiker
Cephalophus jentinki (I)			Jentink's duiker
	Cephalophus ogilbyi (II)		Ogilby's duiker
	Cephalophus silvicultor (II)		Yellow-backed duiker
	Cephalophus zebra (II)		Zebra duiker
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 Annex A	Annex B	Annex C	Common name
	Damaliscus pygargus pygargus (II)		Bontebok
		Gazella bennettii (III Pakistan)	Chinkara
Gazella cuvieri (I)			Cuvier's gazelle
		Gazella dorcas (III Algeria/Tunisia)	Dorcas gazelle
Gazella leptoceros (I)			Slender-horned gazelle
Hippotragus niger variani (I)			Giant sable antelope
	Kobus leche (II)		Lechwe
Naemorhedus baileyi (I)			Red goral
Naemorhedus caudatus (I)			Long-tailed goral
Naemorhedus goral (I)			Himalayan goral
Naemorhedus griseus (I)			Chinese goral
Nanger dama (I)			Dama gazelle
Oryx dammah (I)			Scimitar-horned oryx
Oryx leucoryx (I)			Arabian oryx
	Ovis ammon (II)		Altai argali
	Ovis arabica (II)		Arabian wild sheep
	Ovis bochariensis (II)		Bukhara urial
	Ovis canadensis (II) (Only the population of Mexico; no other population is included in the Annexes to this Regulation)		Mexican bighorn sheep

Annex A	Annex B	Annex C	Common name
	Ovis collium (II) (*)		Kazakhstan argali
	Ovis cycloceros (II)		Afghan urial
	Ovis darwini (II) (*)		Gobi argali
Ovis gmelini (I) (population of Cyprus)			Anatolian sheep
Ovis hodgsonii (I)			Tibetan argali
	Ovis jubata (II) (*)		Shansi argali
	Ovis karelini (II)(*)		Tianshan argali
Ovis nigrimontana (I)			Karatau argali
	Ovis polii (II) (*)		Marco Polo argali
	Ovis punjabiensis (II)		Punjab urial
	Ovis severtzovi (II) (*)		Severtzov's agali
Ovis vignei (I)			Ladakh urial
Pantholops hodgsonii (I)			Chiru
	Philantomba monticola (II)		Blue duiker
		Pseudois nayaur (III Pakistan)	Bharal
Pseudoryx nghetinhensis (I)			Saola
Rupicapra pyrenaica ornata (II)			Abruzzo chamois
	Saiga borealis (II) (A zero export quota for wild specimens traded for commercial purposes)		Mongolian saiga

	Annex A	Annex B	Annex C	Common name
		Saiga tatarica (II) (A zero export quota for wild specimens traded for commercial purposes)		Steppe saiga
			Tetracerus quadricornis (III Nepal)	Four-horned antelope
Camelidae				Camels, guanaco, vicuña
		Lama guanicoe (II)		Guanaco
	Vicugna vicugna (I) (Except for the populations of: Argentina [the populations of the Provinces of Jujuy, Catamarca and Salta, and the semi-captive populations of the Provinces of Jujuy, Salta, Catamarca, La Rioja and San Juan]; Bolivia [the whole population]; Chile [populations of the region of Tarapacá and of the region of Arica and Parinacota]; Ecuador [the whole population] and Peru [the whole population]; which are included in Annex B)	Vicugna vicugna (II) (Only the populations of Argentina [the populations of the Provinces of Jujuy, Catamarca and Salta, and the semi-captive populations of the Provinces of Jujuy, Salta, Catamarca, La Rioja and San Juan]; Bolivia [the whole population]; Chile [populations of the region of Tarapacá and of the region of Arica and Parinacota]; Ecuador [the whole population] and Peru [the whole population]; all other populations are included in Annex A) (1)		Vicuña
Cervidae				Deer, huemuls, muntjacs, pudus
	Axis calamianensis (I)			Calamian deer
	Axis kuhlii (I)			Bawean deer
			Axis porcinus (III Pakistan (Except for the subspecies included in Annex A))	Hog deer
	Axis porcinus annamiticus (I)			Indochina hog deer
	Blastocerus dichotomus (I)			Marsh deer
		Cervus elaphus bactrianus (II)		Bactrian deer

	Annex A	Annex B	Annex C	Common name
			Cervus elaphus barbarus (III Algeria/ Tunisia)	Barbary deer
	Cervus elaphus hanglu (I)			Hangul
	Dama dama mesopotamica (I)			Persian fallow deer
	Hippocamelus spp. (I)			Huemuls
			Mazama temama cerasina (III Guatemala)	Central American red brocket
	Muntiacus crinifrons (I)			Black muntjac
	Muntiacus vuquangensis (I)			Giant muntjac
			Odocoileus virginianus mayensis (III Guatemala)	Guatemalan white-tailed deer
	Ozotoceros bezoarticus (I)			Pampas deer
		Pudu mephistophiles (II)		Northern pudu
	Pudu puda (I)			Southern pudu
	Rucervus duvaucelii (I)			Barasingha
	Rucervus eldii (I)			Eld's deer
Giraffidae		Giraffa camelopardalis (II)		Giraffes
				Giraffe
Hippopotamidae				Hippopotamuses
		Hexaprotodon liberiensis (II)		Pygmy hippopotamus
		Hippopotamus amphibius (II)		Common hippopotamus

	Annex A	Annex B	Annex C	Common name
Moschidae				Musk deer
	Moschus spp. (I) (Only the populations of Afghanistan, Bhutan, India, Myanmar, Nepal and Pakistan; all other populations are included in Annex B)	Moschus spp. (II) (Except for the populations of Afghanistan, Bhutan, India, Myanmar, Nepal and Pakistan, which are included in Annex A)		Musk deer
Suidae				Babirusa, hogs, pigs
	Babyrousa babyrussa (I)			Buru babirusa
	Babyrousa bolabatuensis (I)			Bola Batu babirusa
	Babyrousa celebensis (I)			North Sulawesi babirusa
	Babyrousa togeanensis (I)			Malenge babirusa
	Sus salvanius (I)			Pygmy hog
Tayassuidae				Peccaries
		Tayassuidae spp. (II) (Except for the species included in Annex A and excluding the populations of <i>Pecari tajacu</i> of Mexico and the United States, which are not included in the Annexes to this Regulation)		Peccaries
	Catagonus wagneri (I)			Chacoan peccary
CARNIVORA				
Ailuridae				
	Ailurus fulgens (I)			Red panda

	Annex A	Annex B	Annex C	Common name
Canidae				Dogs, foxes, wolves
			Canis aureus (III India)	Golden jackal
	Canis lupus (I/II)  (All populations except those of Spain north of the Duero and Greece north of the 39th parallel. Populations of Bhutan, India, Nepal and Pakistan are listed in Appendix I; all other populations are listed in Appendix II. Excludes the domesticated form and the dingo which are referenced as Canis lupus familiaris and Canis lupus dingo)	Canis lupus (II) (Populations of Spain north of the Duero and Greece north of the 39th parallel.Excludes the domesticated form and the dingo which are referenced as Canis lupus familiaris and Canis lupus dingo)		Grey wolf
	Canis simensis			Ethiopian wolf
		Cerdocyon thous (II)		Crab-eating fox
		Chrysocyon brachyurus (II)		Maned wolf
		Cuon alpinus (II)		Dhole
		Lycalopex culpaeus (II)		Culpeo
		Lycalopex fulvipes (II)		Darwin's fox
		Lycalopex griseus (II)		South American grey fox
		Lycalopex gymnocercus (II)		Pampas fox
	Speothos venaticus (I)			Bush dog
			Vulpes bengalensis (III India)	Bengal fox
		Vulpes cana (II)		Blanford's fox
		Vulpes zerda (II)		Fennec fox

	Annex A	Annex B	Annex C	Common name
Eupleridae				
		Cryptoprocta ferox (II)		Fossa
		Eupleres goudotii (II)		Falanouc
		Fossa fossana (II)		Malagasy civet
Felidae				Cats, cheetahs, leopards, lions, tigers etc.
		Felidae spp. (II) (Except for the species included in Annex A. Specimens of the domesticated form are not subject to this Regulation. For <i>Panthera leo</i> (African populations): A zero annual export quota is established for specimens of bones, bone pieces, bone products, claws, skeletons, skulls and teeth removed from the wild and traded for commercial purposes.		Cats
		Annual export quotas for trade in bones, bone pieces, bone products, claws, skeletons, skulls and teeth for commercial purposes, derived from captive breeding operations in South Africa, will be established and communicated annually to the CITES Secretariat.)		
	Acinonyx jubatus (I) (Annual export quotas for live specimens and hunting trophies are granted as follows: Botswana: 5; Namibia: 150; Zimbabwe: 50. The trade in such specimens is subject to Article 4(1))			Cheetah

	Annex A	Annex B	Annex C	Common name
latio	racal caracal (I) (Only the popu- on of Asia; all other populations are uded in Annex B)			Asian Caracal
Cato	opuma temminckii (I)			Asian golden cat
Felis	is nigripes (I)			Black-footed cat
Feli	is silvestris (II)			Wild cat
popu Ame	pailurus yagouaroundi (I) (Only the ulations of Central and North erica; all other populations are uded in Annex B)			Jaguarundi
Leop	pardus geoffroyi (I)			Geoffroy's cat
Leop	pardus guttulus (I)			Southern tigrina
Leop	pardus jacobita (I)			Andean mountain cat
Leop	pardus pardalis (I)			Ocelot
Leop	pardus tigrinus (I)			Oncilla
Leop	pardus wiedii (I)			Margay
Lynz	ax lynx (II)			Eurasian lynx
Lynx	x pardinus (I)			Iberian lynx
Neoj	ofelis diardi (I)			Sunda clouded leopard
Neoj	ofelis nebulosa (I)			Mainland clouded leopard
of	athera leo (I) (Only the populations India; all other populations are uded in Annex B)			Asiatic lion
Pant	nthera onca (I)			Jaguar

	Annex A	Annex B	Annex C	Common name
	Panthera pardus (I)			Leopard
	Panthera tigris (I)			Tiger
	Panthera uncia (I)			Snow leopard
	Pardofelis marmorata (I)			Marbled cat
	Prionailurus bengalensis bengalensis (I) (Only the populations of Bangladesh, India and Thailand; all other populations are included in Annex B)			Bengal leopard cat
	Prionailurus iriomotensis (II)			Iriomote cat
	Prionailurus planiceps (I)			Flat-headed cat
	Prionailurus rubiginosus (I) (Only the population of India; all other populations are included in Annex B)			Rusty-spotted cat
	Puma concolor (I) (Only the populations of Costa Rica and Panama; all other populations are included in Annex B)			Costa Rican cougar
Herpestidae				Mongooses
			Herpestes edwardsi (III India/Pakistan)	Indian grey mongoose
			Herpestes fuscus (III India)	Indian brown mongoose
			Herpestes javanicus (III Pakistan)	Small Asian mongoose
			Herpestes javanicus auropunctatus (III India)	Small Indian mongoose
			Herpestes smithii (III India)	Ruddy mongoose
			Herpestes urva (III India)	Crab-eating mongoose
			Herpestes vitticollis (III India)	Stripe-necked mongoose

	Annex A	Annex B	Annex C	Common name
Hyaenidae				Aardwolf, hyenas
			Hyaena hyaena (III Pakistan)	Striped hyena
			Proteles cristata (III Botswana)	Aardwolf
Mephitidae				Skunks
		Conepatus humboldtii (II)		Humboldt's hog-nosed skunk
Mustelidae				Badgers, martens, weasels etc.
Lutrinae				Otters
		Lutrinae spp. (II) (Except for the species included in Annex A)		Otters
	Aonyx capensis microdon (I) (Only the populations of Cameroon and Nigeria; all other populations are included in Annex B)			Cameroon clawless otter
	Aonyx cinerea (I)			Small-clawed otter
	Enhydra lutris nereis (I)			Southern sea otter
	Lontra felina (I)			Marine otter
	Lontra longicaudis (I)			Neotropical otter
	Lontra provocax (I)			Southern river otter
	Lutra lutra (I)			European otter
	Lutra nippon (I)			Japanese otter
	Lutrogale perspicillata (I)			Smooth-coated otter
	Pteronura brasiliensis (I)			Giant otter

	Annex A	Annex B	Annex C	Common name
Mustelinae				Grisons, martens, tayra, weasels
			Eira barbara (III Honduras)	Tayra
			Martes flavigula (III India)	Yellow-throated marten
			Martes foina intermedia (III India)	Stone marten
			Martes gwatkinsii (III India)	Nilgiri marten
			Mellivora capensis (III Botswana)	Honey badger
	Mustela nigripes (I)			Black-footed ferret
Odobenidae				Walrus
		Odobenus rosmarus (III Canada)		Walrus
Otariidae				Fur seals, sealions
		Arctocephalus spp. (II) (Except for the species included in Annex A)		Fur seals
	Arctocephalus philippii (II)			Juan Fernández fur seal
	Arctocephalus townsendi (I)			Guadalupe fur seal
Phocidae				Seals
		Mirounga leonina (II)		Southern elephant seal
	Monachus spp. (I)			Monk seals
Procyonidae				Coatis, olingos
			Nasua narica (III Honduras)	White-nosed coati
			Nasua nasua solitaria (III Uruguay)	South Brazilian coati
			Potos flavus (III Honduras)	Kinkajou

	Annex A	Annex B	Annex C	Common name
Ursidae				Bears
		Ursidae spp. (II) (Except for the species included in Annex A)		Bears
	Ailuropoda melanoleuca (I)			Giant panda
	Helarctos malayanus (I)			Sun bear
	Melursus ursinus (I)			Sloth bear
	Tremarctos ornatus (I)			Spectacled bear
	Ursus arctos (I/II)			Brown bear
	(Only the populations of Bhutan, China, Mexico and Mongolia and the subspecies <i>Ursus arctos isabellinus</i> are listed in Appendix I; all other populations and subspecies are listed in Appendix II)			
	Ursus thibetanus (I)			Asian black bear
Viverridae				Binturong, civets, linsangs, otter-civet, palm civet
			Arctictis binturong (III India)	Binturong
			Civettictis civetta (III Botswana)	African civet
		Cynogale bennettii (II)		Otter civet
		Hemigalus derbyanus (II)		Banded palm civet
			Paguma larvata (III India)	Masked palm civet
			Paradoxurus hermaphroditus (III India)	Asian palm civet
			Paradoxurus jerdoni (III India)	Jerdon's palm civet

	Annex A	Annex B	Annex C	Common name
		Prionodon linsang (II)		Banded linsang
	Prionodon pardicolor (I)			Spotted linsang
			Viverra civettina (III India)	Malabar large-spotted civet
			Viverra zibetha (III India)	Large Indian civet
			Viverricula indica (III India)	Small Indian civet
CETACEA				Cetaceans (dolphins, porpoises, whales)
	CETACEA spp. (I/II) ( <sup>2</sup> )			Cetaceans
CHIROPTERA				
Phyllostomidae				Broad-nosed bats
			Platyrrhinus lineatus (III Uruguay)	White-lined bat
Pteropodidae				Fruit bats, flying foxes
		Acerodon spp. (II) (Except for the species included in Annex A)		Flying foxes
	Acerodon jubatus (I)			Golden-capped fruit bat
		Pteropus spp. (II) (Except for the species included in Annex A and except for Pteropus brunneus.)		Flying foxes
	Pteropus insularis (I)			Ruck flying fox
	Pteropus livingstonii (II)			Comoro flying fox
	Pteropus loochoensis (I)			Japanese flying fox
	Pteropus mariannus (I)			Marianas flying fox
	Pteropus molossinus (I)			Caroline flying fox

	Annex A	Annex B	Annex C	Common name
	Pteropus pelewensis (I)			Pelew flying fox
	Pteropus pilosus (I)			Large Pelew flying fox
	Pteropus rodricensis (II)			Rodrigues flying fox
	Pteropus samoensis (I)			Samoan flying fox
	Pteropus tonganus (I)			Pacific flying fox
	Pteropus ualanus (I)			Kosrae flying fox
	Pteropus voeltzkowi (II)			Pemba flying fox
	Pteropus yapensis (I)			Yap flying fox
CINGULATA				
Dasypodidae				Armadillos
			Cabassous tatouay (III Uruguay)	Greater naked-tailed armadillo
		Chaetophractus nationi (II) (A zero annual export quota has been established. All specimens shall be deemed to be specimens of species included in Annex A and the trade in them shall be regulated accordingly)		Andean hairy armadillo
	Priodontes maximus (I)			Giant armadillo
DASYUROMORPHIA				
Dasyuridae				Dunnarts, marsupial mice, planigales
	Sminthopsis longicaudata (I)			Long-tailed dunnart
	Sminthopsis psammophila (I)			Sandhill dunnart

	Annex A	Annex B	Annex C	Common name
DIPROTODONTIA				
Macropodidae				Kangaroos, wallabies
		Dendrolagus inustus (II)		Grizzled tree-kangaroo
		Dendrolagus ursinus (II)		Ursine tree-kangaroo
	Lagorchestes hirsutus (I)			Rufous hare-wallaby
	Lagostrophus fasciatus (I)			Banded hare-wallaby
	Onychogalea fraenata (I)			Bridled nail-tail wallaby
Phalangeridae				Cuscus
		Phalanger intercastellanus (II)		Eastern common cuscus
		Phalanger mimicus (II)		Southern common cuscus
		Phalanger orientalis (II)		Northern common cuscus
		Spilocuscus kraemeri (II)		Admiralty Island cuscus
		Spilocuscus maculatus (II)		Common spotted cuscus
		Spilocuscus papuensis (II)		Waigeou cuscus
Potoroidae				Rat-kangaroos
	Bettongia spp. (I)			Bettongs
Vombatidae				Wombats
	Lasiorhinus krefftii (I)			Northern hairy-nosed wombat
LAGOMORPHA				
Leporidae				Hares, rabbits
	Caprolagus hispidus (I)			Hispid hare
	Romerolagus diazi (I)			Volcano rabbit

	Annex A	Annex B	Annex C	Common name
MONOTREMATA				
Tachyglossidae				Echidnas, spiny anteaters
		Zaglossus spp. (II)		Long-beaked echidnas
PERAMELEMORPHIA				
Peramelidae				
	Perameles bougainville (I)			Western barred bandicoot
Thylacomyidae				
	Macrotis lagotis (I)			Greater bilby
PERISSODACTYLA				
Equidae				Horses, wild asses, zebras
	Equus africanus (I) (Excludes the domesticated form referenced as Equus asinus, which is not subject to this Regulation)			African ass
	Equus grevyi (I)			Grévy's zebra
	Equus hemionus (I/II) (The species is listed in Appendix II but subspecies Equus hemionus hemionus and Equus hemionus khur are listed in Appendix I)			Asiatic wild ass
	Equus kiang (II)			Kiang
	Equus przewalskii (I)			Przewalski's horse
		Equus zebra hartmannae (II)		Hartmann's mountain zebra
		Equus zebra zebra (II)		Cape mountain zebra

	Annex A	Annex B	Annex C	Common name
Rhinocerotidae				Rhinoceroses
	Rhinocerotidae spp. (I) (Except for the subspecies included in Annex B)			Rhinoceroses
		Ceratotherium simum simum (II) (Only the populations of Eswatini and South Africa; all other populations are included in Annex A. For the exclusive purpose of allowing international trade in live animals to appropriate and acceptable destinations and trade in hunting trophies. All other specimens shall be deemed to be specimens of species included in Annex A and trade in them shall be regulated accordingly)		Southern white rhinoceros
Гарiridae				Tapirs
	Tapiridae spp. (I) (Except for the species included in Annex B)			Tapirs
		Tapirus terrestris (II)		South American tapir
PHOLIDOTA				
Manidae				Pangolins
		Manis spp. (II)		Pangolins
		(Except for the species included in Annex A)		
	Manis crassicaudata (I)			Indian pangolin
	Manis culionensis (I)			Philippine pangolin
	Manis gigantea (I)			Giant pangolin
	Manis javanica (I)			Sunda pangolin

	Annex A	Annex B	Annex C	Common name
	Manis pentadactyla (I)			Chinese pangolin
	Manis temminckii (I)			Ground pangolin
	Manis tetradactyla (I)			Long-tailed pangolin
	Manis tricuspis (I)			Tree pangolin
PILOSA				
Bradypodidae				Three-toed sloths
		Bradypus pygmaeus (II)		Pygmy tree-toed sloth
		Bradypus variegatus (II)		Brown-throated sloth
Myrmecophagidae				American anteaters
		Myrmecophaga tridactyla (II)		Giant anteater
			Tamandua mexicana (III Guatemala)	Northern tamandua
PRIMATES				Primates (apes and monkeys)
		PRIMATES spp. (II) (Except for the species included in Annex A)		Primates
Atelidae				Howlers, spider monkeys
	Alouatta coibensis (I)			Coiba Island howler
	Alouatta palliata (I)			Mantled howler
	Alouatta pigra (I)			Guatemalan black howler
	Ateles geoffroyi frontatus (I)			Black-browed spider monkey
	Ateles geoffroyi ornatus (I)			Red spider monkey
	Brachyteles arachnoides (I)			Southern muriqui
	Brachyteles hypoxanthus (I)			Northern muriqui
	Oreonax flavicauda (I)			Yellow-tailed woolly monkey

	Annex A	Annex B	Annex C	Common name
Cebidae				Marmosets, tamarins, New-world monkeys
	Callimico goeldii (I)			Goeldi's marmoset
	Callithrix aurita (I)			Buffy-tufted marmoset
	Callithrix flaviceps (I)			Buffy-headed marmoset
	Leontopithecus spp. (I)			Lion tamarins
	Saguinus bicolor (I)			Pied tamarin
	Saguinus geoffroyi (I)			Geoffroy's tamarin
	Saguinus leucopus (I)			White-footed tamarin
	Saguinus martinsi (I)			Martins' bare-face tamarin
	Saguinus oedipus (I)			Cottontop tamarin
	Saimiri oerstedii (I)			Central American squirrel monkey
Cercopithecidae				Old-world monkeys
	Cercocebus galeritus (I)			Tana River mangabey
	Cercopithecus diana (I)			Diana monkey
	Cercopithecus roloway (I)			Roloway monkey
	Cercopithecus solatus (II)			Sun-tailed monkey
	Colobus satanas (II)			Black colobus
	Macaca silenus (I)			Lion-tailed macaque
	Macaca sylvanus (I)			Barbary macaque
	Mandrillus leucophaeus (I)			Drill
	Mandrillus sphinx (I)			Mandrill
	Nasalis larvatus (I)			Proboscis monkey

	Annex A	Annex B	Annex C	Common name
Pilioco	colobus foai (II)			Central African red colobus
Pilioco	colobus gordonorum (II)			Uzungwa red colobus
Pilioco	colobus kirkii (I)			Zanzibar red colobus
Pilioco	colobus pennantii (II)			Pennant's red colobus
Pilioco	colobus preussi (II)			Preuss's red colobus
Pilioce	colobus rufomitratus (I)			Tana River red colobus
Pilioc	colobus tephrosceles (II)			Ugandan red colobus
Pilioce	colobus tholloni (II)			Thollon's red colobus
Presby	pytis potenziani (I)			Mentawai langur
Pygati	thrix spp. (I)			Douc langurs
Rhinoi	ppithecus spp. (I)			Snub-nosed monkeys
Semno	opithecus ajax (I)			Kashmir grey langur
Semno	opithecus dussumieri (I)			Southern Plains grey langur
Semno	opithecus entellus (I)			Northern Plains grey langur
Semno	opithecus hector (I)			Tarai grey langur
Semno	opithecus hypoleucos (I)			Black-footed grey langur
Semno	opithecus priam (I)			Tufted grey langur
Semno	opithecus schistaceus (I)			Nepal grey langur
Simias	as concolor (I)			Simakobou
Trach	nypithecus delacouri (II)			Delacour's langur
Trach	nypithecus francoisi (II)			François's langur
Trach	nypithecus geei (I)			Gee's golden langur

	Annex A	Annex B	Annex C	Common name
	Trachypithecus hatinhensis (II)			Hatinh langur
	Trachypithecus johnii (II)			Nilgiri langur
	Trachypithecus laotum (II)			Laotian langur
	Trachypithecus pileatus (I)			Capped langur
	Trachypithecus poliocephalus (II)			White-headed langur
	Trachypithecus shortridgei (I)			Shortridge's langur
Cheirogaleidae				Dwarf lemurs and mouse-lemurs
	Cheirogaleidae spp. (I)			Dwarf lemurs and mouse lemurs
Daubentoniidae				Aye-aye
	Daubentonia madagascariensis (I)			Aye-aye
Hominidae				Chimpanzees, gorillas, orang-utan
	Gorilla beringei (I)			Eastern gorilla
	Gorilla gorilla (I)			Western gorilla
	Pan spp. (I)			Chimpanzee and bonobo
	Pongo abelii (I)			Sumatran orangutan
	Pongo pygmaeus (I)			Bornean orangutan
Hylobatidae				Gibbons
	Hylobatidae spp. (I)			Gibbons
Indriidae				Indri, sifakas and woolly lemurs
	Indriidae spp. (I)			Indri, sifakas and woolly lemurs
Lemuridae				Large lemurs

	Annex A	Annex B	Annex C	Common name
	Lemuridae spp. (I)			Large lemurs
Lepilemuridae				Sportive lemurs
	Lepilemuridae spp. (I)			Sportive lemurs
Lorisidae				Lorises
	Nycticebus spp. (I)			Slow lorises
Pitheciidae				Uacaris, titis, sakis
	Cacajao spp. (I)			Uacaris
	Callicebus barbarabrownae (II)			Barbara Brown's Titi
	Callicebus melanochir (II)			Coastal Black-handed Titi
	Callicebus nigrifrons (II)			Black-fronted Titi
	Callicebus personatus (II)			Atlantic titi
	Chiropotes albinasus (I)			White-nosed saki
Tarsiidae				Tarsiers
	Tarsius spp. (II)			Tarsiers
PROBOSCIDEA				
Elephantidae				Elephants
	Elephas maximus (I)			Asian elephant
	Loxodonta africana (I) (Except for the	Loxodonta africana (II)		African elephant
	populations of Botswana, Namibia, South Africa and Zimbabwe, which are included in Annex B)	(Only the populations of Botswana, Namibia, South Africa and Zimbabwe (3); all other populations are included in Annex A)		

	Annex A	Annex B	Annex C	Common name
RODENTIA				
Chinchillidae				Chinchillas
	Chinchilla spp. (I) (Specimens of the domesticated form are not subject to this Regulation)			Chinchillas
Cuniculidae				Pacas
			Cuniculus paca (III Honduras)	Lowland paca
Dasyproctidae				Agoutis
			Dasyprocta punctata (III Honduras)	Central American agouti
Erethizontidae				New-world porcupines
			Sphiggurus mexicanus (III Honduras)	Mexican hairy dwarf porcupine
			Sphiggurus spinosus (III Uruguay)	Paraguaian hairy dwarf porcupine
Hystricidae				Old-world porcupines
	Hystrix cristata			Crested porcupine
Muridae				Mice, rats
		Leporillus conditor (II)		Greater stick-nest rat
		Pseudomys fieldi (II)		Shark Bay mouse
		Xeromys myoides (II)		False water rat
		Zyzomys pedunculatus (II)		Central Australian rock rat

	Annex A	Annex B	Annex C	Common name
Sciuridae				Ground squirrels, tree squirrels
	Cynomys mexicanus (I)			Mexican prairie dog
			Marmota caudata (III India)	Long-tailed marmot
			Marmota himalayana (III India)	Himalayan marmot
		Ratufa spp. (II)		Giant squirrels
SCANDENTIA				
		SCANDENTIA spp. (II)		Treeshrews
SIRENIA				
Dugongidae				Dugong
	Dugong dugon (I)			Dugong
<b>Frichechidae</b>				Manatees
	Trichechus inunguis (I)			
	Trichechus manatus (I)			
	Trichechus senegalensis (I)			
AVES				Birds
ANSERIFORMES				
Anatidae				Ducks, geese, swans etc.
	Anas aucklandica (I)			Auckland Islands teal
		Anas bernieri (II)		Madagascar teal
	Anas chlorotis (I)			Brown teal
		Anas formosa (II)		Baikal teal
	Anas laysanensis (I)			Laysan duck
	Anas nesiotis (I)			Campbell Island teal

	Annex A	Annex B	Annex C	Common name
	Anas querquedula			Garganey
	Asarcornis scutulata (I)			White-winged duck
	Aythya innotata			Madagascar pochard
	Aythya nyroca			Ferruginous duck
	Branta canadensis leucopareia (I)			Aleutian goose
	Branta ruficollis (II)			Red-breasted goose
	Branta sandvicensis (I)			Nene
		Coscoroba coscoroba (II)		Coscoroba swan
		Cygnus melancoryphus (II)		Black-necked swan
		Dendrocygna arborea (II)		West Indian whistling-duck
			Dendrocygna autumnalis (III Honduras)	Black-bellied whistling-duck
			Dendrocygna bicolor (III Honduras)	Fulvous whistling-duck
	Mergus octosetaceus			Brazilian merganser
	Oxyura leucocephala (II)			White-headed duck
	Rhodonessa caryophyllacea (I)			Pink-headed duck
		Sarkidiornis melanotos (II)		Comb duck
	Tadorna cristata			Crested shelduck
APODIFORMES				
Trochilidae				Hummingbirds
		Trochilidae spp. (II) (Except for the species included in Annex A)		Hummingbirds
	Glaucis dohrnii (I)			Hook-billed hermit

	Annex A	Annex B	Annex C	Common name
CHARADRIIFORMES				
Burhinidae				Thick-knees
			Burhinus bistriatus (III Guatemala)	Double-striped thick-knee
Laridae				Gulls, terns
	Larus relictus (I)			Relict gull
Scolopacidae				Curlews, greenshanks
	Numenius borealis (I)			Eskimo curlew
	Numenius tenuirostris (I)			Slender-billed curlew
	Tringa guttifer (I)			Nordmann's greenshank
CICONIIFORMES				
Ardeidae				Egrets, herons
	Ardea alba			Great egret
	Bubulcus ibis			Cattle egret
	Egretta garzetta			Little egret
Balaenicipitidae				Shoebill, whale-headed stork
		Balaeniceps rex (II)		Shoebill
Ciconiidae				Storks
	Ciconia boyciana (I)			Oriental stork
	Ciconia nigra (II)			Black stork
	Ciconia stormi			Storm's stork
	Jabiru mycteria (I)			Jabiru
	Leptoptilos dubius			Greater adjutant stork
	Mycteria cinerea (I)			Milky stork

	Annex A	Annex B	Annex C	Common name
Phoenicopteridae				Flamingos
		Phoenicopteridae spp. (II) (Except for the species included in Annex A)		Flamingos
	Phoenicopterus ruber (II)			Greater flamingo
Threskiornithidae				Ibises, spoonbills
		Eudocimus ruber (II)		Scarlet ibis
	Geronticus calvus (II)			Bald ibis
	Geronticus eremita (I)			Waldrapp
	Nipponia nippon (I)			Crested ibis
	Platalea leucorodia (II)			Eurasian spoonbill
	Pseudibis gigantea			Giant ibis
COLUMBIFORMES				
Columbidae				Doves, pigeons
	Caloenas nicobarica (I)			Nicobar pigeon
	Claravis godefrida			Purple-winged ground-dove
	Columba livia			Rock pigeon
	Ducula mindorensis (I)			Mindoro zone-tailed pigeon
		Gallicolumba luzonica (II)		Luzon bleeding-heart
		Goura spp. (II)		Crowned-pigeons
	Leptotila wellsi			Grenada dove
			Nesoenas mayeri (III Mauritius)	Pink pigeon
	Streptopelia turtur			European turtle-dove

	Annex A	Annex B	Annex C	Common name
CORACIIFORMES				
Bucerotidae				Hornbills
		Aceros spp. (II) (Except for the species included in Annex A)		Hornbills
	Aceros nipalensis (I)			Rufous-necked hornbill
		Anorrhinus spp. (II)		Hornbills
		Anthracoceros spp. (II)		Hornbills
		Berenicornis spp. (II)		Hornbills
		Buceros spp. (II) (Except for the species included in Annex A)		Hornbills
	Buceros bicornis (I)			Great hornbill
		Penelopides spp. (II)		Hornbills
	Rhinoplax vigil (I)			Helmeted hornbill
		Rhyticeros spp. (II) (Except for the species included in Annex A)		Hornbills
	Rhyticeros subruficollis (I)			Plain-pouched hornbill
CUCULIFORMES				
Musophagidae				Turacos
		Tauraco spp. (II) (Except for the species included in Annex A)		Turacos
	Tauraco bannermani (II)			Bannerman's turaco

	Annex A	Annex B	Annex C	Common name
FALCONIFORMES				Diurnal birds of prey (eagles, falcons, hawks, vultures)
		FALCONIFORMES spp. (II)		Diurnal birds of prey
		(Except for the species included in Annex A; except for one species of the family Cathartidae included in Annex C; the other species of that family are not included in the Annexes to this Regulation; and except for Caracara lutosa)		
Accipitridae				Hawks, eagles
	Accipiter brevipes (II)			Levant sparrowhawk
	Accipiter gentilis (II)			Northern goshawk
	Accipiter nisus (II)			Eurasian sparrowhawk
	Aegypius monachus (II)			Cinereous vulture
	Aquila adalberti (I)			Adalbert's eagle
	Aquila chrysaetos (II)			Golden eagle
	Aquila clanga (II)			Greater spotted eagle
	Aquila heliaca (I)			Imperial eagle
	Aquila pomarina (II)			Lesser spotted eagle
	Buteo buteo (II)			Common buzzard
	Buteo lagopus (II)			Rough-legged buzzard
	Buteo rufinus (II)			Long-legged buzzard
	Chondrohierax uncinatus wilsonii (I)			Cuban hook-billed kite
	Circaetus gallicus (II)			Short-toed snake-eagle
	Circus aeruginosus (II)			Western marsh-harrier

	Annex A	Annex B	Annex C	Common name
	Circus cyaneus (II)			Northern harrier
	Circus macrourus (II)			Pallid harrier
	Circus pygargus (II)			Montagu's harrier
	Elanus caeruleus (II)			Black-winged kite
	Eutriorchis astur (II)			Madagascar serpent-eagle
	Gypaetus barbatus (II)			Lammergeier
	Gyps fulvus (II)			Eurasian griffon
	Haliaeetus spp. (I/II) (Haliaeetus albicilla is listed in Appendix I; the other species are listed in Appendix II)			Sea-eagles
	Harpia harpyja (I)			Harpy eagle
	Hieraaetus fasciatus (II)			Bonelli's eagle
	Hieraaetus pennatus (II)			Booted eagle
	Leucopternis occidentalis (II)			Grey-backed hawk
	Milvus migrans (II) (Except for Milvus migrans lineatus which is included in Annex B)			Black kite
	Milvus milvus (II)			Red kite
	Neophron percnopterus (II)			Egyptian vulture
	Pernis apivorus (II)			European honey-buzzard
	Pithecophaga jefferyi (I)			Great Philippine eagle
thartidae				New world vultures
	Gymnogyps californianus (I)			California condor
			Sarcoramphus papa (III Honduras)	King vulture
	Vultur gryphus (I)			Andean condor

	Annex A	Annex B	Annex C	Common name
Falconidae				Falcons
	Falco araeus (I)			Seychelles kestrel
	Falco biarmicus (II)			Lanner falcon
	Falco cherrug (II)			Saker falcon
	Falco columbarius (II)			Merlin
	Falco eleonorae (II)			Eleonora's falcon
	Falco jugger (I)			Laggar falcon
	Falco naumanni (II)			Lesser kestrel
	Falco newtoni (I) (Only the population of the Seychelles)			Newton's kestrel
	Falco pelegrinoides (I)			Barbary falcon
	Falco peregrinus (I)			Peregrine falcon
	Falco punctatus (I)			Mauritius kestrel
	Falco rusticolus (I)			Gyrfalcon
	Falco subbuteo (II)			Eurasian hobby
	Falco tinnunculus (II)			Common kestrel
	Falco vespertinus (II)			Red-footed falcon
Pandionidae				Ospreys
	Pandion haliaetus (II)			Osprey
GALLIFORMES				
Cracidae				
	Crax alberti (III Colombia)			Blue-knobbed curassow
	Crax blumenbachii (I)			Red-billed curassow

	Annex A	Annex B	Annex C	Common name
			Crax daubentoni (III Colombia)	Yellow-knobbed curassow
		Crax fasciolata		Bare-faced Curassow
			Crax globulosa (III Colombia)	Wattled curassow
			Crax rubra (III Colombia/Guatemala/ Honduras)	Great currasow
	Mitu mitu (I)			Alagoas curassow
	Oreophasis derbianus (I)			Horned guan
			Ortalis vetula (III Guatemala/Honduras)	Plain chachalaca
			Pauxi pauxi (III Colombia)	Helmeted curassow
	Penelope albipennis (I)			White-winged guan
			Penelope purpurascens (III Honduras)	Crested guan
			Penelopina nigra (III Guatemala)	Highland guan
	Pipile jacutinga (I)			Black-fronted piping guan
	Pipile pipile (I)			Trinidad piping guan
Megapodiidae				Megapodes, scrubfowl
	Macrocephalon maleo (I)			Maleo
Phasianidae				Grouse, guineafowl, partridges, pheasants, tragopans
		Argusianus argus (II)		Great argus
	Catreus wallichii (I)			Cheer pheasant
	Colinus virginianus ridgwayi (I)			Masked bobwhite
	Crossoptilon crossoptilon (I)			White eared-pheasant
	Crossoptilon mantchuricum (I)			Brown eared-pheasant

Annex A	Annex B	Annex C	Common name
	Gallus sonneratii (II)		Grey junglefowl
	Ithaginis cruentus (II)		Blood pheasant
Lophophorus impejanus (I)			Himalayan monal
Lophophorus lhuysii (I)			Chinese monal
Lophophorus sclateri (I)			Sclater's monal
Lophura edwardsi (I)			Edwards' pheasant
	Lophura hatinhensis		Vietnamese fireback
		Lophura leucomelanos (III Pakistan)	Kalij pheasant
Lophura swinhoii (I)			Swinhoe's pheasant
		Meleagris ocellata (III Guatemala)	Ocellated turkey
Odontophorus strophium			Gorgeted wood-quail
Ophrysia superciliosa			Himalayan quail
		Pavo cristatus (III Pakistan)	Indian peafowl
	Pavo muticus (II)		Green peafowl
	Polyplectron bicalcaratum (II)		Grey peacock-pheasant
	Polyplectron germaini (II)		Germain's peacock-pheasant
	Polyplectron malacense (II)		Malayan peacock-pheasant
Polyplectron napoleonis (I)			Palawan peacock-pheasant
	Polyplectron schleiermacheri (II)		Bornean peacock-pheasant
		Pucrasia macrolopha (III Pakistan)	Koklass pheasant
Rheinardia ocellata (I)			Crested argus
Syrmaticus ellioti (I)			Elliot's pheasant
Syrmaticus humiae (I)			Hume's pheasant
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	Annex A	Annex B	Annex C	Common name
	Syrmaticus mikado (I)			Mikado pheasant
		Syrmaticus reevesii (II)		Reeves's pheasant
	Tetraogallus caspius (I)			Caspian snowcock
	Tetraogallus tibetanus (I)			Tibetan snowcock
	Tragopan blythii (I)			Blyth's tragopan
	Tragopan caboti (I)			Cabot's tragopan
	Tragopan melanocephalus (I)			Western tragopan
			Tragopan satyra (III Nepal)	Satyr tragopan
		Tympanuchus cupido attwateri (II)		Attwater's prairie-chicken
GRUIFORMES				
Gruidae				Cranes
		Gruidae spp. (II) (Except for the species included in Annex A)		Cranes
	Balearica pavonina (I)			Black crowned crane
	Grus americana (I)			Whooping crane
	Grus canadensis (I/II) (The species is listed in Appendix II but subspecies Grus canadensis nesiotes and Grus canadensis pulla are listed in Appendix I)			Sandhill crane
	Grus grus (II)			Common crane
	Grus japonensis (I)			Red-crowned crane
	Grus leucogeranus (I)			Siberian crane
	Grus monacha (I)			Hooded crane
	Grus nigricollis (I)			Black-necked crane
	Grus vipio (I)			White-necked crane

	Annex A	Annex B	Annex C	Common name
Otididae				Bustards
		Otididae spp. (II) (Except for the species included in Annex A)		Bustards
	Ardeotis nigriceps (I)			Indian bustard
	Chlamydotis macqueenii (I)			Macqueen's bustard
	Chlamydotis undulata (I)			Houbara bustard
	Houbaropsis bengalensis (I)			Bengal florican
	Otis tarda (II)			Great bustard
	Sypheotides indicus (II)			Lesser florican
	Tetrax tetrax (II)			Little bustard
Rallidae				Coots, rails
	Gallirallus sylvestris (I)			Lord Howe rail
Rhynochetidae				Kagu
	Rhynochetos jubatus (I)			Kagu
PASSERIFORMES				
Atrichornithidae				Scrub-birds
	Atrichornis clamosus (I)			Noisy scrub-bird
Cotingidae				Cotingas
			Cephalopterus ornatus (III Colombia)	Amazonian umbrella bird
			Cephalopterus penduliger (III Colombia)	Long-wattled umbrella bird
	Cotinga maculata (I)			Banded cotinga
		Rupicola spp. (II)		Cocks-of-the-rock
	Xipholena atropurpurea (I)			White-winged cotinga

	Annex A	Annex B	Annex C	Common name
Emberizidae				Cardinals, tanagers
		Gubernatrix cristata (II)		Yellow cardinal
		Paroaria capitata (II)		Yellow-billed cardinal
		Paroaria coronata (II)		Red-crested cardinal
		Tangara fastuosa (II)		Seven-coloured tanager
Estrildidae				Mannikins, waxbills
		Amandava formosa (II)		Green avadavat
		Lonchura fuscata		Timor sparrow
		Lonchura oryzivora (II)		Java sparrow
		Poephila cincta cincta (II)		Southern black-throated finch
Fringillidae				Finches
	Carduelis cucullata (I)			Red siskin
		Carduelis yarrellii (II)		Yellow-faced siskin
Hirundinidae				Martins
	Pseudochelidon sirintarae (I)			White-eyed river-martin
Icteridae				New-world blackbirds
	Xanthopsar flavus (I)			Saffron-cowled blackbird
Meliphagidae				Honey-eaters
		Lichenostomus melanops cassidix (II)		Helmeted honeyeater

	Annex A	Annex B	Annex C	Common name
Muscicapidae				Old-world flycatchers, babblers, etc.
	Acrocephalus rodericanus (III Mauritius)			Rodrigues brush-warbler
		Cyornis ruckii (II)		Rueck's blue-flycatcher
		Dasyornis broadbenti litoralis (II)		Western rufous bristlebird
		Dasyornis longirostris (II)		Western bristlebird
		Garrulax canorus (II)		Chinese Hwamei
		Garrulax taewanus (II)		Taiwan Hwamei
		Leiothrix argentauris (II)		Silver-eared mesia
		Leiothrix lutea (II)		Red-billed leiothrix
		Liocichla omeiensis (II)		Omei Shan liocichla
	Picathartes gymnocephalus (I)			White-necked rockfowl
	Picathartes oreas (I)			Grey-necked rockfowl
			Terpsiphone bourbonnensis (III Mauritius)	Mascarene paradise-flycatcher
Paradisaeidae				Birds of paradise
		Paradisaeidae spp. (II)		Birds of paradise
Pittidae				Pittas
		Pitta guajana (II)		Banded pitta
	Pitta gurneyi (I)			Gurney's pitta
	Pitta kochi (I)			Whiskered pitta
		Pitta nympha (II)		Fairy pitta
Pycnonotidae				Bulbuls
		Pycnonotus zeylanicus (II)		Straw-headed bulbul

	Annex A	Annex B	Annex C	Common name
Sturnidae				Mynas
		Gracula religiosa (II)		Hill myna
	Leucopsar rothschildi (I)			Bali myna
Zosteropidae				White-eyes
	Zosterops albogularis (I)			White-chested white-eye
PELECANIFORMES				
Fregatidae				Frigatebirds
	Fregata andrewsi (I)			Christmas frigatebird
Pelecanidae				Pelicans
	Pelecanus crispus (I)			Dalmatian pelican
Sulidae				Boobies
	Papasula abbotti (I)			Abbott's booby
PICIFORMES				
Capitonidae				Barbets
			Semnornis ramphastinus (III Colombia)	Toucan barbet
Picidae				Woodpeckers
	Dryocopus javensis richardsi (I)			Tristram's woodpecker
Ramphastidae				Toucans
			Baillonius bailloni (III Argentina)	Saffron toucanet
		Pteroglossus aracari (II)		Black-necked aracari
			Pteroglossus castanotis (III Argentina)	Chestnut-eared aracari
		Pteroglossus viridis (II)		Green aracari

	Annex A	Annex B	Annex C	Common name
			Ramphastos dicolorus (III Argentina)	Red-breasted toucan
		Ramphastos sulfuratus (II)		Keel-billed toucan
		Ramphastos toco (II)		Toco toucan
		Ramphastos tucanus (II)		Red-billed toucan
		Ramphastos vitellinus (II)		Channel-billed toucan
			Selenidera maculirostris (III Argentina)	Spot-billed toucanet
PODICIPEDIFORMES				
Podicipedidae				Grebes
	Podilymbus gigas (I)			Atitlan Grebe
PROCELLARIIFORMES				
Diomedeidae				Albatrosses
	Phoebastria albatrus (I)			Short-tailed albatross
PSITTACIFORMES				Cockatoos, lories, macaws, parakeets, parrots etc.
		PSITTACIFORMES spp. (II)		Parrots, etc.
		(Except for the species included in Annex A and excluding Agapornis roseicollis, Melopsittacus undulatus, Nymphicus hollandicus and Psittacula krameri, which are not included in the Annexes to this Regulation)		
Cacatuidae				Cockatoos

	Annex A	Annex B	Annex C	Common name
	Cacatua goffiniana (I)			Tanimbar cockatoo
	Cacatua haematuropygia (I)			Philippine cockatoo
	Cacatua moluccensis (I)			Salmon-crested cockatoo
	Cacatua sulphurea (I)			Yellow-crested cockatoo
	Probosciger aterrimus (I)			Palm cockatoo
Loriidae				Lories, lorikeets
	Eos histrio (I)			Red and blue lory
	Vini spp. (I/II) (Vini ultramarina is listed in Appendix I, the other species are listed in Appendix II)			Blue lorikeets
Psittacidae				Amazons, macaws, parakeets, parrot
	Amazona arausiaca (I)			Red-necked parrot
	Amazona auropalliata (I)			Yellow-naped parrot
	Amazona barbadensis (I)			Yellow-shouldered parrot
	Amazona brasiliensis (I)			Red-tailed parrot
	Amazona finschi (I)			Lilac-crowned parrot
	Amazona guildingii (I)			St Vincent parrot
	Amazona imperialis (I)			Imperial parrot
	Amazona leucocephala (I)			Cuban parrot
	Amazona oratrix (I)			Yellow-headed parrot
	Amazona pretrei (I)			Red-spectacled parrot
	Amazona rhodocorytha (I)			Red-browed parrot
	Amazona tucumana (I)			Tucuman parrot
	Amazona versicolor (I)			Saint Lucia parrot

 Annex A	Annex B	Annex C	Common name
Amazona vinacea (I)			Vinaceous parrot
Amazona viridigenalis (I)			Green-cheeked parrot
Amazona vittata (I)			Puerto Rican parrot
Anodorhynchus spp. (I)			Blue macaws
Ara ambiguus (I)			Great green macaw
Ara glaucogularis (I)			Blue-throated macaw
Ara macao (I)			Scarlet macaw
Ara militaris (I)			Military macaw
Ara rubrogenys (I)			Red-fronted macaw
Cyanopsitta spixii (I)			Spix's macaw
Cyanoramphus cookii (I)			Norfolk Island parakeet
Cyanoramphus forbesi (I)			Chatham Island yellow-fronted parakeet
Cyanoramphus novaezelandiae (I)			Red-fronted parakeet
Cyanoramphus saisseti (I)			Red-crowned parakeet
Cyclopsitta diophthalma coxeni (I)			Coxen's double-eyed fig parrot
Eunymphicus cornutus (I)			Horned parakeet
Guarouba guarouba (I)			Golden parakeet
Neophema chrysogaster (I)			Orange-bellied parrot
Ognorhynchus icterotis (I)			Yellow-eared parrot
Pezoporus occidentalis (I)			Night parrot
Pezoporus wallicus (I)			Ground parrot
Pionopsitta pileata (I)			Pileated parrot
Primolius couloni (I)			Blue-headed macaw

	Annex A	Annex B	Annex C	Common name
	Primolius maracana (I)			Blue-winged macaw
	Psephotus chrysopterygius (I)			Golden-shouldered parrot
	Psephotus dissimilis (I)			Hooded parrot
	Psephotus pulcherrimus (I)			Paradise parrot
	Psittacula echo (I)			Mauritius parakeet
	Psittacus erithacus (I)			African grey parrot
	Pyrrhura cruentata (I)			Blue-throated parakeet
	Rhynchopsitta spp. (I)			Thick-billed parrots
	Strigops habroptilus (I)			Kakapo
RHEIFORMES				
Rheidae				Rheas
	Pterocnemia pennata (I) (Except Pterocnemia pennata pennata which is included in Annex B)			Lesser rhea
		Pterocnemia pennata pennata (II)		Lesser rhea
		Rhea americana (II)		Greater rhea
SPHENISCIFORMES				
Spheniscidae				Penguins
		Spheniscus demersus (II)		Jackass penguin
	Spheniscus humboldti (I)			Humboldt penguin
STRIGIFORMES				Owls

	Annex A	Annex B	Annex C	Common name
		STRIGIFORMES spp. (II) (Except for the species included in Annex A and except for <i>Sceloglaux albifacies</i> )		Owls
trigidae				Owls
	Aegolius funereus (II)			Boreal owl
	Asio flammeus (II)			Short-eared owl
	Asio otus (II)			Long-eared owl
	Athene noctua (II)			Little owl
	Bubo bubo (II) (Except for Bubo bubo bengalensis which is included in Annex B)			Eurasian eagle-owl
	Glaucidium passerinum (II)			Eurasian pygmy-owl
	Heteroglaux blewitti (I)			Forest owlet
	Mimizuku gurneyi (I)			Lesser eagle-owl
	Ninox natalis (I)			Christmas hawk-owl
	Nyctea scandiaca (II)			Snowy owl
	Otus ireneae (II)			Sokoke scops-owl
	Otus scops (II)			Eurasian scops-owl
	Strix aluco (II)			Tawny owl
	Strix nebulosa (II)			Great grey owl
	Strix uralensis (II) (Except for Strix uralensis davidi which is included in Annex B)			Ural owl
	Surnia ulula (II)			Northern hawk owl
ytonidae				Barn owls
	Tyto alba (II)			Barn owl
	Tyto soumagnei (I)			Soumagne's owl

	Annex A	Annex B	Annex C	Common name
STRUTHIONIFORMES				
Struthionidae				Ostrich
	Struthio camelus (I) (Only the populations of Algeria, Burkina Faso, Cameroon, the Central African Republic, Chad, Mali, Mauritania, Morocco, the Niger, Nigeria, Senegal and the Sudan; all other populations are not included in the Annexes to this Regulation)			Ostrich
TINAMIFORMES				
Tinamidae				Tinamous
	Tinamus solitarius (I)			Solitary tinamou
TROGONIFORMES				
Trogonidae				Quetzals
	Pharomachrus mocinno (I)			Resplendent quetzal
REPTILIA				Reptiles
CROCODYLIA				Alligators, caimans, crocodiles
		CROCODYLIA spp. (II) (Except for the species included in Annex A)		Alligators, caimans, crocodiles
Alligatoridae				Alligators, caimans
	Alligator sinensis (I)			Chinese alligator
	Caiman crocodilus apaporiensis (I)			Rio Apaporis spectacled caiman
	Caiman latirostris (I) (Except for the population of Argentina, which is included in Annex B)			Broad-nosed caiman

	Annex A	Annex B	Annex C	Common name
	Melanosuchus niger (I) (Except for the population of Brazil, which is included in Annex B, and population of Ecuador, which is included in Annex B and is subject to a zero annual export quota until an annual export quota has been approved by the CITES Secretariat and the IUCN/SSC Crocodile Specialist Group)			Black caiman
Crocodylidae				Crocodiles
	Crocodylus acutus (I) (Except for the population of the Integrated Management District of Mangroves of the Bay of Cispata, Tinajones, La Balsa and Surrounding Areas, Department of Córdoba, Colombia, and the population of Cuba, which are included in Annex B, and the population of Mexico, which is included in Annex B and is subject to a zero export quota for wild specimens for commercial purposes)			American crocodile
	Crocodylus cataphractus (I)			African slender-snouted crocodile
	Crocodylus intermedius (I)			Orinoco crocodile
	Crocodylus mindorensis (I)			Philippine crocodile
	Crocodylus moreletii (I) (Except for the population of Belize, which is included in Annex B with a zero quota for wild specimens traded for commercial purposes, and the population of Mexico, which is included in Annex B)			Morelet's crocodile

Annex A	Annex B	Annex C	Common name
Crocodylus niloticus (I) (Except for the populations of Botswana, Egypt [subject to a zero quota for wild specimens traded for commercial purposes], Ethiopia, Kenya, Madagascar, Malawi, Mozambique, Namibia, South Africa, Uganda, the United Republic of Tanzania [subject to an annual export quota of no more than 1600 wild specimens including hunting trophies, in addition to ranched specimens], Zambia and Zimbabwe; these populations are included in Annex B)			Nile crocodile
Crocodylus palustris (I)			Mugger crocodile
Crocodylus porosus (I) (Except for the populations of Australia, Indonesia, Malaysia [wild harvest restricted to the State of Sarawak and a zero quota for wild specimens for the other States of Malaysia (Sabah and Peninsular Malaysia), with no change in the zero quota unless approved by the CITES Parties] and Papua New Guinea, which are included in Annex B)			Estuarine crocodile
Crocodylus rhombifer (I)			Cuban crocodile
Crocodylus siamensis (I)			Siamese crocodile
Osteolaemus tetraspis (I)			West African dwarf crocodile
 Tomistoma schlegelii (I)			False gharial

	Annex A	Annex B	Annex C	Common name
Gavialidae				Gavial or gharial
	Gavialis gangeticus (I)			Gharial
RHYNCHOCEPHALIA				
Sphenodontidae				Tuataras
	Sphenodon spp. (I)			Tuataras
SAURIA				
Agamidae				Spiny-tailed lizards (Agamas, mastigures)
		Ceratophora aspera (II) (Zero quota for wild specimens for commercial purposes)		Rough-nosed horned lizard
	Ceratophora erdeleni (I)			Erdelen's horned lizard
	Ceratophora karu (I)			Karu's (horned) lizard
		Ceratophora stoddartii (II) (Zero quota for wild specimens for commercial purposes)		Rhino — horned lizard
	Ceratophora tennentii (I)			Tennent's leaf – nosed lizard
	Cophotis ceylanica (I)			Pygmy lizards
	Cophotis dumbara (I)			Knuckles pygmy lizard
		Lyriocephalus scutatus (II) (Zero quota for wild specimens for commercial purposes)		Hump-nosed lizard
		Saara spp. (II)		
		Uromastyx spp. (II)		Spiny-tailed lizards

	Annex A	Annex B	Annex C	Common name
Anguidae				Alligator lizards
		Abronia spp. (II) (except for the species included in Annex A. A zero export quota has been established for wild specimens for Abronia aurita, A. gaiophantasma, A. montecristoi, A. salvadorensis and A. vasconcelosii)		Alligator lizards
	Abronia anzuetoi (I)			
	Abronia campbelli (I)			
	Abronia fimbriata (I)			
	Abronia frosti (I)			
	Abronia meledona (I)			
Chamaeleonidae				Chameleons
		Archaius spp. (II)		
		Bradypodion spp. (II)		Dwarf chameleons
		Brookesia spp. (II) (Except for the species included in Annex A)		Dwarf chameleons
	Brookesia perarmata (I)			Dwarf spiny chameleon
		Calumma spp. (II)		Madagascar chameleons
		Chamaeleo spp. (II) (Except for the species included in Annex A)		Chameleons
	Chamaeleo chamaeleon (II)			European chameleon
		Furcifer spp. (II)		Madagascar chameleons
		Kinyongia spp. (II)		Dwarf chameleons
		Nadzikambia spp. (II)		Dwarf chameleons

	Annex A	Annex B	Annex C	Common name
		Palleon spp. (II)		
		Rhampholeon spp. (II)		Pygmy chamaeleons
		Rieppeleon spp. (II)		Pygmy chamaeleons
		Trioceros spp. (II)		
Cordylidae				Spiny-tailed lizards
		Cordylus spp. (II)		Girdled lizards
		Hemicordylus spp. (II)		
		Karusaurus spp. (II)		
		Namazonurus spp. (II)		
		Ninurta spp. (II)		
		Ouroborus spp. (II)		
		Pseudocordylus spp. (II)		
		Smaug spp. (II)		
Eublepharidae				Eyelid geckos
		Goniurosaurus spp. (II) (except the species native to Japan)		Tiger geckos
Gekkonidae				Geckos
	Cnemaspis psychedelica (I)			Psychedelic rock gecko
			Dactylocnemis spp. (III New Zealand)	
		Gekko gecko (II)		Tokay gecko
	Gonatodes daudini (I)			Grenadines clawed gecko
			Hoplodactylus spp. (III New Zealand)	Sticky-toed geckos
	Lygodactylus williamsi (I)			Turquoise dwarf gecko
			Mokopirirakau spp. (III New Zealand)	
		Nactus serpensinsula (II)		Serpent Island gecko

Annex A	Annex B	Annex C	Common name
	Naultinus spp. (II)		New Zealand tree geckos
	Paroedura androyensis (II)		Grandidier's Madagascar ground geck
	Paroedura masobe (II)		Masobe gecko
	Phelsuma spp. (II) (Except for the species included in Annex A)		Day geckos
Phelsuma guentheri (II)			Round Island day gecko
	Rhoptropella spp. (II)		
		Sphaerodactylus armasi (III Cuba)	
		Sphaerodactylus celicara (III Cuba)	
		Sphaerodactylus dimorphicus (III Cuba)	
		Sphaerodactylus intermedius (III Cuba)	
		Sphaerodactylus nigropunctatus alayoi (III Cuba)	
		Sphaerodactylus nigropunctatus granti (III Cuba)	
		Sphaerodactylus nigropunctatus lisso- desmus (III Cuba)	
		Sphaerodactylus nigropunctatus ocujal (III Cuba)	
		Sphaerodactylus nigropunctatus strategus (III Cuba)	
		Sphaerodactylus notatus atactus (III Cuba)	
		Sphaerodactylus oliveri (III Cuba)	
		Sphaerodactylus pimienta (III Cuba)	

	Annex A	Annex B	Annex C	Common name
			Sphaerodactylus ruibali (III Cuba)	
			Sphaerodactylus siboney (III Cuba)	
			Sphaerodactylus torrei (III Cuba)	
			Toropuku spp. (III New Zealand)	
			Tukutuku spp. (III New Zealand)	
		Uroplatus spp. (II)		Flat-tailed geckos
			Woodworthia spp. (III New Zealand)	
Helodermatidae				Gila monster and beaded lizard
		Heloderma spp. (II) (Except for the subspecies included in Annex A)		Gila monster and beaded lizard
	Heloderma horridum charlesbogerti (I)			Guatemalan beaded lizard
Iguanidae				Iguanas
		Amblyrhynchus cristatus (II)		Galapagos marine iguana
	Brachylophus spp. (I)			Fiji iguanas
		Conolophus spp. (II)		Galapagos land iguanas
		Ctenosaura spp. (II)		Spiny-tailed iguanas
	Cyclura spp. (I)			Ground iguanas
		Iguana spp. (II)		Iguanas
		Phrynosoma blainvillii (II)		Blaineville's horned lizard
		Phrynosoma cerroense (II)		Cedros Island horned lizard
		Phrynosoma coronatum (II)		Coast horned lizard
		Phrynosoma wigginsi (II)		Gulf coast horned lizard
	Sauromalus varius (I)			San Esteban Island chuckwalla

	Annex A	Annex B	Annex C	Common name
Lacertidae				Lizards
	Gallotia simonyi (I)			Hierro giant lizard
	Podarcis lilfordi (II)			Lilford's wall lizard
	Podarcis pityusensis (II)			Ibiza wall lizard
Lanthanotidae				Earless Monitor Lizard
		Lanthanotidae spp. (II) (A zero export quota has been established for wild specimens for commercial trade)		
Polychrotidae				Anoles
			Anolis agueroi (III Cuba)	
			Anolis baracoae (III Cuba)	
			Anolis barbatus (III Cuba)	
			Anolis chamaeleonides (III Cuba)	
			Anolis equestris (III Cuba)	
			Anolis guamuhaya (III Cuba)	
			Anolis luteogularis (III Cuba)	
			Anolis pigmaequestris (III Cuba)	
			Anolis porcus (III Cuba)	
Scincidae				Skinks
		Corucia zebrata (II)		Prehensile-tailed skink
Teiidae				Caiman lizards, tegu lizards
		Crocodilurus amazonicus (II)		Dragon lizard
		Dracaena spp. (II)		Caiman lizards
		Salvator spp. (II)		
		Tupinambis spp.(II)		Tegus

	Annex A	Annex B	Annex C	Common name
Varanidae				Monitor lizards
		Varanus spp. (II) (Except for the species included in Annex A)		Monitor lizards
	Varanus bengalensis (I)			Indian monitor
	Varanus flavescens (I)			Yellow monitor
	Varanus griseus (I)			Desert monitor
	Varanus komodoensis (I)			Komodo dragon
	Varanus nebulosus (I)			Clouded monitor
	Varanus olivaceus (II)			Gray's monitor
Xenosauridae				Chinese crocodile lizard
	Shinisaurus crocodilurus (I)			Chinese crocodile lizard
SERPENTES				Snakes
Boidae				Boas
		Boidae spp. (II) (Except for the species included in Annex A)		Boas
	Acrantophis spp. (I)			Madagascar ground boas
	Boa constrictor occidentalis (I)			Argentine boa constrictor
	Epicrates inornatus (I)			Puerto Rican boa
	Epicrates monensis (I)			Virgin Island tree boa
	Epicrates subflavus (I)			Jamaican boa
	Eryx jaculus (II)			Spotted sand boa
	Sanzinia madagascariensis (I)			Madagascar tree boa

	Annex A	Annex B	Annex C	Common name
Bolyeriidae				Round Island boas
		Bolyeriidae spp. (II) (Except for the species included in Annex A)		Round Island boas
	Bolyeria multocarinata (I)			Round Island boa
	Casarea dussumieri (I)			Round Island keel-scaled boa
Colubridae				Typical snakes, water snakes, whip snakes
			Atretium schistosum (III India)	Olive keel-back
			Cerberus rynchops (III India)	Dog-faced water snake
		Clelia clelia (II)		Mussurana
		Cyclagras gigas (II)		False cobra
		Elachistodon westermanni (II)		Indian egg-eating snake
		Ptyas mucosus (II)		Common rat snake
			Xenochrophis piscator (III India)	Checkered keel-back
			Xenochrophis schnurrenbergeri (III India)	
			Xenochrophis tytleri (III India)	
Elapidae				Cobras, coral snakes
		Hoplocephalus bungaroides (II)		Broad-headed snake
			Micrurus diastema (III Honduras)	Atlantic coral snake
			Micrurus nigrocinctus (III Honduras)	Central American coral snake
			Micrurus ruatanus (III Honduras)	
		Naja atra (II)		Chinese spitting cobra
		Naja kaouthia (II)		Monocellate cobra
		Naja mandalayensis (II)		Burmese spitting cobra
		Naja naja (II)		Indian cobra

	Annex A	Annex B	Annex C	Common name
		Naja oxiana (II)		Central Asian cobra
		Naja philippinensis (II)		North Philippine spitting cobra
		Naja sagittifera (II)		Andaman cobra
		Naja samarensis (II)		South-east Philippine spitting cobra
		Naja siamensis (II)		Indochinese spitting cobra
		Naja sputatrix (II)		South Indonesian spitting cobra
		Naja sumatrana (II)		Golden spitting cobra
		Ophiophagus hannah (II)		King cobra
Loxocemidae				Mexican dwarf boa
		Loxocemidae spp. (II)		Mexican dwarf boa
Pythonidae				Pythons
		Pythonidae spp. (II) (Except for the subspecies included in Annex A)		Pythons
	Python molurus molurus (I)			Indian python
Гropidophiidae				Wood boas
		Tropidophiidae spp. (II)		Wood boas
Viperidae				Vipers
		Atheris desaixi (II)		Mt. Kenya bush viper
		Bitis worthingtoni (II)		Kenya horned viper
			Crotalus durissus (III Honduras)	Neotropical rattlesnake
		Crotalus durissus unicolor		Aruba rattlesnake
			Daboia russelii (III India)	Russell's viper

	Annex A	Annex B	Annex C	Common name
		Pseudocerastes urarachnoides (II)		Spider-tailed horned viper
		Trimeresurus mangshanensis (II)		Mangshan pit-viper
	Vipera latifii			Latifi's viper
	Vipera ursinii (I) (Only the population of Europe, except the area which formerly constituted the USSR; these latter populations are not included in the Annexes to this Regulation)			Orsini's viper
		Vipera wagneri (II)		Wagner's viper
TESTUDINES				
Carettochelyidae				Pig-nosed turtles
		Carettochelys insculpta (II)		Pig-nosed turtle
Chelidae				Austro-American sideneck turtles
		Chelodina mccordi (II) (A zero annual export quota has been established for specimens removed from the wild)		Roti snake-necked turtle
	Pseudemydura umbrina (I)			Western swamp turtle
Cheloniidae				Sea turtles
	Cheloniidae spp. (I)			Sea turtles
Chelydridae				Snapping turtles
			Chelydra serpentina (III United States of America)	
			Macrochelys temminckii (III United States of America)	Alligator snapping turtle

	Annex A	Annex B	Annex C	Common name
Dermatemydidae				Central American river turtle
		Dermatemys mawii (II)		Central American river turtle
Dermochelyidae				Leatherback turtle
	Dermochelys coriacea (I)			Leatherback turtle
Emydidae				Box turtles, freshwater turtles
		Chrysemys picta (Only live specimens)		Painted turtle
		Clemmys guttata (II)		Spotted turtle
		Emydoidea blandingii (II)		Blanding's turtle
		Glyptemys insculpta (II)		Wood turtle
	Glyptemys muhlenbergii (I)			Bog turtle
			Graptemys spp. (III United States of America)	Map turtles
		Malaclemys terrapin (II)		Diamondback terrapin
		Terrapene spp. (II) (Except for the species included in Annex A)		Box turtles
	Terrapene coahuila (I)			Aquatic box turtle
Geoemydidae				
	Batagur affinis (I)			Southern river terrapin
	Batagur baska (I)			Batagur
		Batagur borneoensis (II) (A zero annual export quota has been established for specimens removed from the wild and traded for commercial purposes)		

 Annex A	Annex B	Annex C	Common name
	Batagur dhongoka (II)  Batagur kachuga (II)  Batagur trivittata (II) (A zero annual export quota has been established for specimens removed from the wild and traded for commercial purposes)  Cuora spp. (II) (Except the species included in Annex A, a zero annual export quota has been established for Cuora aurocapitata, C. flavomarginata, C. galbinifrons, C. mccordi, C. mouhotii, C. pani, C. trifasciata, C. yunnanensis and C. zhoui for specimens removed from the wild and traded for commercial purposes)		Asian box turtles
Cuora bourreti (I)			Bourret's box turtle
Cuora picturata (I)			Indochinese box turtle
	Cyclemys spp. (II)		Asian leaf turtles
Geoclemys hamiltonii (I)			Black pond turtle
	Geoemyda japonica (II)		Ryukyu black-breasted leaf turtle
	Geoemyda spengleri (II)		Black-breasted leaf turtle
	Hardella thurjii (II)		Crowned river turtle
	Heosemys annandalii (II) (A zero annual export quota has been established for specimens removed from the wild and traded for commercial purposes)		Yellow-headed temple turtle

Annex A	Annex B	Annex C	Common name
	Heosemys depressa (II) (A zero annual export quota has been established for specimens removed from the wild and traded for commercial purposes)		Arakan forest turtle
	Heosemys grandis (II)		Giant Asian turtle
	Heosemys spinosa (II)		Spiny turtle
	Leucocephalon yuwonoi (II)		Sulawesi forest turtle
	Malayemys macrocephala (II)		Snail-eating turtle
	Malayemys subtrijuga (II)		Ricefield turtle
Mauremys annamensis (I)			Annam pond turtle
		Mauremys iversoni (III China)	Fujian pond turtle
	Mauremys japonica (II)		Japanese pond turtle
		Mauremys megalocephala (III China)	Big-headed pond turtle
	Mauremys mutica (II)		Yellow pond turtle
	Mauremys nigricans (II)		Red-necked pond turtle
		Mauremys pritchardi (III China)	Pritchard's pond turtle
		Mauremys reevesii (III China)	Reeves's turtle
		Mauremys sinensis (III China)	Chinese stripe-necked turtle
Melanochelys tricarinata (I)			Three-keeled land tortoise
	Melanochelys trijuga (II)		Indian black turtle
Morenia ocellata (I)			Burmese swamp turtle

	Annex A	Annex B	Annex C	Common name
		Morenia petersi (II)		Indian eyed turtle
		Notochelys platynota (II)		Malayan flat-shelled turtle
			Ocadia glyphistoma (III China)	Notch-mouthed stripe-necked turtle
			Ocadia philippeni (III China)	Philippen's stripe-necked turtle
		Orlitia borneensis (II) (A zero annual export quota has been established for specimens removed from the wild and traded for commercial purposes)		Malayan giant turtle
		Pangshura spp. (II) (Except for the species included in Annex A)		Roofed turtles
	Pangshura tecta (I)			Indian roofed turtle
		Sacalia bealei (II)		Beal's eyed turtle
			Sacalia pseudocellata (III China)	Chinese false-eyed turtle
		Sacalia quadriocellata (II)		Four-eyed turtle
		Siebenrockiella crassicollis (II)		Black marsh turtle
		Siebenrockiella leytensis (II)		Philippine pond turtle
		Vijayachelys silvatica (II)		Cochin forest cane turtle
Platysternidae				Big-headed turtles

	Annex A	Annex B	Annex C	Common name
	Platysternidae spp. (I)			Big-headed turtles
Podocnemididae				Afro-American sideneck turtles
		Erymnochelys madagascariensis (II)		Madagascar sideneck turtle
		Peltocephalus dumerilianus (II)		Big-headed sideneck turtle
		Podocnemis spp. (II)		Sideneck turtles
Testudinidae				Tortoises
		Testudinidae spp. (II) (Except for the species included in Annex A; a zero annual export quota has been established for <i>Centrochelys sulcata</i> for specimens removed from the wild and traded for primarily commercial purposes)		Tortoises
	Astrochelys radiata (I)			Radiated tortoise
	Astrochelys yniphora (I)			Angonoka
	Chelonoidis niger (I)			Galapagos giant tortoise
	Geochelone elegans (I)			Star tortoise
	Geochelone platynota (I)			Burmese star tortoise
	Gopherus flavomarginatus (I)			Bolson tortoise
	Malacochersus tornieri (I)			Pancake tortoise
	Psammobates geometricus (I)			Geometric tortoise
	Pyxis arachnoides (I)			Madagascar spider tortoise
	Pyxis planicauda (I)			Madagascar flat-shelled tortoise

	Annex A	Annex B	Annex C	Common name
	Testudo graeca (II)			Spur-thighed tortoise
	Testudo hermanni (II)			Hermann's tortoise
	Testudo kleinmanni (I)			Egyptian tortoise
	Testudo marginata (II)			Marginated tortoise
rionychidae				Softshell turtles, terrapins
		Amyda cartilaginea (II)		Southeast Asian soft-shelled turtle
			Apalone ferox (III United States of America)	
			Apalone mutica (III United States of America)	
			Apalone spinifera (III United States of America) (except for the subspecies included in Annex A)	
	Apalone spinifera atra (I)			Cuatro Cienagas soft-shell turtle
		Chitra spp. (II) (Except for the species included in Annex A)		Narrow-headed softshell turtles
	Chitra chitra (I)			Asian narrow-headed softshell
	Chitra vandijki (I)			Burmese narrow-headed softshell
		Cyclanorbis elegans (II)		Nubian flapshelll turtle
		Cyclanorbis senegalensis (II)		Senegal flapshell turtle
		Cycloderma aubryi (II)		Aubrys flapshelll turtle
		Cycloderma frenatum (II)		Zambezi flapshell turtle
		Dogania subplana (II)		Malayan soft-shelled turtle
		Lissemys ceylonensis (II)		Sri Lankan flapshell turtle
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	Annex A	Annex B	Annex C	Common name
		Lissemys punctata (II)		Indo-Gangetic flapshell turtle
		Lissemys scutata (II)		Burmese flapshell turtle
		Nilssonia formosa (II)		Burmese peacock softshell
	Nilssonia gangetica (I)			Indian soft-shell turtle
	Nilssonia hurum (I)			Peacock soft-shell turtle
		Nilssonia leithii (II)		Leith's softshell turtle
	Nilssonia nigricans (I)			Black soft-shell turtle
		Palea steindachneri (II)		Wattle-necked softshell turtle
		Pelochelys spp. (II)		Giant softshell turtles
		Pelodiscus axenaria (II)		Hunan softshell turtle
		Pelodiscus maackii (II)		Amur softshell turtle
		Pelodiscus parviformis (II)		Chinese softshell turtle
		Rafetus euphraticus (II)		Euphrates softshell turtle
		Rafetus swinhoei (II)		Yangtze softshell turtle
		Trionyx triunguis (II)		Nile softshell turtle
AMPHIBIA				Amphibians
ANURA				Frogs and toads
Aromobatidae				Cryptic forest frogs
		Allobates femoralis (II)		Brilliant-thighed poison frog
		Allobates hodli (II)		
		Allobates myersi (II)		Myers' poison frog

	Annex A	Annex B	Annex C	Common name
		Allobates zaparo (II)		Sanguine poison frog
		Anomaloglossus rufulus (II)		Chimanta poison frog
Bufonidae				Toads
	Altiphrynoides spp. (I)			Malcolm's Ethiopian toad
	Amietophrynus channingi (I)			
	Amietophrynus superciliaris (I)			Cameroon toad
	Atelopus zeteki (I)			Golden frog
	Incilius periglenes (I)			Golden toad
	Nectophrynoides spp. (I)			African viviparous toads
	Nimbaphrynoides spp. (I)			Nimba toads
Calyptocephalellidae				
			Calyptocephalella gayi (III Chile)	Chilean helmeted water toad
Conrauidae				Frogs
		Conraua goliath		Goliath frog
Dendrobatidae				Poison frogs
		Adelphobates spp. (II)		
		Ameerega spp. (II)		
		Andinobates spp. (II)		
		Dendrobates spp. (II)		Poison-arrow frogs
		Epipedobates spp. (II)		Poison-arrow frogs
		Excidobates spp. (II)		
		Hyloxalus azureiventris (II)		Sky-blue poison frog

	Annex A	Annex B	Annex C	Common name
		Minyobates spp. (II)		Demonic poison frogs
		Oophaga spp. (II)		
		Phyllobates spp. (II)		Poison-arrow frogs
		Ranitomeya spp. (II)		
Dicroglossidae				Frogs
		Euphlyctis hexadactylus (II)		Six-fingered frog
		Hoplobatrachus tigerinus (II)		Tiger frog
Hylidae				Tree frogs
		Agalychnis spp. (II)		
Mantellidae				Mantella frogs
		Mantella spp. (II)		Mantella frogs
Microhylidae				Tomato frogs
		Dyscophus antongilii (II)		Tomato frog
		Dyscophus guineti (II)		False tomato frog
		Dyscophus insularis (II)		Antsouhy tomato frog
		Scaphiophryne boribory (II)		Green marbled burrowing frog
		Scaphiophryne gottlebei (II)		Red rain frog
		Scaphiophryne marmorata (II)		Green marbled burrowing frog
		Scaphiophryne spinosa (II)		Green marbled burrowing frog
Myobatrachidae				Gastric brooding frogs
		Rheobatrachus spp. (II) (Except for Rheobatrachus silus and Rheobatrachus vitellinus)		Gastric brooding frog

	Annex A	Annex B	Annex C	Common name
Telmatobiidae				Water frogs
	Telmatobius culeus (I)			Titicaca water frog
CAUDATA				
Ambystomatidae				Axolotis
		Ambystoma dumerilii (II)		Lake Patzcuaro salamander
		Ambystoma mexicanum (II)		Axolotl
Cryptobranchidae				Giant salamanders
	Andrias spp. (I)			Giant salamanders
			Cryptobranchus alleganiensis (III United States of America)	Hellbender
Hynobiidae				Asiatic salamanders
			Hynobius amjiensis (III China)	
Salamandridae				Salamanders and newts
		Echinotriton chinhaiensis (II)		Chinhai spiny newt
		Echinotriton maxiquadratus (II)		Mountain spiny newt
	Neurergus kaiseri (I)			Kaiser's spotted newt
		Paramesotriton spp. (II)		Asian warty newts
			Salamandra algira (III Algeria)	
		Tylototriton spp. (II)		Crocodile newts
ELASMOBRANCHII				Sharks and rays
CARCHARHINIFORMES				
Carcharhinidae				Requiem sharks
		Carcharhinus falciformis (II)		Silky shark
		Carcharhinus longimanus (II)		Oceanic whitetip shark

	Annex A	Annex B	Annex C	Common name
Sphyrnidae				Hammerhead sharks
		Sphyrna lewini (II)		Scalloped hammerhead shark
		Sphyrna mokarran (II)		Great hammerhead shark
		Sphyrna zygaena (II)		Smooth hammerhead shark
LAMNIFORMES				
Alopiidae				Thresher sharks
		Alopias spp. (II)		Thresher sharks
Cetorhinidae				Basking sharks
		Cetorhinus maximus (II)		Basking shark
Lamnidae				Mackerel sharks
		Carcharodon carcharias (II)		Great white shark
		Isurus oxyrinchus (II)		Shortfin mako
		Isurus paucus (II)		Longfin mako
		Lamna nasus (II)		Porbeagle
MYLIOBATIFORMES				
Myliobatidae				
		Manta spp. (II)		Manta rays
		Mobula spp. (II)		Devil rays
Potamotrygonidae				Freshwater stingrays
			Paratrygon aiereba (III Colombia)	
			Potamotrygon spp. (III Brazil) (population of Brazil)	

	Annex A	Annex B	Annex C	Common name
			Potamotrygon constellata (III Colombia)	
			Potamotrygon magdalenae (III Colombia)	
			Potamotrygon motoro (III Colombia)	
			Potamotrygon orbignyi (III Colombia)	
			Potamotrygon schroederi (III Colombia)	
			Potamotrygon scobina (III Colombia)	
			Potamotrygon yepezi (III Colombia)	
ORECTOLOBIFORMES				
Rhincodontidae				Whale sharks
		Rhincodon typus (II)		Whale shark
PRISTIFORMES				
Pristidae				Sawfishes
	Pristidae spp. (I)			Sawfishes
RHINOPRISTIFORMES				
Glaucostegidae				Giant guitarfishes
		Glaucostegus spp. (II)		Giant guitarfish
Rhinidae				Wedgefishes
		Rhinidae spp. (II)		Wedgefishes
ACTINOPTERI				Fish
ACIPENSERIFORMES				
		ACIPENSERIFORMES spp. (II) (Except for the species included in Annex A)		Sturgeons and paddlefish

	Annex A	Annex B	Annex C	Common name
Acipenseridae				Sturgeons
	Acipenser brevirostrum (I)			Shortnose sturgeon
	Acipenser sturio (I)			Common sturgeon
ANGUILLIFORMES				
Anguillidae				Freshwater eels
		Anguilla anguilla (II)		European eel
CYPRINIFORMES				
Catostomidae				Cui-ui
	Chasmistes cujus (I)			Cui-ui
Cyprinidae				Blind carps, placesok
		Caecobarbus geertsii (II)		African blind barb fish
	Probarbus jullieni (I)			Ikan temoleh
OSTEOGLOSSIFORMES				Arapaimas, bonytongues
Arapaimidae				
		Arapaima gigas (II)		Arapaima
Osteoglossidae				Bonytongues
	Scleropages formosus (I)			Asian arowana
	Scleropages inscriptus			
PERCIFORMES				
Labridae				Wrasses
		Cheilinus undulatus (II)		Humphead wrasse

	Annex A	Annex B	Annex C	Common name
Pomacanthidae				
		Holacanthus clarionensis (II)		Clarion angelfish
Sciaenidae				Totoabas
	Totoaba macdonaldi (I)			Totoaba
SILURIFORMES				
Pangasiidae				Pangasid catfish
	Pangasianodon gigas (I)			Giant catfish
Loricariidae				Armoured catfishes
			Hypancistrus zebra (III Brazil)	
SYNGNATHIFORMES				
Syngnathidae				Pipefishes, seahorses
		Hippocampus spp. (II)		Seahorses
DIPNEUSTI				Lungfishes
CERATODONTIFORMES				
Neoceratodontidae				Australian lungfishes
		Neoceratodus forsteri (II)		Australian lungfish
COELACANTHI				Coelacanths

	Annex A	Annex B	Annex C	Common name
COELACANTHIFORMES				
Latimeriidae				Coelacanths
	Latimeria spp. (I)			Coelacanths
	ECHINODERMATA (S	STARFISH, BRITTLE STARS, SEA UR	CHINS AND SEA CUCUMBERS)	
HOLOTHUROIDEA				Sea cucumbers
ASPIDOCHIROTIDA				
Stichopodidae				Sea cucumbers
			Isostichopus fuscus (III Ecuador)	Brown sea cucumber
HOLOTHURIIDA				
Holothuriidae				Teatfishes, sea cucumbers
		Holothuria fuscogilva (II) (This inclusion will enter into effect on 28 August 2020)		Teatfish
		Holothuria nobilis (II) (This inclusion will enter into effect on 28 August 2020)		
		Holothuria whitmaei (II) (This inclusion will enter into effect on 28 August 2020)		
	•	ARTHROPODA (ARTHROPOL	DS)	
ARACHNIDA				Spiders and scorpions
ARANEAE				
Theraphosidae				Red-kneed tarantulas, tarantulas
		Aphonopelma albiceps (II)		
		Aphonopelma pallidum (II)		Chihuahua rose-grey tarantula
		Brachypelma spp. (II)		Central American tarantulas
		Poecilotheria spp. (II)		Ornamental spiders

	Annex A	Annex B	Annex C	Common name
SCORPIONES				
Scorpionidae				Scorpions
		Pandinus camerounensis (II)		
		Pandinus dictator (II)		
		Pandinus gambiensis (II)		Giant Senegalese scorpion
		Pandinus imperator (II)		Emperor scorpion
		Pandinus roeseli (II)		
INSECTA				Insects
COLEOPTERA				Beetles
Lucanidae				Stag beetles
			Colophon spp. (III South Africa)	Cape stag beetles
Scarabaeidae				Scarab beetles
		Dynastes satanas (II)		Satanas beetle
LEPIDOPTERA				Butterflies
Nymphalidae				
			Agrias amydon boliviensis (III Bolivia)	
			Morpho godartii lachaumei (III Bolivia)	
			Prepona praeneste buckleyana (III Bolivia)	
Papilionidae				Birdwing and swallowtail butterflies
	Achillides chikae chikae (I)			Luzon peacock swallowtail
	Achillides chikae hermeli (I)			Mindoro peacock swallowtail

Annex A	Annex B	Annex C	Common name
	Atrophaneura jophon (II)		Sri Lankan rose
	Atrophaneura palu		Palu swallowtail butterfly
	Atrophaneura pandiyana (II)		Malabar rose
	Bhutanitis spp. (II)		Swallowtail butterflies
	Graphium sandawanum		Apo swallowtail butterfly
	Graphium stresemanni		Seram swallowtail
	Ornithoptera spp. (II) (except for the species included in Annex A)		Birdwing butterflies
Ornithoptera alexandrae (I)			Queen Alexandra's birdwing
	Papilio benguetanus		
	Papilio esperanza		
Papilio homerus (I)			Homerus swallowtail
Papilio hospiton (II)			Corsican swallowtail
	Papilio morondavana		Madagascan emperor swallowtail
	Papilio neumoegeni		
	Parides ascanius		Fluminense swallowtail butterfly
	Parides hahneli		Hahnel's amazonian swallowtail butterfly
Parides burchellanus (I)			Riverside swallowtail
Parnassius apollo (II)			Mountain apollo

	Annex A	Annex B	Annex C	Common name
		Teinopalpus spp. (II)		Kaiser-I-Hind butterflies
		Trogonoptera spp. (II)		Birdwing butterflies
		Troides spp. (II)		Birdwing butterflies
	AN	NELIDA (SEGMENTED WORMS AN	ID LEECHES)	
HIRUDINOIDEA				Leeches
ARHYNCHOBDELLIDA				
Hirudinidae				Leeches
		Hirudo medicinalis (II)		Northern medicinal leech
		Hirudo verbana (II)		Southern medicinal leech
		MOLLUSCA (MOLLUSCS)		
BIVALVIA				Bivalve molluscs (clams, mussels etc.)
MYTILOIDA				
Mytilidae				Marine mussels
		Lithophaga lithophaga (II)		European date mussel
UNIONOIDA				
Unionidae				Freshwater mussels, pearly mussels
	Conradilla caelata (I)			Birdwing pearly mussel
		Cyprogenia aberti (II)		Western fanshell mussel
	Dromus dromas (I)			Dromedary pearly mussel
	Epioblasma curtisii (I)			Curtis' pearly mussel
	Epioblasma florentina (I)			Yellow-blossom pearly mussel

Annex A	Annex B	Annex C	Common name
Epioblasma sampsonii (I)			Wabash riffleshell
Epioblasma sulcata perobliqua (I)			White catspaw mussel
Epioblasma torulosa gubernaculum (I)			Green-blossom pearly mussel
	Epioblasma torulosa rangiana (II)		Northern riffleshell
Epioblasma torulosa torulosa (I)			Turbercled-blossom pearly mussel
Epioblasma turgidula (I)			Turgid-blossom pearly mussel
Epioblasma walkeri (I)			Tan riffleshell
Fusconaia cuneolus (I)			Fine-rayed pigtoe pearly mussel
Fusconaia edgariana (I)			Shiny pigtoe pearly mussel
Lampsilis higginsii (I)			Higgins' eye pearly mussel
Lampsilis orbiculata orbiculata (I)			Pink mucket pearly mussel
Lampsilis satur (I)			Sandback pocketbook mussel
Lampsilis virescens (I)			Alabama lamp pearly mussel
Plethobasus cicatricosus (I)			White warty-back pearly mussel
Plethobasus cooperianus (I)			Orange-footed pimpleback mussel
	Pleurobema clava (II)		Clubshell pearly mussel
Pleurobema plenum (I)			Rough pigtoe pearly mussel
Potamilus capax (I)			Fat pocketbook pearly mussel
Quadrula intermedia (I)			Cumberland monkey-face pearly muss
Quadrula sparsa (I)			Appalachian monkey-face pearly muss
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	Annex A	Annex B	Annex C	Common name
	Toxolasma cylindrella (I)			Pale lilliput pearly mussel
	Unio nickliniana (I)			Nicklin's pearly mussel
	Unio tampicoensis tecomatensis (I)			Tampico pearly mussel
	Villosa trabalis (I)			Cumberland bean pearly mussel
VENEROIDA				
Tridacnidae				Giant clams
		Tridacnidae spp. (II)		Giant clams
CEPHALOPODA				
NAUTILIDA				
Nautilidae				Nautilus
		Nautilidae spp. (II)		Nautilus
GASTROPODA				Slugs, snails and conches
MESOGASTROPODA				
Strombidae				Conches
		Strombus gigas (II)		Queen conch
STYLOMMATOPHORA				
Achatinellidae				Agate snails, oahu tree snails
	Achatinella spp. (I)			Little agate shells
Camaenidae				Green tree snail
		Papustyla pulcherrima (II)		Manus green tree snail

	Annex A	Annex B	Annex C	Common name
Cepolidae				
	Polymita spp. (I)			Cuban landsnails
	CNIE	DARIA (CORALS, FIRE CORALS, SE.	A ANEMONES)	
ANTHOZOA				Corals, sea anemones
ANTIPATHARIA				
		ANTIPATHARIA spp. (II)		Black corals
GORGONACEAE				
Coralliidae				Red and pink corals
			Corallium elatius (III China)	
			Corallium japonicum (III China)	
			Corallium konjoi (III China)	
			Corallium secundum (III China)	
HELIOPORACEA				
Ielioporidae				Blue coral
		Helioporidae spp. (II) (Includes only the species <i>Heliopora coerulea</i> ) (4)		Blue coral
SCLERACTINIA				
		SCLERACTINIA spp. (II) (4)		Stony corals
STOLONIFERA				
Tubiporidae				Organpipe corals
		Tubiporidae spp. (II) (4)		Organpipe corals

	Annex A	Annex B	Annex C	Common name
HYDROZOA				Sea ferns, fire corals, stinging medusas
MILLEPORINA				
Milleporidae				Wello fire corals
		Milleporidae spp. (II) (4)		Wello fire corals
STYLASTERINA				
Stylasteridae				Lace corals
		Stylasteridae spp. (II) (4)		Lace corals
	•	FLORA		
AGAVACEAE				Agaves
	Agave parviflora (I)			Santa Cruz striped agave
		Agave victoriae-reginae (II) #4		Queen Victoria agave
		Nolina interrata (II)		Dehesa bear-grass
		Yucca queretaroensis (II)		Queretaro yucca
AMARYLLIDACEAE				Amaryllids
		Galanthus spp. (II) #4		Snowdrops
		Sternbergia spp. (II) #4		Sternbergias
ANACARDIACEAE				
		Operculicarya decaryi (II)		Jabihy
		Operculicarya hyphaenoides (II)		Jabihy
		Operculicarya pachypus (II)		Tabily

	Annex A	Annex B	Annex C	Common name
APOCYNACEAE				
		Hoodia spp. (II) #9		Hoodia
		Pachypodium spp. (II) (Except for the species included in Annex A) #4		Elephant trunks
	Pachypodium ambongense (I)			
	Pachypodium baronii (I)			
	Pachypodium decaryi (I)			
		Rauvolfia serpentina (II) #2		Snake-root devil-pepper
ARALIACEAE				Aralias
		Panax ginseng (II) (Only the population of the Russian Federation; no other population is included in the Annexes to this Regulation) #3		Asian ginseng
		Panax quinquefolius (II) #3		American ginseng
ARAUCARIACEAE				Araucarias
	Araucaria araucana (I)			Monkey-puzzle tree
ASPARAGACEAE				
		Beaucarnea spp. (II)		Ponytail palm
BERBERIDACEAE				Barberries
		Podophyllum hexandrum (II) #2		Himalayan may-apple
BROMELIACEAE				Air plants, bromelias
		Tillandsia harrisii (II) #4		Harris' tillandsia
		Tillandsia kammii (II) #4		Kamm's tillandsia
		Tillandsia xerographica (II) (5) #4		Xerographic tillandsia

	Annex A	Annex B	Annex C	Common name
CACTACEAE				Cacti
		CACTACEAE spp. (II) (Except for the species included in Annex A and <i>Pereskia</i> spp., <i>Pereskiopsis</i> spp. and <i>Quiabentia</i> spp.) (6)#4		Cacti
	Ariocarpus spp. (I)			Living rock cacti
	Astrophytum asterias (I)			Star cactus
	Aztekium ritteri (I)			Aztec cactus
	Coryphantha werdermannii (I)			Jobali pincushion cactus
	Discocactus spp. (I)			Discocacti
	Echinocereus ferrerianus ssp. lindsayorum (I)			Lindsay's hedgehog cacti
	Echinocereus schmollii (I)			Lamb's-tail cactus
	Escobaria minima (I)			Nelle's cactus
	Escobaria sneedii (I)			Sneed's pincushion cactus
	Mammillaria pectinifera (I) (includes ssp. solisioides)			Conchilinque
	Melocactus conoideus (I)			Conelike Turk's-cap cactus
	Melocactus deinacanthus (I)			Wonderfully-bristled Turk's cap cactus
	Melocactus glaucescens (I)			Woolly waxy-stemmed Turk's-cap cactus
	Melocactus paucispinus (I)			Few-spined Turk's-cap cactus
	Obregonia denegrii (I)			Artichoke cactus
	Pachycereus militaris (I)			Grenadier's cap
	Pediocactus bradyi (I)			Brady's pincushion cactus

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Annex A	Annex B	Annex C	Common name
Pediocactus knowltonii (I)			Knowlton's cactus
Pediocactus paradinei (I)			Houserock valley cactus
Pediocactus peeblesianus (I)			Peebles's Navajo cactus
Pediocactus sileri (I)			Siler's pincushion cactus
Pelecyphora spp. (I)			Pine cane cactus
Sclerocactus blainei (I)			Blaine's fishhook cactus
Sclerocactus brevihamatus ssp. tobuschii (I)			Tobusch fishhook cactus
Sclerocactus brevispinus (I)			Pariette cactus
Sclerocactus cloverae (I)			New Mexico fishhook cactus
Sclerocactus erectocentrus (I)			Needle-spined pineapple cactus
Sclerocactus glaucus (I)			Colorado hookless cactus
Sclerocactus mariposensis (I)			Mariposa cactus
Sclerocactus mesae-verdae (I)			Mesa Verde cactus
Sclerocactus nyensis (I)			Tonopah fishook cactus
Sclerocactus papyracanthus (I)			Grama-grass cactus
Sclerocactus pubispinus (I)			Great-Basin fishhook cactus
Sclerocactus sileri (I)			Siler's fishhook cactus
Sclerocactus wetlandicus (I)			Unita Basin hookless cactus
Sclerocactus wrightiae (I)			Wright's fishhook cactus
Strombocactus spp. (I)			Peyote
Turbinicarpus spp. (I)			Turbinicarps
Uebelmannia spp. (I)			Uebelmann cacti

	Annex A	Annex B	Annex C	Common name
CARYOCARACEAE				Ajos
		Caryocar costaricense (II) #4		Ajillo
COMPOSITAE (ASTERAC- EAE)				Asters, daisies, costus
	Saussurea costus (I) (also known as S. lappa, Aucklandia lappa or A. costus)			Costus
CUCURBITACEAE				
		Zygosicyos pubescens (II) (also known as Xerosicyos pubescens)		Tobory
		Zygosicyos tripartitus (II)		Betoboky
CUPRESSACEAE				Cypresses
	Fitzroya cupressoides (I)			Alerce
	Pilgerodendron uviferum (I)			Pilgerodendron
		Widdringtonia whytei (II)		Mulanje cedar
CYATHEACEAE				Tree ferns
		Cyathea spp. (II) #4		Tree ferns
CYCADACEAE				Cycads
		CYCADACEAE spp. (II) (Except for the species included in Annex A) #4		Cycads
	Cycas beddomei (I)			Beddome's cycad

	Annex A	Annex B	Annex C	Common name
DICKSONIACEAE				Tree ferns
		Cibotium barometz (II) #4		
		Dicksonia spp. (II) (Only the populations of the Americas; no other populations are included in the Annexes to this Regulation. This includes the synonyms Dicksonia berteriana, D. externa, D. sellowiana and D. stuebelii) #4		Tree ferns
DIDIEREACEAE				Didiereas
		DIDIEREACEAE spp. (II) #4		Alluaudias, didiereas
DIOSCOREACEAE				Yams
		Dioscorea deltoidea (II) #4		Elephant's foot
DROSERACEAE				Sundews
		Dionaea muscipula (II) #4		Venus fly-trap
EBENACEAE				Ebonies
		Diospyros spp. (II) (Only the populations of Madagascar; no other population is included in the Annexes to this Regulation) #5		

	Annex A	Annex B	Annex C	Common name
EUPHORBIACEAE				Spurges
		Euphorbia spp. (II) #4 (Succulent species only except for:		Euphorbias
		(1) Euphorbia misera;		
		(2) artificially propagated specimens of cultivars of <i>Euphorbia trigona</i> ;		
		(3) artificially propagated specimens of <i>Euphorbia lactea</i> grafted on artificially propagated root stock of <i>Euphorbia neriifolia</i> , when they are:		
		— crested, or		
		— fan-shaped, or		
		— colour mutants;		
		(4) artificially propagated specimens of cultivars of <i>Euphorbia</i> 'Milii' when they are:		
		<ul> <li>readily recognisable as artificially propagated specimens, and</li> </ul>		
		— introduced into or (re- )exported from the Union in shipments of 100 or more plants;		
		which are not subject to this Regulation, and		
		(5) the species included in Annex A)		

	Annex A	Annex B	Annex C	Common name
	Euphorbia ambovombensis (I)			
	Euphorbia capsaintemariensis (I)			
	Euphorbia cremersii (I) (Includes the forma viridifolia and the var. rakotozafyi)			
	Euphorbia cylindrifolia (I) (Includes the ssp. tuberifera)			
	Euphorbia decaryi (I) (Includes the vars. ampanihyensis, robinsonii and sprirosticha)			
	Euphorbia francoisii (I)			
	Euphorbia handiensis (II)			
	Euphorbia lambii (II)			
	Euphorbia moratii (I) (Includes the vars. antsingiensis, bemarahensis and multi-flora)			
	Euphorbia parvicyathophora (I)			
	Euphorbia quartziticola (I)			
	Euphorbia stygiana (II)			
	Euphorbia tulearensis (I)			
FAGACEAE				Beeches, oaks
			Quercus mongolica (III Russian Federation) #5	Mongolian oak
FOUQUIERIACEAE				Ocotillos, boojums
		Fouquieria columnaris (II) #4		Boojum tree
	Fouquieria fasciculata (I)			Arbol del barril
	Fouquieria purpusii (I)			

	Annex A	Annex B	Annex C	Common name
GNETACEAE				Joint firs
			Gnetum montanum (III Nepal) #1	
JUGLANDACEAE				Walnuts, gavilan
		Oreomunnea pterocarpa (II) #4		Gavilàn
LAURACEAE				
		Aniba rosaeodora (II) (also known as A. duckei) #12		Brazilian rosewood
LEGUMINOSAE (FABACE-AE)				Legumes
		Dalbergia spp. (II) (Except for the species included in Annex A) #15		
	Dalbergia nigra (I)			Brazilian rosewood
			Dipteryx panamensis (III Costa Rica/ Nicaragua)	Almendro
		Guibourtia demeusei (II) #15		Red bubinga
		Guibourtia pellegriniana (II) #15		Rose bubinga, kevazingo
		Guibourtia tessmannii (II) #15		Rose bubinga, kevazingo
		Paubrasilia echinata (II) #10		Brazil wood
		Pericopsis elata (II) #17		Afrormosia
		Platymiscium parviflorum (II) #4		Quira macawood
		Pterocarpus erinaceus (II)		African rosewood, Senegalese rosewood, kosso
		Pterocarpus santalinus (II) #7		Red sandalwood
		Pterocarpus tinctorius (II) #6		African padauk
		Senna meridionalis (II)		Taraby

	Annex A	Annex B	Annex C	Common name
LILIACEAE				Lilies
		Aloe spp. (II) (Except for the species included in Annex A and Aloe vera, also known as Aloe barbadensis, which is not included in the Annexes) #4		Aloes
	Aloe albida (I)			
	Aloe albiflora (I)			
	Aloe alfredii (I)			
	Aloe bakeri (I)			
	Aloe bellatula (I)			
	Aloe calcairophila (I)			
	Aloe compressa (I) (Includes the vars. paucituberculata, rugosquamosa and schistophila)			
	Aloe delphinensis (I)			
	Aloe descoingsii (I)			
	Aloe fragilis (I)			
	Aloe haworthioides (I) (Includes the var. aurantiaca)			
	Aloe helenae (I)			
	Aloe laeta (I) (Includes the var. maniaensis)			
	Aloe parallelifolia (I)			
	Aloe parvula (I)			
	Aloe pillansii (I)			

	Annex A	Annex B	Annex C	Common name
	Aloe polyphylla (I)			
	Aloe rauhii (I)			
	Aloe suzannae (I)			
	Aloe versicolor (I)			
	Aloe vossii (I)			
MAGNOLIACEAE				Magnolias
			Magnolia liliifera var. obovata (III Nepal) #1	Safan
MALVACEAE				
		Adansonia grandidieri (II) #16		Grandidier's baobab
MELIACEAE				Mahoganies, cedars
		Cedrela spp. #6 (Populations of the Neotropics, this inclusion will enter into effect on 28 August 2020)		
			Cedrela fissilis (III Bolivia, Brazil) #5 (until 27 August 2020)	
			Cedrela lilloi (III Bolivia, Brazil) #5 (until 27 August 2020)	
			Cedrela odorata (III Bolivia/Brazil. In addition, the following countries have listed their national populations: Colombia, Guatemala and Peru) #5 (until 27 August 2020)	Spanish cedar
		Swietenia humilis (II) #4		Honduras mahogany
		Swietenia macrophylla (II) (Population of the Neotropics — includes Central and South America and the Caribbean) #6		Big-leaf mahogany
		Swietenia mahagoni (II) #5		Caribbean mahogany

	Annex A	Annex B	Annex C	Common name
NEPENTHACEAE				Pitcher plants (old-world)
		Nepenthes spp. (II) (Except for the species included in Annex A) #4		Tropical pitcher plants
	Nepenthes khasiana (I)			Indian pitcher plant
	Nepenthes rajah (I)			Giant tropical pitcher plant
OLEACEAE				Olives, ashes
			Fraxinus mandshurica (III Russian Federation) #5	Manchurian ash
ORCHIDACEAE				Orchids
		ORCHIDACEAE spp. (II) (Except for the species included in Annex A) (7) #4		Orchids
	For all of the following Annex A orchid species, seedling or tissue cultures are not subject to this Regulation, when:			
	<ul> <li>they are obtained in vitro, in solid or liquid media, and</li> </ul>			
	— meet the definition of 'artificially propagated' in accordance with Article 56 of Commission Regulation (EC) No 865/2006 (8), and			
	<ul> <li>when introduced into or (re- )exported from the Union are trans- ported in sterile containers</li> </ul>			
	Aerangis ellisii (I)			
	Cattleya jongheana (I)			
	Cattleya lobata (I)			
	Cephalanthera cucullata (II)			Hooded helleborine

	Annex A	Annex B	Annex C	Common name
	Cypripedium calceolus (II)			Lady's slipper orchid
	Dendrobium cruentum (I)			
	Goodyera macrophylla (II)			Madeiran lady's-tresses
	Liparis loeselii (II)			Fen orchid
	Mexipedium xerophyticum (I)			
	Ophrys argolica (II)			Eyed bee orchid
	Ophrys lunulata (II)			Crescent ophrys
	Orchis scopulorum (II)			Madeiran orchid
	Paphiopedilum spp. (I)			Asian slipper orchids
	Peristeria elata (I)			Holy ghost orchid
	Phragmipedium spp. (I)			South American slipper orchids
	Renanthera imschootiana (I)			Red vanda
	Spiranthes aestivalis (II)			Summer lady's-tresses
OROBANCHACEAE				Broomrapes
		Cistanche deserticola (II) #4		Desert cistanche
PALMAE (ARECACEAE)				Palms
		Beccariophoenix madagascariensis (II) #4		Manarano
		Dypsis decaryi (II) #4		Triangle palm
	Dypsis decipiens (I)			Butterfly palm
		Lemurophoenix halleuxii (II)		Hovitra varimena
			Lodoicea maldivica (III Seychelles) #13	Coco de Mer
		Marojejya darianii (II)		Ravimbe
		Ravenea louvelii (II)		Lakamarefo
		Ravenea rivularis (II)		Gora

	Annex A	Annex B	Annex C	Common name
		Satranala decussilvae (II)		Satranabe
		Voanioala gerardii (II)		Voanioala
PAPAVERACEAE				Poppies
			Meconopsis regia (III Nepal) #1	Himalayan poppy
PASSIFLORACEAE				
		Adenia firingalavensis (II)		Bottle liana
		Adenia olaboensis (II)		Vahisasety
		Adenia subsessilifolia (II)		Katakata
PEDALIACEAE				Pedalium family
		Uncarina grandidieri (II)		Uncarina
		Uncarina stellulifera (II)		Uncarina
PINACEAE				Pine family
	Abies guatemalensis (I)			Guatemalan fir
			Pinus koraiensis (III Russian Federation) #5	
PODOCARPACEAE				Podocarps
			Podocarpus neriifolius (III Nepal) #1	Yellow wood
	Podocarpus parlatorei (I)			Parlatore's podocarp
PORTULACACEAE				Portulacas, purslanes
		Anacampseros spp. (II) #4		Purslanes
		Avonia spp. (II) #4		
		Lewisia serrata (II) #4		Saw-toothed lewisia
PRIMULACEAE				Primulas, cyclamens
		Cyclamen spp. (II) (9) #4		Cyclamens

	Annex A	Annex B	Annex C	Common name
RANUNCULACEAE				Buttercups
		Adonis vernalis (II) #2		Yellow adonis
		Hydrastis canadensis (II) #8		Golden seal
ROSACEAE				Roses, cherries
		Prunus africana (II) #4		African cherry
RUBIACEAE				Ayugue
	Balmea stormiae (I)			Ayugue
SANTALACEAE				
		Osyris lanceolata (II) (Only the populations of Burundi, Ethiopia, Kenya, Rwanda, Uganda and the United Republic of Tanzania; no other population is included in the Annexes) #2		East African sandalwood
SARRACENIACEAE				Pitcher plants (new world)
		Sarracenia spp. (II) (Except for the species included in Annex A) #4		Pitcher plants
	Sarracenia oreophila (I)			Green pitcher plant
	Sarracenia rubra ssp. alabamensis (I)			Alabama canebrake pitcher plant
	Sarracenia rubra ssp. jonesii (I)			Mountain sweet pitcher plant
SCROPHULARIACEAE				Figworts
		Picrorhiza kurrooa (II) (excludes Picrorhiza scrophulariiflora) #2		Indian gentian

	Annex A	Annex B	Annex C	Common name
STANGERIACEAE				Stangerias (cycads)
		Bowenia spp. (II) #4		Cycads
	Stangeria eriopus (I)			Stangeria
TAXACEAE				Yews
		Taxus chinensis and infraspecific taxa of this species (II) #2		Chinese yew
		Taxus cuspidata and infraspecific taxa of this species (II) (10) #2		Japanese yew
		Taxus fuana and infraspecific taxa of this species (II) #2		Tibetan yew
		Taxus sumatrana and infraspecific taxa of this species (II) #2		Sumatran yew
		Taxus wallichiana (II) #2		Himalayan yew
THYMELAEACEAE (AQUI- LARIACEAE)				Agarwood, ramin
		Aquilaria spp. (II) #14		Agarwood
		Gonystylus spp. (II) #4		Ramin
		Gyrinops spp. (II) #14		Agarwood
TROCHODENDRACEAE (TETRACENTRACEAE)				Tetracentrons
			Tetracentron sinense (III Nepal) #1	
VALERIANACEAE				Valerians
		Nardostachys grandiflora (II) #2		

<sup>(\*)</sup> This taxon is referred to as Ovis ammon in Annex XIII to Commission Regulation (EC) No 865/2006.

<sup>►</sup> C4 (¹) For the exclusive purpose of allowing international trade in fibre from vicuñas (*Vicugna vicugna*) and their derivative products, only if the fibre comes from the shearing of live vicuñas. Trade in products derived from the fibre may only take place in accordance with the following provisions:

<sup>(</sup>a) Any person or entity processing vicuña fibre to manufacture cloth and garments must request authorization from the relevant authorities of the country of origin [Countries of origin: The countries where the species occurs, that is, Argentina, Bolivia, Chile, Ecuador and Peru] to use the 'vicuña country of origin' wording, mark or logo adopted by the range States of the species that are signatories to the Convention for the Conservation and Management of the Vicuña.

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- (b) Marketed cloth or garments must be marked or identified in accordance with the following provisions:
  - (i) For international trade in cloth made from live-sheared vicuña fibre, whether the cloth was produced within or outside of the range States of the species, the wording, mark or logo must be used so that the country of origin can be identified. The VICUÑA [COUNTRY OF ORIGIN] wording, mark or logo has the format as detailed below:



This wording, mark or logo must appear on the reverse side of the cloth. In addition, the selvages of the cloth must bear the words VICUÑA [COUNTRY OF ORIGIN].

- (ii) For international trade in garments made from live-sheared vicuña fibre, whether the garments were produced within or outside of the range States of the species, the wording, mark or logo indicated in paragraph (b)(i) must be used. This wording, mark or logo must appear on a label in the garment itself. If the garments are produced outside of the country of origin, the name of the country where the garment was produced should also be indicated, in addition to the wording, mark or logo referred to in paragraph (b)(i).
- (c) For international trade in handicraft products made from live-sheared vicuña fibre produced within the range States of the species, the VICUÑA [COUNTRY OF ORIGIN] ARTESANÍA wording, mark or logo must be used as detailed below:

# CICURA[PAÍS DE ORIGEN]- ARTESANÍA

- (d) If live-sheared vicuña fibre from various countries of origin is used for the production of cloth and garments, the wording, mark or logo of each of the countries of origin of the fibre must be indicated, as detailed in paragraphs (b)(i) and (ii).
- (e) All other specimens shall be deemed to be specimens of species listed in Appendix I and the trade in them shall be regulated accordingly.
- (2) All species are listed in Appendix II to the Convention except Balaena mysticetus, Eubalaena spp., Balaenoptera acutorostrata (except population of West Greenland), Balaenoptera bonaerensis, Balaenoptera borealis, Balaenoptera edeni, Balaenoptera musculus, Balaenoptera omurai, Balaenoptera physalus, Megaptera novaeangliae, Orcaella brevirostris, Orcaella heinsohni, Sotalia spp., Sousa spp., Eschrichitus robustus, Lipotes vexillifer, Caperea marginata, Neophocaena asiaeorientalis, Neophocaena phocaenoides, Phocoena sinus, Physeter macrocephalus, Platanista spp., Berardius spp., Hyperoodon spp., which are listed in Appendix I. Specimens of the species listed in Appendix II to the Convention, including products and derivatives other than meat products for commercial purposes, taken by the people of Greenland under licence granted by the competent authority concerned, shall be treated as belonging to Annex B. A zero annual export quota is established for live specimens from the Black Sea population of Tursiops truncatus removed from the wild and traded for primarily commercial purposes.
- (3) Populations of Botswana, Namibia, South Africa and Zimbabwe (listed in Annex B):

For the exclusive purpose of allowing: (a) trade in hunting trophies for non-commercial purposes; (b) trade in live animals to appropriate and acceptable destinations as defined in Resolution Conf. 11.20 (Rev. CoP18) for Botswana and Zimbabwe and for *in situ* conservation programmes for Namibia and South Africa; (c) trade in hides; (d) trade in hides; (e) trade in leather goods for commercial purposes for Botswana, Namibia and South Africa and for non-commercial purposes for Dambbue; (f) trade in individually marked and certified Ekipas incorporated in finished jewellery for non-commercial purposes for Namibia and ivory carvings for non-commercial purposes for Zimbabwe; (g) trade in registered raw ivory (for Botswana, Namibia, South Africa and Zimbabwe whole tusks and pieces) subject to the following: (i) only registered government-owned stocks, originating in the State (excluding seized ivory and ivory of unknown origin); (ii) only to trading partners that have been verified by the Secretariat, in consultation with the Standing Committee, to have sufficient national legislation and domestic trade controls to ensure that the imported ivory will not be re-exported and will be managed in accordance with all requirements of Resolution Conf. 10.10 (Rev. CoP18) concerning domestic manufacturing and trade; (iii) not before the Secretariat has verified the prospective importing countries and the registered government-owned stocks; (iv) raw ivory pursuant to the conditional sale of registered government-owned vory stocks agreed at CoP12 which are 20,000 kg (Botswana), 10,000 kg (Namibia) and 30,000 kg (South Africa); (v) in addition to the quantities agreed at CoP12, government-owned ivory from Botswana, Namibia, South Africa and Zimbabwe registered by 31 January 2007 and verified by the Secretariat may be traded and despatched, with the ivory in paragraph (g)(iv) above in a single sale per destination under strict supervision of the Secretariat; (vi) the proceeds of the trade are used exclusively for elephant conserv

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(4) The following are not subject to the provisions of this Regulation:

Fossils

Coral sand, that is to say, material consisting entirely or in part of finely crushed fragments of dead coral no larger than 2 mm in diameter, not identifiable to the level of genus, and which may also contain, amongst other things, the remains of Foraminifera, mollusc and crustacean shell, and coralline algae;

Coral fragments (including gravel and rubble), that is to say, unconsolidated fragments of broken finger-like dead coral and other material between 2 and 30 mm measured in any direction, not identifiable to the level of genus.

- (5) Trade of specimens with source code A is allowed only if specimens traded possess cataphylls.
- (6) Artificially propagated specimens of the following hybrids and/or cultivars are not subject to the provisions of this Regulation:

Hatiora x graeseri

Schlumbergera x buckleyi

Schlumbergera russelliana x Schlumbergera truncata

Schlumbergera orssichiana x Schlumbergera truncata

Schlumbergera opuntioides x Schlumbergera truncata

Schlumbergera truncata (cultivars)

Cactaceae spp. colour mutants grafted on the following grafting stocks: Harrisia 'Jusbertii', Hylocereus trigonus or Hylocereus undatus

Opuntia microdasys (cultivars)

- (7) Artificially propagated hybrids of Cymbidium, Dendrobium, Phalaenopsis and Vanda are not subject to the provisions of this Regulation, when specimens are readily recognizable as artificially propagated and do not show any signs of having been collected in the wild such as mechanical damage or strong dehydration resulting from collection, irregular growth and heterogeneous size and shape within a taxon and shipment, algae or other epiphyllous organisms adhering to leaves, or damage by insects or other pests; and
  - (a) when shipped in non flowering state, the specimens must be traded in shipments consisting of individual containers (such as cartons, boxes, crates or individual shelves of CC-containers) each containing 20 or more plants of the same hybrid; the plants within each container must exhibit a high degree of uniformity and healthiness; and the shipment must be accompanied by documentation, such as an invoice, which clearly states the number of plants of each hybrid; or
  - (b) when shipped in flowering state, with at least one fully open flower per specimen, no minimum number of specimens per shipment is required but specimens must be professionally processed for commercial retail sale, e.g. labelled with printed labels or packaged with printed packages indicating the name of the hybrid and the country of final processing. This should be clearly visible and allow easy verification.

Plants not clearly qualifying for the exemption must be accompanied by appropriate CITES documents.

- (8) Commission Regulation (EC) No 865/2006 of 4 May 2006 laying down detailed rules concerning the implementation of Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein (OJ L 166, 19.6.2006, p. 1).
- (9) Artificially propagated specimens of cultivars of Cyclamen persicum are not subject to the provisions of this Regulation. However, the exemption does not apply to such specimens traded as dormant tubers.
- (10) Artificially propagated hybrids and cultivars of *Taxus cuspidata*, live, in pots or other small containers, each consignment being accompanied by a label or document stating the name of the taxon or taxa and the text 'artificially propagated', are not subject to the provisions of this Regulation.

FAUNA				
CHORDATA (CHORDATES)				
MAMMALIA		Mammals		
CARNIVORA				
Canidae		Dogs, foxes, wolves		
	Vulpes vulpes griffithi (III India) §1	Red fox		
	Vulpes vulpes montana (III India) §1	Red fox		
	Vulpes vulpes pusilla (III India) §1	Red fox		
Mustelidae		Badgers, martens, weasels etc.		
	Mustela altaica (III India) §1	Mountain weasel		
	Mustela erminea ferghanae (III India) §1	Stoat		
	Mustela kathiah (III India) §1	Yellow-bellied weasel		
	Mustela sibirica (III India) §1	Siberian weasel		
DIPROTODONTIA				
Macropodidae		Kangaroos, wallabies		
	Dendrolagus dorianus	Doria's tree-kangaroo		
	Dendrolagus goodfellowi	Goodfellow's tree-kangaroo		
	Dendrolagus matschiei	Huon tree-kangaroo		
	Dendrolagus pulcherrimus	Golden-mantled tree-kangaroo		
	Dendrolagus stellarum	Seri's tree-kangaroo		

Annex D

Common name

	Annex D	Common name
AVES		Birds
ANSERIFORMES		
Anatidae		Ducks, geese, swans
	Anas melleri	Meller's duck
COLUMBIFORMES		
Columbidae		Doves, pigeons
	Columba oenops	Peruvian pigeon
	Didunculus strigirostris	Tooth-billed pigeon
	Ducula pickeringii	Grey imperial-pigeon
	Gallicolumba crinigera	Mindanao bleeding-heart
	Ptilinopus marchei	Flame-breasted fruit-dove
	Turacoena modesta	Black cuckoo-dove
GALLIFORMES		
Cracidae		Chachalacas, currassows, guans
	Crax alector	Black curassow
	Pauxi unicornis	Horned curassow
	Penelope pileata	White-crested guan
Megapodiidae		Megapodes, scrubfowl
	Eulipoa wallacei	Moluccan scrubfowl
Phasianidae		Grouse, guineafowl, partridges, pheasants, tragopans

	Annex D	Common name
	Arborophila gingica	White-necklaced partridge
	Lophura bulweri	Bulwer's pheasant
	Lophura diardi	Siamese fireback
	Lophura inornata	Salvadori's pheasant
PASSERIFORMES		
Bombycillidae		Waxwings
	Bombycilla japonica	Japanese waxwing
Corvidae		Crows, magpies, jays
	Cyanocorax caeruleus	Azure jay
	Cyanocorax dickeyi	Tufted jay
Cotingidae		Cotingas
	Procnias nudicollis	Bare-throated bellbird
Emberizidae		Cardinals, seedeaters, tanagers
	Dacnis nigripes	Black-legged dacnis
	Sporophila falcirostris	Temminck's seedeater
	Sporophila frontalis	Buffy-throated seedeater
	Sporophila hypochroma	Grey-and-chestnut seedeater
	Sporophila palustris	Marsh seedeater

	Annex D	Common name
Estrildidae		Mannikins, waxbills
	Amandava amandava	Red avadavat
	Cryptospiza reichenovii	Red-faced crimson-wing
	Erythrura coloria	Red-eared parrotfinch
	Erythrura viridifacies	Green-faced parrotfinch
	Estrilda quartinia (Frequently traded as Estrilda melanotis)	Yellow-bellied waxbill
	Hypargos niveoguttatus	Peters's twinspot
	Lonchura griseicapilla	Grey-headed silverbill
	Lonchura punctulata	Scaly-breasted munia
	Lonchura stygia	Black munia
Fringillidae		Finches
	Carduelis ambigua	Black-headed greenfinch
	Carduelis atrata	Black siskin
	Kozlowia roborowskii	Tibetan rosefinch
	Pyrrhula erythaca	Grey-headed bullfinch
	Serinus canicollis	Cape canary
	Serinus citrinelloides hypostictus (Frequently traded as Serinus citrinelloides)	East African citril
Icteridae		New-world blackbirds
	Sturnella militaris	Pampas meadowlark

	Annex D	Common name
Muscicapidae		Old-world flycatchers, thrushes
	Cochoa azurea	Javan cochoa
	Cochoa purpurea	Purple cochoa
	Garrulax formosus	Red-winged laughingthrush
	Garrulax galbanus	Yellow-throated laughingthrush
	Garrulax milnei	Red-tailed laughing thrush
	Niltava davidi	Fujian niltava
	Stachyris whiteheadi	Chestnut-faced babbler
	Swynnertonia swynnertoni (Also referenced as Pogonicichla swynnertoni)	Swynnerton's robin
	Turdus dissimilis	Black-breasted thrush
Pittidae		Pittas
	Pitta nipalensis	Blue-naped pitta
	Pitta steerii	Azure-breasted pitta
Sittidae		Nuthatches
	Sitta magna	Giant nuthatch
	Sitta yunnanensis	Yunnan nuthatch
Sturnidae		Mynas, starlings
	Lamprotornis regius	Golden-breasted starling
	Mino dumontii	Yellow-faced myna
	Sturnus erythropygius	White-headed starling

	Annex D	Common name
REPTILIA		Reptiles
SAURIA		
Agamidae		
	Physignathus cocincinus	Chinese water dragon
Gekkonidae		Geckos
	Rhacodactylus auriculatus	New Caledonia bumpy gecko
	Rhacodactylus ciliatus	Guichenot's giant gecko
	Rhacodactylus leachianus	New Caledonia giant gecko
	Teratoscincus microlepis	Small-scaled wonder gecko
	Teratoscincus scincus	Common wonder gecko
Gerrhosauridae		Spiny-tailed lizards
	Zonosaurus karsteni	Karsten's girdled lizard
	Zonosaurus quadrilineatus	Four-lined girdled lizard
Scincidae		Skinks
	Tribolonotus gracilis	Crocodile skink
	Tribolonotus novaeguineae	New Guinea helmet skink
SERPENTES		
Colubridae		Typical snakes, water snakes, whip snakes
	Elaphe carinata §1	Taiwan stink snake
	Elaphe radiata §1	Radiated rat snake

	Annex D	Common name
	Elaphe taeniura §1	Taiwan beauty snake
	Enhydris bocourti §1	Bocourt's water snake
	Homalopsis buccata §1	Masked water snake
	Langaha nasuta	Northern leafnose snake
	Leioheterodon madagascariensis	Madagascar menarana snake
	Ptyas korros §1	Indochinese rat snake
	Rhabdophis subminiatus §1	Redneck keelback
Hydrophiidae		Sea snakes
	Lapemis curtus (Includes Lapemis hardwickii) §1	Shaw's sea snake
Viperidae		Vipers
	Calloselasma rhodostoma §1	Malayan pit viper
AMPHIBIA		
ANURA		Frogs and toads
Dicroglossidae		Frogs
	Limnonectes macrodon	Fanged River Frog or Javan Giant Frog
Hylidae		Tree frogs
	Phyllomedusa sauvagii	Waxy monkey tree frog
Leptodactylidae		Neotropical frogs
	Leptodactylus laticeps	Red spotted burrow frog

	Annex D	Common name
Ranidae		Frogs
	Pelophylax shqiperica	Albanian pool frog
CAUDATA		
Hynobiidae		Asiatic salamanders
	Ranodon sibiricus	Semirechensk salamander/Central Asian salamander/Siberian salamander
Plethodontidae		Lungless salamanders
	Bolitoglossa dofleini	Giant palm salamander
Salamandridae		Newts and salamanders
	Cynops ensicauda	Sword-tailed newt
	Echinotriton andersoni	Anderson's salamander
	Laotriton laoensis	Paddletail newt
	Liangshantriton taliangensis	
ACTINOPTERYGII		Fish
PERCIFORMES		
Apogonidae		
	Pterapogon kauderni	Banggai cardinalfish
	ARTHROPODA (ARTHROPO	DDS)
INSECTA		Insects
LEPIDOPTERA		Butterflies

	Annex D	Common name
Papilionidae		Birdwing and swallow-tail butterflies
	Baronia brevicornis	Short-horned baronia
	Papilio grosesmithi	
	Papilio maraho	Broad-tailed swallowtail
	MOLLUSCA (MOLLUSCS)	
GASTROPODA		
Haliotidae		
	Haliotis midae	Midas ear abalone
	FLORA	
AGAVACEAE		Agaves
	Calibanus hookeri	
	Dasylirion longissimum	Beargrass
ARACEAE		Arums
	Arisaema dracontium	Green dragon
	Arisaema erubescens	
	Arisaema galeatum	
	Arisaema nepenthoides	
	Arisaema sikokianum	
	Arisaema thunbergii var. urashima	
	Arisaema tortuosum	
	Biarum davisii ssp. marmarisense	
	Biarum ditschianum	

	Annex D	Common name
COMPOSITAE (ASTERACEAE)		Asters, daisies, costus
	Arnica montana §3	Mountain tobacco
	Othonna cacalioides	
	Othonna clavifolia	
	Othonna hallii	
	Othonna herrei	
	Othonna lepidocaulis	
	Othonna retrorsa	
ERICACEAE		Heathers, rhododendrons
	Arctostaphylos uva-ursi §3	Bearberry
GENTIANACEAE		Gentians
	Gentiana lutea §3	Great yellow gentian
LILIACEAE		Wakerobins
	Trillium pusillum	Dwarf wakerobin
	Trillium rugelii	Ill-scented wakerobin
	Trillium sessile	Sessile-flowered wakerobin wood-lily
LYCOPODIACEAE		Clubmosses
	Lycopodium clavatum §3	Stagshorn clubmoss

	Annex D	Common name
MELIACEAE		Mahoganies, cedars
	Cedrela montana §4 (until 27 August 2020)	
	Cedrela oaxacensis §4 (until 27 August 2020)	
	Cedrela salvadorensis §4 (until 27 August 2020)	
	Cedrela tonduzii §4 (until 27 August 2020)	
MENYANTHACEAE		Bogbeans
	Menyanthes trifoliata §3	Bogbean
PARMELIACEAE		Parmelioid lichens
	Cetraria islandica §3	Icelandic moss
PASSIFLORACEAE		Desert roses
	Adenia glauca	Desert rose
	Adenia pechuelli	Desert rose
PEDALIACEAE		Sesame, devil's claw
	Harpagophytum spp. §3	Devil's claw
PORTULACACEAE		Portulas, purslanes
	Ceraria carrissoana	
	Ceraria fruticulosa	
SELAGINELLACEAE		Clubmosses, spikemosses
	Selaginella lepidophylla	Rose of Jericho