This document is meant purely as a documentation tool and the institutions do not assume any liability for its contents

COUNCIL REGULATION (EC) No 338/97

of 9 December 1996

on the protection of species of wild fauna and flora by regulating trade therein

(OJ L 61, 3.3.1997, p. 1)

Amended by:

<u>B</u>

Official Journal

		No	page	date
► <u>M1</u>	Commission Regulation (EC) No 938/97 of 26 May 1997	L 140	1	30.5.1997
► <u>M2</u>	Commission Regulation (EC) No 2307/97 of 18 November 1997	L 325	1	27.11.1997
►M3	Commission Regulation (EC) No 2214/98 of 15 October 1998	L 279	3	16.10.1998
► <u>M4</u>	Commission Regulation (EC) No 1476/1999 of 6 July 1999	L 171	5	7.7.1999
►M5	Commission Regulation (EC) No 2724/2000 of 30 November 2000	L 320	1	18.12.2000
►M6	Commission Regulation (EC) No 1579/2001 of 1 August 2001	L 209	14	2.8.2001
► <u>M7</u>	Commission Regulation (EC) No 2476/2001 of 17 December 2001	L 334	3	18.12.2001
<u>M8</u>	Commission Regulation (EC) No 1497/2003 of 18 August 2003	L 215	3	27.8.2003
<u>M9</u>	Regulation (EC) No 1882/2003 of the European Parliament and of the Council of 29 September 2003	L 284	1	31.10.2003
► <u>M10</u>	Commission Regulation (EC) No 834/2004 of 28 April 2004	L 127	40	29.4.2004
► <u>M11</u>	Commission Regulation (EC) No 1332/2005 of 9 August 2005	L 215	1	19.8.2005
► <u>M12</u>	Commission Regulation (EC) No 318/2008 of 31 March 2008	L 95	3	8.4.2008
► <u>M13</u>	Commission Regulation (EC) No 407/2009 of 14 May 2009	L 123	3	19.5.2009
► <u>M14</u>	Regulation (EC) No 398/2009 of the European Parliament and of the Council of 23 April 2009	L 126	5	21.5.2009

Corrected by:

- ►<u>C1</u> Corrigendum, OJ L 298, 1.11.1997, p. 70 (338/97)
- ►<u>C2</u> Corrigendum, OJ L 139, 5.6.2009, p. 35 (407/2009)

COUNCIL REGULATION (EC) No 338/97

of 9 December 1996

on the protection of species of wild fauna and flora by regulating trade therein

THE COUNCIL OF THE EUROPEAN UNION,

Having regard to the Treaty establishing the European Community, and in particular Article 130s (1) thereof,

Having regard to the proposal from the Commission (1),

Having regard to the opinion of the Economic and Social Committee (2),

Acting in accordance with the procedure laid down in Article 189c of the Treaty (3),

- (1) Whereas Regulation (EEC) No 3626/82 (4) applies the Convention on International Trade in Endangered Species of Wild Fauna and Flora in the Community with effect from 1 January 1984; whereas the purpose of the Convention is to protect endangered species of fauna and flora through controls on international trade in specimens of those species;
- (2) Whereas, in order to improve the protection of species of wild fauna and flora which are threatened by trade or likely to be so threatened, Regulation (EEC) No 3626/82 must be replaced by a Regulation taking account of the scientific knowledge acquired since its adoption and the current structure of trade; whereas, moreover, the abolition of controls at internal borders resulting from the Single Market necessitates the adoption of stricter trade control measures at the Community's external borders, with documents and goods being checked at the customs office at the border where they are introduced;
- (3) Whereas the provisions of this Regulation do not prejudice any stricter measures which may be taken or maintained by Member States, in compliance with the Treaty, in particular with regard to the holding of specimens of species covered by this Regulation;
- (4) Whereas it is necessary to lay down objective criteria for the inclusion of species of wild fauna and flora in the Annexes to this Regulation;
- (5) Whereas the implementation of this Regulation necessitates the application of common conditions for the issue, use and presentation of documents relating to authorization of the introduction into the Community and the export or re-export from the Community of specimens of the species covered by this Regulation; whereas it is necessary to lay down specific provisions relating to the transit of specimens through the Community;
- (6) Whereas it is for a management authority of the Member State of destination, assisted by the scientific authority of that Member State and, where appropriate, taking into account any opinion of the Scientific Review Group, to decide on the requests for introduction of specimens into the Community;

⁽¹⁾ OJ No C 26, 3.2.1992, p. 1, and OJ No C 131, 12.5.1994, p. 1.

⁽²⁾ OJ No C 223, 31.8.1992, p. 19.

⁽³⁾ Opinion of the European Parliament of 15 December 1995 (OJ No C 17, 22.1.1996, p. 430). Common position of the Council of 26 February 1996 (OJ No C 196, 6.7.1996, p. 58) and Decision of the European Parliament of 18 September 1996 (OJ No C 320, 28. 10. 1996).

⁽⁴⁾ OJ No L 384, 31.12.1982, p. 1. Regulation as last amended by Commission Regulation (EC) No 558/95 (OJ No L 57, 15.3.1995, p. 1).

- (7) Whereas it is necessary to supplement the provisions on re-export with a consultation procedure, in order to limit the risk of infringement;
- (8) Whereas, in order to guarantee effective protection of species of wild fauna and flora, additional restrictions may be imposed on the introduction of specimens into, and the export thereof from, the Community; whereas, with regard to live specimens, these restrictions may be supplemented by restrictions at Community level on the holding or movement of such specimens within the Community;
- (9) Whereas it is necessary to lay down specific provisions applicable to captive-born and bred, or artificially propagated specimens, to specimens which are personal or household effects, and to noncommercial loans, donations or exchanges between registered scientists and scientific institutions;
- (10) Whereas there is a need, in order to ensure the broadest possible protection for species covered by this Regulation, to lay down provisions for controlling trade and movement of specimens within the Community, and the conditions for housing specimens; whereas the certificates issued under this Regulation, which contribute to controlling these activities, must be governed by common rules on their issue, validity and use;
- (11) Whereas measures should be taken to minimize the adverse effects on live specimens of transport to their destination, from or within the Community;
- (12) Whereas, to ensure effective controls and to facilitate customs procedures, customs offices should be designated, with trained personnel responsible for carrying out the necessary formalities and corresponding checks where specimens are introduced into the Community, in order to assign them a customs-approved treatment or use within the meaning of Council Regulation (EEC) No 2913/92 of 12 October 1992 establishing the Community Customs Code (¹), or where they are exported or re-exported from the Community; whereas there should also be facilities guaranteeing that live specimens are adequately housed and cared for;
- (13) Whereas the implementation of this Regulation also calls for the designation of management and scientific authorities by the Member States;
- (14) Whereas informing the public and making them aware of the provisions of this Regulation, particularly at border crossing points, is likely to encourage compliance with these provisions;
- (15) Whereas, in order to ensure effective enforcement of this Regulation, Member States should closely monitor compliance with its provisions and, to that end, cooperate closely between themselves and with the Commission; whereas this requires the communication of information relating to the implementation of this Regulation;
- (16) Whereas the monitoring of levels of trade in the species of wild fauna and flora covered by this Regulation is of crucial importance for assessing the effects of trade on the conservation status of species; whereas detailed annual reports should be drawn up in a common format;
- (17) Whereas, in order to guarantee compliance with this Regulation, it is important that Member States impose sanctions for infringements in a manner which is both sufficient and appropriate to the nature and gravity of the infringement;

OJ No L 302, 19.10.1992, p. 1. Regulation as last amended by the 1994 Act of Accession.

- (18) Whereas it is essential to lay down a Community procedure enabling the implementing provisions and amendments to the Annexes of this Regulation to be adopted within a suitable period; whereas a Committee must be set up to permit close and effective cooperation between the Member States and the Commission in this field;
- (19) Whereas the multitude of biological and ecological aspects to be considered in the implementation of this Regulation requires the setting up of a Scientific Review Group, whose opinions will be forwarded by the Commission to the Committee and the management bodies of the Member States, to assist them in making their decisions,

HAS ADOPTED THIS REGULATION:

Article 1

Object

The object of this Regulation is to protect species of wild fauna and flora and to guarantee their conservation by regulating trade therein in accordance with the following Articles.

This Regulation shall apply in compliance with the objectives, principles and provisions of the Convention defined in Article 2.

Article 2

Definitions

For the purposes of this Regulation:

- (a) 'Committee' shall mean the Committee on Trade in Wild Fauna and Flora, established under Article 18;
- (b) 'Convention' shall mean the Convention on International Trade in Endangered Species of Wild Fauna and Flora (Cites);
- (c) 'country of origin' shall mean the country in which a specimen was taken from the wild, captive-bred or artificially propagated;
- (d) 'import notification' shall mean the notification given by the importer or his agent or representative, at the time of the introduction into the Community of a specimen of a species included in Annexes C or D, on a form prescribed by the Commission in accordance with the procedure laid down in Article 18;
- (e) 'introduction from the sea' shall mean the introduction into the Community of any specimen which was taken in, and is being introduced directly from, the marine environment not under the jurisdiction of any State, including the air-space above the sea and the sea-bed and subsoil beneath the sea;
- (f) 'issuance' shall mean the completion of all procedures involved in preparing and validating a permit or certificate and its delivery to the applicant;
- (g) 'management authority' shall mean a national administrative authority designated, in the case of a Member State, in accordance with Article 13(1)(a) or, in the case of a third country party to the Convention, in accordance with Article IX of the Convention;
- (h) 'Member State of destination' shall mean the Member State of destination mentioned in the document used to export or reexport a specimen; in the event of introduction from the sea, it shall mean the Member State within whose jurisdiction the place of destination of a specimen lies;

- (i) 'offering for sale' shall mean offering for sale and any action that may reasonably be construed as such, including advertising or causing to be advertised for sale and invitation to treat;
- (j) 'personal or household effects' shall mean dead specimens, parts and derivatives thereof, that are the belongings of a private individual and that form, or are intended to form, part of his normal goods and chattels;
- (k) 'place of destination' shall mean the place at which at the time of introduction into the Community, it is intended that specimens will normally be kept; in the case of live specimens, this shall be the first place where specimens are intended to be kept following any period of quarantine or other confinement for the purposes of sanitary checks and controls;
- 'population' shall mean a biologically or geographically distinct total number of individuals;
- (m) 'primarily commercial purposes' shall mean all purposes the noncommercial aspects of which do not clearly predominate;
- (n) 're-export from the Community' shall mean export from the Community of any specimen that has previously been introduced;
- (o) 'reintroduction into the Community' shall mean introduction into the Community of any specimen that has previously been exported or re-exported;
- (p) 'sale' shall mean any form of sale. For the purposes of this Regulation, hire, barter or exchange shall be regarded as sale; cognate expressions shall be similarly construed;
- (q) 'scientific authority' shall mean a scientific authority designated, in the case of a Member State, in accordance with Article 13(1)(b) or, in the case of a third country party to the Convention, in accordance with Article IX of the Convention;
- (r) 'Scientific Review Group' shall mean the consultative body established under Article 17;
- (s) 'species' shall mean a species, subspecies or population thereof;
- (t) 'specimen' shall mean any animal or plant, whether alive or dead, of the species listed in Annexes A to D, any part or derivative thereof, whether or not contained in other goods, as well as any other goods which appear from an accompanying document, the packaging or a mark or label, or from any other circumstances, to be or to contain parts or derivatives of animals or plants of those species, unless such parts or derivatives are specifically exempted from the provisions of this Regulation or from the provisions relating to the Annex in which the species concerned is listed by means of an indication to that effect in the Annexes concerned.

A specimen will be considered to be a specimen of a species listed in Annexes A to D if it is, or is part of or derived from, an animal or plant at least one of whose 'parents' is of a species so listed. In cases where the 'parents' of such an animal or plant are of species listed in different Annexes, or of species only one of which is listed, the provisions of the more restrictive Annex shall apply. However, in the case of specimens of hybrid plants, if one of the 'parents' is of a species listed in Annex A, the provisions of the more restrictive Annex shall apply only if that species is annotated to that effect in the Annex;

(u) 'trade' shall mean the introduction into the Community, including introduction from the sea, and the export and re-export therefrom, as well as the use, movement and transfer of possession within the Community, including within a Member State, of specimens subject to the provisions of this Regulation;

▼B

- (v) 'transit' shall mean the transport of specimens between two points outside the Community through the territory of the Community which are shipped to a named consignee and during which any interruption in the movement arises only from the arrangements necessitated by this form of traffic;
- (w) 'worked specimens that were acquired more than 50 years previously' shall mean specimens that were significantly altered from their natural raw state for jewellery, adornment, art, utility, or musical instruments, more than 50 years before the entry into force of this Regulation and that have been, to the satisfaction of the management authority of the Member State concerned, acquired in such conditions. Such specimens shall be considered as worked only if they are clearly in one of the aforementioned categories and require no further carving, crafting or manufacture to effect their purpose;
- (x) 'checks at the time of introduction, export, re-export and transit' shall mean documentary checks on the certificates, permits and notifications provided for in this Regulation and in cases where Community provisions so provide or in other cases by representative sampling of the consignments examination of the specimens, where appropriate accompanied by the taking of samples with a view to analysis or more detailed checks.

Article 3

Scope

- Annex A shall contain:
- (a) the species listed in Appendix I to the Convention for which the Member States have not entered a reservation;
- (b) any species:
 - (i) which is, or may be, in demand for utilization in the Community or for international trade and which is either threatened with extinction or so rare that any level of trade would imperil the survival of the species;

or

- (ii) which is in a genus of which most of the species or which is a species of which most of the subspecies are listed in Annex A in accordance with the criteria in subparagraphs (a) or (b)(i) and whose listing in the Annex is essential for the effective protection of those taxa.
- 2. Annex B shall contain:
- (a) the species listed in Appendix II to the Convention, other than those listed in Annex A, for which the Member States have not entered a reservation;
- (b) the species listed in Appendix I to the Convention for which a reservation has been entered;
- (c) any other species not listed in Appendices I or II to the Convention:
 - (i) which is subject to levels of international trade that might not be compatible:
 - with its survival or with the survival of populations in certain countries, or
 - with the maintenance of the total population at a level consistent with the role of the species in the ecosystems in which it occurs:

- (ii) whose listing in the Annex for reasons of similarity in appearance to other species listed in Annex A or Annex B, is essential in order to ensure the effectiveness of controls on trade in specimens of such species;
- (d) species in relation to which it has been established that the introduction of live specimens into the natural habitat of the Community would constitute an ecological threat to wild species of fauna and flora indigenous to the Community.
- 3. Annex C shall contain:
- (a) the species listed in Appendix III to the Convention, other than those listed in Annexes A or B, for which the Member States have not entered a reservation;
- (b) the species listed in Appendix II to the Convention for which a reservation has been entered.
- 4. Annex D shall contain:
- (a) species not listed in Annexes A to C which are imported into the Community in such numbers as to warrant monitoring;
- (b) the species listed in Appendix III to the Convention for which a reservation has been entered.
- 5. Where the conservation status of species covered by this Regulation warrants their inclusion in one of the Appendices to the Convention, the Member States shall contribute to the necessary amendments.

Article 4

Introduction into the Community

1. The introduction into the Community of specimens of the species listed in Annex A shall be subject to completion of the necessary checks and the prior presentation, at the border customs office at the point of introduction, of an import permit issued by a management authority of the Member State of destination.

The import permit may be issued only in accordance with the restrictions established pursuant to paragraph 6 and when the following conditions have been met:

- (a) the competent scientific authority, after considering any opinion by the Scientific Review Group, has advised that the introduction into the Community:
 - (i) would not have a harmful effect on the conservation status of the species or on the extent of the territory occupied by the relevant population of the species;
 - (ii) is taking place:
 - for one of the purposes referred to in Article 8(3)(e), (f) and (g), or
 - for other purposes which are not detrimental to the survival of the species concerned;
- (b) (i) the applicant provides documentary evidence that the specimens have been obtained in accordance with the legislation on the protection of the species concerned which, in the case of import from a third country of specimens of a species listed in the Appendices to the Convention, shall be an export permit or re-export certificate, or copy thereof, issued in accordance with the Convention by a competent authority of the country of export or re-export;

- (ii) however, the issuance of import permits for species listed in Annex A in accordance with Article 3(1)(a) shall not require such documentary evidence, but the original of any such import permit shall be withheld from the applicant pending presentation of the export permit or re-export certificate;
- (c) the competent scientific authority is satisfied that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly;
- (d) the management authority is satisfied that the specimen is not to be used for primarily commercial purposes;
- (e) the management authority is satisfied, following consultation with the competent scientific authority, that there are no other factors relating to the conservation of the species which militate against issuance of the import permit; and
- (f) in the case of introduction from the sea, the management authority is satisfied that any live specimen will be so prepared and shipped as to minimize the risk of injury, damage to health or cruel treatment.
- 2. The introduction into the Community of specimens of the species listed in Annex B shall be subject to completion of the necessary checks and the prior presentation, at the border customs office at the point of introduction, of an import permit issued by a management authority of the Member State of destination.

The import permit may be issued only in accordance with the restrictions established pursuant to paragraph 6 and when:

- (a) the competent scientific authority, after examining available data and considering any opinion from the Scientific Review Group, is of the opinion that the introduction into the Community would not have a harmful effect on the conservation status of the species or on the extent of the territory occupied by the relevant population of the species, taking account of the current or anticipated level of trade. This opinion shall be valid for subsequent imports as long as the abovementioned aspects have not changed significantly;
- (b) the applicant provides documentary evidence that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly;
- (c) the conditions referred to in paragraph 1(b)(i), (e) and (f) have been met.
- 3. The introduction into the Community of specimens of the species listed in Annex C shall be subject to completion of the necessary checks and the prior presentation, at the border customs office at the point of introduction, of an import notification and:
- (a) in the case of export from a country mentioned in relation to the species concerned in Annex C, the applicant shall provide documentary evidence, by means of an export permit issued in accordance with the Convention by an authority of that country competent for the purpose, that the specimens have been obtained in accordance with the national legislation on the conservation of the species concerned; or
- (b) in the case of export from a country not mentioned in relation to the species concerned in Annex C or re-export from any country, the applicant shall present an export permit, a re-export certificate or a certificate of origin issued in accordance with the Convention by an authority of the exporting or re-exporting country competent for the purpose.
- 4. The introduction into the Community of specimens of the species listed in Annex D shall be subject to completion of the necessary checks

▼B

and the prior presentation of an import notification at the border customs office at the point of introduction.

- The conditions for the issuance of an import permit as referred to in paragraph 1(a) and (d) and in paragraph 2(a), (b) and (c) shall not apply to specimens for which the applicant provides documentary evidence:
- (a) that they had previously been legally introduced into or acquired in the Community and that they are, modified or not, being reintroduced into the Community; or
- (b) that they are worked specimens that were acquired more than 50 years previously.

▼M14

In consultation with the countries of origin concerned, in accordance with the regulatory procedure referred to in Article 18(2) and taking account of any opinion from the Scientific Review Group, the Commission may establish general restrictions, or restrictions relating to certain countries of origin, on the introduction into the Community:

▼B

- (a) on the basis of the conditions referred to in paragraph 1(a)(i) or (e), of specimens of species listed in Annex A;
- (b) on the basis of the conditions referred to in paragraph 1(e) or paragraph 2(a), of specimens of species listed in Annex B; and
- (c) of live specimens of species listed in Annex B which have a high mortality rate during shipment or for which it has been established that they are unlikely to survive in captivity for a considerable proportion of their potential life span; or
- (d) of live specimens of species for which it has been established that their introduction into the natural environment of the Community presents an ecological threat to wild species of fauna and flora indigenous to the Community.

The Commission shall on a quarterly basis publish a list of such restrictions, if any, in the Official Journal of the European Communities.

▼M14

Where special cases of transhipment, air transfer or rail transport occur following introduction into the Community, derogations from completion of the checks and presentations of import documents at the border customs office at the point of introduction which are referred to in paragraphs 1 to 4 shall be granted by the Commission in order to permit such checks and presentations to be made at another customs office designated in accordance with Article 12(1).

Those measures, designed to amend non-essential elements of this Regulation, by supplementing it, shall be adopted in accordance with the regulatory procedure with scrutiny referred to in Article 18(3).

▼B

Article 5

Export or re-export from the Community

- The export or re-export from the Community of specimens of the species listed in Annex A shall be subject to completion of the necessary checks and the prior presentation, at the customs office at which the export formalities are completed, of an export permit or reexport certificate issued by a management authority of the Member State in which the specimens are located.
- An export permit for specimens of the species listed in Annex A may be issued only when the following conditions have been met:

- (a) the competent scientific authority has advised in writing that the capture or collection of the specimens in the wild or their export will not have a harmful effect on the conservation status of the species or on the extent of the territory occupied by the relevant population of the species;
- (b) the applicant provides documentary evidence that the specimens have been obtained in accordance with the legislation in force on the protection of the species in question; where the application is made to a Member State other than the Member State of origin, such documentary evidence shall be furnished by means of a certificate stating that the specimen was taken from the wild in accordance with the legislation in force on its territory;
- (c) the management authority is satisfied that:
 - (i) any live specimen will be so prepared and shipped as to minimize the risk of injury, damage to health or cruel treatment; and
 - (ii) the specimens of species not listed in Annex I to the Convention will not be used for primarily commercial purposes, or
 - in the case of export to a State party to the Convention of specimens of the species referred to in Article 3(1)(a) of this Regulation, an import permit has been issued;

and

- (d) the management authority of the Member State is satisfied, following consultation with the competent scientific authority, that there are no other factors relating to the conservation of the species which militate against issuance of the export permit.
- 3. A re-export certificate may be issued only when the conditions referred to in paragraph 2 (c) and (d) have been met and when the applicant provides documentary evidence that the specimens:
- (a) were introduced into the Community in accordance with the provisions of this Regulation;
- (b) if introduced into the Community before the entry into force of this Regulation, were introduced in accordance with the provisions of Regulation (EEC) No 3626/82; or
- (c) if introduced into the Community before 1984, entered international trade in accordance with the provisions of the Convention; or
- (d) were legally introduced into the territory of a Member State before the provisions of the Regulations referred to in (a) and (b) or of the Convention became applicable to them, or became applicable in that Member State.
- 4. The export or re-export from the Community of specimens of the species listed in Annexes B and C shall be subject to completion of the necessary checks and the prior presentation, at the customs office at which the export formalities are completed, of an export permit or re-export certificate issued by a management authority of the Member State in whose territory the specimens are located.

An export permit may be issued only when the conditions referred to in paragraph 2 (a), (b), (c) (i) and (d) have been met.

A re-export certificate may be issued only when the conditions referred to in paragraph 2 (c) (i) and (d) and in paragraph 3 (a) to (d) have been met.

▼M14

5. Where an application for a re-export certificate concerns specimens introduced into the Community under an import permit issued by another Member State, the management authority must first

consult the management authority which issued the permit. The consultation procedures and the cases in which consultation is necessary shall be established by the Commission. Those measures, designed to amend non-essential elements of this Regulation, by supplementing it, shall be adopted in accordance with the regulatory procedure with scrutiny referred to in Article 18(3).

▼B

- 6. The conditions for the issuance of an export permit or re-export certificate as referred to in paragraph 2 (a) and (c) (ii) shall not apply to:
- (i) worked specimens that were acquired more than 50 years previously; or
- (ii) dead specimens and parts and derivatives thereof for which the applicant provides documentary evidence that they were legally acquired before the provisions of this Regulation, or of Regulation (EEC) No 3626/82 or of the Convention became applicable to them.
- 7. (a) The competent scientific authority in each Member State shall monitor the issuance of export permits by that Member State for specimens of species listed in Annex B and actual exports of such specimens. Whenever such scientific authority determines that the export of specimens of any such species should be limited in order to maintain that species throughout its range at a level consistent with its role in the ecosystem in which it occurs, and well above the level at which that species might become eligible for inclusion in Annex A in accordance with Article 3 (1) (a) or (b) (i), the scientific authority shall advise the competent management authority, in writing, of suitable measures to be taken to limit the issuance of export permits for specimens of that species

▼M14

(b) Whenever a management authority is advised of the measures referred to in (a), it shall inform and send comments to the Commission which shall, if appropriate, recommend restrictions on exports of the species concerned in accordance with the regulatory procedure referred to in Article 18(2).

▼<u>B</u>

Article 6

Rejection of applications for permits and certificates referred to in Articles 4, 5 and 10

- 1. When a Member State rejects an application for a permit or certificate in a case of significance in respect of the objectives of this Regulation, it shall immediately inform the Commission of the rejection and of the reasons for rejection.
- 2. The Commission shall communicate information received in accordance with paragraph 1 to the other Member States in order to ensure uniform application of this Regulation.
- 3. When an application is made for a permit or certificate relating to specimens for which such an application has previously been rejected, the applicant must inform the competent authority to which the application is submitted of the previous rejection.
- 4. (a) Member States shall recognize the rejection of applications by the competent authorities of the other Member States, where such rejection is based on the provisions of this Regulation.
 - (b) However, this need not apply where the circumstances have significantly changed or where new evidence to support an application has become available. In such cases, if a management authority issues a permit or certificate, it shall inform the Commission thereof, stating the reasons for issuance.

Article 7

Derogations

- 1. Specimens born and bred in captivity or artificially propagated
- (a) Save where Article 8 applies, specimens of species listed in Annex A that have been born and bred in captivity or artificially propagated shall be treated in accordance with the provisions applicable to specimens of species listed in Annex B.
- (b) In the case of artificially propagated plants, the provisions of Articles 4 and 5 may be waived under special conditions laid down by the Commission, relating to:
 - (i) the use of phytosanitary certificates;
 - (ii) trade by registered commercial traders and by the scientific institutions referred to in paragraph 4 of this Article; and
 - (iii) trade in hybrids.

▼M14

(c) The criteria for determining whether a specimen has been born and bred in captivity or artificially propagated and whether for commercial purposes, as well as the special conditions referred to in (b), shall be specified by the Commission. Those measures, designed to amend non-essential elements of this Regulation, by supplementing it, shall be adopted in accordance with the regulatory procedure with scrutiny referred to in Article 18(3).

▼B

- 2. Transit
- (a) By way of derogation from Article 4, where a specimen is in transit through the Community, checks and presentation at the border customs office at the point of introduction of the prescribed permits, certificates and notifications shall not be required.
- (b) In the case of species listed in the Annexes in accordance with Article 3 (1) and Article 3 (2) (a) and (b), the derogation referred to in (a) shall apply only where a valid export or re-export document provided for by the Convention, relating to the specimens that it accompanies and specifying the destination of the specimens, has been issued by the competent authorities of the exporting or re-exporting third country.

▼M<u>14</u>

(c) If the document referred to in (b) has not been issued before export or re-export, the specimen must be seized and may, where applicable, be confiscated unless the document is submitted retrospectively in compliance with the conditions specified by the Commission. Those measures, designed to amend non-essential elements of this Regulation, by supplementing it, shall be adopted in accordance with the regulatory procedure with scrutiny referred to in Article 18(3).

3. Personal and household effects

By way of derogation from Articles 4 and 5, the provisions therein shall not apply to dead specimens, parts and derivatives of species listed in Annexes A to D which are personal or household effects being introduced into the Community, or exported or re-exported therefrom, in compliance with provisions that shall be specified by the Commission. Those measures, designed to amend non-essential elements of this Regulation, by supplementing it, shall be adopted in accordance with the regulatory procedure with scrutiny referred to in Article 18(3).

4. Scientific institutions

The documents referred to in Articles 4, 5, 8 and 9 shall not be required in the case of non-commercial loans, donations and exchanges between scientists and scientific institutions, registered by the management authorities of the States in which they are located, of herbarium specimens and other preserved, dried or embedded museum specimens, and of live plant material, bearing a label, the model for which has been determined in accordance with the regulatory procedure referred to in Article 18(2) or a similar label issued or approved by a management authority of a third country.

▼B

Article 8

Provisions relating to the control of commercial activities

- 1. The purchase, offer to purchase, acquisition for commercial purposes, display to the public for commercial purposes, use for commercial gain and sale, keeping for sale, offering for sale or transporting for sale of specimens of the species listed in Annex A shall be prohibited.
- 2. Member States may prohibit the holding of specimens, in particular live animals of the species listed in Annex A.
- 3. In accordance with the requirements of other Community legislation on the conservation of wild fauna and flora, exemption from the prohibitions referred to in paragraph 1 may be granted by issuance of a certificate to that effect by a management authority of the Member State in which the specimens are located, on a case-by-case basis where the specimens:
- (a) were acquired in, or were introduced into, the Community before the provisions relating to species listed in Appendix I to the Convention or in Annex C1 to Regulation (EEC) No 3626/82 or in Annex A became applicable to the specimens; or
- (b) are worked specimens that were acquired more than 50 years previously; or
- (c) were introduced into the Community in compliance with the provisions of this Regulation and are to be used for purposes which are not detrimental to the survival of the species concerned; or
- (d) are captive-born and bred specimens of an animal species or artificially propagated specimens of a plant species or are parts or derivatives of such specimens; or
- (e) are required under exceptional circumstances for the advancement of science or for essential biomedical purposes pursuant to Council Directive 86/609/EEC of 24 November 1986 on the approximation of laws, regulations and administrative provisions of the Member States regarding the protection of animals used for experimental and other scientific purposes (1) where the species in question proves to be the only one suitable for those purposes and where there are no specimens of the species which have been born and bred in captivity; or
- (f) are intended for breeding or propagation purposes from which conservation benefits will accrue to the species concerned; or
- (g) are intended for research or education aimed at the preservation or conservation of the species; or
- (h) originate in a Member State and were taken from the wild in accordance with the legislation in force in that Member State.

⁽¹⁾ OJ No L 358, 18.12.1986, p. 1.

4. General derogations from the prohibitions referred to in paragraph 1 based on the conditions referred to in paragraph 3, as well as general derogations with regard to species listed in Annex A in accordance with point (ii) of Article 3(1)(b) may be defined by the Commission. Any such derogations must be in accordance with the requirements of other Community legislation on the conservation of wild fauna and flora. Those measures, designed to amend non-essential elements of this Regulation, by supplementing it, shall be adopted in accordance with the regulatory procedure with scrutiny referred to in Article 18(3).

▼B

- 5. The prohibitions referred to in paragraph 1 shall also apply to specimens of the species listed in Annex B except where it can be proved to the satisfaction of the competent authority of the Member State concerned that such specimens were acquired and, if they originated outside the Community, were introduced into it, in accordance with the legislation in force for the conservation of wild fauna and flora.
- 6. The competent authorities of the Member States shall have discretion to sell any specimen of the species listed in Annexes B to D they have confiscated under this Regulation, provided that it is not thus returned directly to the person or entity from whom it was confiscated or who was party to the offence. Such specimens may then be treated for all purposes as if they had been legally acquired.

Article 9

Movement of live specimens

- 1. Any movement within the Community of a live specimen of a species listed in Annex A from the location indicated in the import permit or in any certificate issued in compliance with this Regulation shall require prior authorization from a management authority of the Member State in which the specimen is located. In other cases of movement, the person responsible for moving the specimen must be able, where applicable, to provide proof of the legal origin of the specimen.
- 2. Such authorization shall:
- (a) be granted only when the competent scientific authority of such Member State or, where the movement is to another Member State, the competent scientific authority of the latter, is satisfied that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly;
- (b) be confirmed by issuance of a certificate; and
- (c) where applicable, be immediately communicated to a management authority of the Member State in which the specimen is to be located.
- 3. However, no such authorization shall be required if a live animal must be moved for the purpose of urgent veterinary treatment and is returned directly to its authorized location.
- 4. Where a live specimen of a species listed in Annex B is moved within the Community, the holder of the specimen may relinquish it only after ensuring that the intended recipient is adequately informed of the accommodation, equipment and practices required to ensure the specimen will be properly cared for.
- 5. When any live specimens are transported into, from or within the Community or are held during any period of transit or transhipment, they shall be prepared, moved and cared for in a manner such as to minimize the risk of injury, damage to health or cruel treatment and, in

▼B

the case of animals, in conformity with Community legislation on the protection of animals during transport.

▼ M14

6. The Commission may establish restrictions on the holding or movement of live specimens of such species in relation to which restrictions on introduction into the Community have been established in accordance with Article 4(6). Those measures, designed to amend non-essential elements of this Regulation, by supplementing it, shall be adopted in accordance with the regulatory procedure with scrutiny referred to in Article 18(3).

▼B

Article 10

Certificates to be issued

On receiving an application, together with all the requisite supporting documents, from the person concerned and provided that all the conditions governing their issuance have been fulfilled, a management authority of a Member State may issue a certificate for the purposes referred to in Article 5 (2) (b), 5 (3) and (4), Article 8 (3) and Article 9 (2) (b).

Article 11

Validity of and special conditions for permits and certificates

- 1. Without prejudice to stricter measures which the Member States may adopt or maintain, permits and certificates issued by the competent authorities of the Member States in accordance with this Regulation shall be valid throughout the Community.
- 2. (a) However, any such permit or certificate, as well as any permit or certificate issued on the basis of it, shall be deemed void if a competent authority or the Commission, in consultation with the competent authority which issued the permit or certificate, establishes that it was issued on the false premise that the conditions for its issuance were met.
 - (b) Specimens situated in the territory of a Member State and covered by such documents shall be seized by the competent authorities of that Member State and may be confiscated.
- 3. Any permit or certificate issued in accordance with this Regulation may stipulate conditions and requirements imposed by the issuing authority to ensure compliance with the provisions thereof. Where such conditions or requirements need to be incorporated in the design of permits or certificates, Member States shall inform the Commission thereof.
- 4. Any import permit issued on the basis of a copy of the corresponding export permit or re-export certificate shall be valid for the introduction of specimens into the Community only when accompanied by the original of the valid export permit or re-export certificate.

▼M14

5. The Commission shall establish time limits for the issuance of permits and certificates. Those measures, designed to amend non-essential elements of this Regulation, by supplementing it, shall be adopted in accordance with the regulatory procedure with scrutiny referred to in Article 18(3).

Article 12

Places of introduction and export

- 1. Member States shall designate customs offices for carrying out the checks and formalities for the introduction into and export from the Community, in order to assign to them a customs-approved treatment or use, within the meaning of Regulation (EEC) No 2913/92, of specimens of species covered by this Regulation and shall state which offices are specifically intended to deal with live specimens.
- 2. All offices designated in accordance with paragraph 1 shall be provided with sufficient and adequately trained staff. Member States shall ensure that accommodation is provided in accordance with relevant Community legislation as regards the transport and accommodation of live animals and that, where necessary, adequate steps are taken for live plants.
- 3. All offices designated in accordance with paragraph 1 shall be notified to the Commission which shall publish a list of them in the *Official Journal of the European Communities*.

▼M14

4. In exceptional cases and in accordance with the criteria defined by the Commission, a management authority may authorise the introduction into the Community or the export or re-export therefrom at a customs office other than one designated in accordance with paragraph 1. Those measures, designed to amend non-essential elements of this Regulation, by supplementing it, shall be adopted in accordance with the regulatory procedure with scrutiny referred to in Article 18(3).

▼B

5. Member States shall ensure that at border crossing-points the public are informed of the implementing provisions of this Regulation.

Article 13

Management and scientific authorities and other competent authorities

- (a) Each Member State shall designate a management authority with primary responsibility for implementation of this Regulation and for communication with the Commission:
 - (b) Each Member State may also designate additional management authorities and other competent authorities to assist in implementation, in which case the primary management authority shall be responsible for providing the additional authorities with all the information required for correct application of this Regulation.
- 2. Each Member State shall designate one or more scientific authorities with appropriate qualifications whose duties shall be separate from those of any designated management authority.
- 3. (a) Not later than three months before the date of application of this Regulation, Member States shall forward the names and addresses of the designated management authorities, other authorities competent to issue permits or certificates and scientific authorities to the Commission, which shall publish this information in the *Official Journal of the European Communities* within a month.
 - (b) Each management authority referred to in paragraph 1 (a) shall, if so requested by the Commission, communicate to it within two months the names and specimen signatures of people authorized to sign permits or certificates, and impressions of the stamps, seals or other devices used to authenticate permits or certificates.

(c) Member States shall communicate to the Commission any changes in the information already provided, not later than two months after the implementation of such change.

Article 14

Monitoring of compliance and investigation of infringements

- 1. (a) The competent authorities of the Member States shall monitor compliance with the provisions of this Regulation.
 - (b) If, at any time, the competent authorities have reason to believe that these provisions are being infringed, they shall take the appropriate steps to ensure compliance or to instigate legal action.
 - (c) Member States shall inform the Commission and, in the case of species listed in the Appendices to the Convention, the Convention Secretariat of any steps taken by the competent authorities in relation to significant infringements of this Regulation, including seizures and confiscations.
- 2. The Commission shall draw the attention of the competent authorities of the Member States to matters whose investigation it considers necessary under this Regulation. Member States shall inform the Commission and, in the case of species listed in the Appendices to the Convention, the Convention Secretariat of the outcome of any subsequent investigation.
- (a) An enforcement group shall be established consisting of the representatives of each Member State's authorities with responsibility for ensuring the implementation of the provisions of this Regulation. The group shall be chaired by the representative of the Commission.
 - (b) The enforcement group shall examine any technical question relating to the enforcement of this Regulation raised by the chairman, either on his own initiative or at the request of the members of the group or the committee.
 - (c) The Commission shall convey the opinions expressed in the enforcement group to the committee.

Article 15

Communication of information

1. The Member States and the Commission shall communicate to one another the information necessary for implementing this Regulation.

The Member States and the Commission shall ensure that the necessary steps are taken to make the public aware and inform it of the provisions regarding implementation of the Convention and of this Regulation and of the latter's implementing measures.

- 2. The Commission shall communicate with the Convention Secretariat so as to ensure that the Convention is effectively implemented throughout the territory to which this Regulation applies.
- 3. The Commission shall immediately communicate any advice from the Scientific Review Group to the management authorities of the Member States concerned.
- 4. (a) The management authorities of the Member States shall communicate to the Commission before 15 June each year all the information relating to the preceding year required for drawing up the reports referred to in Article VIII.7 (a) of the Convention and equivalent information on international trade in all specimens of species listed in Annexes A, B and C and on introduction into the Community of specimens of species listed

- in Annex D. $\blacktriangleright \underline{M14}$ The information to be communicated and the format for its presentation shall be specified by the Commission in accordance with the regulatory procedure referred to in Article 18(2).
- (b) On the basis of the information referred to in (a), the Commission shall publish before 31 October each year a statistical report on the introduction into, and the export and re-export from, the Community of specimens of the species to which this Regulation applies and shall forward to the Convention Secretariat information on the species to which the Convention applies.
- (c) Without prejudice to Article 20, the management authorities of the Member States shall, before 15 June of each second year, and for the first time in 1999, communicate to the Commission all the information relating to the preceding two years required for drawing up the reports referred to in Article VIII.7 (b) of the Convention and equivalent information on the provisions of this Regulation that fall outside the scope Convention. ►M14 The information to be communicated and the format for its presentation shall be specified by the Commission in accordance with the regulatory procedure referred to in Article 18(2). ◀
- (d) On the basis of the information referred to in (c), the Commission shall, before 31 October of each second year, and for the first time in 1999, draw up a report on the implementation and enforcement of this Regulation.

5. With a view to the preparation of amendments to the Annexes, the competent authorities of the Member States shall forward all relevant information to the Commission. The Commission shall specify the information required, in accordance with the regulatory procedure referred to in Article 18(2).

▼<u>B</u>

▶<u>C1</u> 6. Without prejudice to Council Directive 90/313/EEC ◀ of 7 June 1990 on the freedom of access to information on the environment (1), the Commission shall take appropriate measures to protect the confidentiality of information obtained in implementation of this Regulation.

Article 16

Sanctions

- 1. Member States shall take appropriate measures to ensure the imposition of sanctions for at least the following infringements of this Regulation:
- (a) introduction into, or export or re-export from, the Community of specimens without the appropriate permit or certificate or with a false, falsified or invalid permit or certificate or one altered without authorization by the issuing authority;
- (b) failure to comply with the stipulations specified on a permit or certificate issued in accordance with this Regulation;
- (c) making a false declaration or knowingly providing false information in order to obtain a permit or certificate;
- (d) using a false, falsified or invalid permit or certificate or one altered without authorization as a basis for obtaining a Community permit or certificate or for any other official purpose in connection with this Regulation;

- (e) making no import notification or a false import notification;
- (f) shipment of live specimens not properly prepared so as to minimize the risk of injury, damage to health or cruel treatment;
- (g) use of specimens of species listed in Annex A other than in accordance with the authorization given at the time of issuance of the import permit or subsequently;
- (h) trade in artificially propagated plants contrary to the provisions laid down in accordance with Article 7(1)(b);
- (i) shipment of specimens into or out of or in transit through the territory of the Community without the appropriate permit or certificate issued in accordance with this Regulation and, in the case of export or re-export from a third country party to the Convention, in accordance therewith, or without satisfactory proof of the existence of such permit or certificate;
- (j) purchase, offer to purchase, acquisition for commercial purposes, use for commercial gain, display to the public for commercial purposes, sale, keeping for sale, offering for sale or transporting for sale of specimens in contravention of Article 8;
- (k) use of a permit or certificate for any specimen other than one for which it was issued;
- (l) falsification or alteration of any permit or certificate issued in accordance with this Regualation;
- (m) failure to disclose rejection of an application for a Community import, export or re-export permit or certificate, in accordance with Article 6 (3).
- 2. The measures referred to in paragraph 1 shall be appropriate to the nature and gravity of the infringement and shall include provisions relating to the seizure and, where appropriate, confiscation of specimens.
- 3. Where a specimen is confiscated, it shall be entrusted to a competent authority of the Member State of confiscation which:
- (a) following consultation with a scientific authority of that Member State, shall place or otherwise dispose of the specimen under conditions which it deems to be appropriate and consistent with the purposes and provisions of the Convention and this Regulation; and
- (b) in the case of a live specimen which has been introduced into the Community, may, after consultation with the State of export, return the specimen to that State at the expense of the convicted person.
- 4. Where a live specimen of a species listed in Annex B or C arrives at a point of introduction into the Community without the appropriate valid permit or certificate, the specimen must be seized and may be confiscated or, if the consignee refuses to acknowledge the specimen, the competent authorities of the Member State responsible for the point of introduction may, if appropriate, refuse to accept the shipment and require the carrier to return the specimen to its place of departure.

Article 17

The Scientific Review Group

- 1. A Scientific Review Group is hereby established, consisting of the representatives of each Member State's scientific authority or authorities and chaired by the representative of the Commission.
- 2. (a) The Scientific Review Group shall examine any scientific question relating to the application of this Regulation in particular concerning Article 4 (1) (a), (2) (a) and (6) —

▼B

raised by the chairman, either on his own initiative or at the request of the members of the Group or the Committee.

(b) The Commission shall convey the opinions of the Scientific Review Group to the Committee.

▼<u>M9</u>

Article 18

- 1. The Commission shall be assisted by a committee.
- 2. Where reference is made to this Article, Articles 5 and 7 of Decision 1999/468/EC (¹) shall apply, having regard to the provisions of Article 8 thereof.

The period laid down in Article 5(6) of Decision 1999/468/EC shall be set at three months. As regards the Committee's tasks referred to in points 1 and 2 of Article 19, if, on the expiry of a period of three months from the date of referral to the Council, the Council has not acted, the proposed measures shall be adopted by the Commission.

▼M14

- 3. Where reference is made to this paragraph, Article 5a(1) to (4) and Article 7 of Decision 1999/468/EC shall apply, having regard to the provisions of Article 8 thereof.
- 4. Where reference is made to this paragraph, Article 5a(1) to (4) and (5)(b) and Article 7 of Decision 1999/468/EC shall apply, having regard to the provisions of Article 8 thereof.

The time limits laid down in Article 5a(3)(c) and (4)(b) and (e) of Decision 1999/468/EC shall be set at one month, one month and two months respectively.

Article 19

1. In accordance with the regulatory procedure referred to in Article 18(2), the Commission shall adopt the measures referred to in Article 4(6), Article 5(7)(b), Article 7(4), Article 15(4)(a) and (c), Article 15(5) and Article 21(3).

The Commission shall determine the design of the documents referred to in Articles 4, 5, 7(4) and 10 in accordance with the regulatory procedure referred to in Article 18(2).

- 2. The Commission shall adopt the measures referred to in Article 4 (7), Article 5(5), Article 7(1)(c), (2)(c) and (3), Article 8(4), Article 9 (6), Article 11(5) and Article 12(4). Those measures, designed to amend non-essential elements of this Regulation, by supplementing it, shall be adopted in accordance with the regulatory procedure with scrutiny referred to in Article 18(3).
- 3. The Commission shall lay down uniform conditions and criteria for:
- (a) the issue, validity and use of the documents referred to in Articles 4, 5, 7(4) and 10;
- (b) the use of phytosanitary certificates referred to in Article 7(1)(b)(i);
- (c) the establishment of procedures, where necessary, for marking specimens in order to facilitate identification and ensure enforcement of the provisions.

Those measures, designed to amend non-essential elements of this Regulation, by supplementing it, shall be adopted in accordance with the regulatory procedure with scrutiny referred to in Article 18(3).

Council Decision 1999/468/EC of 28 June 1999 laying down the procedures for the exercise of implementing powers conferred on the Commission (OJ L 184, 17.7.1999, p. 23).

- 4. The Commission shall adopt, where necessary, additional measures to implement resolutions of the Conference of the Parties to the Convention, decisions or recommendations of the Standing Committee of the Convention and recommendations of the Convention Secretariat. Those measures, designed to amend non-essential elements of this Regulation, by supplementing it, shall be adopted in accordance with the regulatory procedure with scrutiny referred to in Article 18(3).
- 5. The Commission shall amend Annexes A to D except in the case of amendments to Annex A which do not result from decisions of the Conference of the Parties to the Convention. Those measures, designed to amend non-essential elements of this Regulation, shall be adopted in accordance with the regulatory procedure with scrutiny referred to in Article 18(4).

▼B

Article 20

Final provisions

Each Member State shall notify the Commission and the Convention Secretariat of the provisions which it adopts specifically for the implementation of this Regulation and of all legal instruments used and measures taken for its implementation and enforcement.

The Commission shall communicate this information to the other Member States.

Article 21

- 1. Regulation (EEC) No 3626/82 is hereby repealed.
- 2. Until the measures provided for in points 1 and 2 of Article 19 have been adopted, Member States may maintain or continue to apply the measures adopted in accordance with Regulation (EEC) No 3626/82 and Commission Regulation (EEC) No 3418/83 of 28 November 1983 laying down provisions for the uniform issue and use of the documents required for the implementation in the Community of the Convention on International Trade in Endangered Species of Wild Fauna and Flora (¹).

▼M14

3. Two months before this Regulation is implemented the Commission, in accordance with the regulatory procedure referred to in Article 18(2) and in consultation with the Scientific Review Group:

▼B

- (a) must check that there is no justification for restrictions on the introduction into the Community of the species listed in Annex C1 to Regulation (EEC) No 3626/82 which are not included in Annex A to this Regulation;
- (b) shall adopt a Regulation amending Annex D into a representative list of species meeting the criteria laid down in Article 3 (4) (a).

Article 22

This Regulation shall enter into force on the date of its publication in the Official Journal of the European Communities.

It shall apply from 1 June 1997.

Articles 12, 13, 14 (3), 16, 17, 18, 19 and 21(3) shall apply from the date of entry into force of this Regulation.

This Regulation shall be binding in its entirety and directly applicable in all Member States.

⁽¹⁾ OJ No L 344, 7.12.1983, p. 1.

ANNEX

Notes on interpretation of Annexes A, B, C and D

- 1. Species included in these Annexes A, B, C and D are referred to:
 - (a) by the name of the species; or
 - (b) as being all of the species included in a higher taxon or designated part thereof.
- 2. The abbreviation 'spp.' is used to denote all species of a higher taxon.
- Other references to taxa higher than species are for the purposes of information or classification only.
- Species printed in bold in Annex A are listed there in consistency with their protection as provided for by Council Directive 79/409/EEC ('Birds Directive') or Council Directive 92/43/EEC ('Habitats Directive').
- 5. The following abbreviations are used for plant taxa below the level of species:
 - (a) 'ssp.' is used to denote subspecies;
 - (b) 'var(s).' is used to denote variety (varieties); and
 - (c) 'fa' is used to denote forma.
- 6. The symbols '(I)', '(II)' and '(III)' placed against the name of a species or higher taxon refer to the Appendices of the Convention in which the species concerned are listed as indicated in notes 7 to 9. Where none of these annotations appears, the species concerned are not listed in the Appendices to the Convention.
- (I) against the name of a species or higher taxon indicates that the species or higher taxon concerned is included in Appendix I to the Convention.
- 8. (II) against the name of a species or higher taxon indicates that the species or higher taxon concerned is included in Appendix II to the Convention.
- (III) against the name of a species or higher taxon indicates that it is included in Appendix III to the Convention. In this case the country with respect to which the species or higher taxon is included in Appendix III is also indicated.
- 10. Hybrids may be specifically included in the Appendices but only if they form distinct and stable populations in the wild. Hybrid animals that have in their previous four generations of the lineage one or more specimens of species included in Annexes A or B shall be subject to the provisions of this Regulation just as if they were full species, even if the hybrid concerned is not specifically included in the Annexes.
- 11. When a species is included in Annex A, B or C, all parts and derivatives of the species are also included in the same Annex unless the species is annotated to indicate that only specific parts and derivatives are included. In accordance with Article 2(t) of this Regulation, the symbol '#' followed by a number placed against the name of a species or higher taxon included in Annex B or C designates parts or derivatives which are specified in relation thereto for the purposes of the Regulation as follows:
 - #1 Designates all parts and derivatives, except:
 - (a) seeds, spores and pollen (including pollinia);
 - (b) seedling or tissue cultures obtained in vitro, in solid or liquid media, transported in sterile containers;
 - (c) cut flowers of artificially propagated plants; and
 - (d) fruits and parts and derivatives thereof of artificially propagated plants of the genus *Vanilla*.
 - #2 Designates all parts and derivations, except:
 - (a) seeds and pollen; and
 - (b) finished products packaged and ready for retail trade.
 - #3 Designates whole and sliced roots and parts of roots.

- #4 Designates all parts and derivatives, except:
 - (a) seeds, except those from Mexican cacti originating in Mexico, and pollen;
 - (b) seedling or tissue cultures obtained in vitro, in solid or liquid media, transported in sterile containers;
 - (c) cut flowers of artificially propagated plants;
 - (d) fruits and parts and derivatives thereof of naturalised or artificially propagated plants; and
 - (e) separate stem joints (pads) and parts and derivatives thereof of naturalised or artifically propagated plants of the genus *Opuntia* subgenus *Opuntia*.
- #5 Designates logs, sawn wood and veneer sheets.
- #6 Designates logs, sawn wood, veneer sheets and plywood.
- #7 Designates logs, wood-chips, powder and extracts.
- #8 Designates underground parts (i.e. roots, rhizomes): whole, parts and powdered.
- #9 Designates all parts and derivatives, except those bearing a label 'Produced from *Hoodia* spp. material obtained through controlled harvesting and production in collaboration with the CITES Management Authorities of Botswana/Namibia/South Africa under agreement No BW/NA/ZA xxxxxx'
- #10 Designates logs, sawn wood, veneer sheets, including unfinished wood articles used for the fabrication of bows for stringed musical instruments.
- #11 Designates logs, sawn wood, veneer sheets, plywood, powder and extracts
- 12. As none of the species or higher taxa of FLORA included in Annex A is annotated to the effect that its hybrids shall be treated in accordance with the provisions of Article 4.1 of the Regulation, this means that artificially propagated hybrids produced from one or more of these species or taxa may be traded with a certificate of artificial propagation, and that seeds and pollen (including pollinia), cut flowers, seedling or tissue cultures obtained in vitro, in solid or liquid media, transported in sterile containers of these hybrids are not subject to the provisions of the Regulation.
- 13. Urine, faeces and ambergris which are waste products and gained without the manipulation of the animal concerned are not subject to the provisions of the Regulation.
- 14. In respect of fauna species listed in Annex D, the provisions shall apply only to live specimens and whole, or substantially whole, dead specimens except for taxa which are annotated as follows to show that other parts and derivatives are also covered:
 - § 1 Any whole, or substantially whole, skins, raw or tanned.
 - § 2 Any feathers or any skin or other part with feathers on it.
- 15. In respect of flora species listed in Annex D, the provisions shall apply only to live specimens except for taxa which are annotated as follows to show that other parts and derivatives are also covered:
 - § 3 Dried and fresh plants, including, where appropriate; leaves, roots/rootstock, stems, seeds/spores, bark and fruits.
 - § 4 Logs, sawn wood and veneer sheets

	Annex A	Annex B	Annex C	Common name	
		FAUNA			
		CHORDATA (CHORDATES)			
MAMMALIA				Mammals	
ARTIODACTYLA					
Antilocapridae				Pronghorn	
	Antilocapra americana (I) (Only the population of Mexico; no other population is included in the Annexes to this Regulation)			Mexican pronghorn	
Bovidae				Antelopes, cattle, duikers, gazelles, goats, sheep etc.	
	Addax nasomaculatus (I)			Addax	
		Ammotragus lervia (II)		Barbary sheep	
			Antilope cervicapra (III Nepal)	Blackbuck	
		Bison bison athabascae (II)		Wood bison	_
	Bos gaurus (I) (Excludes the domesticated form referenced as Bos frontalis which is not subject to the provisions of this Regulation)			Gaur	199/KU338-
	Bos mutus (I) (Excludes the domesticated form referenced as Bos grunniens which is not subject to the provisions of this Regulation)			Wild yak	HEN —
	Bos sauveli (I)			Kouprey	1.06.
			Bubalus arnee (III Nepal) (Excludes the domesticated form referenced as Bubalus bubalis, which is not subject to the provisions of this Regulation)	Wild Asiatic buffalo	- 10.06.2009 — 013.002
	Bubalus depressicornis (I)			Lowland anoa	72 —
	Bubalus mindorensis (I)			Tamarau	- 24

Annex A	Annex B	Annex C	Common name
Bubalus quarlesi (I)			Mountain anoa
	Budorcas taxicolor (II)		Takin
Capra falconeri (I)			Markhor
Capricornis milneedwardsii (I)			Chinese serow
Capricornis rubidus (I)			Red serow
Capricornis sumatraensis (I)			Sumatran serow
Capricornis thar (I)			Himalayan serow
	Cephalophus brookei (II)		Brooke's duiker
	Cephalophus dorsalis (II)		Bay duiker
Cephalophus jentinki (I)			Jentink's duiker
	Cephalophus ogilbyi (II)		Ogilby's duiker
	Cephalophus silvicultor (II)		Yellow-backed duiker
	Cephalophus zebra (II)		Zebra duiker
	Damaliscus pygargus pygargus (II)		Bontebok
Gazella cuvieri (I)			Cuvier's gazelle
		Gazella dorcas (III Algeria/Tunisia)	Dorcas gazelle
Gazella leptoceros (I)			Slender-horned gazelle
Hippotragus niger variani (I)			Giant sable antelope
	Kobus leche (II)		Lechwe
Naemorhedus baileyi (I)			Red goral
Naemorhedus caudatus (I)			Long-tailed goral
Naemorhedus goral (I)			Himalayan goral
Naemorhedus griseus (I)			Chinese goral
Nanger dama (I)			Dama gazelle

	Annex A	Annex B	Annex C	Common name
	Oryx dammah (I)			Scimitar-horned oryx
	Oryx leucoryx (I)			Arabian oryx
		Ovis ammon (II) (Except for the subspecies included in Annex A)		Argali
	Ovis ammon hodgsonii (I)			Tibetan argali
	Ovis ammon nigrimontana (I)			Kara Tau argali
		Ovis canadensis (II) (Only the population of Mexico; no other population is included in the Annexes to this Regulation)		Mexican bighorn sheep
	Ovis orientalis ophion (I)			Cyprus mouflon
		Ovis vignei (II) (Except for the subspecies included in Annex A)		Urial
	Ovis vignei vignei (I)			Ladakh urial
	Pantholops hodgsonii (I)			Chiru
		Philantomba monticola (II)		Blue duiker
	Pseudoryx nghetinhensis (I)			Siola
	Rupicapra pyrenaica ornata (I)			Abruzzo chamois
		Saiga borealis (II)		Mongolian saiga
		Saiga tatarica (II)		Steppe saiga
			Tetracerus quadricornis (III Nepal)	Four-horned antelope
Camelidae				Camels, guanaco, vicuña
		Lama glama guanicoe (II)		Guanaco
	Vicugna vicugna (I) (Except for the populations of: Argentina [the population of the Provinces of Jujuy and Catamarca and the semi-captive populations of the Provinces of	Vicugna vicugna (II) (Only the populations of Argentina (¹) [the population of the Provinces of Jujuy and Catamarca and the semicaptive populations of the Provinces		Vicuña

	Annex A	Annex B	Annex C	Common name
	Jujuy, Salta, Catamarca, La Rioja and San Juan]; Bolivia [the whole population]; Chile [population of the Primera Región]; and Peru [the whole population]; which are included in Annex B)	of Jujuy, Salta, Catamarca, La Rioja and San Juan]; Bolivia (2) [the whole population]; Chile (3) [population of the Primera Región]; Peru (4) [the whole population]; all other popu- lations are included in Annex A)		
Cervidae				Deer, huemuls, muntjacs, pudus
	Axis calamianensis (I)			Calamian deer
	Axis kuhlii (I)			Bawean deer
	Axis porcinus annamiticus (I)			Indochina hog deer
	Blastocerus dichotomus (I)			Marsh deer
		Cervus elaphus bactrianus (II)		Bactrian deer
			Cervus elaphus barbarus (III Algeria/Tunisia)	Barbary deer
	Cervus elaphus hanglu (I)			Hangul
	Dama dama mesopotamica (I)			Persian fallow deer
	Hippocamelus spp. (I)			Huemuls
			Mazama temama cerasina (III Guatemala)	Central American red brocket
	Muntiacus crinifrons (I)			Black muntjac
	Muntiacus vuquangensis (I)			Giant muntjac
			Odocoileus virginianus mayensis (III Guatemala)	Guatemalan white-tailed deer
	Ozotoceros bezoarticus (I)			Pampas deer
		Pudu mephistophiles (II)		Northern pudu
	Pudu puda (I)			Southern pudu
	Rucervus duvaucelii (I)			Barasingha
	Rucervus eldii (I)			Eld's deer

	Annex A	Annex B	Annex C	Common name
Hippopotamidae				Hippopotamuses
		Hexaprotodon liberiensis (II)		Pygmy hippopotamus
		Hippopotamus amphibius (II)		Common hippopotamus
Moschidae				Musk deer
	Moschus spp. (I) (Only the populations of Afghanistan, Bhutan, India, Myanmar, Nepal and Pakistan; all other populations are included in Annex B)	Moschus spp. (II) (Except for the populations of Afghanistan, Bhutan, India, Myanmar, Nepal and Pakistan, which are included in Annex A)		Musk deer
Suidae				Babirusa, hogs, pigs
	Babyrousa babyrussa (I)			Buru babirusa
	Babyrousa bolabatuensis (I)			Bola Batu babirusa
	Babyrousa celebensis (I)			North Sulawesi babirusa
	Babyrousa togeanensis (I)			Malenge babirusa
	Sus salvanius (I)			Pygmy hog
Tayassuidae				Peccaries
		Tayassuidae spp. (II) (Except for the species included in Annex A and excluding the populations of <i>Pecari tajacu</i> of Mexico and the United States, which are not included in the Annexes to this Regulation)		Peccaries
	Catagonus wagneri (I)			Chacoan peccary
CARNIVORA				
Ailuridae				
	Ailurus fulgens (I)			Red panda
Canidae				Dogs, foxes, wolves
			Canis aureus (III India)	Golden jackal

	Annex A	Annex B	Annex C	Common name
	Canis lupus (I/II) (All populations except those of Spain north of the Duero and Greece north of the 39th parallel. Populations of Bhutan, India, Nepal and Pakistan are listed in Appendix I; all other populations are listed in Appendix II.)	Canis lupus (II) (Populations of Spain north of the Duero and Greece north of the 39th parallel)		Grey wolf
	Canis simensis			Ethiopian wolf
		Cerdocyon thous (II)		Crab-eating fox
		Chrysocyon brachyurus (II)		Maned wolf
		Cuon alpinus (II)		Dhole
		Lycalopex culpaeus (II)		Culpeo
		Lycalopex fulvipes (II)		Darwin's fox
		Lycalopex griseus (II)		South American grey fox
		Lycalopex gymnocercus (II)		Pampas fox
	Speothos venaticus (I)			Bush dog
			Vulpes bengalensis (III India)	Bengal fox
		Vulpes cana (II)		Blanford's fox
		Vulpes zerda (II)		Fennec fox
Eupleridae				
		Cryptoprocta ferox (II)		Fossa
		Eupleres goudotii (II)		Falanouc
		Fossa fossana (II)		Malagasy civet
Felidae				Cats, cheetahs, leopards, lions, tigers etc.
		Felidae spp. (II) (Except for the species included in Annex A.		Cats

Annex A	Annex B	Annex C	Common name
	Specimens of the domesticated form are not subject to the provisions of this Regulation)		
Acinonyx jubatus (I) (Annual export quotas for live specimens and hunting trophies are granted as follows: Botswana: 5; Namibia: 150; Zimbabwe: 50. The trade in such specimens is subject to the provisions of Article 4.1 of this Regulation.)			Cheetah
Caracal caracal (I) (Only the population of Asia; all other populations are included in Annex B)			Asian Caracal
Catopuma temminckii (I)			Asian golden cat
Felis nigripes (I)			Black-footed cat
Felis silvestris (II)			Wild cat
Leopardus geoffroyi (I)			Geoffroy's cat
Leopardus jacobitus (I)			Andean mountain cat
Leopardus pardalis (I)			Ocelot
Leopardus tigrinus (I)			Oncilla
Leopardus wiedii (I)			Margay
Lynx lynx (II)			Eurasian lynx
Lynx pardinus (I)			Iberian lynx
Neofelis nebulosa (I)			Clouded leopard
Panthera leo persica (I)			Asiatic lion
Panthera onca (I)			Jaguar
Panthera pardus (I)			Leopard
Panthera tigris (I)			Tiger

	Annex A	Annex B	Annex C	Common name
	Pardofelis marmorata (I)			Marbled cat
	Prionailurus bengalensis bengalensis (I) (Only the populations of Bangladesh, India and Thailand; all other populations are included in Annex B.)			Bengal leopard cat
	Prionailurus iriomotensis (II)			Iriomote cat
	Prionailurus planiceps (I)			Flat-headed cat
	Prionailurus rubiginosus (I) (Only the population of India; all other populations are included in Annex B)			Rusty-spotted cat
	Puma concolor coryi (I)			Florida cougar
	Puma concolor costaricensis (I)			Costa Rican cougar
	Puma concolor couguar (I)			Eastern cougar
	Puma yagouaroundi (I) (Only the populations of Central and North America; all other populations are included in Annex B)			Jaguarundi
	Uncia uncia (I)			Snow leopard
Herpestidae				Mongooses
			Herpestes fuscus (III India)	Indian brown mongoose
			Herpestes edwardsi (III India)	Indian grey mongoose
			Herpestes javanicus auropunctatus (III India)	Small Indian mongoose
			Herpestes smithii (III India)	Ruddy mongoose
			Herpestes urva (III India)	Crab-eating mongoose
			Herpestes vitticollis (III India)	Stripe-necked mongoose
Hyaenidae				Aardwolf, hyenas
			Proteles cristata (III Botswana)	Aardwolf

▼<u>M13</u>

	Annex A	Annex B	Annex C	Common name
Mephitidae				Skunks
		Conepatus humboldtii (II)		Humboldt's hog-nosed skunk
Mustelidae				Badgers, martens, weasels etc.
Lutrinae				Otters
		Lutrinae spp. (II) (Except for the species included in Annex A)		Otters
	Aonyx capensis microdon (I) (Only the populations of Cameroon and Nigeria; all other populations are included in Annex B)			Cameroon clawless otter
	Enhydra lutris nereis (I)			Southern sea otter
	Lontra felina (I)			Marine otter
	Lontra longicaudis (I)			Neotropical otter
	Lontra provocax (I)			Southern river otter
	Lutra lutra (I)			European otter
	Lutra nippon (I)			Japanese otter
	Pteronura brasiliensis (I)			Giant otter
Mustelinae				Grisons, martens, tayra, weasels
			Eira barbara (III Honduras)	Tayra
			Galictis vittata (III Costa Rica)	Greater grison
			Martes flavigula (III India)	Yellow-throated marten
			Martes foina intermedia (III India)	Stone marten
			Martes gwatkinsii (III India)	Nilgiri marten
			Mellivora capensis (III Botswana)	Honey badger
	Mustela nigripes (I)			Black-footed ferret

	Annex A	Annex B	Annex C	Common name
Odobenidae				Walrus
		Odobenus rosmarus (III Canada)		Walrus
Otariidae				Fur seals, sealions
		Arctocephalus spp (II) (Except for the species included in Annex A)		Fur seals
	Arctocephalus philippii (II)			Juan Fernández fur seal
	Arctocephalus townsendi (I)			Guadalupe fur seal
Phocidae				Seals
		Mirounga leonina (II)		Southern elephant seal
	Monachus spp. (I)			Monk seals
Procyonidae				Coatis, olingos
			Bassaricyon gabbii (III Costa Rica)	Olingo
			Bassariscus sumichrasti (III Costa Rica)	Cacomistle
			Nasua narica (III Honduras)	White-nosed coati
			Nasua nasua solitaria (III Uruguay)	South Brazilian coati
			Potos flavus (III Honduras)	Kinkajou
Ursidae				Bears
		Ursidae spp. (II) (Except for the species included in Annex A)		Bears
	Ailuropoda melanoleuca (I)			Giant panda
	Helarctos malayanus (I)			Sun bear
	Melursus ursinus (I)			Sloth bear
	Tremarctos ornatus (I)			Spectacled bear
	Ursus arctos (I/II) (Only the populations of Bhutan, China, Mexico and Mongolia and			Brown bear

	Annex A	Annex B	Annex C	Common name
	the subspecies <i>Ursus arcto isabellinus</i> are listed in Appendix I all other populations and subspecie are listed in Appendix II).	,		
	Ursus thibetanus (I)			Asian black bear
Viverridae				Binturong, civets, linsangs, otter- civet, palm civet
			Arctictis binturong (III India)	Binturong
			Civettictis civetta (III Botswana)	African civet
		Cynogale bennettii (II)		Otter civet
		Hemigalus derbyanus (II)		Banded palm civet
			Paguma larvata (III India)	Masked palm civet
			Paradoxurus hermaphroditus (III India)	Asian palm civet
			Paradoxurus jerdoni (III India)	Jerdon's palm civet
		Prionodon linsang (II)		Banded linsang
	Prionodon pardicolor (I)			Spotted linsang
			Viverra civettina (III India)	Malabar large-spotted civet
			Viverra zibetha (III India)	Large Indian civet
			Viverricula indica (III India)	Small Indian civet
CETACEA				Cetaceans (dolphins, porpoises, whales)
	CETACEA spp. (I/II) (5)			Cetaceans
CHIROPTERA				
Phyllostomidae				Broad-nosed bats
			Platyrrhinus lineatus (III Uruguay)	White-lined bat

	Annex A	Annex B	Annex C	Common name
Pteropodidae				Fruit bats, flying foxes
		Acerodon spp. (II) (Except for the species included in Annex A)		Flying foxes
	Acerodon jubatus (I)			Golden-capped fruit bat
		Pteropus spp. (II) (Except for the species included in Annex A)		Flying foxes
	Pteropus insularis (I)			Ruck flying fox
	Pteropus livingstonii (II)			Comoro flying fox
	Pteropus loochoensis (I)			Japanese flying fox
	Pteropus mariannus (I)			Marianas flying fox
	Pteropus molossinus (I)			Caroline flying fox
	Pteropus pelewensis (I)			Pelew flying fox
	Pteropus pilosus (I)			Large Pelew flying fox
	Pteropus rodricensis (II)			Rodrigues flying fox
	Pteropus samoensis (I)			Samoan flying fox
	Pteropus tonganus (I)			Pacific flying fox
	Pteropus ualanus (I)			Kosrae flying fox
	Pteropus voeltzkowi (II)			Pemba flying fox
	Pteropus yapensis (I)			Yap flying fox
CINGULATA				
Dasypodidae				Armadillos
			Cabassous centralis (III Costa Rica)	Northern naked-tailed armadillo
			Cabassous tatouay (III Uruguay)	Greater naked-tailed armadillo
		Chaetophractus nationi (II) (A zero annual export quota has been established. All specimens shall be deemed to be specimens of species		Andean hairy armadillo

	Annex A	Annex B	Annex C	Common name
		included in Annex A and the trade in them shall be regulated accordingly)		
	Priodontes maximus (I)			Giant armadillo
DASYUROMORPHIA				
Dasyuridae				Dunnarts, marsupial mice, planigales
	Sminthopsis longicaudata (I)			Long-tailed dunnart
	Sminthopsis psammophila (I)			Sandhill dunnart
Thylacinidae				Tasmanian wolf, thylacine
	Thylacinus cynocephalus (possibly extinct) (I)			Thylacine
DIPROTODONTIA				
Macropodidae				Kangaroos, wallabies
		Dendrolagus inustus (II)		Grizzled tree-kangaroo
		Dendrolagus ursinus (II)		Ursine tree-kangaroo
	Lagorchestes hirsutus (I)			Rufous hare-wallaby
	Lagostrophus fasciatus (I)			Banded hare-wallaby
	Onychogalea fraenata (I)			Bridled nail-tail wallaby
	Onychogalea lunata (I)			Crescent nail-tail wallaby
Phalangeridae				Cuscus
		Phalanger intercastellanus (II)		Eastern common cuscus
		Phalanger mimicus (II)		Southern common cuscus
		Phalanger orientalis (II)		Northern common cuscus
		Spilocuscus kraemeri (II)		Admiralty Island cuscus
		Spilocuscus maculatus (II)		Common spotted cuscus
		Spilocuscus papuensis (II)		Waigeou cuscus

	Annex A	Annex B	Annex C	Common name
Potoroidae				Rat-kangaroos
	Bettongia spp. (I)			Bettongs
	Caloprymnus campestris (possibly extinct) (I)			Desert rat-kangaroo
Vombatidae				Wombats
	Lasiorhinus krefftii (I)			Northern hairy-nosed wombat
LAGOMORPHA				
Leporidae				Hares, rabbits
	Caprolagus hispidus (I)			Hispid hare
	Romerolagus diazi (I)			Volcano rabbit
MONOTREMATA				
Γachyglossidae				Echidnas, spiny anteaters
		Zaglossus spp. (II)		Long-beaked echidnas
PERAMELEMORPHIA				
Chaeropodidae				Bandicoots
	Chaeropus ecaudatus (possibly extinct) (I)			Pig-footed bandicoot
Peramelidae				
	Perameles bougainville (I)			Western barred bandicoot
Thylacomyidae				
	Macrotis lagotis (I)			Greater bilby
	Macrotis leucura (I)			Lesser bilby
PERISSODACTYLA				
Equidae				Horses, wild asses, zebras
	Equus africanus (I) (Excludes the domesticated form referenced as			African ass

	Annex A	Annex B	Annex C	Common name
	Equus asinus, which is not subject to the provisions of this Regulation)			
	Equus grevyi (I)			Grévy's zebra
	Equus hemionus (I/II) (The species is listed in Appendix II but subspecies Equus hemionus hemionus and Equus hemionus khur are listed in Appendix I)			Asiatic wild ass
	Equus kiang (II)			Kiang
	Equus przewalskii (I)			Przewalski's horse
		Equus zebra hartmannae (II)		Hartmann's mountain zebra
	Equus zebra zebra (I)			Cape mountain zebra
Rhinocerotidae				Rhinoceroses
	Rhinocerotidae spp. (I) (Except for the subspecies included in Annex B)			Rhinoceroses
		Ceratotherium simum simum (II) (Only the populations of South Africa and Swaziland; all other populations are included in Annex A. For the exclusive purpose of allowing international trade in live animals to appropriate and acceptable destinations and trade in hunting trophies. All other specimens shall be deemed to be specimens of species included in Annex A and trade in them shall be regulated accordingly)		Southern white rhinoceros
Tapiridae				Tapirs
	Tapiridae spp. (I) (Except for the species included in Annex B)			Tapirs
		Tapirus terrestris (II)		South American tapir

	Annex A	Annex B	Annex C	Common name
PHOLIDOTA				
Manidae				Pangolins
		Manis spp. (II) (A zero annual export quota has been established for Manis crassicaudata, Manis culionensis, Manis javanica and Manis pentadactyla for specimens removed from the wild and traded for primarily commercial purposes)		Pangolins
PILOSA				
Bradypodidae				Three-toed sloths
		Bradypus variegatus (II)		Brown-throated sloth
Megalonychidae				Two-toed sloth
			Choloepus hoffmanni (III Costa Rica)	Hoffmann's two-toed sloth
Myrmecophagidae				American anteaters
		Myrmecophaga tridactyla (II)		Giant anteater
			Tamandua mexicana (III Guatemala)	Northern tamandua
PRIMATES				Primates (apes and monkeys)
		PRIMATES spp. (II) (Except for the species included in Annex A)		Primates
Atelidae				Howlers, spider monkeys
	Alouatta coibensis (I)			Coiba Island howler
	Alouatta palliata (I)			Mantled howler
	Alouatta pigra (I)			Guatemalan black howler
	Ateles geoffroyi frontatus (I)			Black-browed spider monkey
	Ateles geoffroyi panamensis (I)			Red spider monkey

	Annex A	Annex B	Annex C	Common name
	Brachyteles arachnoides (I)			Southern muriqui
	Brachyteles hypoxanthus (I)			
	Oreonax flavicauda (I)			Yellow-tailed woolly monkey
Cebidae				Marmosets, tamarins, New-world monkeys
	Callimico goeldii (I)			Goeldi's marmoset
	Callithrix aurita (I)			Buffy-tufted marmoset
	Callithrix flaviceps (I)			Buffy-headed marmoset
	Leontopithecus spp. (I)			Lion tamarins
	Saguinus bicolor (I)			Pied tamarin
	Saguinus geoffroyi (I)			Geoffroy's tamarin
	Saguinus leucopus (I)			White-footed tamarin
	Saguinus martinsi (I)			
	Saguinus oedipus (I)			Cottontop tamarin
	Saimiri oerstedii (I)			Central American squirrel monkey
Cercopithecidae				Old-world monkeys
	Cercocebus galeritus (I)			Tana River mangabey
	Cercopithecus diana (I)			Diana monkey
	Cercopithecus roloway (I)			Roloway monkey
	Cercopithecus solatus (II)			Sun-tailed monkey
	Colobus satanas (II)			Black colobus
	Macaca silenus (I)			Lion-tailed macaque
	Mandrillus leucophaeus (I)			Drill
	Mandrillus sphinx (I)			Mandrill

Annex A	Annex B	Annex C	Common name
Nasalis larvatus (I)			Proboscis monkey
Piliocolobus foai (II)			Central African red colobus
Piliocolobus gordonorum (II)			Uzungwa red colobus
Piliocolobus kirkii (I)			Zanzibar red colobus
Piliocolobus pennantii (II)			Pennant's red colobus
Piliocolobus preussi (II)			Preuss's red colobus
Piliocolobus rufomitratus (I)			Tana River red colobus
Piliocolobus tephrosceles (II)			Ugandan red colobus
Piliocolobus tholloni (II)			Thollon's red colobus
Presbytis potenziani (I)			Mentawai langur
Pygathrix spp. (I)			Douc langurs
Rhinopithecus spp. (I)			Snub-nosed monkeys
Semnopithecus ajax (I)			Kashmir grey langur
Semnopithecus dussumieri (I)			Southern Plains grey langur
Semnopithecus entellus (I)			Northern Plains grey langur
Semnopithecus hector (I)			Tarai grey langur
Semnopithecus hypoleucos (I)			Black-footed grey langur
Semnopithecus priam (I)			Tufted grey langur
Semnopithecus schistaceus (I)			Nepal grey langur
Simias concolor (I)			Simakobou
Trachypithecus delacouri (II)			Delacour's langur
Trachypithecus francoisi (II)			François's langur
Trachypithecus geei (I)			Gee's golden langur
Trachypithecus hatinhensis (II)			Hatinh langur
1	1	1	1

	Annex A	Annex B	Annex C	Common name
	Trachypithecus johnii (II)			Nilgiri langur
	Trachypithecus laotum (II)			Laotian langur
	Trachypithecus pileatus (I)			Capped langur
	Trachypithecus poliocephalus (II)			White-headed langur
	Trachypithecus shortridgei (I)			Shortridge's langur
Cheirogaleidae				Dwarf lemurs and mouse-lemurs
	Cheirogaleidae spp. (I)			Dwarf lemurs and mouse lemurs
Daubentoniidae				Aye-aye
	Daubentonia madagascariensis (I)			Aye-aye
Hominidae	Gorilla beringei (I)			Chimpanzees, gorillas, orang-utan Eastern gorilla
	Gorilla gorilla (I)			Western gorilla
	Pan spp. (I)			Chimpanzee and bonobo
	Pongo abelii (I)			Sumatran orangutan
	Pongo pygmaeus (I)			Bornean orangutan
Hylobatidae				Gibbons
	Hylobatidae spp. (I)			Gibbons
ndriidae	Indriidae spp. (I)			Indri, sifakas and woolly lemurs Indri, sifakas and woolly lemurs
Lemuridae				Large lemurs
	Lemuridae spp. (I)			Large lemurs
Lepilemuridae				Sportive lemurs
	Lepilemuridae spp. (I)			Sportive lemurs
Lorisidae				Lorises
	Nycticebus spp. (I)			Slow lorises

	Annex A	Annex B	Annex C	Common name
Pitheciidae				Uacaris, titis, sakis
	Cacajao spp. (I)			Uacaris
	Callicebus barbarabrownae (II)			Barbara Brown's Titi
	Callicebus melanochir (II)			Coastal Black-handed Titi
	Callicebus nigrifrons (II)			Black-fronted Titi
	Callicebus personatus (II)			Atlantic titi
	Chiropotes albinasus (I)			White-nosed saki
Tarsiidae				Tarsiers
	Tarsius spp. (II)			Tarsiers
PROBOSCIDEA				
Elephantidae				Elephants
	Elephas maximus (I)			Asian elephant
	Loxodonta africana (I) (Except for the populations of Botswana, Namibia, South Africa and Zimbabwe, which are included in Annex B)	Loxodonta africana (II) (Only the populations of Botswana, Namibia, South Africa and Zimbabwe (6); all other populations are included in Annex A)		African elephant
RODENTIA				
Chinchillidae				Chinchillas
	Chinchilla spp. (I) (Specimens of the domesticated form are not subject to the provisions of this Regulation)			Chinchillas
Cuniculidae				Pacas
			Cuniculus paca (III Honduras)	Lowland paca
Dasyproctidae				Agoutis
			Dasyprocta punctata (III Honduras)	Central American agouti

	Annex A	Annex B	Annex C	Common name
Erethizontidae				New-world porcupines
			Sphiggurus mexicanus (III Honduras)	Mexican hairy dwarf porcupine
			Sphiggurus spinosus (III Uruguay)	Paraguaian hairy dwarf porcupine
Hystricidae				Old-world porcupines
	Hystrix cristata			Crested porcupine
Muridae				Mice, rats
	Leporillus conditor (I)			Greater stick-nest rat
	Pseudomys fieldi praeconis (I)			Shark Bay mouse
	Xeromys myoides (I)			False water rat
	Zyzomys pedunculatus (I)			Central Australian rock rat
Sciuridae				Ground squirrels, tree squirrels
	Cynomys mexicanus (I)			Mexican prairie dog
			Marmota caudata (III India)	Long-tailed marmot
			Marmota himalayana (III India)	Himalayan marmot
		Ratufa spp. (II)		Giant squirrels
			Sciurus deppei (III Costa Rica)	Deppe's squirrel
SCANDENTIA				
		SCANDENTIA spp. (II)		Tree shrews
SIRENIA				
Dugongidae				Dugong
	Dugong dugon (I)			Dugong
Trichechidae				Manatees
	Trichechidae spp. (I/II) (<i>Trichechus inunguis</i> and <i>Trichechus manatus</i> are listed in Appendix I. <i>Trichechus senegalensis</i> is listed in Appendix II.)			Manatees

	Annex A	Annex B	Annex C	Common name
IVES				Birds
INSERIFORMES				
Anatidae				Ducks, geese, swans etc.
	Anas aucklandica (I)			Auckland Islands teal
		Anas bernieri (II)		Madagascar teal
	Anas chlorotis (I)			Brown teal
		Anas formosa (II)		Baikal teal
	Anas laysanensis (I)			Laysan duck
	Anas nesiotis (I)			Campbell Island teal
	Anas oustaleti (I)			Marianas mallard
	Anas querquedula			Garganey
	Asarcornis scutulata (I)			White-winged duck
	Aythya innotata			Madagascar pochard
	Aythya nyroca			Ferruginous duck
	Branta canadensis leucopareia (I)			Aleutian goose
	Branta ruficollis (II)			Red-breasted goose
	Branta sandvicensis (I)			Nene
			Cairina moschata (III Honduras)	Muscovy duck
		Coscoroba coscoroba (II)		Coscoroba swan
		Cygnus melancoryphus (II)		Black-necked swan
		Dendrocygna arborea (II)		West Indian whistling-duck
			Dendrocygna autumnalis (III Honduras)	Black-bellied whistling-duck
			Dendrocygna bicolor (III Honduras)	Fulvous whistling-duck
	Mergus octosetaceus			Brazilian merganser

	Annex A	Annex B	Annex C	Common name
		Oxyura jamaicensis		Ruddy duck
	Oxyura leucocephala (II)			White-headed duck
	Rhodonessa caryophyllacea (possibly extinct) (I)			Pink-headed duck
		Sarkidiornis melanotos (II)		Comb duck
	Tadorna cristata			Crested shelduck
APODIFORMES				
Trochilidae				Hummingbirds
		Trochilidae spp. (II) (Except for the species included in Annex A)		Hummingbirds
	Glaucis dohrnii (I)			Hook-billed hermit
CHARADRIIFORMES				
Burhinidae				Thick-knees
			Burhinus bistriatus (III Guatemala)	Double-striped thick-knee
Laridae				Gulls, terns
	Larus relictus (I)			Relict gull
Scolopacidae				Curlews, greenshanks
	Numenius borealis (I)			Eskimo curlew
	Numenius tenuirostris (I)			Slender-billed curlew
	Tringa guttifer (I)			Nordmann's greenshank
CICONIIFORMES				
Ardeidae				Egrets, herons
	Ardea alba			Great egret
	Bubulcus ibis			Cattle egret
	Egretta garzetta			Little egret

	Annex A	Annex B	Annex C	Common name
Balaenicipitidae				Shoebill, whale-headed stork
		Balaeniceps rex (II)		Shoebill
Ciconiidae				Storks
	Ciconia boyciana (I)			Oriental stork
	Ciconia nigra (II)			Black stork
	Ciconia stormi			Storm's stork
	Jabiru mycteria (I)			Jabiru
	Leptoptilos dubius			Greater adjutant stork
	Mycteria cinerea (I)			Milky stork
Phoenicopteridae				Flamingos
		Phoenicopteridae spp. (II) (Except for the species included in Annex A)		Flamingos
	Phoenicopterus ruber (II)			Greater flamingo
Threskiornithidae				Ibises, spoonbills
		Eudocimus ruber (II)		Scarlet ibis
	Geronticus calvus (II)			Bald ibis
	Geronticus eremita (I)			Waldrapp
	Nipponia nippon (I)			Crested ibis
	Platalea leucorodia (II)			Eurasian spoonbill
	Pseudibis gigantean			Giant ibis
COLUMBIFORMES				
Columbidae				Doves, pigeons
	Caloenas nicobarica (I)			Nicobar pigeon
	Claravis godefrida			Purple-winged ground-dove
	Columba livia			Rock pigeon

		1	1	
	Annex A	Annex B	Annex C	Common name
	Ducula mindorensis (I)			Mindoro zone-tailed pigeon
		Gallicolumba luzonica (II)		Luzon bleeding-heart
		Goura spp. (II)		Crowned-pigeons
	Leptotila wellsi			Grenada dove
			Nesoenas mayeri (III Mauritius)	Pink pigeon
	Streptopelia turtur			European turtle-dove
CORACIIFORMES				
Bucerotidae				Hornbills
		Aceros spp. (II) (Except for the species included in Annex A)		Hombills
	Aceros nipalensis (I)			Rufous-necked hornbill
		Anorrhinus spp. (II)		Hornbills
		Anthracoceros spp. (II)		Hornbills
		Berenicornis spp. (II)		Hornbills
		Buceros spp. (II) (Except for the species included in Annex A)		Hombills
	Buceros bicornis (I)			Great hornbill
		Penelopides spp. (II)		Hornbills
	Rhinoplax vigil (I)			Helmeted hornbill
		Rhyticeros spp. (II) (Except for the species included in Annex A)		Hornbills
	Rhyticeros subruficollis (I)			Plain-pouched hornbill
CUCULIFORMES				
Musophagidae				Turacos
		Tauraco spp. (II) (Except for the species included in Annex A)		Turacos

	Annex A	Annex B	Annex C	Common name
	Tauraco bannermani (II)			Bannerman's turaco
FALCONIFORMES				Diurnal birds of prey (eagles, falcons, hawks, vultures)
		FALCONIFORMES spp. (II) (Except for the species included in Annex A and for one species of the family Cathartidae included in Annex C; the other species of that family are not included in the Annexes to this Regulation)		Diurnal birds of prey
Accipitridae				Hawks, eagles
	Accipiter brevipes (II)			Levant sparrowhawk
	Accipiter gentilis (II)			Northern goshawk
	Accipiter nisus (II)			Eurasian sparrowhawk
	Aegypius monachus (II)			Cinereous vulture
	Aquila adalberti (I)			Adalbert's eagle
	Aquila chrysaetos (II)			Golden eagle
	Aquila clanga (II)			Greater spotted eagle
	Aquila heliaca (I)			Imperial eagle
	Aquila pomarina (II)			Lesser spotted eagle
	Buteo buteo (II)			Common buzzard
	Buteo lagopus (II)			Rough-legged buzzard
	Buteo rufinus (II)			Long-legged buzzard
	Chondrohierax uncinatus wilsonii	i (I)		Cuban hook-billed kite
	Circaetus gallicus (II)			Short-toed snake-eagle
	Circus aeruginosus (II)			Western marsh-harrier
	Circus cyaneus (II)			Northern harrier

	Annex A	Annex B	Annex C	Common name
	Circus macrourus (II)			Pallid harrier
	Circus pygargus (II)			Montagu's harrier
	Elanus caeruleus (II)			Black-winged kite
	Eutriorchis astur (II)			Madagascar serpent-eagle
	Gypaetus barbatus (II)			Lammergeier
	Gyps fulvus (II)			Eurasian griffon
	Haliaeetus spp. (I/II) (Haliaeetus albicilla is listed in Appendix I; the other species are listed in Appendix II)			Sea-eagles
	Harpia harpyja (I)			Harpy eagle
	Hieraaetus fasciatus (II)			Bonelli's eagle
	Hieraaetus pennatus (II)			Booted eagle
	Leucopternis occidentalis (II)			Grey-backed hawk
	Milvus migrans (II)			Black kite
	Milvus milvus (II)			Red kite
	Neophron percnopterus (II)			Egyptian vulture
	Pernis apivorus (II)			European honey-buzzard
	Pithecophaga jefferyi (I)			Great Philippine eagle
Cathartidae				New world vultures
	Gymnogyps californianus (I)			California condor
			Sarcoramphus papa (III Honduras)	King vulture
	Vultur gryphus (I)			Andean condor
Falconidae				Falcons
	Falco araeus (I)			Seychelles kestrel
	Falco biarmicus (II)			Lanner falcon

	Annex A	Annex B	Annex C	Common name
	Falco cherrug (II)			Saker falcon
	Falco columbarius (II)			Merlin
	Falco eleonorae (II)			Eleonora's falcon
	Falco jugger (I)			Laggar falcon
	Falco naumanni (II)			Lesser kestrel
	Falco newtoni (I) (Only the population of the Seychelles)			Newton's kestrel
	Falco pelegrinoides (I)			Barbary falcon
	Falco peregrinus (I)			Peregrine falcon
	Falco punctatus (I)			Mauritius kestrel
	Falco rusticolus (I)			Gyrfalcon
	Falco subbuteo (II)			Eurasian hobby
	Falco tinnunculus (II)			Common kestrel
	Falco vespertinus (II)			Red-footed falcon
Pandionidae				Ospreys
	Pandion haliaetus (II)			Osprey
GALLIFORMES				
Cracidae				
		Crax fasciolata		Bare-faced Curassow
	Crax alberti (III Colombia)			Blue-knobbed curassow
	Crax blumenbachii (I)			Red-billed curassow
			Crax daubentoni (III Colombia)	Yellow-knobbed curassow
			Crax globulosa (III Colombia)	Wattled curassow
			Crax rubra (III Colombia, Costa Rica, Guatemala and Honduras)	Great currasow

	Annex A	Annex B	Annex C	Common name
	Mitu mitu (I)			Alagoas curassow
	Oreophasis derbianus (I)			Horned guan
		<u>►C2</u> — ◀	► <u>C2</u> Ortalis vetula (III Guatemala/Honduras) ◀	Plain chachalaca
		▶ <u>C2</u> ———	► <u>C2</u> Pauxi pauxi (III Colombia) ◀	Helmeted curassow
	Penelope albipennis (I)			White-winged guan
			Penelope purpurascens (III Honduras)	Crested guan
			Penelopina nigra (III Guatemala)	Highland guan
	Pipile jacutinga (I)			Black-fronted piping guan
	Pipile pipile (I)			Trinidad piping guan
Megapodiidae				Megapodes, scrubfowl
	Macrocephalon maleo (I)			Maleo
Phasianidae				Grouse, guineafowl, partridges, pheasants, tragopans
			Arborophila campbelli (III Malaysia)	Grey-breasted partridge
			Arborophila charltonii (III Malaysia)	Chestnut-necklaced partridge
		Argusianus argus (II)		Great argus
			Caloperdix oculeus (III Malaysia)	Ferruginous partridge
	Catreus wallichii (I)			Cheer pheasant
	Colinus virginianus ridgwayi (I)			Masked bobwhite
	Crossoptilon crossoptilon (I)			White eared-pheasant
	Crossoptilon mantchuricum (I)			Brown eared-pheasant
		Gallus sonneratii (II)		Grey junglefowl
		Ithaginis cruentus (II)		Blood pheasant
	Lophophorus impejanus (I)			Himalayan monal
	ı	i i	1	1

Annex A	Annex B	Annex C	Common name
Lophophorus lhuysii (I)			Chinese monal
Lophophorus sclateri (I)			Sclater's monal
Lophura edwardsi (I)			Edwards' pheasant
		Lophura erythrophthalma (III Malaysia)	Crestless fireback
	Lophura hatinhensis		Vietnamese fireback
		Lophura ignita (III Malaysia)	Crested fireback
Lophura imperialis (I)			Imperial pheasant
Lophura swinhoii (I)			Swinhoe's pheasant
		Melanoperdix niger (III Malaysia)	Black partridge
		Meleagris ocellata (III Guatemala)	Ocellated turkey
Odontophorus strophium			Gorgeted wood-quail
Ophrysia superciliosa			Himalayan quail
	Pavo muticus (II)		Green peafowl
	Polyplectron bicalcaratum (II)		Grey peacock-pheasant
	Polyplectron germaini (II)		Germain's peacock-pheasant
		Polyplectron inopinatum (III Malaysia)	Mountain peacock-pheasant
	Polyplectron malacense (II)		Malayan peacock-pheasant
Polyplectron napoleonis (I)			Palawan peacock-pheasant
	Polyplectron schleiermacheri (II)		Bornean peacock-pheasant
Rheinardia ocellata (I)			Crested argus
		Rhizothera dulitensis (III Malaysia)	Hose's partridge
		Rhizothera longirostris (III Malaysia)	Long-billed partridge
		Rollulus rouloul (III Malaysia)	Crested partridge

	Annex A	Annex B	Annex C	Common name
	Syrmaticus ellioti (I)			Elliot's pheasant
	Syrmaticus humiae (I)			Hume's pheasant
	Syrmaticus mikado (I)			Mikado pheasant
	Tetraogallus caspius (I)			Caspian snowcock
	Tetraogallus tibetanus (I)			Tibetan snowcock
	Tragopan blythii (I)			Blyth's tragopan
	Tragopan caboti (I)			Cabot's tragopan
	Tragopan melanocephalus (I)			Western tragopan
			Tragopan satyra (III Nepal)	Satyr tragopan
	Tympanuchus cupido attwateri (I)			Attwater's prairie-chicken
GRUIFORMES				
Gruidae				Cranes
		Gruidae spp. (II) (Except for the species included in Annex A)		Cranes
	Grus americana (I)			Whooping crane
	Grus canadensis (I/II) (The species is listed in Appendix II but subspecies Grus canadensis nesiotes and Grus canadensis pulla are listed in Appendix I)			Sandhill crane
	Grus grus (II)			Common crane
	Grus japonensis (I)			Red-crowned crane
	Grus leucogeranus (I)			Siberian crane
	Grus monacha (I)			Hooded crane
	Grus nigricollis (I)			Black-necked crane
	Grus vipio (I)			White-necked crane

	Annex A	Annex B	Annex C	Common name
Otididae				Bustards
		Otididae spp. (II) (Except for the species included in Annex A)		Bustards
	Ardeotis nigriceps (I)			Indian bustard
	Chlamydotis macqueenii (I)			Macqueen's bustard
	Chlamydotis undulata (I)			Houbara bustard
	Houbaropsis bengalensis (I)			Bengal florican
	Otis tarda (II)			Great bustard
	Sypheotides indicus (II)			Lesser florican
	Tetrax tetrax (II)			Little bustard
Rallidae				Coots, rails
	Gallirallus sylvestris (I)			Lord Howe rail
Rhynochetidae				Kagu
	Rhynochetos jubatus (I)			Kagu
PASSERIFORMES				
Atrichornithidae				Scrub-birds
	Atrichornis clamosus (I)			Noisy scrub-bird
Cotingidae				Cotingas
			Cephalopterus ornatus Colombia)	(III Amazonian umbrella bird
			Cephalopterus penduliger Colombia)	(III Long-wattled umbrella bird
	Cotinga maculata (I)			Banded cotinga
		Rupicola spp. (II)		Cocks-of-the-rock
	Xipholena atropurpurea (I)			White-winged cotinga

	Annex A	Annex B	Annex C	Common name
Emberizidae				Cardinals, tanagers
		Gubernatrix cristata (II)		Yellow cardinal
		Paroaria capitata (II)		Yellow-billed cardinal
		Paroaria coronata (II)		Red-crested cardinal
		Tangara fastuosa (II)		Seven-coloured tanager
Estrildidae				Mannikins, waxbills
		Amandava formosa (II)		Green avadavat
		Lonchura fuscata		Timor sparrow
		Lonchura oryzivora (II)		Java sparrow
		Poephila cincta cincta (II)		Southern black-throated finch
Fringillidae				Finches
	Carduelis cucullata (I)			Red siskin
		Carduelis yarrellii (II)		Yellow-faced siskin
Hirundinidae				Martins
	Pseudochelidon sirintarae (I)			White-eyed river-martin
Icteridae				New-world blackbirds
	Xanthopsar flavus (I)			Saffron-cowled blackbird
Meliphagidae				Honey-eaters
	Lichenostomus melanops cassidix (I			Helmeted honeyeater
Muscicapidae				Old-world flycatchers
	Acrocephalus rodericanus (II Mauritius)	I		Rodrigues brush-warbler
		Cyornis ruckii (II)		Rueck's blue-flycatcher
	Dasyornis broadbenti litorali. (possibly extinct) (I)	S		Western rufous bristlebird

	Annex A	Annex B	Annex C	Common name
	Dasyornis longirostris (I)			Western bristlebird
		Garrulax canorus (II)		Melodious laughingthrush
		Leiothrix argentauris (II)		Silver-eared mesia
		Leiothrix lutea (II)		Red-billed leiothrix
		Liocichla omeiensis (II)		Omei Shan liocichla
	Picathartes gymnocephalus (I)			White-necked rockfowl
	Picathartes oreas (I)			Grey-necked rockfowl
			Terpsiphone bourbonnensis (III Mauritius)	Mascarene paradise-flycatcher
Paradisaeidae				Birds of paradise
		Paradisaeidae spp. (II)		Birds of paradise
Pittidae				Pittas
		Pitta guajana (II)		Banded pitta
	Pitta gurneyi (I)			Gurney's pitta
	Pitta kochi (I)			Whiskered pitta
		Pitta nympha (II)		Fairy pitta
Pycnonotidae				Bulbuls
		Pycnonotus zeylanicus (II)		Straw-headed bulbul
Sturnidae				Mynas
		Gracula religiosa (II)		Hill myna
	Leucopsar rothschildi (I)			Bali myna
Zosteropidae				White-eyes
	Zosterops albogularis (I)			White-chested white-eye
PELECANIFORMES				
Fregatidae				Frigatebirds
	Fregata andrewsi (I)			Christmas frigatebird

	Annex A	Annex B	Annex C	Common name
Pelecanidae				Pelicans
	Pelecanus crispus (I)			Dalmatian pelican
Sulidae				Boobies
	Papasula abbotti (I)			Abbott's booby
PICIFORMES				
Capitonidae				Barbets
			Semnornis ramphastinus (III Colombia)	Toucan barbet
Picidae				Woodpeckers
	Campephilus imperialis (I)			Imperial woodpecker
	Dryocopus javensis richardsi (I)			Tristram's woodpecker
Ramphastidae				Toucans
			Baillonius bailloni (III Argentina)	Saffron toucanet
		Pteroglossus aracari (II)		Black-necked aracari
			Pteroglossus castanotis (III Argentina)	Chestnut-eared aracari
		Pteroglossus viridis (II)		Green aracari
			Ramphastos dicolorus (III Argentina)	Red-breasted toucan
		Ramphastos sulfuratus (II)		Keel-billed toucan
		Ramphastos toco (II)		Toco toucan
		Ramphastos tucanus (II)		Red-billed toucan
		Ramphastos vitellinus (II)		Channel-billed toucan
			Selenidera maculirostris (III Argentina)	Spot-billed toucanet

	Annex A	Annex B	Annex C	Common name
PODICIPEDIFORMES				
Podicipedidae				Grebes
	Podilymbus gigas (I)			Atitlan Grebe
PROCELLARIIFORMES				
Diomedeidae				Albatrosses
	Phoebastria albatrus (I)			Short-tailed albatross
PSITTACIFORMES				Cockatoos, lories, macaws, parakeets, parrots etc.
		PSITTACIFORMES spp. (II) (Except for the species included in Annex A and excluding Agapornis roseicollis, Melopsittacus undulatus, Nymphicus hollandicus and Psittacula krameri, which are not included in the Annexes to this Regulation)		Parrots, etc.
Cacatuidae				Cockatoos
	Cacatua goffini (I)			Tanimbar cockatoo
	Cacatua haematuropygia (I)			Philippine cockatoo
	Cacatua moluccensis (I)			Salmon-crested cockatoo
	Cacatua sulphurea (I)			Yellow-crested cockatoo
	Probosciger aterrimus (I)			Palm cockatoo
Loriidae				Lories, lorikeets
	Eos histrio (I)			Red and blue lory
	Vini spp. (I/II) (Vini ultramarina is listed in Appendix I, the other species are listed in Appendix II)			Blue lorikeets
Psittacidae				Amazons, macaws, parakeets, parrots
	Amazona arausiaca (I)			Red-necked parrot

Annex A	Annex B	Annex C	Common name
Amazona auropalliata (I)			Yellow-naped parrot
Amazona barbadensis (I)			Yellow-shouldered parrot
Amazona brasiliensis (I)			Red-tailed parrot
Amazona finschi (I)			Lilac-crowned parrot
Amazona guildingii (I)			St Vincent parrot
Amazona imperialis (I)			Imperial parrot
Amazona leucocephala (I)			Cuban parrot
Amazona oratrix (I)			Yellow-headed parrot
Amazona pretrei (I)			Red-spectacled parrot
Amazona rhodocorytha (I)			Red-browed parrot
Amazona tucumana (I)			Tucuman parrot
Amazona versicolor (I)			Saint Lucia parrot
Amazona vinacea (I)			Vinaceous parrot
Amazona viridigenalis (I)			Green-cheeked parrot
Amazona vittata (I)			Puerto Rican parrot
Anodorhynchus spp. (I)			Blue macaws
Ara ambiguus (I)			Great green macaw
Ara glaucogularis (I)			Blue-throated macaw
Ara macao (I)			Scarlet macaw
Ara militaris (I)			Military macaw
Ara rubrogenys (I)			Red-fronted macaw
Cyanopsitta spixii (I)			Spix's macaw
Cyanoramphus cookii (I)			Norfolk Island parakeet
Cyanoramphus forbesi (I)			Chatham Island yellow-from parakeet

	Annex A	Annex B	Annex C	Common name
	Cyanoramphus novaezelandiae (I)			Red-fronted parakeet
	Cyanoramphus saisseti (I)			Red-crowned parakeet
	Cyclopsitta diophthalma coxeni (I)			Coxen's double-eyed fig parrot
	Eunymphicus cornutus (I)			Horned parakeet
	Guarouba guarouba (I)			Golden parakeet
	Neophema chrysogaster (I)			Orange-bellied parrot
	Ognorhynchus icterotis (I)			Yellow-eared parrot
	Pezoporus occidentalis (possibly extinct) (I)			Night parrot
	Pezoporus wallicus (I)			Ground parrot
	Pionopsitta pileata (I)			Pileated parrot
	Primolius couloni (I)			Blue-headed macaw
	Primolius maracana (I)			Blue-winged macaw
	Psephotus chrysopterygius (I)			Golden-shouldered parrot
	Psephotus dissimilis (I)			Hooded parrot
	Psephotus pulcherrimus (possibly extinct) (I)			Paradise parrot
	Psittacula echo (I)			Mauritius parakeet
	Pyrrhura cruentata (I)			Blue-throated parakeet
	Rhynchopsitta spp. (I)			Thick-billed parrots
	Strigops habroptilus (I)			Kakapo
RHEIFORMES				
Rheidae				Rheas
	Pterocnemia pennata (I) (Except Pterocnemia pennata pennata which is included in Annex B)			Lesser rhea

	Annex A	Annex B	Annex C	Common name
		Pterocnemia pennata pennata (II)		Lesser rhea
		Rhea americana (II)		Greater rhea
SPHENISCIFORMES				
Spheniscidae				Penguins
		Spheniscus demersus (II)		Jackass penguin
	Spheniscus humboldti (I)			Humboldt penguin
STRIGIFORMES				Owls
		STRIGIFORMES spp. (II) (Except for the species included in Annex A)		Owls
Strigidae				Owls
	Aegolius funereus (II)			Boreal owl
	Asio flammeus (II)			Short-eared owl
	Asio otus (II)			Long-eared owl
	Athene noctua (II)			Little owl
	Bubo bubo (II)			Eurasian eagle-owl
	Glaucidium passerinum (II)			Eurasian pygmy-owl
	Heteroglaux blewitti (I)			Forest owlet
	Mimizuku gurneyi (I)			Lesser eagle-owl
	Ninox natalis (I)			Christmas hawk-owl
	Ninox novaeseelandiae undulata (I)			Norfolk boobook
	Nyctea scandiaca (II)			Snowy owl
	Otus ireneae (II)			Sokoke scops-owl
	Otus scops (II)			Eurasian scops-owl
	Strix aluco (II)			Tawny owl
	Strix nebulosa (II)			Great grey owl

	Annex A	Annex B	Annex C	Common name
	Strix uralensis (II) Surnia ulula (II)			Ural owl Northern hawk owl
Tytonidae				Barn owls
	Tyto alba (II)			Barn owl
	Tyto soumagnei (I)			Soumagne's owl
STRUTHIONIFORMES				
Struthionidae				Ostrich
	Struthio camelus (I) (Only the populations of Algeria, Burkina Faso, Cameroon, the Central African Republic, Chad, Mali, Mauritania, Morocco, the Niger, Nigeria, Senegal and the Sudan; all other populations are not included in the Annexes to this Regulation)			Ostrich
TINAMIFORMES				
Tinamidae				Tinamous
	Tinamus solitarius (I)			Solitary tinamou
TROGONIFORMES				
Trogonidae				Quetzals
	Pharomachrus mocinno (I)			Resplendent quetzal
REPTILIA				Reptiles
CROCODYLIA				Alligators, caimans, crocodiles
		CROCODYLIA spp. (II) (Except for the species included in Annex A)		Alligators, caimans, crocodiles
Alligatoridae				Alligators, caimans
	Alligator sinensis (I)			Chinese alligator
	Caiman crocodilus apaporiensis (I)			Rio Apaporis spectacled caiman

	Annex A	Annex B	Annex C	Common name
	Caiman latirostris (I) (Except for the population of Argentina, which is included in Annex B)			Broad-nosed caiman
	Melanosuchus niger (I) (Except for the population of Brazil, which is included in Annex B, and population of Ecuador, which is included in Annex B and is subject to a zero annual export quota until an annual export quota has been approved by the CITES Secretariat and the IUCN/SSC Crocodile Specialist Group)			Black caiman
Crocodylidae				Crocodiles
	Crocodylus acutus (I) (Except for the population of Cuba, which is included in Annex B)			American crocodile
	Crocodylus cataphractus (I)			African slender-snouted crocodile
	Crocodylus intermedius (I)			Orinoco crocodile
	Crocodylus mindorensis (I)			Philippine crocodile
	Crocodylus moreletii (I)			Morelet's crocodile
	Crocodylus niloticus (I) (Except for the populations of Botswana, Ethiopia, Kenya, Madagascar, Malawi, Mozambique, Namibia, South Africa, Uganda, the United Republic of Tanzania [subject to an annual export quota of no more than 1 600 wild specimens including hunting trophies, in addition to ranched specimens], Zambia and Zimbabwe; these populations are included in Annex B)			Nile crocodile
	Crocodylus palustris (I)			Mugger crocodile
				Estuarine crocodile

	Annex A	Annex B	Annex C	Common name
	Crocodylus porosus (I) (Except for the populations of Australia Indonesia and Papua New Guinea which are included in Annex B)	a,		
	Crocodylus rhombifer (I)			Cuban crocodile
	Crocodylus siamensis (I)			Siamese crocodile
	Osteolaemus tetraspis (I)			West African dwarf crocodile
	Tomistoma schlegelii (I)			False gharial
Gavialidae				Gavial or gharial
	Gavialis gangeticus (I)			Gharial
RHYNCHOCEPHALIA				
Sphenodontidae				Tuataras
	Sphenodon spp. (I)			Tuataras
SAURIA				
Agamidae				Spiny-tailed lizards (Agamas, mastigures)
		Uromastyx spp. (II)		Spiny-tailed lizards
Chamaeleonidae				Chameleons
		Bradypodion spp. (II)		Dwarf chameleons
		Brookesia spp. (II) (Except for the species included in Annex A)		Dwarf chameleons
	Brookesia perarmata (I)			Dwarf spiny chameleon
		Calumma spp. (II)		Madagascar chameleons
		Chamaeleo spp. (II) (Except for the species included in Annex A)		Chameleons
	Chamaeleo chamaeleon (II)			European chameleon
		Furcifer spp. (II)		Madagascar chameleons

	Annex A	Annex B	Annex C	Common name
Cordylidae				Spiny-tailed lizards
		Cordylus spp. (II)		Girdled lizards
Gekkonidae				Geckos
		Cyrtodactylus serpensinsula (II)		Serpent Island gecko
			Hoplodactylus spp. (III New Zealand)	Sticky-toed geckos
			Naultinus spp. (III New Zealand)	New Zealand tree geckos
		Phelsuma spp. (II) (Except for the species included in Annex A)		Day geckos
	Phelsuma guentheri (II)			Round Island day gecko
		Uroplatus spp. (II)		Flat-tailed geckos
Helodermatidae				Gila monster and beaded lizard
		Heloderma spp. (II) (Except for the subspecies included in Annex A)		Gila monster and beaded lizard
	Heloderma horridum charlesbogerti (I)			Guatemalan beaded lizard
Iguanidae				Iguanas
		Amblyrhynchus cristatus (II)		Galapagos marine iguana
	Brachylophus spp. (I)			Fiji iguanas
		Conolophus spp. (II)		Galapagos land iguanas
	Cyclura spp. (I)			Ground iguanas
		Iguana spp. (II)		Iguanas
		Phrynosoma coronatum (II)		Coast horned lizard
	Sauromalus varius (I)			San Esteban Island chuckwalla
Lacertidae				Lizards
	Gallotia simonyi (I)			Hierro giant lizard

	Annex A	Annex B	Annex C	Common name
	Podarcis lilfordi (II)			Lilford's wall lizard
	Podarcis pityusensis (II)			Ibiza wall lizard
cincidae	The same of the sa			Skinks
		Corucia zebrata (II)		Prehensile-tailed skink
'eiidae		, ,		Caiman lizards, tegu lizards
		Crocodilurus amazonicus (II)		Dragon lizard
		Dracaena spp. (II)		Caiman lizards
		Tupinambis spp. (II)		Tegus
/aranidae				Monitor lizards
		Varanus spp. (II) (Except for the species included in Annex A)		Monitor lizards
	Varanus bengalensis (I)			Indian monitor
	Varanus flavescens (I)			Yellow monitor
	Varanus griseus (I)			Desert monitor
	Varanus komodoensis (I)			Komodo dragon
	Varanus nebulosus (I)			Clouded monitor
	Varanus olivaceus (II)			Gray's monitor
Cenosauridae				Chinese crocodile lizard
		Shinisaurus crocodilurus (II)		Chinese crocodile lizard
ERPENTES				Snakes
oidae				Boas
		Boidae spp. (II) (Except for the species included in Annex A)		Boas
	Acrantophis spp. (I)			Madagascar ground boas
	Boa constrictor occidentalis (I)			Argentine boa constrictor

	Annex A	Annex B	Annex C	Common name
	Epicrates inornatus (I) Epicrates monensis (I)			Puerto Rican boa Virgin Island tree boa
	Epicrates subflavus (I)			Jamaican boa
	Eryx jaculus (II)			Spotted sand boa
	Sanzinia madagascariensis (I)			Madagascar tree boa
Bolyeriidae				Round Island boas
		Bolyeriidae spp. (II) (Except for the species included in Annex A)		Round Island boas
	Bolyeria multocarinata (I)			Round Island boa
	Casarea dussumieri (I)			Round Island keel-scaled boa
Colubridae				Typical snakes, water snakes, whip snakes
			Atretium schistosum (III India)	Olive keel-back
			Cerberus rynchops (III India)	Dog-faced water snake
		Clelia clelia (II)		Mussurana
		Cyclagras gigas (II)		False cobra
		Elachistodon westermanni (II)		Indian egg-eating snake
		Ptyas mucosus (II)		Common rat snake
			Xenochrophis piscator (III India)	Checkered keel-back
Elapidae				Cobras, coral snakes
		Hoplocephalus bungaroides (II)		Broad-headed snake
			Micrurus diastema (III Honduras)	Atlantic coral snake
			Micrurus nigrocinctus (III Honduras)	Central American coral snake
		Naja atra (II)		Chinese spitting cobra
		Naja kaouthia (II)		Monocellate cobra

	Annex A	Annex B	Annex C	Common name
		Naja mandalayensis (II)		Burmese spitting cobra
		Naja naja (II)		Indian cobra
		Naja oxiana (II)		Central Asian cobra
		Naja philippinensis (II)		North Philippine spitting cobra
		Naja sagittifera (II)		Andaman cobra
		Naja samarensis (II)		South-east Philippine spitting cobra
		Naja siamensis (II)		Indochinese spitting cobra
		Naja sputatrix (II)		South Indonesian spitting cobra
		Naja sumatrana (II)		Golden spitting cobra
		Ophiophagus hannah (II)		King cobra
Loxocemidae				Mexican dwarf boa
		Loxocemidae spp. (II)		Mexican dwarf boa
Pythonidae				Pythons
		Pythonidae spp. (II) (Except for the subspecies included in Annex A)		Pythons
	Python molurus molurus (I)			Indian python
Гropidophiidae				Wood boas
		Tropidophiidae spp. (II)		Wood boas
Viperidae				Vipers
			Crotalus durissus (III Honduras)	Neotropical rattlesnake
		Crotalus durissus unicolor		Aruba rattlesnake
			Daboia russelii (III India)	Russell's viper
	Vipera latifii			Latifi's viper
	Vipera ursinii (I) (Only the population of Europe, except the area which formerly constituted the			Orsini's viper

	Annex A	Annex B	Annex C	Common name
	USSR; these latter populations are not included in the Annexes to this Regulation)			
		Vipera wagneri (II)		Wagner's viper
ESTUDINES				
arettochelyidae				Pig-nosed turtles
		Carettochelys insculpta (II)		Pig-nosed turtle
helidae				Austro-American sideneck turtles
		Chelodina mccordi (II)		Roti snake-necked turtle
	Pseudemydura umbrina (I)			Western swamp turtle
heloniidae				Sea turtles
	Cheloniidae spp. (I)			Sea turtles
helydridae				Snapping turltes
			Macrochelys temminckii (III United States of America)	Alligator snapping turtle
ermatemydidae				Central American river turtle
		Dermatemys mawii (II)		Central American river turtle
ermochelyidae				Leatherback turtle
	Dermochelys coriacea (I)			Leatherback turtle
mydidae				Box turtles, freshwater turtles
		Chrysemys picta		Painted turtle
		Glyptemys insculpta (II)		Wood turtle
	Glyptemys muhlenbergii (I)			Bog turtle
			Graptemys spp. (III United States of America)	Map turtles
		Terrapene spp. (II) (Except for the species included in Annex A)		Box turtles

	Annex A	Annex B	Annex C	Common name
	Terrapene coahuila (I)			Aquatic box turtle
		Trachemys scripta elegans		Red-eared terrapin
eoemydidae				
	Batagur baska (I)			Batagur
		Callagur borneoensis (II)		Painted terrapin
		Cuora spp. (II)		Asian box turtles
	Geoclemys hamiltonii (I)			Black pond turtle
			Geoemyda spengleri (III China)	Black-breasted leaf turtle
		Heosemys annandalii (II)		Yellow-headed temple turtle
		Heosemys depressa (II)		Arakan forest turtle
		Heosemys grandis (II)		Giant Asian turtle
		Heosemys spinosa (II)		Spiny turtle
		Kachuga spp. (II)		Roofed turtles
		Leucocephalon yuwonoi (II)		Sulawesi forest turtle
		Malayemys macrocephala (II)		Snail-eating turtle
		Malayemys subtrijuga (II)		Ricefield turtle
		Mauremys annamensis (II)		Annam pond turtle
			Mauremys iversoni (III China)	Fujian pond turtle
			Mauremys megalocephala (III China)	Big-headed pond turtle
		Mauremys mutica (II)		Yellow pond turtle
			Mauremys nigricans (III China)	Red-necked turtle
			Mauremys pritchardi (III China)	Pritchard's pond turtle
			Mauremys reevesii (III China)	Reeves's turtle

	Annex A	Annex B	Annex C	Common name
			Mauremys sinensis (III China)	Chinese stripe-necked turtle
	Melanochelys tricarinata (I)			Three-keeled land tortoise
	Morenia ocellata (I)			Burmese swamp turtle
		Notochelys platynota (II)		Malayan flat-shelled turtle
			Ocadia glyphistoma (III China)	Notch-mouthed stripe-necked turtle
			Ocadia philippeni (III China)	Philippen's stripe-necked turtle
		Orlitia borneensis (II)		Malayan giant turtle
		Pangshura spp. (Except for the species included in Annex A)		Roofed turtles
	Pangshura tecta (I)			Indian roofed turtle
			Sacalia bealei (III China)	Beal's eyed turtle
			Sacalia pseudocellata (III China)	Chinese false-eyed turtle
			Sacalia quadriocellata (III China)	Four-eyed turtle
		Siebenrockiella crassicollis (II)		Black marsh turtle
		Siebenrockiella leytensis (II)		Philippine pond turtle
Platysternidae				Big-headed turtle
		Platysternon megacephalum (II)		Big-headed turtle
Podocnemididae				Afro-American sideneck turtles
		Erymnochelys madagascariensis (II)		Madagascar sideneck turtle
		Peltocephalus dumerilianus (II)		Big-headed sideneck turtle
		Podocnemis spp. (II)		Sideneck turtles
Testudinidae				Tortoises
		Testudinidae spp. (II) (Except for the species included in Annex A; a zero annual export quota has been established for <i>Geochelone sulcata</i> for		Tortoises

			I	
	Annex A	Annex B	Annex C	Common name
		specimens removed from the wild and traded for primarily commercial purposes.)		
	Astrochelys radiata (I)			Radiated tortoise
	Astrochelys yniphora (I)			Angonoka
	Chelonoidis nigra (I)			Galapagos giant tortoise
	Gopherus flavomarginatus (I)			Bolson tortoise
	Malacochersus tornieri (II)			Pancake tortoise
	Psammobates geometricus (I)			Geometric tortoise
	Pyxis arachnoides (I)			Madagascar spider tortoise
	Pyxis planicauda (I)			Madagascar flat-shelled tortoise
	Testudo graeca (II)			Spur-thighed tortoise
	Testudo hermanni (II)			Hermann's tortoise
	Testudo kleinmanni (I)			Egyptian tortoise
	Testudo marginata (II)			Marginated tortoise
rionychidae				Softshell turtles, terrapins
		Amyda cartilaginea (II)		Southeast Asian soft-shelled turtle
	Apalone spinifera atra (I)			Cuatro Cienagas soft-shell turtle
	Aspideretes gangeticus (I)			Indian soft-shell turtle
	Aspideretes hurum (I)			Peacock soft-shell turtle
	Aspideretes nigricans (I)			Black soft-shell turtle
		Chitra spp. (II)		Narrow-headed softshell turtles
		Lissemys punctata (II)		Indo-Gangetic flapshell turtle
		Lissemys scutata (II)		Burmese flapshell turtle
			Palea steindachneri (III China)	Wattle-necked softshell turtle
		Pelochelys spp. (II)		Giant softshell turtles

			I	1
	Annex A	Annex B	Annex C	Common name
			Pelodiscus axenaria (III China)	Hunan softshell turtle
			Pelodiscus maackii (III China)	Amur softshell turtle
			Pelodiscus parviformis (III China)	Chinese softshell turtle
			Rafetus swinhoei (III China)	Yangtze softshell turtle
AMPHIBIA				Amphibians
ANURA				Frogs and toads
Bufonidae				Toads
	Altiphrynoides spp. (I)			Malcolm's Ethiopian toad
	Atelopus zeteki (I)			Golden frog
	Bufo periglenes (I)			Golden toad
	Bufo superciliaris (I)			Cameroon toad
	Nectophrynoides spp. (I)			African viviparous toads
	Nimbaphrynoides spp. (I)			Nimba toads
	Spinophrynoides spp. (I)			Osgood's Ethiopian toad
Dendrobatidae				Poison frogs
		Allobates femoralis (II)		Brilliant-thighed poison frog
		Allobates zaparo (II)		Sanguine poison frog
		Cryptophyllobates azureiventris (II)		Sky-blue poison frog
		Dendrobates spp. (II)		Poison-arrow frogs
		Epipedobates spp. (II)		Poison-arrow frogs
		Phyllobates spp. (II)		Poison-arrow frogs
Mantellidae				Mantella frogs
		Mantella spp. (II)		Mantella frogs

	Annex A	Annex B	Annex C	Common name
Microhylidae				Tomato frogs
	Dyscophus antongilii (I)			Tomato frog
		Scaphiophryne gottlebei (II)		Red rain frog
Ranidae				Frogs
		Conraua goliath		Goliath frog
		Euphlyctis hexadactylus (II)		Six-fingered frog
		Hoplobatrachus tigerinus (II)		Tiger frog
		Rana catesbeiana		American bullfrog
Rheobatrachidae				Gastric brooding frogs
		Rheobatrachus spp. (II) (Except for the species included in Annex A)		Gastric brooding frog
	Rheobatrachus silus (II)			Platypus frog
CAUDATA				
Ambystomatidae				Axolotls
		Ambystoma dumerilii (II)		Lake Patzcuaro salamander
		Ambystoma mexicanum (II)		Axolotl
Cryptobranchidae				Giant salamanders
	Andrias spp. (I)			Giant salamanders
ELASMOBRANCHII				Sharks and rays
LAMNIFORMES				
Cetorhinidae				Basking sharks
		Cetorhinus maximus (II)		Basking shark
Lamnidae				Great white shark
		Carcharodon carcharias (II)		Great white shark

	Annex A	Annex B	Annex C	Common name
ORECTOLOBIFORMES				
Rhincodontidae				Whale sharks
		Rhincodon typus (II)		Whale shark
RAJIFORMES				
Pristidae				Sawfishes
	Pristidae spp. (I) (Except for the species included in Annex B)			Sawfishes
		Pristis microdon (II) (For the exclusive purpose of allowing international trade in live animals to appropriate and acceptable aquaria for primarily conservation purposes. All other specimens shall be deemed to be specimens of species included in Annex A and the trade in them shall be regulated accordingly)		Freshwater sawfish
ACTINOPTERYGII				Fish
ACIPENSERIFORMES				
		ACIPENSERIFORMES spp. (II) (Except for the species included in Annex A)		Sturgeons and paddlefish
Acipenseridae				Sturgeons
	Acipenser brevirostrum (I)			Shortnose sturgeon
	Acipenser sturio (I)			Common sturgeon
ANGUILLIFORMES				
Anguillidae				Freshwater eels
		Anguilla anguilla (II) (This inclusion will enter into force on 13 March 2009)		European eel

	Annex A	Annex B	Annex C	Common name
CYPRINIFORMES				
Catostomidae				Cui-ui
	Chasmistes cujus (I)			Cui-ui
Cyprinidae				Blind carps, plaeesok
		Caecobarbus geertsi (II)		African blind barb fish
	Probarbus jullieni (I)			Ikan temoleh
OSTEOGLOSSIFORMES				
Osteoglossidae				Arapaimas, bonytongues
		Arapaima gigas (II)		Arapaima
	Scleropages formosus (I)			Asian arowana
PERCIFORMES				
Labridae				Wrasses
		Cheilinus undulatus (II)		Humphead wrasse
Sciaenidae				Totoabas
	Totoaba macdonaldi (I)			Totoaba
SILURIFORMES				
Pangasiidae				Pangasid catfish
	Pangasianodon gigas (I)			Giant catfish
SYNGNATHIFORMES				
Syngnathidae				Pipefishes, seahorses
		Hippocampus spp. (II)		Seahorses
SARCOPTERYGII				Lungfishes
CERATODONTIFORMES				
Ceratodontidae				Australian lungfishes
		Neoceratodus forsteri (II)		Australian lungfish

	Annex A	Annex B	Annex C	Common name
COELACANTHIFORMES				
Latimeriidae				Coelacanths
	Latimeria spp. (I)			Coelacanths
	ECHINODERMATA (STARF	ISH, BRITTLE STARS, SEA URCHIN	NS AND SEA CUCUMBERS)	
HOLOTHUROIDEA				Sea cucumbers
ASPIDOCHIROTIDA				
Stichopodidae				Sea cucumbers
			Isostichopus fuscus (III Ecuador)	Brown sea cucumber
		ARTHROPODA (ARTHROPODS)		
ARACHNIDA				Spiders and scorpions
ARANEAE				
Theraphosidae				Red-kneed tarantulas, tarantulas
		Aphonopelma albiceps (II)		
		Aphonopelma pallidum (II)		Chihuahua rose-grey tarantula
		Brachypelma spp. (II)		Central American tarantulas
SCORPIONES				
Scorpionidae				Scorpions
		Pandinus dictator (II)		
		Pandinus gambiensis (II)		Giant Senegalese scorpion
		Pandinus imperator (II)		Emperor scorpion
INSECTA				Insects
COLEOPTERA				Beetles
Lucanidae				Stag beetles
			Colophon spp. (III South Africa)	Cape stag beetles

	Annex A	Annex B	Annex C	Common name
LEPIDOPTERA				Butterflies
Papilionidae				Birdwing and swallowtail butterflies
		Atrophaneura jophon (II)		Sri Lankan rose
		Atrophaneura palu		Palu swallowtail butterfly
		Atrophaneura pandiyana (II)		Malabar rose
		Bhutanitis spp. (II)		Swallowtail butterflies
		Graphium sandawanum		Apo swallowtail butterfly
		Graphium stresemanni		Seram swallowtail
		Ornithoptera spp. (II) (except for the species included in Annex A)		Birdwing butterflies
	Ornithoptera alexandrae (I)			Queen Alexandra's birdwing
		Papilio benguetanus		
	Papilio chikae (I)			Luzon peacock swallowtail
		Papilio esperanza		
	Papilio homerus (I)			Homerus swallowtail
	Papilio hospiton (I)			Corsican swallowtail
		Papilio morondavana		Madagascan emperor swallowtail
		Papilio neumoegeni		
		Parides ascanius		Fluminense swallowtail butterfly
		Parides hahneli		Hahnel's amazonian swallowtail butterfly
	Parnassius apollo (II)			Mountain apollo
		Teinopalpus spp. (II)		Kaiser-I-Hind butterflies
		Trogonoptera spp. (II)		Birdwing butterflies
		Troides spp. (II)		Birdwing butterflies

	Annex A	Annex B	Annex C	Common name
	ANNELI	DA (SEGMENTED WORMS AND LEECHI	ES)	
HIRUDINOIDEA				Leeches
ARHYNCHOBDELLIDA				
Hirudinidae				Leeches
		Hirudo medicinalis (II)		Medicinal leech
	'	MOLLUSCA (MOLLUSCS)		'
BIVALVIA				Bivalve molluscs (clams, mussels etc.)
MYTILOIDA				
Mytilidae				Marine mussels
		Lithophaga lithophaga (II)		European date mussel
UNIONOIDA				
Unionidae				Freshwater mussels, pearly mussels
	Conradilla caelata (I)			Birdwing pearly mussel
		Cyprogenia aberti (II)		Western fanshell mussel
	Dromus dromas (I)			Dromedary pearly mussel
	Epioblasma curtisii (I)			Curtis' pearly mussel
	Epioblasma florentina (I)			Yellow-blossom pearly mussel
	Epioblasma sampsonii (I)			Wabash riffleshell
	Epioblasma sulcata perobliqua (I)			White catspaw mussel
	Epioblasma torulosa guberna- culum (I)			Green-blossom pearly mussel
		Epioblasma torulosa rangiana (II)		Northern riffleshell
	Epioblasma torulosa torulosa (I)			Turbercled-blossom pearly mussel
	Epioblasma turgidula (I)			Turgid-blossom pearly mussel

Annex B

Annex C

Common name

Annex A

	Annex A	Annex B	Annex C	Common name
	Epioblasma walkeri (I)			Tan riffleshell
	Fusconaia cuneolus (I)			Fine-rayed pigtoe pearly mussel
	Fusconaia edgariana (I)			Shiny pigtoe pearly mussel
	Lampsilis higginsii (I)			Higgins' eye pearly mussel
	Lampsilis orbiculata orbiculata (I)			Pink mucket pearly mussel
	Lampsilis satur (I)			Sandback pocketbook mussel
	Lampsilis virescens (I)			Alabama lamp pearly mussel
	Plethobasus cicatricosus (I)			White warty-back pearly mussel
	Plethobasus cooperianus (I)			Orange-footed pimpleback mussel
		Pleurobema clava (II)		Clubshell pearly mussel
	Pleurobema plenum (I)			Rough pigtoe pearly mussel
	Potamilus capax (I)			Fat pocketbook pearly mussel
	Quadrula intermedia (I)			Cumberland monkey-face pearly mussel
	Quadrula sparsa (I)			Appalachian monkey-face pearly mussel
	Toxolasma cylindrellus (I)			Pale lilliput pearly mussel
	Unio nickliniana (I)			Nicklin's pearly mussel
	Unio tampicoensis tecomatensis (I)			Tampico pearly mussel
	Villosa trabalis (I)			Cumberland bean pearly mussel
VENEROIDA				
Tridacnidae				Giant clams
		Tridacnidae spp. (II)		Giant clams
GASTROPODA				Slugs, snails and conches

ARCHAEOGASTROPODA				
Haliotidae				Abalones
			Haliotis midae (III South Africa)	Midas ear abalone
MESOGASTROPODA				
Strombidae				Conches
		Strombus gigas (II)		Queen conch
STYLOMMATOPHORA				
Achatinellidae				Agate snails, oahu tree snails
	Achatinella spp. (I)			Little agate shells
Camaenidae				Green tree snail
		Papustyla pulcherrima (II)		Manus green tree snail
	CNIDARIA	' . (CORALS, FIRE CORALS, SEA AN	EMONES)	'
ANTHOZOA				Corals, sea anemones
ANTIPATHARIA				
		ANTIPATHARIA spp. (II)		Black corals
GORGONACEAE				
Coralliidae			Corallium elatius (III China)	
			Corallium japonicum (III China)	
			Corallium konjoi (III China)	
			Corallium secundum (III China)	
HELIOPORACEA				
Helioporidae				Blue coral
		Helioporidae spp. (II) (Includes only the species <i>Heliopora coerulea</i>) (7)		Blue coral

Annex B

Annex A

Annex C

Common name

SCLERACTINIA

STOLONIFERA

Tubiporidae

		Tubiporidae spp. (II) (⁷)	Organpipe corals
HYDROZOA			Sea ferns, fire corals, stinging medusas
MILLEPORINA			
Milleporidae			Wello fire corals
		Milleporidae spp. (II) (7)	Wello fire corals
STYLASTERINA			
Stylasteridae			Lace corals
		Stylasteridae spp. (II) (⁷)	Lace corals
		FLORA	
AGAVACEAE			Agaves
	Agave parviflora (I)		Santa Cruz striped agave
		Agave victoriae-reginae (II) #1	Queen Victoria agave
		Nolina interrata (II)	Dehesa bear-grass
			Denesa ocar-grass
AMARYLLIDACEAE			Amaryllids
AMARYLLIDACEAE		Galanthus spp. (II) #1	
AMARYLLIDACEAE			Amaryllids
AMARYLLIDACEAE APOCYNACEAE		Galanthus spp. (II) #1	Amaryllids Snowdrops
		Galanthus spp. (II) #1	Amaryllids Snowdrops Sternbergias
		Galanthus spp. (II) #1 Sternbergia spp. (II) #1	Amaryllids Snowdrops Sternbergias Dogbanes

Annex B

SCLERACTINIA spp. (II) (7)

Annex C

Common name

Stony corals

Organpipe corals

Annex A

	Annex A	Annex B	Annex C	Common name
	Pachypodium ambongense (I)			
	Pachypodium baronii (I)			
	Pachypodium decaryi (I)			
		Rauvolfia serpentina (II) #2		Snake-root devil-pepper
ARALIACEAE				Aralias
		Panax ginseng (II) (Only the population of the Russian Federation; no other population is included in the Annexes to this Regulation) #3		Asian ginseng
		Panax quinquefolius (II) #3		American ginseng
ARAUCARIACEAE				Araucarias
	Araucaria araucana (I)			Monkey-puzzle tree
BERBERIDACEAE				Barberries
		Podophyllum hexandrum (II) #2		Himalayan may-apple
BROMELIACEAE				Air plants, bromelias
		Tillandsia harrisii (II) #1		Harris' tillandsia
		Tillandsia kammii (II) #1		Kamm's tillandsia
		Tillandsia kautskyi (II) #1		Kautsky's tillandsia
		Tillandsia mauryana (II) #1		Maury's tillandsia
		Tillandsia sprengeliana (II) #1		Sprengel's tillandsia
		Tillandsia sucrei (II) #1		Sucre tillandsia
		Tillandsia xerographica (II) #1		Xerographic tillandsia
CACTACEAE				Cacti
		CACTACEAE spp. (II) (Except for the species included in Annex A and <i>Pereskia</i> spp., <i>Pereskiopsis</i> spp. and <i>Quiabentia</i> spp.) (8) #4		Cacti

Annex A	Annex B	Annex C	Common name
Ariocarpus spp. (I)			Living rock cacti
Astrophytum asterias (I)			Star cactus
Aztekium ritteri (I)			Aztec cactus
Coryphantha werdermannii (I)			Jobali pincushion cactus
Discocactus spp. (I)			Discocacti
Echinocereus ferreirianus ssp. lindsayi (I)			Lindsay's hedgehog cacti
Echinocereus schmollii (I)			Lamb's-tail cactus
Escobaria minima (I)			Nelle's cactus
Escobaria sneedii (I)			Sneed's pincushion cactus
Mammillaria pectinifera (I)			Conchilinque
Mammillaria solisioides (I)			Pitayita
Melocactus conoideus (I)			Conelike Turk's-cap cactus
Melocactus deinacanthus (I)			Wonderfully-bristled Turk's cap cactus
Melocactus glaucescens (I)			Woolly waxy-stemmed Turk's-cap cactus
Melocactus paucispinus (I)			Few-spined Turk's-cap cactus
Obregonia denegrii (I)			Artichoke cactus
Pachycereus militaris (I)			Grenadier's cap
Pediocactus bradyi (I)			Brady's pincushion cactus
Pediocactus knowltonii (I)			Knowlton's cactus
Pediocactus paradinei (I)			Houserock valley cactus
Pediocactus peeblesianus (I)			Peebles's Navajo cactus
Pediocactus sileri (I)			Siler's pincushion cactus
Pelecyphora spp. (I)			Pine cane cactus

	Annex A	Annex B	Annex C	Common name
	Sclerocactus brevihamatus ssp. tobuschii (I)			Tobusch fishhook cactus
	Sclerocactus erectocentrus (I)			Needle-spined pineapple cactus
	Sclerocactus glaucus (I)			Uinta Basin hookless cactus
	Sclerocactus mariposensis (I)			Mariposa cactus
	Sclerocactus mesae-verdae (I)			Mesa Verde cactus
	Sclerocactus nyensis (I)			Tonopah fishook cactus
	Sclerocactus papyracanthus (I)			Grama-grass cactus
	Sclerocactus pubispinus (I)			Great-Basin fishhook cactus
	Sclerocactus wrightiae (I)			Wright's fishhook cactus
	Strombocactus spp. (I)			Peyote
	Turbinicarpus spp. (I)			Turbinicarps
	Uebelmannia spp. (I)			Uebelmann cacti
CARYOCARACEAE				Ajos
		Caryocar costaricense (II) #1		Ajillo
COMPOSITAE (ASTERACEAE)				Asters, daisies, costus
	Saussurea costus (I) (also known as S. lappa or Aucklandia costus)			Costus
CRASSULACEAE				Dudleyas, crassulas
		Dudleya stolonifera (II)		Laguna beach dudleya
		Dudleya traskiae (II)		Santa Barbara Island dudleya
CUPRESSACEAE				Cypresses
	Fitzroya cupressoides (I)			Alerce
	Pilgerodendron uviferum (I)			Pilgerodendron

	Annex A	Annex B	Annex C	Common name
СҮАТНЕАСЕАЕ				Tree ferns
		Cyathea spp. (II) #1		Tree ferns
CYCADACEAE				Cycads
		CYCADACEAE spp. (II) (Except for the species included in Annex A) #1		Cycads
	Cycas beddomei (I)			Beddome's cycad
DICKSONIACEAE				Tree ferns
		Cibotium barometz (II) #1		
		Dicksonia spp. (II) (Only the populations of the Americas; no other populations are included in the Annexes to this Regulation: includes Dicksonia berteriana, D. externa, D. sellowiana and D. stuebelii) #1		Tree ferns
DIDIEREACEAE				Didiereas
		DIDIEREACEAE spp. (II) #1		Alluaudias, didiereas
DIOSCOREACEAE				Yams
		Dioscorea deltoidea (II) #1		Elephant's foot
DROSERACEAE				Sundews
		Dionaea muscipula (II) #1		Venus fly-trap
EUPHORBIACEAE				Spurges
		Euphorbia spp. (II) (Except for the species included in Annex A; succulent species only; artificially propagated specimens of cultivars of Euphorbia trigona, artificially propagated, crested, fan-shaped or colour mutants of Euphorbia lactea, when grafted on artificially		Euphorbias

-		T	T	T
	Annex A	Annex B	Annex C	Common name
		propagated root stock of <i>Euphorbia</i> neriifolia and artificially propagated specimens of cultivars of <i>Euphorbia</i> 'Milii' when they are traded in shipments of 100 or more plants and readily recognisable as artificially propagated specimens, are not subject to the provisions of this Regulation) #1		
	Euphorbia ambovombensis (I)			
	Euphorbia capsaintemariensis (I)			
	Euphorbia cremersii (I)			
	Euphorbia cylindrifolia (I)			
	Euphorbia decaryi (I)			
	Euphorbia francoisii (I)			
	Euphorbia handiensis (II)			
	Euphorbia lambii (II)			
	Euphorbia moratii (I)			
	Euphorbia parvicyathophora (I)			
	Euphorbia quartziticola (I)			
	Euphorbia stygiana (II)			
	Euphorbia tulearensis (I)			
FOUQUIERIACEAE				Ocotillos, boojums
		Fouquieria columnaris (II) #1		Boojum tree
	Fouquieria fasciculata (I)			Arbol del barril
	Fouquieria purpusii (I)			
GNETACEAE				Joint firs
			Gnetum montanum (III Nepal) #1	

	Annex A	Annex B	Annex C	Common name
JUGLANDACEAE				Walnuts, gavilan
		Oreomunnea pterocarpa (II) #1		Gavilàn
LEGUMINOSAE				Legumes
(FABACEAE)		Caesalpinia echinata (II) #10		Brazil wood
	Dalbergia nigra (I)			Brazilian rosewood
			Dalbergia retusa (III population of Guatemala) #5	Black rosewood
			Dalbergia stevensonii (III population of Guatemala) #5	Honduras rosewood
			Dipteryx panamensis (III Costa Rica/Nicaragua)	Almendro
		Pericopsis elata (II) #5		Afrormosia
		Platymiscium pleiostachyum (II) #1		Quira macawood
		Pterocarpus santalinus (II) #7		Red sandalwood
LILIACEAE				Lilies
		Aloe spp. (II) (Except for the species included in Annex A and Aloe vera, also known as Aloe barbadensis, which is not included in the Annexes to this Regulation) #1		Aloes
	Aloe albida (I)			
	Aloe albiflora (I)			
	Aloe alfredii (I)			
	Aloe bakeri (I)			
	Aloe bellatula (I)			
	Aloe calcairophila (I)			
	Aloe compressa (I)			

	Annex A	Annex B	Annex C	Common name
	Aloe delphinensis (I)			
	Aloe descoingsii (I)			
	Aloe fragilis (I)			
	Aloe haworthioides (I)			
	Aloe helenae (I)			
	Aloe laeta (I)			
	Aloe parallelifolia (I)			
	Aloe parvula (I)			
	Aloe pillansii (I)			
	Aloe polyphylla (I)			
	Aloe rauhii (I)			
	Aloe suzannae (I)			
	Aloe versicolor (I)			
	Aloe vossii (I)			
MAGNOLIACEAE				Magnolias
			Magnolia liliifera var. obovata (III Nepal) #1	Safan
MELIACEAE				Mahoganies
			Cedrela odorata (III Population of Colombia, Population of Guatemala, Population of Peru) #5	Spanish cedar
		Swietenia humilis (II) #1		Honduras mahogany
		Swietenia macrophylla (II) (Population of the Neotropics — includes Central and South America and the Caribbean) #6		Big-leaf mahogany
		Swietenia mahagoni (II) #5		Caribbean mahogany

	Annex A	Annex B	Annex C	Common name
NEPENTHACEAE				Pitcher plants (old-world)
		Nepenthes spp. (II) (Except for the species included in Annex A) #1		Tropical pitcher plants
	Nepenthes khasiana (I)			Indian pitcher plant
	Nepenthes rajah (I)			Giant tropical pitcher plant
ORCHIDACEAE				Orchids
		ORCHIDACEAE spp. (II) (Except for the species included in Annex A) (9) #1		Orchids
	For all of the following Annex A species, seedling or tissue cultures obtained in vitro, in solid or liquid media, transported in sterile containers, are not subject to the provisions of this Regulation.			
	Aerangis ellisii (I)			
	Cephalanthera cucullata (II)			Hooded helleborine
	Cypripedium calceolus (II)			Lady's slipper orchid
	Dendrobium cruentum (I)			
	Goodyera macrophylla (II)			Madeiran lady's-tresses
	Laelia jongheana (I)			
	Laelia lobata (I)			
	Liparis loeselii (II)			Fen orchid
	Ophrys argolica (II)			Eyed bee orchid
	Ophrys lunulata (II)			Crescent ophrys
	Orchis scopulorum (II)			Madeiran orchid
	Paphiopedilum spp. (I)			Asian slipper orchids

	Annex A	Annex B	Annex C	Common name
	Phragmipedium spp. (I)			South American slipper orchids
	Renanthera imschootiana (I)			Red vanda
	Spiranthes aestivalis (II)			Summer lady's-tresses
OROBANCHACEAE				Broomrapes
		Cistanche deserticola (II) #1		Desert cistanche
PALMAE				Palms
(ARECACEAE)		Beccariophoenix madagascariensis (II) #1		Manarano
	Chrysalidocarpus decipiens (I)			Butterfly palm
		Lemurophoenix halleuxii (II)		Hovitra varimena
		Marojejya darianii (II)		Ravimbe
		Neodypsis decaryi (II) #1		Triangle palm
		Ravenea louvelii (II)		Lakamarefo
		Ravenea rivularis (II)		Gora
		Satranala decussilvae (II)		Satranabe
		Voanioala gerardii (II)		Voanioala
PAPAVERACEAE				Poppies
			Meconopsis regia (III Nepal) #1	Himalayan poppy
PINACEAE				Pine family
	Abies guatemalensis (I)			Guatemalan fir
PODOCARPACEAE				Podocarps
			Podocarpus neriifolius (III Nepal) #1	Yellow wood
	Podocarpus parlatorei (I)			Parlatore's podocarp
PORTULACACEAE				Portulacas, purslanes
		Anacampseros spp. (II) #1		Purslanes

	Annex A	Annex B	Annex C	Common name
		Avonia spp. #1		
		Lewisia serrata (II) #1		Saw-toothed lewisia
PRIMULACEAE				Primulas, cyclamens
		Cyclamen spp. (II) (10) #1		Cyclamens
PROTEACEAE				Proteas
		Orothamnus zeyheri (II) #1		Marsh-rose
		Protea odorata (II) #1		Ground-rose
RANUNCULACEAE				Buttercups
		Adonis vernalis (II) #2		Yellow adonis
		Hydrastis canadensis (II) #8		Golden seal
ROSACEAE				Roses, cherries
		Prunus africana (II) #1		African cherry
RUBIACEAE				Ayugue
	Balmea stormiae (I)			Ayugue
SARRACENIACEAE				Pitcher plants (new world)
		Sarracenia spp. (II) (Except for the species included in Annex A) #1		Pitcher plants
	Sarracenia oreophila (I)			Green pitcher plant
	Sarracenia rubra ssp. alaba- mensis (I)			Alabama canebrake pitcher plant
	Sarracenia rubra ssp. jonesii (I)			Mountain sweet pitcher plant
SCROPHULARIACEAE				Figworts
		Picrorhiza kurrooa (II) (excludes Picrorhiza scrophulariiflora) #2		Indian gentian
STANGERIACEAE				Stangerias (cycads)

	Annex A	Annex B	Annex C	Common name
		Bowenia spp. (II) #1		Cycads
	Stangeria eriopus (I)			Stangeria
XACEAE				Yews
		Taxus chinensis (II) #2		Chinese yew
		Taxus cuspidata (II) (11) #2		Japanese yew
		Taxus fuana (II) #2		Tibetan yew
		Taxus sumatrana (II) #2		Sumatran yew
		Taxus wallichiana (II) #2		Himalayan yew
HYMELEACEAE				Agarwood, ramin
QUILARIACEAE)		Aquilaria spp. (II) #1		Agarwood
		Gonystylus spp. (II) #1		Ramin
		Gyrinops spp. (II) #1		Agarwood
ROCHODENDRACEAE				Tetracentrons
ETRACENTRACEAE)			Tetracentron sinense (III Nepal) #1	
ALERIANACEAE				Valerians
		Nardostachys grandiflora #2		
ELWITSCHIACEAE				Welwitschias
		Welwitschia mirabilis (II) #1		Welwitschia
<i>AMIACEAE</i>				Cycads
		ZAMIACEAE spp. (II) (Except for the species included in Annex A) #1		Cycads
	Ceratozamia spp. (I)			Horncones
	Chigua spp. (I)			
	Encephalartos spp. (I)			Bread palms

	Annex A	Annex B	Annex C	Common name
	Microcycas calocoma (I)			Palm corcho
ZINGIBERACEAE				Ginger lilies
		Hedychium philippinense (II) #1		Philippine garland-flower
ZYGOPHYLLACEAE				Lignum-vitae
		Guaiacum spp. (II) #2		Lignum-vitae
			Bulnesia sarmientoi (III Argentina) #11	Guaiacwood

- (1) Population of Argentina (listed in Annex B):
 - For the exclusive purpose of allowing international trade in wool sheared from live vicuñas of the populations included in Annex B, in cloth and in derived manufactured products and other handicraft artefacts. The reverse side of the cloth must bear the logotype adopted by the range States of the species, which are signatories to the Convenio para la Conservación y Manejo de la Vicuña, and the selvages the words 'VICUÑA-ARGENTINA'. Other products must bear a label including the logotype and the designation 'VICUÑA-ARGENTINA-ARTESANÍA'. All other specimens shall be deemed to be specimens of species included in Annex A and the trade in them shall be regulated accordingly.
- (2) Population of Bolivia (listed in Annex B):
 - For the exclusive purpose of allowing international trade in wool sheared from live vicuñas and in cloth and items made thereof, including luxury handicrafts and knitted articles. The reverse side of the cloth must bear the logotype adopted by the range States of the species, which are signatories to the Convenio para la Conservación y Manejo de la Vicuña, and the selvages the words 'VICUÑA-BOLIVIA'. Other products must bear a label including the logotype and the designation 'VICUÑA-BOLIVIA-ARTESANÍA'. All other specimens shall be deemed to be specimens of species included in Annex A and the trade in them shall be regulated accordingly.
- (3) Population of Chile (listed in Annex B):
 - For the exclusive purpose of allowing international trade in wool sheared from live vicuñas of the populations included in Annex B, and in cloth and items made thereof, including luxury handicrafts and knitted articles. The reverse side of the cloth must bear the logotype adopted by the range States of the species, which are signatories to the Convenio para la Conservación y Manejo de la Vicuña, and the selvages the words 'VICUÑA-CHILE'. Other products must bear a label including the logotype and the designation 'VICUÑA-CHILE-ARTESANÍA'. All other specimens shall be deemed to be specimens of species included in Annex A and the trade in them shall be regulated accordingly.
- (4) Population of Peru (listed in Annex B):
 - For the exclusive purpose of allowing international trade in wool sheared from live vicuñas and in the stock extant at the time of the ninth meeting of the Conference of the Parties (November 1994) of 3 249 kg of wool, and in cloth and items made thereof, including luxury handicrafts and knitted articles. The reverse side of the cloth must bear the logotype adopted by the range States of the species, which are signatories to the Convenio para la Conservación y Manejo de la Vicuña, and the selvages the words 'VICUÑA-PERU'. Other products must bear a label including the logotype and the designation 'VICUÑA-PERU-ARTESANÍA'. All other specimens shall be deemed to be specimens of species included in Annex A and the trade in them shall be regulated accordingly.
- (5) All species are listed in Appendix II except Balaena mysticetus, Eubalaena spp., Balaenoptera acutorostrata (except population of West Greenland), Balaenoptera bonaerensis, Balaenoptera borealis, Balaenoptera edeni, Balaenoptera musculus, Balaenoptera physalus, Megaptera novaeangliae, Orcaella brevirostris, Sotalia spp, Sousa spp, Eschrichtius robustus, Lipotes vexillifer, Caperea marginata, Neophocaena phocaenoides, Phocoena sinus, Physeter catodon, Platanista spp., Berardius spp., Hyperoodon spp., which are listed in Appendix I. Specimens of the species listed in Appendix II to the Convention, including products and derivatives other than meat products for commercial purposes, taken by the people of Greenland under licence granted by the competent authority concerned, shall be treated as belonging to Annex B. A zero annual export quota is established for live specimens from the Black Sea population of Tursiops truncatus removed from the wild and traded for primarily commercial purposes.
- (6) Populations of Botswana, Namibia, South Africa and Zimbabwe (listed in Annex B):
- For the exclusive purpose of allowing: a) trade in hunting trophies for non-commercial purposes; b) trade in live animals to appropriate and acceptable destinations as defined in Res. Conf. 11.20 for Botswana and Zimbabwe and for in situ conservation programmes for Namibia and South Africa; c) trade in hides; d) trade in hair; e) trade in leather goods for commercial or non-commercial purposes for Botswana, Namibia and South Africa and for non-commercial purposes for Namibia and ivory carvings for non commercial purposes for Zimbabwe; g) trade in registered raw ivory (for Botswana, Namibia, South Africa and Zimbabwe whole tusks and pieces) subject to the following: i) only registered government-owned stocks, originating in the State (excluding seized ivory and ivory of unknown origin); ii) only to trading partners that have been verified by the Secretariat, in consultation with the Standing Committee, to have sufficient national legislation and domestic trade controls to ensure that the imported ivory will not be re-exported and will be managed in accordance with all requirements of Resolution Conf. 10.10 (Rev. CoP14) concerning domestic manufacturing and trade; iii) not before the Secretariat has verified the prospective importing countries and the registered government-owned stocks; iv) Raw ivory pursuant to the conditional sale of registered government-owned ivory stocks agreed at COP12 which are 20 000 kg (Botswana), 10 000 kg (Namibia), 30 000 kg (South Africa); v) In addition to the quantities agreed at COP12, government-owned ivory from Botswana, Zimbabwe, Namibia and South Africa registered by the 31st of January 2007 and verified by the Secretariat may be traded and despatched, with the ivory in g) iv) in a single sale per destination under strict supervision of

the Secretariat; vi) the proceeds of the trade are used exclusively for elephant conservation and community conservation and development programmes within or adjacent to the elephant range; and vii) The additional quantities specified in g) v) shall be traded only after the Standing Committee has agreed that the above conditions have been met; h) No further proposals to allow trade in elephant ivory from populations already on Annex B shall be submitted to the Conference of the Parties for the period from COP14 and ending nine years from the date of the single sale of ivory that is to take place in accordance with provisions in paragraph g) i), g) iii), g) iii), g) vi) and g) vii). In addition, such further proposals shall be dealt with in accordance with Decisions 14.77 and 14.78. On a proposal from the Secretariat, the Standing Committee can decide to cause this trade to cease partially or completely in the event of non-compliance by exporting or importing countries, or in the case of proven detrimental impacts of the trade on other elephant populations. All other specimens shall be deemed to be specimens of species included in Annex A and the trade in them shall be regulated accordingly.

(7) The following are not subject to the provisions of this Regulation: Fossils

Coral sand, that is to say material consisting entirely or in part of finely crushed fragments of dead coral no larger than 2 mm in diameter and which may also contain, amongst other things, the remains of Foraminifera, mollusc and crustacean shell, and coralline algae

Coral fragments (including gravel and rubble), that is to say unconsolidated fragments of broken finger-like dead coral and other material between 2 and 30 mm in diameter.

(8) Artificially propagated specimens of the following hybrids and/or cultivars are not subject to the provisions of this Regulation:

Hatiora x graeseri

Schlumbergera x buckleyi

Schlumbergera russelliana x Schlumbergera truncata

Schlumbergera orssichiana x Schlumbergera truncata

Schlumbergera opuntioides x Schlumbergera truncata

Schlumbergera truncata (cultivars)

Cactaceae spp. colour mutants lacking chlorophyll, grafted on the following grafting stocks: Harrisia Jusbertii', Hylocereus trigonus or Hylocereus undatus Opuntia microdasys (cultivars)

- (9) Artificially propagated hybrids of the following genera are not subject to the provisions of this Regulation, if conditions, as indicated in paragraphs a) and b) below, are met: Cymbidium, Dendrobium, Phalaenopsis and Vanda:
 - a) Specimens are readily recognisable as artificially propagated and do not show any signs of having been collected in the wild such as mechanical damage or strong dehydration resulting from collection, irregular growth and heterogeneous size and shape within a taxon and shipment, algae or other epiphyllous organisms adhering to leaves, or damage by insects or other pests; and
 - b) i) when shipped in non flowering state, the specimens must be traded in shipments consisting of individual containers (such as cartons, boxes, crates or individual shelves of CC-containers) each containing 20 or more plants of the same hybrid; the plants within each container must exhibit a high degree of uniformity and healthiness; and the shipment must be accompanied by documentation, such as an invoice, which clearly states the number of plants of each hybrid; or
 - ii) when shipped in flowering state, with at least one fully open flower per specimen, no minimum number of specimens per shipment is required but specimens must be professionally processed for commercial retail sale, e.g. labelled with printed labels or packaged with printed packages indicating the name of the hybrid and the country of final processing. This should be clearly visible and allow easy verification. Plants not clearly qualifying for the exemption must be accompanied by appropriate CITES documents.
- (10) Artificially propagated specimens of cultivars of Cyclamen persicum are not subject to the provisions of this Regulation. However, the exemption does not apply to such specimens traded as dormant tubers.
- (11) Artificially propagated hybrids and cultivars of Taxus cuspidata, live, in pots or other small containers, each consignment being accompanied by a label or document stating the name of the taxon or taxa and the text 'artificially propagated', are not subject to the provisions of this Regulation

	Annex D	Common name
	FAUNA	<u> </u>
	CHORDATA (CHORDATES)	
MAMMALIA		Mammals
CARNIVORA		
Canidae		Dogs, foxes, wolves
	Vulpes vulpes griffithi (III India) §1	Red fox
	Vulpes vulpes montana (III India) §1	Red fox
	Vulpes vulpes pusilla (III India) §1	Red fox
Mustelidae		Badgers, martens, weasels etc.
	Mustela altaica (III India) §1	Mountain weasel
	Mustela erminea ferghanae (III India) §1	Stoat
	Mustela kathiah (III India) §1	Yellow-bellied weasel
	Mustela sibirica (III India) §1	Siberian weasel
DIPROTODONTIA		
Macropodidae		Kangaroos, wallabies
	Dendrolagus dorianus	Doria's tree-kangaroo
	Dendrolagus goodfellowi	Goodfellow's tree-kangaroo
	Dendrolagus matschiei	Huon tree-kangaroo
	Dendrolagus pulcherrimus	Golden-mantled tree-kangaroo
	Dendrolagus stellarum	Seri's tree-kangaroo
AVES		Birds
ANSERIFORMES		
Anatidae		Ducks, geese, swans
	Anas melleri	Meller's duck

	Annex D	Common name
COLUMBIFORMES		
Columbidae		Doves, pigeons
	Columba oenops	Peruvian pigeon
	Didunculus strigirostris	Tooth-billed pigeon
	Ducula pickeringii	Grey imperial-pigeon
	Gallicolumba crinigera	Mindanao bleeding-heart
	Ptilinopus marchei	Flame-breasted fruit-dove
	Turacoena modesta	Black cuckoo-dove
GALLIFORMES		
Cracidae		Chachalacas, currassows, guans
	Crax alector	Black curassow
	Pauxi unicornis	Horned curassow
	Penelope pileata	White-crested guan
Megapodiidae		Megapodes, scrubfowl
	Eulipoa wallacei	Moluccan scrubfowl
Phasianidae		Grouse, guineafowl, partridges, pheasants, tragopans
	Arborophila gingica	White-necklaced partridge
	Lophura bulweri	Bulwer's pheasant
	Lophura diardi	Siamese fireback
	Lophura inornata	Salvadori's pheasant
	Lophura leucomelanos	Kalij pheasant
	Syrmaticus reevesii §2	Reeves's pheasant
PASSERIFORMES		
Bombycillidae		Waxwings
•	Bombycilla japonica	Japanese waxwing

	Annex D	Common name
Corvidae		Crows, magpies, jays
	Cyanocorax caeruleus	Azure jay
	Cyanocorax dickeyi	Tufted jay
Cotingidae		Cotingas
	Procnias nudicollis	Bare-throated bellbird
Emberizidae		Cardinals, seedeaters, tanagers
	Dacnis nigripes	Black-legged dacnis
	Sporophila falcirostris	Temminck's seedeater
	Sporophila frontalis	Buffy-throated seedeater
	Sporophila hypochroma	Grey-and-chestnut seedeater
	Sporophila palustris	Marsh seedeater
Estrildidae		Mannikins, waxbills
	Amandava amandava	Red avadavat
	Cryptospiza reichenovii	Red-faced crimson-wing
	Erythrura coloria	Red-eared parrotfinch
	Erythrura viridifacies	Green-faced parrotfinch
	Estrilda quartinia (Frequently traded as Estrilda melanotis)	Yellow-bellied waxbill
	Hypargos niveoguttatus	Peters's twinspot
	Lonchura griseicapilla	Grey-headed silverbill
	Lonchura punctulata	Scaly-breasted munia
	Lonchura stygia	Black munia
Fringillidae		Finches
	Carduelis ambigua	Black-headed greenfinch
	Carduelis atrata	Black siskin

	Annex D	Common name
	Kozlowia roborowskii	Tibetan rosefinch
	Pyrrhula erythaca	Grey-headed bullfinch
	Serinus canicollis	Cape canary
	Serinus citrinelloides hypostictus (Frequently traded as Serinus citrinelloides)	East African citril
Icteridae		New-world blackbirds
	Sturnella militaris	Pampas meadowlark
Muscicapidae		Old-world flycatchers, thrushes
	Cochoa azurea	Javan cochoa
	Cochoa purpurea	Purple cochoa
	Garrulax formosus	Red-winged laughingthrush
	Garrulax galbanus	Yellow-throated laughingthrush
	Garrulax milnei	Red-tailed laughing thrush
	Niltava davidi	Fujian niltava
	Stachyris whiteheadi	Chestnut-faced babbler
	Swynnertonia swynnertoni (Also referenced as Pogonicichla swynnertoni)	Swynnerton's robin
	Turdus dissimilis	Black-breasted thrush
Pittidae		Pittas
	Pitta nipalensis	Blue-naped pitta
	Pitta steerii	Azure-breasted pitta
Sittidae		Nuthatches
	Sitta magna	Giant nuthatch
	Sitta yunnanensis	Yunnan nuthatch

	Annex D	Common name
Sturnidae		Mynas, starlings
	Cosmopsarus regius	Golden-breasted starling
	Mino dumontii	Yellow-faced myna
	Sturnus erythropygius	White-headed starling
REPTILIA		Reptiles
TESTUDINES		
Geoemydidae		Freshwater turtles
	Melanochelys trijuga	Indian black turtle
SAURIA		
Cordylidae		Spiny-tailed lizards
	Zonosaurus karsteni	Karsten's girdled lizard
	Zonosaurus quadrilineatus	Four-lined girdled lizard
Gekkonidae		Geckos
	Rhacodactylus auriculatus	New Caledonia bumpy gecko
	Rhacodactylus ciliatus	Guichenot's giant gecko
	Rhacodactylus leachianus	New Caledonia giant gecko
	Teratoscincus microlepis	Small-scaled wonder gecko
	Teratoscincus scincus	Common wonder gecko
Scincidae		Skinks
	Tribolonotus gracilis	Crocodile skink
	Tribolonotus novaeguineae	New Guinea helmet skink
SERPENTES		
Colubridae		Typical snakes, water snakes, whip snakes
	Elaphe carinata §1	Taiwan stink snake

	Annex D	Common name
	Elaphe radiata §1	Radiated rat snake
	Elaphe taeniura §1	Taiwan beauty snake
	Enhydris bocourti §1	Bocourt's water snake
	Homalopsis buccata §1	Masked water snake
	Langaha nasuta	Northern leafnose snake
	Leioheterodon madagascariensis	Madagascar menarana snake
	Ptyas korros §1	Indochinese rat snake
	Rhabdophis subminiatus §1	Redneck keelback
Hydrophiidae		Sea snakes
	Lapemis curtus (Includes Lapemis hardwickii) §1	Shaw's sea snake
Viperidae		Vipers
	Calloselasma rhodostoma §1	Malayan pit viper
AMPHIBIA		
ANURA		Frogs and toads
Hylidae		Tree frogs
	Phyllomedusa sauvagii	Waxy monkey tree frog
Leptodactylidae		Neotropical frogs
	Leptodactylus laticeps	Red spotted burrow frog
Ranidae		True frogs
	Limnonectes macrodon	
	Rana shqiperica	Albanian pool frog
CAUDATA		
Hynobiidae		Asiatic salamanders
	Ranodon sibiricus	Semirechensk salamander / Central Asian salamander / Siberian salamander

	Annex D	Common name
Plethodontidae		Lungless salamanders
	Bolitoglossa dofleini	Giant palm salamander
Salamandridae		Newts and salamanders
	Cynops ensicauda	Sword-tailed newt
	Echinotriton andersoni	Anderson's salamander
	Pachytriton labiatus	Paddletail newt
	Paramesotriton spp.	Warty newt
	Salamandra algira	North African fire salamander
	Tylototriton spp.	Crocodile newts
ACTINOPTERYGII		Fish
PERCIFORMES		
Apogonidae		
	Pterapogon kauderni	Banggai cardinalfish
	ARTHROPODA (ARTHROPODS	5)
INSECTA		Insects
LEPIDOPTERA		Butterflies
Papilionidae		Birdwing and swallow-tail butterflies
	Baronia brevicornis	Short-horned baronia
	Papilio grosesmithi	
	Papilio maraho	Broad-tailed swallowtail
FLORA		
AGAVACEAE		Agaves
	Calibanus hookeri	
	Dasylirion longissimum	Beargrass

	Annex D	Common name
ARACEAE		Arums
	Arisaema dracontium	Green dragon
	Arisaema erubescens	
	Arisaema galeatum	
	Arisaema nepenthoides	
	Arisaema sikokianum	
	Arisaema thunbergii var. urashima	
	Arisaema tortuosum	
	Biarum davisii ssp. marmarisense	
	Biarum ditschianum	
COMPOSITAE (ASTERACEAE)		Asters, daisies, costus
	Arnica montana §3	Mountain tobacco
	Othonna cacalioides	
	Othonna clavifolia	
	Othonna hallii	
	Othonna herrei	
	Othonna lepidocaulis	
	Othonna retrorsa	
ERICACEAE		Heathers, rhododendrons
	Arctostaphylos uva-ursi §3	Bearberry
GENTIANACEAE		Gentians
	Gentiana lutea §3	Great yellow gentian
LEGUMINOSAE (FABACEAE)		Legumes
	Dalbergia granadillo §4	Black rosewood

	Annex D	Common name
	Dalbergia retusa (Except for the populations which are included in Annex C) §4	Black rosewood
	Dalbergia stevensonii (Except for the populations which are included in Annex C) §4	Honduras rosewood
LYCOPODIACEAE		Clubmosses
	Lycopodium clavatum §3	Stagshorn clubmoss
MELIACEAE		Mahoganies
	Cedrela fissilis §4	
	Cedrela lilloi (C. angustifolia) §4	
	Cedrela montana §4	
	Cedrela oaxacensis §4	
	Cedrela odorata (Except for the populations which are included in Annex C) §4	Spanish cedar
	Cedrela salvadorensis §4	
	Cedrela tonduzii §4	
MENYANTHACEAE		Bogbeans
	Menyanthes trifoliata §3	Bogbean
PARMELIACEAE		Parmelioid lichens
	Cetraria islandica §3	Icelandic moss
PASSIFLORACEAE		Desert roses
	Adenia glauca	Desert rose
	Adenia pechuelli	Desert rose
PORTULACACEAE		Portulas, purslanes
	Ceraria carrissoana	
	Ceraria fruticulosa	

	Annex D	Common name
LILIACEAE		Lilies
	Trillium pusillum	Dwarf wakerobin
	Trillium rugelii	Illscented wakerobin
	Trillium sessile	Sessile-flowered wakerobin wood-lily
PEDALIACEAE		Sesame, devil's claw
	Harpagophytum spp. §3	Devil's claw
SELAGINELLACEAE		Clubmosses, spikemosses
	Selaginella lepidophylla	Rose of Jericho