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**COUNCIL REGULATION (EC) No 338/97  
of 9 December 1996  
on the protection of species of wild fauna and flora by regulating trade therein**

(OJ L 61, 3.3.1997, p. 1)

Amended by:

	Official Journal		
	No	page	date
► <b><u>M1</u></b> Commission Regulation (EC) No 938/97 of 26 May 1997	L 140	1	30.5.1997
► <b><u>M2</u></b> Commission Regulation (EC) No 2307/97 of 18 November 1997	L 325	1	27.11.1997
► <b><u>M3</u></b> Commission Regulation (EC) No 2214/98 of 15 October 1998	L 279	3	16.10.1998
► <b><u>M4</u></b> Commission Regulation (EC) No 1476/1999 of 6 July 1999	L 171	5	7.7.1999
► <b><u>M5</u></b> Commission Regulation (EC) No 2724/2000 of 30 November 2000	L 320	1	18.12.2000
► <b><u>M6</u></b> Commission Regulation (EC) No 1579/2001 of 1 August 2001	L 209	14	2.8.2001
► <b><u>M7</u></b> Commission Regulation (EC) No 2476/2001 of 17 December 2001	L 334	3	18.12.2001
► <b><u>M8</u></b> Commission Regulation (EC) No 1497/2003 of 18 August 2003	L 215	3	27.8.2003
► <b><u>M9</u></b> Regulation (EC) No 1882/2003 of the European Parliament and of the Council of 29 September 2003	L 284	1	31.10.2003

Corrected by:

► **C1** Corrigendum, OJ L 298, 1.11.1997, p. 70 (338/97)



**COUNCIL REGULATION (EC) No 338/97**

**of 9 December 1996**

**on the protection of species of wild fauna and flora by regulating trade therein**

THE COUNCIL OF THE EUROPEAN UNION,

Having regard to the Treaty establishing the European Community, and in particular Article 130s (1) thereof,

Having regard to the proposal from the Commission <sup>(1)</sup>,

Having regard to the opinion of the Economic and Social Committee <sup>(2)</sup>,

Acting in accordance with the procedure laid down in Article 189c of the Treaty <sup>(3)</sup>,

- (1) Whereas Regulation (EEC) No 3626/82 <sup>(4)</sup> applies the Convention on International Trade in Endangered Species of Wild Fauna and Flora in the Community with effect from 1 January 1984; whereas the purpose of the Convention is to protect endangered species of fauna and flora through controls on international trade in specimens of those species;
- (2) Whereas, in order to improve the protection of species of wild fauna and flora which are threatened by trade or likely to be so threatened, Regulation (EEC) No 3626/82 must be replaced by a Regulation taking account of the scientific knowledge acquired since its adoption and the current structure of trade; whereas, moreover, the abolition of controls at internal borders resulting from the Single Market necessitates the adoption of stricter trade control measures at the Community's external borders, with documents and goods being checked at the customs office at the border where they are introduced;
- (3) Whereas the provisions of this Regulation do not prejudice any stricter measures which may be taken or maintained by Member States, in compliance with the Treaty, in particular with regard to the holding of specimens of species covered by this Regulation;
- (4) Whereas it is necessary to lay down objective criteria for the inclusion of species of wild fauna and flora in the Annexes to this Regulation;
- (5) Whereas the implementation of this Regulation necessitates the application of common conditions for the issue, use and presentation of documents relating to authorization of the introduction into the Community and the export or re-export from the Community of specimens of the species covered by this Regulation; whereas it is necessary to lay down specific provisions relating to the transit of specimens through the Community;
- (6) Whereas it is for a management authority of the Member State of destination, assisted by the scientific authority of that Member State and, where appropriate, taking into account any opinion of the Scientific Review Group, to decide on the requests for introduction of specimens into the Community;
- (7) Whereas it is necessary to supplement the provisions on re-export with a consultation procedure, in order to limit the risk of infringement;

<sup>(1)</sup> OJ No C 26, 3. 2. 1992, p. 1, and OJ No C 131, 12. 5. 1994, p. 1.

<sup>(2)</sup> OJ No C 223, 31. 8. 1992, p. 19.

<sup>(3)</sup> Opinion of the European Parliament of 15 December 1995 (OJ No C 17, 22. 1. 1996, p. 430). Common position of the Council of 26 February 1996 (OJ No C 196, 6. 7. 1996, p. 58) and Decision of the European Parliament of 18 September 1996 (OJ No C 320, 28. 10. 1996).

<sup>(4)</sup> OJ No L 384, 31. 12. 1982, p. 1. Regulation as last amended by Commission Regulation (EC) No 558/95 (OJ No L 57, 15. 3. 1995, p. 1).

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- (8) Whereas, in order to guarantee effective protection of species of wild fauna and flora, additional restrictions may be imposed on the introduction of specimens into, and the export thereof from, the Community; whereas, with regard to live specimens, these restrictions may be supplemented by restrictions at Community level on the holding or movement of such specimens within the Community;
- (9) Whereas it is necessary to lay down specific provisions applicable to captive-born and bred, or artificially propagated specimens, to specimens which are personal or household effects, and to non-commercial loans, donations or exchanges between registered scientists and scientific institutions;
- (10) Whereas there is a need, in order to ensure the broadest possible protection for species covered by this Regulation, to lay down provisions for controlling trade and movement of specimens within the Community, and the conditions for housing specimens; whereas the certificates issued under this Regulation, which contribute to controlling these activities, must be governed by common rules on their issue, validity and use;
- (11) Whereas measures should be taken to minimize the adverse effects on live specimens of transport to their destination, from or within the Community;
- (12) Whereas, to ensure effective controls and to facilitate customs procedures, customs offices should be designated, with trained personnel responsible for carrying out the necessary formalities and corresponding checks where specimens are introduced into the Community, in order to assign them a customs-approved treatment or use within the meaning of Council Regulation (EEC) No 2913/92 of 12 October 1992 establishing the Community Customs Code <sup>(1)</sup>, or where they are exported or re-exported from the Community; whereas there should also be facilities guaranteeing that live specimens are adequately housed and cared for;
- (13) Whereas the implementation of this Regulation also calls for the designation of management and scientific authorities by the Member States;
- (14) Whereas informing the public and making them aware of the provisions of this Regulation, particularly at border crossing points, is likely to encourage compliance with these provisions;
- (15) Whereas, in order to ensure effective enforcement of this Regulation, Member States should closely monitor compliance with its provisions and, to that end, cooperate closely between themselves and with the Commission; whereas this requires the communication of information relating to the implementation of this Regulation;
- (16) Whereas the monitoring of levels of trade in the species of wild fauna and flora covered by this Regulation is of crucial importance for assessing the effects of trade on the conservation status of species; whereas detailed annual reports should be drawn up in a common format;
- (17) Whereas, in order to guarantee compliance with this Regulation, it is important that Member States impose sanctions for infringements in a manner which is both sufficient and appropriate to the nature and gravity of the infringement;
- (18) Whereas it is essential to lay down a Community procedure enabling the implementing provisions and amendments to the Annexes of this Regulation to be adopted within a suitable period; whereas a Committee must be set up to permit close and effective cooperation between the Member States and the Commission in this field;

<sup>(1)</sup> OJ No L 302, 19. 10. 1992, p. 1. Regulation as last amended by the 1994 Act of Accession.

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- (19) Whereas the multitude of biological and ecological aspects to be considered in the implementation of this Regulation requires the setting up of a Scientific Review Group, whose opinions will be forwarded by the Commission to the Committee and the management bodies of the Member States, to assist them in making their decisions,

HAS ADOPTED THIS REGULATION:

*Article 1*

**Object**

The object of this Regulation is to protect species of wild fauna and flora and to guarantee their conservation by regulating trade therein in accordance with the following Articles.

This Regulation shall apply in compliance with the objectives, principles and provisions of the Convention defined in Article 2.

*Article 2*

**Definitions**

For the purposes of this Regulation:

- (a) ‘Committee’ shall mean the Committee on Trade in Wild Fauna and Flora, established under Article 18;
- (b) ‘Convention’ shall mean the Convention on International Trade in Endangered Species of Wild Fauna and Flora (Cites);
- (c) ‘country of origin’ shall mean the country in which a specimen was taken from the wild, captive-bred or artificially propagated;
- (d) ‘import notification’ shall mean the notification given by the importer or his agent or representative, at the time of the introduction into the Community of a specimen of a species included in Annexes C or D, on a form prescribed by the Commission in accordance with the procedure laid down in Article 18;
- (e) ‘introduction from the sea’ shall mean the introduction into the Community of any specimen which was taken in, and is being introduced directly from, the marine environment not under the jurisdiction of any State, including the air-space above the sea and the sea-bed and subsoil beneath the sea;
- (f) ‘issuance’ shall mean the completion of all procedures involved in preparing and validating a permit or certificate and its delivery to the applicant;
- (g) ‘management authority’ shall mean a national administrative authority designated, in the case of a Member State, in accordance with Article 13(1)(a) or, in the case of a third country party to the Convention, in accordance with Article IX of the Convention;
- (h) ‘Member State of destination’ shall mean the Member State of destination mentioned in the document used to export or re-export a specimen; in the event of introduction from the sea, it shall mean the Member State within whose jurisdiction the place of destination of a specimen lies;
- (i) ‘offering for sale’ shall mean offering for sale and any action that may reasonably be construed as such, including advertising or causing to be advertised for sale and invitation to treat;
- (j) ‘personal or household effects’ shall mean dead specimens, parts and derivatives thereof, that are the belongings of a private individual and that form, or are intended to form, part of his normal goods and chattels;
- (k) ‘place of destination’ shall mean the place at which at the time of introduction into the Community, it is intended that specimens will normally be kept; in the case of live specimens, this shall be the first place where specimens are intended to be kept following any

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period of quarantine or other confinement for the purposes of sanitary checks and controls;

- (l) 'population' shall mean a biologically or geographically distinct total number of individuals;
- (m) 'primarily commercial purposes' shall mean all purposes the non-commercial aspects of which do not clearly predominate;
- (n) 're-export from the Community' shall mean export from the Community of any specimen that has previously been introduced;
- (o) 'reintroduction into the Community' shall mean introduction into the Community of any specimen that has previously been exported or re-exported;
- (p) 'sale' shall mean any form of sale. For the purposes of this Regulation, hire, barter or exchange shall be regarded as sale; cognate expressions shall be similarly construed;
- (q) 'scientific authority' shall mean a scientific authority designated, in the case of a Member State, in accordance with Article 13(1)(b) or, in the case of a third country party to the Convention, in accordance with Article IX of the Convention;
- (r) 'Scientific Review Group' shall mean the consultative body established under Article 17;
- (s) 'species' shall mean a species, subspecies or population thereof;
- (t) 'specimen' shall mean any animal or plant, whether alive or dead, of the species listed in Annexes A to D, any part or derivative thereof, whether or not contained in other goods, as well as any other goods which appear from an accompanying document, the packaging or a mark or label, or from any other circumstances, to be or to contain parts or derivatives of animals or plants of those species, unless such parts or derivatives are specifically exempted from the provisions of this Regulation or from the provisions relating to the Annex in which the species concerned is listed by means of an indication to that effect in the Annexes concerned.

A specimen will be considered to be a specimen of a species listed in Annexes A to D if it is, or is part of or derived from, an animal or plant at least one of whose 'parents' is of a species so listed. In cases where the 'parents' of such an animal or plant are of species listed in different Annexes, or of species only one of which is listed, the provisions of the more restrictive Annex shall apply. However, in the case of specimens of hybrid plants, if one of the 'parents' is of a species listed in Annex A, the provisions of the more restrictive Annex shall apply only if that species is annotated to that effect in the Annex;

- (u) 'trade' shall mean the introduction into the Community, including introduction from the sea, and the export and re-export therefrom, as well as the use, movement and transfer of possession within the Community, including within a Member State, of specimens subject to the provisions of this Regulation;
- (v) 'transit' shall mean the transport of specimens between two points outside the Community through the territory of the Community which are shipped to a named consignee and during which any interruption in the movement arises only from the arrangements necessitated by this form of traffic;
- (w) 'worked specimens that were acquired more than 50 years previously' shall mean specimens that were significantly altered from their natural raw state for jewellery, adornment, art, utility, or musical instruments, more than 50 years before the entry into force of this Regulation and that have been, to the satisfaction of the management authority of the Member State concerned, acquired in such conditions. Such specimens shall be considered as worked only if they are clearly in one of the aforementioned categories and require no further carving, crafting or manufacture to effect their purpose;
- (x) 'checks at the time of introduction, export, re-export and transit' shall mean documentary checks on the certificates, permits and

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notifications provided for in this Regulation and — in cases where Community provisions so provide or in other cases by representative sampling of the consignments — examination of the specimens, where appropriate accompanied by the taking of samples with a view to analysis or more detailed checks.

*Article 3***Scope**

1. Annex A shall contain:
  - (a) the species listed in Appendix I to the Convention for which the Member States have not entered a reservation;
  - (b) any species:
    - (i) which is, or may be, in demand for utilization in the Community or for international trade and which is either threatened with extinction or so rare that any level of trade would imperil the survival of the species;
    - or
    - (ii) which is in a genus of which most of the species or which is a species of which most of the subspecies are listed in Annex A in accordance with the criteria in subparagraphs (a) or (b)(i) and whose listing in the Annex is essential for the effective protection of those taxa.
2. Annex B shall contain:
  - (a) the species listed in Appendix II to the Convention, other than those listed in Annex A, for which the Member States have not entered a reservation;
  - (b) the species listed in Appendix I to the Convention for which a reservation has been entered;
  - (c) any other species not listed in Appendices I or II to the Convention:
    - (i) which is subject to levels of international trade that might not be compatible:
      - with its survival or with the survival of populations in certain countries, or
      - with the maintenance of the total population at a level consistent with the role of the species in the ecosystems in which it occurs:
    - or
    - (ii) whose listing in the Annex for reasons of similarity in appearance to other species listed in Annex A or Annex B, is essential in order to ensure the effectiveness of controls on trade in specimens of such species;
  - (d) species in relation to which it has been established that the introduction of live specimens into the natural habitat of the Community would constitute an ecological threat to wild species of fauna and flora indigenous to the Community.
3. Annex C shall contain:
  - (a) the species listed in Appendix III to the Convention, other than those listed in Annexes A or B, for which the Member States have not entered a reservation;
  - (b) the species listed in Appendix II to the Convention for which a reservation has been entered.
4. Annex D shall contain:
  - (a) species not listed in Annexes A to C which are imported into the Community in such numbers as to warrant monitoring;
  - (b) the species listed in Appendix III to the Convention for which a reservation has been entered.

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5. Where the conservation status of species covered by this Regulation warrants their inclusion in one of the Appendices to the Convention, the Member States shall contribute to the necessary amendments.

*Article 4***Introduction into the Community**

1. The introduction into the Community of specimens of the species listed in Annex A shall be subject to completion of the necessary checks and the prior presentation, at the border customs office at the point of introduction, of an import permit issued by a management authority of the Member State of destination.

The import permit may be issued only in accordance with the restrictions established pursuant to paragraph 6 and when the following conditions have been met:

- (a) the competent scientific authority, after considering any opinion by the Scientific Review Group, has advised that the introduction into the Community:
  - (i) would not have a harmful effect on the conservation status of the species or on the extent of the territory occupied by the relevant population of the species;
  - (ii) is taking place:
    - for one of the purposes referred to in Article 8(3)(e), (f) and (g), or
    - for other purposes which are not detrimental to the survival of the species concerned;
- (b) (i) the applicant provides documentary evidence that the specimens have been obtained in accordance with the legislation on the protection of the species concerned which, in the case of import from a third country of specimens of a species listed in the Appendices to the Convention, shall be an export permit or re-export certificate, or copy thereof, issued in accordance with the Convention by a competent authority of the country of export or re-export;
- (ii) however, the issuance of import permits for species listed in Annex A in accordance with Article 3(1)(a) shall not require such documentary evidence, but the original of any such import permit shall be withheld from the applicant pending presentation of the export permit or re-export certificate;
- (c) the competent scientific authority is satisfied that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly;
- (d) the management authority is satisfied that the specimen is not to be used for primarily commercial purposes;
- (e) the management authority is satisfied, following consultation with the competent scientific authority, that there are no other factors relating to the conservation of the species which militate against issuance of the import permit; and
- (f) in the case of introduction from the sea, the management authority is satisfied that any live specimen will be so prepared and shipped as to minimize the risk of injury, damage to health or cruel treatment.

2. The introduction into the Community of specimens of the species listed in Annex B shall be subject to completion of the necessary checks and the prior presentation, at the border customs office at the point of introduction, of an import permit issued by a management authority of the Member State of destination.

The import permit may be issued only in accordance with the restrictions established pursuant to paragraph 6 and when:

- (a) the competent scientific authority, after examining available data and considering any opinion from the Scientific Review Group, is

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of the opinion that the introduction into the Community would not have a harmful effect on the conservation status of the species or on the extent of the territory occupied by the relevant population of the species, taking account of the current or anticipated level of trade. This opinion shall be valid for subsequent imports as long as the abovementioned aspects have not changed significantly;

- (b) the applicant provides documentary evidence that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly;
- (c) the conditions referred to in paragraph 1(b)(i), (e) and (f) have been met.

3. The introduction into the Community of specimens of the species listed in Annex C shall be subject to completion of the necessary checks and the prior presentation, at the border customs office at the point of introduction, of an import notification and:

- (a) in the case of export from a country mentioned in relation to the species concerned in Annex C, the applicant shall provide documentary evidence, by means of an export permit issued in accordance with the Convention by an authority of that country competent for the purpose, that the specimens have been obtained in accordance with the national legislation on the conservation of the species concerned; or
- (b) in the case of export from a country not mentioned in relation to the species concerned in Annex C or re-export from any country, the applicant shall present an export permit, a re-export certificate or a certificate of origin issued in accordance with the Convention by an authority of the exporting or re-exporting country competent for the purpose.

4. The introduction into the Community of specimens of the species listed in Annex D shall be subject to completion of the necessary checks and the prior presentation of an import notification at the border customs office at the point of introduction.

5. The conditions for the issuance of an import permit as referred to in paragraph 1(a) and (d) and in paragraph 2(a), (b) and (c) shall not apply to specimens for which the applicant provides documentary evidence:

- (a) that they had previously been legally introduced into or acquired in the Community and that they are, modified or not, being reintroduced into the Community; or
- (b) that they are worked specimens that were acquired more than 50 years previously.

6. In consultation with the countries of origin concerned, in accordance with the procedure laid down in Article 18 and taking account of any opinion from the Scientific Review Group, the Commission may establish general restrictions, or restrictions relating to certain countries of origin, on the introduction into the Community:

- (a) on the basis of the conditions referred to in paragraph 1(a)(i) or (e), of specimens of species listed in Annex A;
- (b) on the basis of the conditions referred to in paragraph 1(e) or paragraph 2(a), of specimens of species listed in Annex B; and
- (c) of live specimens of species listed in Annex B which have a high mortality rate during shipment or for which it has been established that they are unlikely to survive in captivity for a considerable proportion of their potential life span; or
- (d) of live specimens of species for which it has been established that their introduction into the natural environment of the Community presents an ecological threat to wild species of fauna and flora indigenous to the Community.

The Commission shall on a quarterly basis publish a list of such restrictions, if any, in the *Official Journal of the European Communities*.



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7. Where special cases of transshipment, air transfer or rail transport occur following introduction into the Community, derogations from completion of the checks and presentations of import documents at the border customs office at the point of introduction which are referred to in paragraphs 1 to 4 shall be granted in accordance with the procedure laid down in Article 18, in order to permit such checks and presentations to be made at another customs office designated in accordance with Article 12 (1).

*Article 5***Export or re-export from the Community**

1. The export or re-export from the Community of specimens of the species listed in Annex A shall be subject to completion of the necessary checks and the prior presentation, at the customs office at which the export formalities are completed, of an export permit or re-export certificate issued by a management authority of the Member State in which the specimens are located.

2. An export permit for specimens of the species listed in Annex A may be issued only when the following conditions have been met:

- (a) the competent scientific authority has advised in writing that the capture or collection of the specimens in the wild or their export will not have a harmful effect on the conservation status of the species or on the extent of the territory occupied by the relevant population of the species;
- (b) the applicant provides documentary evidence that the specimens have been obtained in accordance with the legislation in force on the protection of the species in question; where the application is made to a Member State other than the Member State of origin, such documentary evidence shall be furnished by means of a certificate stating that the specimen was taken from the wild in accordance with the legislation in force on its territory;
- (c) the management authority is satisfied that:
  - (i) any live specimen will be so prepared and shipped as to minimize the risk of injury, damage to health or cruel treatment; and
  - (ii) — the specimens of species not listed in Annex I to the Convention will not be used for primarily commercial purposes, or
    - in the case of export to a State party to the Convention of specimens of the species referred to in Article 3(1)(a) of this Regulation, an import permit has been issued;
 and
- (d) the management authority of the Member State is satisfied, following consultation with the competent scientific authority, that there are no other factors relating to the conservation of the species which militate against issuance of the export permit.

3. A re-export certificate may be issued only when the conditions referred to in paragraph 2 (c) and (d) have been met and when the applicant provides documentary evidence that the specimens:

- (a) were introduced into the Community in accordance with the provisions of this Regulation;
- (b) if introduced into the Community before the entry into force of this Regulation, were introduced in accordance with the provisions of Regulation (EEC) No 3626/82; or
- (c) if introduced into the Community before 1984, entered international trade in accordance with the provisions of the Convention; or
- (d) were legally introduced into the territory of a Member State before the provisions of the Regulations referred to in (a) and (b) or of the Convention became applicable to them, or became applicable in that Member State.

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4. The export or re-export from the Community of specimens of the species listed in Annexes B and C shall be subject to completion of the necessary checks and the prior presentation, at the customs office at which the export formalities are completed, of an export permit or re-export certificate issued by a management authority of the Member State in whose territory the specimens are located.

An export permit may be issued only when the conditions referred to in paragraph 2 (a), (b), (c) (i) and (d) have been met.

A re-export certificate may be issued only when the conditions referred to in paragraph 2 (c) (i) and (d) and in paragraph 3 (a) to (d) have been met.

5. Where an application for a re-export certificate concerns specimens introduced into the Community under an import permit issued by another Member State, the management authority must first consult the management authority which issued the permit. The consultation procedures and the cases in which consultation is necessary shall be established in accordance with the procedure laid down in Article 18.

6. The conditions for the issuance of an export permit or re-export certificate as referred to in paragraph 2 (a) and (c) (ii) shall not apply to:

- (i) worked specimens that were acquired more than 50 years previously; or
- (ii) dead specimens and parts and derivatives thereof for which the applicant provides documentary evidence that they were legally acquired before the provisions of this Regulation, or of Regulation (EEC) No 3626/82 or of the Convention became applicable to them.

7. (a) The competent scientific authority in each Member State shall monitor the issuance of export permits by that Member State for specimens of species listed in Annex B and actual exports of such specimens. Whenever such scientific authority determines that the export of specimens of any such species should be limited in order to maintain that species throughout its range at a level consistent with its role in the ecosystem in which it occurs, and well above the level at which that species might become eligible for inclusion in Annex A in accordance with Article 3 (1) (a) or (b) (i), the scientific authority shall advise the competent management authority, in writing, of suitable measures to be taken to limit the issuance of export permits for specimens of that species

- (b) Whenever a management authority is advised of the measures referred to in (a), it shall inform and send comments to the Commission which shall, if appropriate, recommend restrictions on exports of the species concerned in accordance with the procedure laid down in Article 18.

*Article 6*

**Rejection of applications for permits and certificates referred to in Articles 4, 5 and 10**

1. When a Member State rejects an application for a permit or certificate in a case of significance in respect of the objectives of this Regulation, it shall immediately inform the Commission of the rejection and of the reasons for rejection.

2. The Commission shall communicate information received in accordance with paragraph 1 to the other Member States in order to ensure uniform application of this Regulation.

3. When an application is made for a permit or certificate relating to specimens for which such an application has previously been rejected, the applicant must inform the competent authority to which the application is submitted of the previous rejection.

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4. (a) Member States shall recognize the rejection of applications by the competent authorities of the other Member States, where such rejection is based on the provisions of this Regulation
- (b) However, this need not apply where the circumstances have significantly changed or where new evidence to support an application has become available. In such cases, if a management authority issues a permit or certificate, it shall inform the Commission thereof, stating the reasons for issuance.

*Article 7***Derogations**

1. *Specimens born and bred in captivity or artificially propagated*
  - (a) Save where Article 8 applies, specimens of species listed in Annex A that have been born and bred in captivity or artificially propagated shall be treated in accordance with the provisions applicable to specimens of species listed in Annex B.
  - (b) In the case of artificially propagated plants, the provisions of Articles 4 and 5 may be waived under special conditions laid down by the Commission, relating to:
    - (i) the use of phytosanitary certificates;
    - (ii) trade by registered commercial traders and by the scientific institutions referred to in paragraph 4 of this Article; and
    - (iii) trade in hybrids.
  - (c) The criteria for determining whether a specimen has been born and bred in captivity or artificially propagated and whether for commercial purposes, as well as the special conditions referred to in (b), shall be specified by the Commission in accordance with the procedure laid down in Article 18.
2. *Transit*
  - (a) By way of derogation from Article 4, where a specimen is in transit through the Community, checks and presentation at the border customs office at the point of introduction of the prescribed permits, certificates and notifications shall not be required.
  - (b) In the case of species listed in the Annexes in accordance with Article 3 (1) and Article 3 (2) (a) and (b), the derogation referred to in (a) shall apply only where a valid export or re-export document provided for by the Convention, relating to the specimens that it accompanies and specifying the destination of the specimens, has been issued by the competent authorities of the exporting or re-exporting third country.
  - (c) If the document referred to in (b) has not been issued before export or re-export, the specimen must be seized and may, where applicable, be confiscated unless the document is submitted retrospectively in compliance with the conditions specified by the Commission in accordance with the procedure laid down in Article 18.

3. *Personal and household effects*

By way of derogation from Articles 4 and 5, the provisions therein shall not apply to dead specimens, parts and derivatives of species listed in Annexes A to D which are personal or household effects being introduced into the Community, or exported or re-exported therefrom, in compliance with provisions that shall be specified by the Commission in accordance with the procedure laid down in Article 18.

4. *Scientific institutions*

The documents referred to in Articles 4, 5, 8 and 9 shall not be required in the case of non-commercial loans, donations and exchanges between scientists and scientific institutions, registered by the management authorities of the States in which they are located, of herbarium specimens and other preserved, dried or embedded museum specimens, and of live plant material, bearing a label, the model for which has

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been determined in accordance with the procedure laid down in Article 18 or a similar label issued or approved by a management authority of a third country.

*Article 8***Provisions relating to the control of commercial activities**

1. The purchase, offer to purchase, acquisition for commercial purposes, display to the public for commercial purposes, use for commercial gain and sale, keeping for sale, offering for sale or transporting for sale of specimens of the species listed in Annex A shall be prohibited.

2. Member States may prohibit the holding of specimens, in particular live animals of the species listed in Annex A.

3. In accordance with the requirements of other Community legislation on the conservation of wild fauna and flora, exemption from the prohibitions referred to in paragraph 1 may be granted by issuance of a certificate to that effect by a management authority of the Member State in which the specimens are located, on a case-by-case basis where the specimens:

- (a) were acquired in, or were introduced into, the Community before the provisions relating to species listed in Appendix I to the Convention or in Annex C1 to Regulation (EEC) No 3626/82 or in Annex A became applicable to the specimens; or
- (b) are worked specimens that were acquired more than 50 years previously; or
- (c) were introduced into the Community in compliance with the provisions of this Regulation and are to be used for purposes which are not detrimental to the survival of the species concerned; or
- (d) are captive-born and bred specimens of an animal species or artificially propagated specimens of a plant species or are parts or derivatives of such specimens; or
- (e) are required under exceptional circumstances for the advancement of science or for essential biomedical purposes pursuant to Council Directive 86/609/EEC of 24 November 1986 on the approximation of laws, regulations and administrative provisions of the Member States regarding the protection of animals used for experimental and other scientific purposes<sup>(1)</sup> where the species in question proves to be the only one suitable for those purposes and where there are no specimens of the species which have been born and bred in captivity; or
- (f) are intended for breeding or propagation purposes from which conservation benefits will accrue to the species concerned; or
- (g) are intended for research or education aimed at the preservation or conservation of the species; or
- (h) originate in a Member State and were taken from the wild in accordance with the legislation in force in that Member State.

4. General derogations from the prohibitions referred to in paragraph 1 based on the conditions referred to in paragraph 3, as well as general derogations with regard to species listed in Annex A in accordance with Article 3 (1) (b) (ii) may be defined by the Commission in accordance with the procedure laid down in Article 18. Any such derogations must be in accordance with the requirements of other Community legislation on the conservation of wild fauna and flora.

5. The prohibitions referred to in paragraph 1 shall also apply to specimens of the species listed in Annex B except where it can be proved to the satisfaction of the competent authority of the Member State concerned that such specimens were acquired and, if they originated outside the Community, were introduced into it, in accordance

<sup>(1)</sup> OJ No L 358, 18. 12. 1986, p. 1.

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with the legislation in force for the conservation of wild fauna and flora.

6. The competent authorities of the Member States shall have discretion to sell any specimen of the species listed in Annexes B to D they have confiscated under this Regulation, provided that it is not thus returned directly to the person or entity from whom it was confiscated or who was party to the offence. Such specimens may then be treated for all purposes as if they had been legally acquired.

*Article 9***Movement of live specimens**

1. Any movement within the Community of a live specimen of a species listed in Annex A from the location indicated in the import permit or in any certificate issued in compliance with this Regulation shall require prior authorization from a management authority of the Member State in which the specimen is located. In other cases of movement, the person responsible for moving the specimen must be able, where applicable, to provide proof of the legal origin of the specimen.

2. Such authorization shall:

- (a) be granted only when the competent scientific authority of such Member State or, where the movement is to another Member State, the competent scientific authority of the latter, is satisfied that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly;
- (b) be confirmed by issuance of a certificate; and
- (c) where applicable, be immediately communicated to a management authority of the Member State in which the specimen is to be located.

3. However, no such authorization shall be required if a live animal must be moved for the purpose of urgent veterinary treatment and is returned directly to its authorized location.

4. Where a live specimen of a species listed in Annex B is moved within the Community, the holder of the specimen may relinquish it only after ensuring that the intended recipient is adequately informed of the accommodation, equipment and practices required to ensure the specimen will be properly cared for.

5. When any live specimens are transported into, from or within the Community or are held during any period of transit or transshipment, they shall be prepared, moved and cared for in a manner such as to minimize the risk of injury, damage to health or cruel treatment and, in the case of animals, in conformity with Community legislation on the protection of animals during transport.

6. Under the procedure laid down in Article 18, the Commission may establish restrictions on the holding or movement of live specimens of species in relation to which restrictions on introduction into the Community have been established in accordance with Article 4 (6).

*Article 10***Certificates to be issued**

On receiving an application, together with all the requisite supporting documents, from the person concerned and provided that all the conditions governing their issuance have been fulfilled, a management authority of a Member State may issue a certificate for the purposes referred to in Article 5 (2) (b), 5 (3) and (4), Article 8 (3) and Article 9 (2) (b).

▼B*Article 11***Validity of and special conditions for permits and certificates**

1. Without prejudice to stricter measures which the Member States may adopt or maintain, permits and certificates issued by the competent authorities of the Member States in accordance with this Regulation shall be valid throughout the Community.
2. (a) However, any such permit or certificate, as well as any permit or certificate issued on the basis of it, shall be deemed void if a competent authority or the Commission, in consultation with the competent authority which issued the permit or certificate, establishes that it was issued on the false premise that the conditions for its issuance were met
  - (b) Specimens situated in the territory of a Member State and covered by such documents shall be seized by the competent authorities of that Member State and may be confiscated.
3. Any permit or certificate issued in accordance with this Regulation may stipulate conditions and requirements imposed by the issuing authority to ensure compliance with the provisions thereof. Where such conditions or requirements need to be incorporated in the design of permits or certificates, Member States shall inform the Commission thereof.
4. Any import permit issued on the basis of a copy of the corresponding export permit or re-export certificate shall be valid for the introduction of specimens into the Community only when accompanied by the original of the valid export permit or re-export certificate.
5. The Commission shall establish time limits for the issuance of permits and certificates in accordance with the procedure laid down in Article 18.

*Article 12***Places of introduction and export**

1. Member States shall designate customs offices for carrying out the checks and formalities for the introduction into and export from the Community, in order to assign to them a customs-approved treatment or use, within the meaning of Regulation (EEC) No 2913/92, of specimens of species covered by this Regulation and shall state which offices are specifically intended to deal with live specimens.
2. All offices designated in accordance with paragraph 1 shall be provided with sufficient and adequately trained staff. Member States shall ensure that accommodation is provided in accordance with relevant Community legislation as regards the transport and accommodation of live animals and that, where necessary, adequate steps are taken for live plants.
3. All offices designated in accordance with paragraph 1 shall be notified to the Commission which shall publish a list of them in the *Official Journal of the European Communities*.
4. In exceptional cases and in accordance with criteria defined under the procedure laid down in Article 18, a management authority may authorize the introduction into the Community or the export or re-export therefrom at a customs office other than one designated in accordance with paragraph 1.
5. Member States shall ensure that at border crossing-points the public are informed of the implementing provisions of this Regulation.

*Article 13***Management and scientific authorities and other competent authorities**

1. (a) Each Member State shall designate a management authority with primary responsibility for implementation of this Regulation and for communication with the Commission

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- (b) Each Member State may also designate additional management authorities and other competent authorities to assist in implementation, in which case the primary management authority shall be responsible for providing the additional authorities with all the information required for correct application of this Regulation.
2. Each Member State shall designate one or more scientific authorities with appropriate qualifications whose duties shall be separate from those of any designated management authority.
  3. (a) Not later than three months before the date of application of this Regulation, Member States shall forward the names and addresses of the designated management authorities, other authorities competent to issue permits or certificates and scientific authorities to the Commission, which shall publish this information in the *Official Journal of the European Communities* within a month
    - (b) Each management authority referred to in paragraph 1 (a) shall, if so requested by the Commission, communicate to it within two months the names and specimen signatures of people authorized to sign permits or certificates, and impressions of the stamps, seals or other devices used to authenticate permits or certificates.
    - (c) Member States shall communicate to the Commission any changes in the information already provided, not later than two months after the implementation of such change.

*Article 14***Monitoring of compliance and investigation of infringements**

1. (a) The competent authorities of the Member States shall monitor compliance with the provisions of this Regulation
  - (b) If, at any time, the competent authorities have reason to believe that these provisions are being infringed, they shall take the appropriate steps to ensure compliance or to instigate legal action.
  - (c) Member States shall inform the Commission and, in the case of species listed in the Appendices to the Convention, the Convention Secretariat of any steps taken by the competent authorities in relation to significant infringements of this Regulation, including seizures and confiscations.
2. The Commission shall draw the attention of the competent authorities of the Member States to matters whose investigation it considers necessary under this Regulation. Member States shall inform the Commission and, in the case of species listed in the Appendices to the Convention, the Convention Secretariat of the outcome of any subsequent investigation.
3. (a) An enforcement group shall be established consisting of the representatives of each Member State's authorities with responsibility for ensuring the implementation of the provisions of this Regulation. The group shall be chaired by the representative of the Commission
  - (b) The enforcement group shall examine any technical question relating to the enforcement of this Regulation raised by the chairman, either on his own initiative or at the request of the members of the group or the committee.
  - (c) The Commission shall convey the opinions expressed in the enforcement group to the committee.



*Article 15*

**Communication of information**

1. The Member States and the Commission shall communicate to one another the information necessary for implementing this Regulation.

The Member States and the Commission shall ensure that the necessary steps are taken to make the public aware and inform it of the provisions regarding implementation of the Convention and of this Regulation and of the latter's implementing measures.

2. The Commission shall communicate with the Convention Secretariat so as to ensure that the Convention is effectively implemented throughout the territory to which this Regulation applies.

3. The Commission shall immediately communicate any advice from the Scientific Review Group to the management authorities of the Member States concerned.

4. (a) The management authorities of the Member States shall communicate to the Commission before 15 June each year all the information relating to the preceding year required for drawing up the reports referred to in Article VIII.7 (a) of the Convention and equivalent information on international trade in all specimens of species listed in Annexes A, B and C and on introduction into the Community of specimens of species listed in Annex D. The information to be communicated and the format for its presentation shall be specified by the Commission in accordance with the procedure laid down in Article 18

(b) On the basis of the information referred to in (a), the Commission shall publish before 31 October each year a statistical report on the introduction into, and the export and re-export from, the Community of specimens of the species to which this Regulation applies and shall forward to the Convention Secretariat information on the species to which the Convention applies.

(c) Without prejudice to Article 20, the management authorities of the Member States shall, before 15 June of each second year, and for the first time in 1999, communicate to the Commission all the information relating to the preceding two years required for drawing up the reports referred to in Article VIII.7 (b) of the Convention and equivalent information on the provisions of this Regulation that fall outside the scope of the Convention. The information to be communicated and the format for its presentation shall be specified by the Commission in accordance with the procedure laid down in Article 18.

(d) On the basis of the information referred to in (c), the Commission shall, before 31 October of each second year, and for the first time in 1999, draw up a report on the implementation and enforcement of this Regulation.

5. With a view to the preparation of amendments to the Annexes, the competent authorities of the Member States shall forward all relevant information to the Commission. The Commission shall specify the information required, in accordance with the procedure laid down in Article 18.

►C1 6. Without prejudice to Council Directive 90/313/EEC ◀ of 7 June 1990 on the freedom of access to information on the environment <sup>(1)</sup>, the Commission shall take appropriate measures to protect the confidentiality of information obtained in implementation of this Regulation.

<sup>(1)</sup> OJ No L 158, 23. 6. 1990, p. 56.



▼B*Article 16***Sanctions**

1. Member States shall take appropriate measures to ensure the imposition of sanctions for at least the following infringements of this Regulation:

- (a) introduction into, or export or re-export from, the Community of specimens without the appropriate permit or certificate or with a false, falsified or invalid permit or certificate or one altered without authorization by the issuing authority;
- (b) failure to comply with the stipulations specified on a permit or certificate issued in accordance with this Regulation;
- (c) making a false declaration or knowingly providing false information in order to obtain a permit or certificate;
- (d) using a false, falsified or invalid permit or certificate or one altered without authorization as a basis for obtaining a Community permit or certificate or for any other official purpose in connection with this Regulation;
- (e) making no import notification or a false import notification;
- (f) shipment of live specimens not properly prepared so as to minimize the risk of injury, damage to health or cruel treatment;
- (g) use of specimens of species listed in Annex A other than in accordance with the authorization given at the time of issuance of the import permit or subsequently;
- (h) trade in artificially propagated plants contrary to the provisions laid down in accordance with Article 7(1)(b);
- (i) shipment of specimens into or out of or in transit through the territory of the Community without the appropriate permit or certificate issued in accordance with this Regulation and, in the case of export or re-export from a third country party to the Convention, in accordance therewith, or without satisfactory proof of the existence of such permit or certificate;
- (j) purchase, offer to purchase, acquisition for commercial purposes, use for commercial gain, display to the public for commercial purposes, sale, keeping for sale, offering for sale or transporting for sale of specimens in contravention of Article 8;
- (k) use of a permit or certificate for any specimen other than one for which it was issued;
- (l) falsification or alteration of any permit or certificate issued in accordance with this Regulation;
- (m) failure to disclose rejection of an application for a Community import, export or re-export permit or certificate, in accordance with Article 6 (3).

2. The measures referred to in paragraph 1 shall be appropriate to the nature and gravity of the infringement and shall include provisions relating to the seizure and, where appropriate, confiscation of specimens.

3. Where a specimen is confiscated, it shall be entrusted to a competent authority of the Member State of confiscation which:

- (a) following consultation with a scientific authority of that Member State, shall place or otherwise dispose of the specimen under conditions which it deems to be appropriate and consistent with the purposes and provisions of the Convention and this Regulation; and
- (b) in the case of a live specimen which has been introduced into the Community, may, after consultation with the State of export, return the specimen to that State at the expense of the convicted person.

4. Where a live specimen of a species listed in Annex B or C arrives at a point of introduction into the Community without the appropriate valid permit or certificate, the specimen must be seized and may be confiscated or, if the consignee refuses to acknowledge the specimen,

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the competent authorities of the Member State responsible for the point of introduction may, if appropriate, refuse to accept the shipment and require the carrier to return the specimen to its place of departure.

*Article 17***The Scientific Review Group**

1. A Scientific Review Group is hereby established, consisting of the representatives of each Member State's scientific authority or authorities and chaired by the representative of the Commission.
2. (a) The Scientific Review Group shall examine any scientific question relating to the application of this Regulation — in particular concerning Article 4 (1) (a), (2) (a) and (6) — raised by the chairman, either on his own initiative or at the request of the members of the Group or the Committee.
- (b) The Commission shall convey the opinions of the Scientific Review Group to the Committee.

**▼M9***Article 18*

1. The Commission shall be assisted by a committee.
2. Where reference is made to this Article, Articles 5 and 7 of Decision 1999/468/EC <sup>(1)</sup> shall apply, having regard to the provisions of Article 8 thereof.

The period laid down in Article 5(6) of Decision 1999/468/EC shall be set at three months. As regards the Committee's tasks referred to in points 1 and 2 of Article 19, if, on the expiry of a period of three months from the date of referral to the Council, the Council has not acted, the proposed measures shall be adopted by the Commission.

3. The Committee shall adopt its rules of procedure.

**▼B***Article 19*

In accordance with the procedure laid down in Article 18, the Commission shall:

1. lay down uniform conditions and criteria for:
  - (i) the issue, validity and use of the documents referred to in Articles 4, 5, 7 (4) and 10; it shall determine the design thereof;
  - (ii) the use of phytosanitary certificates; and
  - (iii) the establishment of procedures, where necessary, for marking specimens in order to facilitate identification and ensure enforcement of the provisions;
2. adopt the measures referred to in Article 4 (6) and (7), Article 5 (5) and (7) (b), Article 7 (1) (c), (2) (c) and (3), Article 8 (4), Article 9 (6), Article 11 (5), Article 15 (4) (a), (c) and (5) and Article 21 (3);
3. amend Annexes A to D except in the case of amendments to Annex A which do not result from decisions of the Conference of the Parties to the Convention;
4. adopt, where necessary, additional measures to implement resolutions of the Conference of the Parties to the Convention, decisions or recommendations of the Standing Committee of the Convention and recommendations of the Convention Secretariat.

<sup>(1)</sup> Council Decision 1999/468/EC of 28 June 1999 laying down the procedures for the exercise of implementing powers conferred on the Commission (OJ L 184, 17.7.1999, p. 23).



*Article 20*

**Final provisions**

Each Member State shall notify the Commission and the Convention Secretariat of the provisions which it adopts specifically for the implementation of this Regulation and of all legal instruments used and measures taken for its implementation and enforcement.

The Commission shall communicate this information to the other Member States.

*Article 21*

1. Regulation (EEC) No 3626/82 is hereby repealed.
2. Until the measures provided for in points 1 and 2 of Article 19 have been adopted, Member States may maintain or continue to apply the measures adopted in accordance with Regulation (EEC) No 3626/82 and Commission Regulation (EEC) No 3418/83 of 28 November 1983 laying down provisions for the uniform issue and use of the documents required for the implementation in the Community of the Convention on International Trade in Endangered Species of Wild Fauna and Flora <sup>(1)</sup>.
3. Two months before this Regulation is implemented the Commission, in accordance with the procedure laid down in Article 18 and in consultation with the Scientific Review Group:
  - (a) must check that there is no justification for restrictions on the introduction into the Community of the species listed in Annex C1 to Regulation (EEC) No 3626/82 which are not included in Annex A to this Regulation;
  - (b) shall adopt a Regulation amending Annex D into a representative list of species meeting the criteria laid down in Article 3 (4) (a).

*Article 22*

This Regulation shall enter into force on the date of its publication in the *Official Journal of the European Communities*.

It shall apply from 1 June 1997.

Articles 12, 13, 14 (3), 16, 17, 18, 19 and 21(3) shall apply from the date of entry into force of this Regulation.

This Regulation shall be binding in its entirety and directly applicable in all Member States.

<sup>(1)</sup> OJ No L 344, 7. 12. 1983, p. 1.

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## ANNEX

## Notes on interpretation of Annexes A, B, C and D

1. Species included in these Annexes A, B, C and D are referred to:
  - (a) by the name of the species; or
  - (b) as being all of the species included in a higher taxon or designated part thereof.
2. The abbreviation 'spp.' is used to denote all species of a higher taxon.
3. Other references to taxa higher than species are for the purposes of information or classification only.
4. Species printed in bold in Annex A are listed there in consistency with their protection as provided for by Council Directive 79/409/EEC <sup>(1)</sup> (Birds Directive) or Council Directive 92/43/EEC <sup>(2)</sup> ('Habitats Directive').
5. The following abbreviations are used for plant taxa below the level of species:
  - (a) 'ssp' is used to denote subspecies;
  - (b) 'var(s)' is used to denote variety (varieties); and
  - (c) 'fa' is used to denote forma.
6. The symbols '(I)', '(II)' and '(III)' placed against the name of a species or higher taxon refer to the Appendices of the Convention in which the species concerned are listed as indicated in notes 7 to 9. Where none of these annotations appears, the species concerned are not listed in the Appendices to the Convention.
7. (I) against the name of a species or higher taxon indicates that the species or higher taxon concerned is included in Appendix I to the Convention.
8. (II) against the name of a species or higher taxon indicates that the species or higher taxon concerned is included in Appendix II to the Convention.
9. (III) against the name of a species or higher taxon indicates that it is included in Appendix III to the Convention. In this case the country with respect to which the species or higher taxon is included in Appendix III is also indicated by a two-letter code as follows: BO (Bolivia), BR (Brazil), BW (Botswana), CA (Canada), CO (Colombia), CR (Costa Rica), GH (Ghana), GT (Guatemala), HN (Honduras), IN (India), MY (Malaysia), MU (Mauritius), NP (Nepal), TN (Tunisia), UY (Uruguay) and ZA (South Africa).
10. Hybrids may be specifically included in the Appendices but only if they come from distinct and stable populations in the wild. Hybrid animals that have in their previous four generations of the lineage one or more specimens of species included in Annexes A or B shall be subject to the provisions of this Regulation just as if they were full species, even if the hybrid concerned is not specifically included in the Annexes.
11. The symbol '=' followed by a number placed against the name of a species or higher taxon denotes that the name of that species or taxon shall be interpreted as follows:
  - =301 Also referenced as *Phalanger maculatus*
  - =302 Also referenced as *Vampyrops lineatus*
  - =303 Formerly included in family Lemnidae
  - =304 Formerly included as subspecies of *Callithrix jacchus*
  - =305 Includes generic synonym *Leontideus*
  - =306 Formerly included in species *Saguinus oedipus*
  - =307 Formerly included as *Alouatta palliata*
  - =308 Formerly included as *Alouatta palliata (villosa)*
  - =309 Includes synonym *Cercopithecus roloway*
  - =310 Formerly included in genus *Papio*

<sup>(1)</sup> OJ L 103, 25.4.1979, p. 1. Directive as last amended by Commission Directive 97/49/EC (OJ L 233, 13.8.1997, p. 9).

<sup>(2)</sup> OJ L 206, 22.7.1992, p. 7. Directive as last amended by Council Directive 97/62/EC (OJ L 305, 8.11.1997, p. 42).

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- =311 Includes generic synonym *Simias*
- =312 Includes synonym *Colobus badius rufomitratu*
- =313 Includes generic synonym *Rhinopithecus*
- =314 Also referenced as *Presbytis entellus*
- =315 Also referenced as *Presbytis geei* and *Semnopithecus geei*
- =316 Also referenced as *Presbytis pileata* and *Semnopithecus pileatus*
- =317 Formerly included as *Tamandua tetradactyla* (in part)
- =318 Includes synonyms *Bradypus boliviensis* and *Bradypus griseus*
- =319 Includes synonym *Cabassous gymnurus*
- =320 Includes synonym *Priodontes giganteus*
- =321 Includes generic synonym *Coendou*
- =322 Includes generic synonym *Cuniculus*
- =323 Formerly included in genus *Dusicyon*
- =324 Includes synonym *Dusicyon fulvipes*
- =325 Includes generic synonym *Fennecus*
- =326 Also referenced as *Selenarctos thibetanus*
- =327 Formerly included as *Nasua nasua*
- =328 Also referenced as *Aonyx microdon* or *Paraonyx microdon*
- =329 Formerly included in genus *Lutra*
- =330 Formerly included in genus *Lutra*; includes synonyms *Lutra annectens*, *Lutra enudris*, *Lutra incarum* and *Lutra platensis*
- =331 Includes synonym *Galictis allamandi*
- =332 Formerly included in *Martes flavigula*
- =333 Includes generic synonym *Viverra*
- =334 Includes synonym *Eupleres major*
- =335 Formerly included as *Viverra megapila*
- =336 Formerly included as *Herpestes fuscus*
- =337 Formerly included as *Herpestes auropunctatus*
- =338 Also referenced as *Felis caracal* and *Lynx caracal*
- =339 Formerly included in genus *Felis*
- =340 Also referenced as *Felis pardina* or *Felis lynx pardina*
- =341 Formerly included in genus *Panthera*
- =342 Excludes the domesticated form referenced as *Equus asinus*, which is not subject to the provisions of this Regulation
- =343 Formerly included in species *Equus hemionus*
- =344 Also referenced as *Equus caballus przewalskii*
- =345 Also referenced as *Choeropsis liberiensis*
- =346 Also referenced as *Cervus porcinus calamianensis*
- =347 Also referenced as *Cervus porcinus kuhlii*
- =348 Also referenced as *Cervus porcinus annamiticus*
- =349 Also referenced as *Cervus dama mesopotamicus*
- =350 Excludes the domesticated form of *Bos gaurus* referenced as *Bos frontalis* which is not subject to the provisions of this Regulation
- =351 Excludes the domesticated form of *Bos mutus* referenced as *Bos grunniens* which is not subject to the provisions of this Regulation
- =352 Includes generic synonym *Novibos*
- =353 Excludes the domesticated form of *Bubalus arnee* referenced as *Bubalus bubalis*
- =354 Includes generic synonym *Anoa*
- =355 Also referenced as *Damaliscus dorcas dorcas*
- =356 Formerly included in species *Naemorhedus goral*
- =357 Also referenced as *Capricornis sumatraensis*
- =358 Includes synonym *Oryx tao*
- =359 Includes synonym *Ovis aries ophion*
- =360 Formerly included as *Ovis vignei*

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- =361 Also referenced as *Rupicapra rupicapra ornata*
- =362 Also referenced as *Boocercus eurycerus*; includes generic synonym *Taurotragus*
- =363 Also referenced as *Pterocnemia pennata*
- =364 Also referenced as *Sula abbotti*
- =365 Also referenced as *Ardeola ibis*
- =366 Also referenced as *Egretta alba* and *Ardea alba*
- =367 Also referenced as *Ciconia ciconia boyciana*
- =368 Also referenced as *Hagedashia hagedash*
- =369 Also referenced as *Lampribus rara*
- =370 Includes *Phoenicopterus roseus*
- =371 Includes synonyms *Anas chlorotis* and *Anas nesiotis*
- =372 Also referenced as *Spatula clypeata*
- =373 Also referenced as *Anas platyrhynchos laysanensis*
- =374 Probably a hybrid between *Anas platyrhynchos* and *Anas superciliosa*
- =375 Also referenced as *Nyroca nyroca*
- =376 Includes synonym *Dendrocygna fulva*
- =377 Also referenced as *Cairina hartlaubii*
- =378 Also referenced as *Aquila heliaca adalberti*
- =379 Also referenced as *Chondrohierax wilsonii*
- =380 Also referenced as *Falco peregrinus babyloicus* and *Falco peregrinus pelegrinoides*
- =381 Also referenced as *Crax mitu mitu*
- =382 Also referenced as genus *Crax*
- =383 Formerly included in genus *Aburria*
- =384 Formerly included in genus *Aburria*; also referenced as *Pipile pipile pipile*
- =385 Formerly included as *Arborophila brunneopectus* (in part)
- =386 Formerly included in species *Crossoptilon crossoptilon*
- =387 Formerly included in species *Polyplectron malacense*
- =388 Includes synonym *Rheinardia nigrescens*
- =389 Also referenced as *Tricholimnas sylvestris*
- =390 Also referenced as *Choriotis nigriceps*
- =391 Also referenced as *Houbaropsis bengalensis*
- =392 Also referenced as *Turturoena iriditorques*; formerly included as *Columba malherbii* (in part)
- =393 Also referenced as *Nesoenas mayeri*
- =394 Formerly included as *Treron australis* (in part)
- =395 Also referenced as *Calopelia brehmeri*; includes synonym *Calopelia puella*
- =396 Also referenced as *Tympanistria tympanistria*
- =397 Also referenced as *Amazona dufresniana rhodocorytha*
- =398 Often traded under the incorrect designation *Ara caninde*
- =399 Formerly *Cyanoramphus auriceps forbesi*
- =400 Includes *Cyanoramphus cookii*
- =401 Also referenced as *Opopsitta diophthalma coxeni*
- =402 Also referenced as *Pezoporus occidentalis*
- =403 Formerly *Aratinga guarouba*
- =404 Formerly *Ara couloni*
- =405 Formerly *Ara maracana*
- =406 Formerly included in species *Psephotus chrysopterygius*
- =407 Also referenced as *Psittacula krameri echo*
- =408 Formerly included in genus *Gallirex*; also referenced as *Tauraco porphyreolophus*
- =409 Also referenced as *Otus gurneyi*

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- =410 Also referenced as *Ninox novaeseelandiae royana*
- =411 Formerly included as *Ramphodon dohrnii*
- =412 Includes generic synonym *Ptilolaemus*
- =413 Formerly included in genus *Rhinoplax*
- =414 Also referenced as *Pitta brachyura nympha*
- =415 Also referenced as *Muscicapa ruecki* or *Niltava ruecki*
- =416 Also referenced as *Dasyornis brachypterus longirostris*
- =417 Also referenced as *Tchitreia bourbonnensis*
- =418 Also referenced as *Meliphaga cassidix*
- =419 Includes generic synonym *Xanthopsar*
- =420 Formerly included in genus *Spinus*
- =421 Formerly included as *Serinus gularis* (in part)
- =422 Also referenced as *Estrilda subflava* or *Sporaeginthus subflavus*
- =423 Formerly included as *Lagonosticta larvata* (in part)
- =424 Includes generic synonym *Spermestes*
- =425 Also referenced as *Euodice cantans*; formerly included as *Lonchura malabarica* (in part)
- =426 Also referenced as *Hypargos nitidulus*
- =427 Formerly included as *Parmoptila woodhousei* (in part)
- =428 Includes synonyms *Pyrenestes frommi* and *Pyrenestes rothschildi*
- =429 Also referenced as *Estrilda bengala*
- =430 Also referenced as *Malimbus rubriceps* or *Anaplectes melanotis*
- =431 Also referenced as *Coliuspasser ardens*
- =432 Formerly included as *Euplectes orix* (in part)
- =433 Also referenced as *Coliuspasser macrourus*
- =434 Also referenced as *Ploceus superciliosus*
- =435 Includes synonym *Ploceus nigriceps*
- =436 Also referenced as *Sitagra luteola*
- =437 Also referenced as *Sitagra melanocephala*
- =438 Formerly included as *Ploceus velatus*
- =439 Also referenced as *Hypochoera chalybeata*; includes synonyms *Vidua amauropteryx*, *Vidua centralis*, *Vidua neumanni*, *Vidua okavangoensis* and *Vidua ultramarina*
- =440 Formerly included as *Vidua paradisaea* (in part)
- =441 Also referenced as genus *Damonia*
- =442 Formerly included as *Kachuga tecta tecta*
- =443 Includes generic synonyms *Nicoria* and *Geoemyda* (in part)
- =444 Also referenced as *Chrysemys scripta elegans*
- =445 Also referenced as *Geochelone elephantopus*; also referenced in genus *Testudo*
- =446 Also referenced as genus *Testudo*.
- =447 Formerly included in *Testudo kleinmanni*
- =448 Formerly included in genus *Trionyx*. Also referenced in genus *Aspideretes*
- =449 Formerly included in genus *Trionyx*
- =450 Formerly included in *Podocnemis* spp.
- =451 Also referenced as *Pelusios subniger*
- =452 Includes *Alligatoridae*, *Crocodylidae* and *Gavialidae*
- =453 Also referenced in genus *Nactus*
- =454 Includes generic synonym *Rhoptropella*
- =455 Formerly included in *Chamaeleo* spp.
- =456 Includes the genus *Pseudocordylus*
- =457 Formerly *Crocodylurus lacertinus*
- =458 *Tupinambis merianae* (Duméril & Bibron, 1839) was until 1 August 2000 listed as *T. teguixin* (Linnaeus, 1758) (Distribution: northern Argentina, Uruguay, Paraguay, southern Brazil, extending into

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southern Amazonian Brazil). *Tupinambis teguixin* (Linnaeus, 1758) was until 1 August 2000 listed as *Tupinambis nigropunctatus* (Spix, 1824) (Distribution: Colombia, Venezuela, Guianas, Amazonian basin of Ecuador, Peru, Bolivia and Brazil, in Brazil south into State of Sao Paulo).

- =459 Formerly included in family *Boidae*
- =460 Includes synonym *Python molurus pimbura*
- =461 Also referenced as *Constrictor constrictor occidentalis*
- =462 Includes synonym *Sanzinia manditra*
- =463 Includes synonym *Pseudoboa cloelia*
- =464 Also referenced as *Hydrodynastes gigas*
- =465 Also referenced as *Alsophis chamissonis*
- =466 Formerly included in genus *Natrix*
- =467 Formerly referenced as *Naja naja*
- =468 Formerly included as *Crotalus unicolor*
- =469 Formerly included as *Vipera russelli*
- =470 Formerly included in *Nectophrynoides*
- =471 Formerly *Atelopus varius zeteki*
- =472 Formerly included in *Dendrobates*
- =473 Also referenced as *Rana*
- =474 Includes generic synonym *Megalobatrachus*
- =475 Formerly *Cynoscion macdonaldi*
- =476 Includes synonyms *Pandinus africanus* and *Heterometrus roeseli*
- =477 Formerly included in genus *Brachypelma*
- =478 *Sensu D'Abrera*
- =479 Also referenced as *Conchodromus dromas*
- =480 Also referenced in genera *Dysnomia* and *Plagiola*
- =481 Includes generic synonym *Proptera*
- =482 Also referenced in genus *Carunculina*
- =483 Also referenced as *Megalonaias nickliniana*
- =484 Also referenced as *Cyrtonaias tampicoensis tecomatensis* and *Lampsilis tampicoensis tecomatensis*
- =485 Includes generic synonym *Micromya*
- =486 Includes generic synonym *Papuina*
- =487 Includes only one species *Heliopora coerulea*
- =488 Also referenced as *Podophyllum emodi* and *Sinopodophyllum hexandrum*
- =489 Includes generic synonyms *Neogomesia* and *Roseocactus*
- =490 Also referenced in genus *Echinocactus*
- =491 Includes synonym *Coryphantha densispina*
- =492 Also referenced as *Echinocereus lindsayi*
- =493 Also referenced in genus *Wilcoxia*; includes *Wilcoxia nerispina*
- =494 Also referenced in genus *Coryphantha*; includes synonym *Escobaria nellieae*
- =495 Also referenced in genus *Coryphantha*; includes *Escobaria leei* as a subspecies
- =496 Includes synonym *Solisia pectinata*
- =497 Also referenced in genera *Backebergia*, *Cephalocereus* and *Mitrocereus*; includes synonym *Pachycereus chrysomallus*
- =498 Includes *Pediocactus bradyi* ssp. *despainii* and *Pediocactus bradyi* ssp. *winkleri* and synonyms *Pediocactus despainii*, *Pediocactus simpsonii* ssp. *bradyi* and *Pediocactus winkleri*; also referenced in genus *Toumeyia*.
- =499 Also referenced in genera *Navajoa*, *Toumeyia* and *Utahia* includes synonyms *Pediocactus fickeisenii*, *Navajoa peeblesiana* ssp. *fickeisenii* and *Navajoa fickeisenii*.
- =500 Also referenced as genera *Echinocactus* and *Utahia*
- =501 Includes generic synonym *Encephalocarpus*



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- =502 Also referenced in genus *Pediocactus*; includes synonym *Ancistrocactus tobuschii* and *Ferocactus tobuschii*
- =503 Also referenced in genera *Echinomastus*, *Neolloydia* and *Pediocactus*; includes synonyms *Echinomastus acunensis* and *Echinomastus krausei*.
- =504 Includes synonyms *Ferocactus glaucus*, *Sclerocactus brevispinus*, *Sclerocactus wetlandicus* and *Sclerocactus wetlandicus* ssp. *Ilseae*; also referenced in genus *Pediocactus*.
- =505 Also referenced in genera *Echinomastus*, *Neolloydia* and *Pediocactus*.
- =506 Also referenced in genera *Coloradoa*, *Ferocactus* and *Pediocactus*
- =507 Also referenced in genera *Pediocactus* and *Toumeyia*
- =508 Also referenced in genera *Ferocactus* and *Pediocactus*.
- =509 Includes generic synonyms *Gymnocactus* and *Normanbokea*; also referenced in genera *Kadenicarpus*, *Neolloydia*, *Pediocactus*, *Pelecyphora*, *Strombocactus*, *Thelocactus* and *Toumeyia*.
- =510 Also referenced in genus *Parodia*.
- =511 Also referenced as *Saussurea lappa*
- =512 Includes genera *Alsophila*, *Nephelea*, *Sphaeropteris* and *Trichipteris*.
- =513 Also referenced as *Euphorbia decaryi* var. *capsaintemariensis*
- =514 Includes *Euphorbia cremersii* fa. *viridifolia* and *Euphorbia cremersii* var. *rakotozafyi*
- =515 Includes *Euphorbia cylindrifolia* ssp. *tuberifera*
- =516 Includes *Euphorbia decaryi* vars. *ampanihyensis*, *robinsonii* and *spirosticha*
- =517 Includes *Euphorbia moratii* vars. *antsingiensis*, *bemarahensis* and *multiflora*
- =518 Also referenced as *Euphorbia capsaintemariensis* var. *tulearensis*
- =519 Also referenced as *Engelhardia pterocarpa*
- =520 Also referenced in the genus *Afrormosia*
- =521 Includes *Aloe compressa* vars. *rugosquamosa*, *schistophila* and *paucituberculata*.
- =522 Includes *Aloe haworthioides* var. *aurantiaca*
- =523 Includes *Aloe laeta* var. *maniaensis*
- =524 Formerly included in *Talauma hodgsonii*; also referenced as *Magnolia hodgsonii* and *Magnolia candollii* var. *obvata*.
- =525 Includes families *Apostasiaceae* and *Cypripediaceae* as subfamilies *Apostasioideae* and *Cypripedioideae*
- =526 *Anacampseros australiana* and *A. kurtzii* are also referenced in genus *Grahamia*
- =527 Formerly included in *Anacampseros* spp.
- =528 Also referenced as *Sarracenia alabamensis*
- =529 Also referenced as *Sarracenia jonesii*
- =530 Excludes *Picrorhiza scrophulariifolia*
- =531 Formerly included in *Zamiaceae* spp.
- =532 Includes synonym *Stangeria paradoxa*
- =533 Also referenced as *Taxus baccata* ssp. *wallichiana*
- =534 Excludes *Valeriana jatamansi* Jones
- =535 Includes synonym *Welwitschia bainesii*
- =536 Includes synonym *Vulpes vulpes leucopus*
- =537 Also referenced as *Pogonocichla swynnertoni*
- =538 Frequently traded as *Serinus citrinelloides*
- =539 Frequently traded as *Estrilda melanotis*
- =540 Includes *Lapemis hardwickii*
- =541 Includes *Hippocampus agnesiae*, *Hippocampus bleekeri*, *Hippocampus graciliformis* and *Hippocampus macleanina*.
- =542 Includes *Hippocampus elongatus* and *Hippocampus subelongatus*.
- =543 Includes *Hippocampus tuberculatus*.
- =544 Includes *Hippocampus subcoronatus*

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- =545 Includes *Hippocampus fasciatus* and *Hippocampus mohnikei*
  - =546 Includes *Hippocampus brunneus*, *Hippocampus fascicularis*, *Hippocampus hudsonius*, *Hippocampus kinkaidi*, *Hippocampus laevicaudatus*, *Hippocampus marginalis*, *Hippocampus punctulatus*, *Hippocampus stylifer*, *Hippocampus tetragonurus* and *Hippocampus villosus*.
  - =547 Includes *Hippocampus obscurus*
  - =548 Includes *Hippocampus antiquorum*, *Hippocampus antiquus*, *Hippocampus brevirostris*, *Hippocampus europeus*, *Hippocampus heptagonus*, *Hippocampus pentagonus* and *Hippocampus vulgaris*.
  - =549 Includes *Hippocampus ecuadorensis*, *Hippocampus gracilis*, *Hippocampus hildebrandi* and *Hippocampus ringens*.
  - =550 Includes *Hippocampus atterimus*, *Hippocampus barbouri*, *Hippocampus fisheri*, *Hippocampus hilonis*, *Hippocampus melanospilos*, *Hippocampus moluccensis*, *Hippocampus natalensis*, *Hippocampus polytaenia*, *Hippocampus rhyncomacer*, *Hippocampus taeniopterus* and *Hippocampus valentyni*.
  - =551 Includes *Hippocampus suezensis*
  - =552 Includes *Hippocampus dahli* and *Hippocampus lenis*.
  - =553 Includes *Hippocampus atrichus*, *Hippocampus guttulatus*, *Hippocampus jubatus*, *Hippocampus longirostris*, *Hippocampus microcoronatus*, *Hippocampus microstephanus*, *Hippocampus multiannularis*, *Hippocampus rosaceus* and *Hippocampus trichus*.
  - =554 Includes *Hippocampus obtusus* and *Hippocampus poeyi*.
  - =555 Includes *Hippocampus chinensis*, *Hippocampus kampylotrachelos*, *Hippocampus manadensis*, *Hippocampus mannulus* and *Hippocampus sexmaculatus*.
  - =556 Includes *Hippocampus novaehollandiae*
  - =557 Includes *Hippocampus regulus* and *Hippocampus rosamondae*
12. In accordance with Article 2(t) of this Regulation, the symbol ‘#’ followed by a number placed against the name of a species or higher taxon included in Annex B or C designates parts or derivatives which are specified in relation thereto for the purposes of the Regulation as follows:
- #1 Designates all parts and derivatives, except:
    - (a) seeds, spores and pollen (including pollinia);
    - (b) seedling or tissue cultures obtained *in vitro*, in solid or liquid media, transported in sterile containers, and
    - (c) cut flowers of artificially propagated plants.
  - #2 Designates all parts and derivations, except:
    - (a) seeds and pollen;
    - (b) seedling or tissue cultures obtained *in vitro*, in solid or liquid media, transported in sterile containers;
    - (c) cut flowers of artificially propagated plants; and
    - (d) chemical derivatives and finished pharmaceutical products.
  - #3 Designates whole and sliced roots and parts of roots, excluding manufactured parts or derivatives such as powders, pills, extracts, tonics, teas and confectionery.
  - #4 Designates all parts and derivatives, except:
    - (a) seeds, except those from Mexican cacti originating in Mexico, and pollen;
    - (b) seedling or tissue cultures obtained *in vitro*, in solid or liquid media, transported in sterile containers;
    - (c) cut flowers of artificially propagated plants;
    - (d) fruits and parts and derivatives thereof of naturalised or artificially propagated plants; and
    - (e) separate stem joints (pads) and parts and derivatives therefore of naturalised or artificially propagated plants of the genus *Opuntia* subgenus *Opuntia*.
  - #5 Designates logs, sawn wood and veneer sheets;
  - #6 Designates logs, sawn wood, veneer sheets and plywood
  - #7 Designates logs, wood-chips and unprocessed broken material;

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- #8 Designates all parts and derivatives, except:
- (a) seeds and pollen (including pollinia);
  - (b) seedling or tissue cultures obtained *in vitro*, in solid or liquid media, transported in sterile containers;
  - (c) cut flowers of artificially propagated plants; and
  - (d) fruits and parts and derivatives thereof of artificially propagated plants of the genus *Vanilla*.
13. As none of the species or higher taxa of flora included in Annex A is annotated to the effect that its hybrids shall be treated in accordance with the provisions of Article 4.1 of the Regulation, this means that artificially propagated hybrids produced from one or more of these species or taxa may be traded with a certificate of artificial propagation, and that seeds and pollen (including *pollinia*), cut flowers, seedling or tissue cultures obtained *in vitro*, in solid or liquid media, transported in sterile containers of these hybrids are not subject to the provisions of the Regulation.
14. Urine, faeces and ambergris which are waste products and gained without the manipulation of the animal concerned are not subject to the provisions of the Regulation.
15. In respect of fauna species listed in Annex D, the provisions shall apply only to live specimens and whole, or substantially whole, dead specimens except for taxa which are annotated as follows to show that other parts and derivatives are also covered:
- § 1 Any whole, or substantially whole, skins, raw or tanned.
  - § 2 Any feathers or any skin or other part with feathers on it.
16. In respect of flora species listed in Annex D, the provisions shall apply only to live specimens except for taxa which are annotated as follows to show that other parts and derivatives are also covered:
- § 3 Dried and fresh plants, including, where appropriate; leaves, roots/rootstock, stems, seeds/spores, bark and fruits.



	Annex A	Annex B	Annex C	Common name
	<p><b>Lagorchestes hirsutus</b> (I)</p> <p><b>Lagostrophus fasciatus</b> (I)</p> <p><b>Onychogalea fraenata</b> (I)</p> <p><b>Onychogalea lunata</b> (I)</p> <p><b>Bettongia</b> spp. (I)</p> <p><b>Caloprymnus campestris</b> (possibly extinct) (I)</p>	<p><b>Dendrolagus matschiei</b></p> <p><b>Dendrolagus ursinus</b> (II)</p>		<p>Matschie's tree kangaroo</p> <p>Vogelkop tree-kangaroo</p> <p>Rufous hare-wallaby</p> <p>Banded hare-wallaby</p> <p>Bridled nailtail wallaby</p> <p>Crescent nailtail wallaby</p> <p>Rat-kangaroos</p> <p>Bettongs</p> <p>Desert rat-kangaroo</p>
Potoroidae				
SCANDENTIA				
Tupaiaidae		<b>Tupaiaidae</b> spp.		<p>Tree shrews</p> <p>Tree shrews</p>
CHIROPTERA				
Phyllostomidae			<b>Platyrrhinus lineatus</b> (III UY) =302	<p>Broad-nosed bats</p> <p>White-lined bat</p> <p>Fruit bats, flying foxes</p> <p>Flying foxes</p>
Pteropodidae	<p><b>Acerodon jubatus</b> (I)</p> <p><b>Acerodon lucifer</b> (possibly extinct) (I)</p> <p><b>Pteropus insularis</b> (I)</p> <p><b>Pteropus livingstonei</b> (II)</p> <p><b>Pteropus mariannus</b> (I)</p> <p><b>Pteropus molossinus</b> (I)</p> <p><b>Pteropus phaeocephalus</b> (I)</p> <p><b>Pteropus pilosus</b> (I)</p>	<p><b>Acerodon</b> spp. (II) (Except for the species included in Annex A)</p> <p><b>Pteropus</b> spp. (II) (Except for the species included in Annex A)</p>		<p>Golden-capped fruit bat</p> <p>Panay giant fruit bat</p> <p>Flying-foxes</p> <p>Truk flying-fox</p> <p>Comoro black flying-fox</p> <p>Micronesian flying-fox</p> <p>Pohnpei flying-fox</p> <p>Mortlock flying-fox</p> <p>Large Palau flying-fox</p>

	Annex A	Annex B	Annex C	Common name
	<b>Pteropus rodricensis</b> (II) <b>Pteropus samoensis</b> (I) <b>Pteropus tonganus</b> (I) <b>Pteropus voeltzkowi</b> (II)			Rodrigues flying-fox Samoan flying-fox Insular flying-fox Pemba flying-fox
PRIMATES		PRIMATES spp. (II) (Except for the species included in Annex A)		Primates (apes and monkeys) Primates
Lemuridae	<b>Lemuridae</b> spp. (I)			Large Lemurs Large Lemurs
Megaladapidae	<b>Megaladapidae</b> spp. (I) =303			Sportive lemurs Sportive lemurs
Cheirogaleidae	<b>Cheirogaleidae</b> spp. (I)			Dwarf lemurs and mouse-lemurs Dwarf lemurs and mouse-lemurs
Indridae	<b>Indridae</b> spp. (I)			Indri, sifakas and woolly lemurs Indri, sifakas and woolly lemurs
Daubentoniidae	<b>Daubentonia madagascariensis</b> (I)			Aye-aye Aye-aye
Tarsiidae	<b>Tarsius</b> spp. (II)			Tarsiers Tarsiers
Callithricidae	<b>Callimico goeldii</b> (I) <b>Callithrix aurita</b> (I) =304 <b>Callithrix flaviceps</b> (I) =304 <b>Leontopithecus</b> spp. (I) =305 <b>Saguinus bicolor</b> (I) <b>Saguinus geoffroyi</b> (I) =306 <b>Saguinus leucopus</b> (I) <b>Saguinus oedipus</b> (I)			Marmosets, tamarins Goeldi's marmoset Buffy-tufted-ear marmoset Buffy-headed marmoset Lion tamarins Bare-faced tamarin Rufous-naped tamarin White-footed tamarin Cotton-headed tamarin New-world monkeys
Cebidae				

	Annex A	Annex B	Annex C	Common name
Cercopithecidae	<p><b>Alouatta coibensis</b> (I) =307</p> <p><b>Alouatta palliata</b> (I)</p> <p><b>Alouatta pigra</b> (I) =308</p> <p><b>Ateles geoffroyi frontatus</b> (I)</p> <p><b>Ateles geoffroyi panamensis</b> (I)</p> <p><b>Brachyteles arachnoides</b> (I)</p> <p><b>Cacajao</b> spp. (I)</p> <p><b>Callicebus personatus</b> (II)</p> <p><b>Chiropotes albinasus</b> (I)</p> <p><b>Lagothrix flavicauda</b> (I)</p> <p><b>Saimiri oerstedii</b> (I)</p> <p><b>Cercocebus galeritus</b> (I/II) (The subspecies <b>Cercocebus galeritus galeritus</b> is listed in Appendix I)</p> <p><b>Cercopithecus diana</b> (I) =309</p> <p><b>Cercopithecus solatus</b> (II)</p> <p><b>Colobus satanas</b> (II)</p> <p><b>Macaca silenus</b> (I)</p> <p><b>Mandrillus leucophaeus</b> (I) =310</p> <p><b>Mandrillus sphinx</b> (I) =310</p> <p><b>Nasalis concolor</b> (I) =311</p> <p><b>Nasalis larvatus</b> (I)</p> <p><b>Presbytis potenziani</b> (I)</p> <p><b>Procolobus pennantii</b> (I/II) (The species is listed in Appendix II but the subspecies <b>Procolobus pennantii kirkii</b> is listed in Appendix I)</p> <p><b>Procolobus preussi</b> (II)</p> <p><b>Procolobus rufomitratu</b>s (I) =312</p> <p><b>Pygathrix</b> spp. (I) =313</p>			<p>Coiba Island howler</p> <p>Mantled howler</p> <p>Guatemalan howler</p> <p>Black-browed spider monkey</p> <p>Red spider monkey</p> <p>Woolly spider monkey</p> <p>Uakaris</p> <p>Masked titi</p> <p>White-nosed saki</p> <p>Yellow-tailed woolly monkey</p> <p>Central American squirrel monkey</p> <p>Old-world monkeys</p> <p>Crested mangabey</p> <p>Diana guenon</p> <p>Sun-tailed monkey</p> <p>Black colobus</p> <p>Lion-tailed macaque</p> <p>Drill</p> <p>Mandrill</p> <p>Pig-tailed langur</p> <p>Proboscis monkey</p> <p>Mentawai leaf monkey</p> <p>Eastern red colobus</p> <p>Preuss's colobus</p> <p>Tana River colobus</p> <p>Snub-nosed monkeys</p>



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	Annex A	Annex B	Annex C	Common name
	<p><b>Semnopithecus entellus</b> (I) =314</p> <p><b>Trachypithecus francoisi</b> (II)</p> <p><b>Trachypithecus geei</b> (I) =315</p> <p><b>Trachypithecus johnii</b> (II)</p> <p><b>Trachypithecus pileatus</b> (I) =316</p> <p><b>Hylobatidae</b> spp. (I)</p> <p><b>Gorilla gorilla</b> (I)</p> <p><b>Pan</b> spp. (I)</p> <p><b>Pongo pygmaeus</b> (I)</p>			<p>Hanuman langur</p> <p>François' leaf monkey</p> <p>Golden langur</p> <p>Nilgiri langur</p> <p>Capped langur</p> <p>Gibbons</p> <p>Gibbons</p> <p>Chimpanzees, gorillas, orang-utan</p> <p>Gorilla</p> <p>Chimpanzees</p> <p>Orang-utan</p>
		<p><b>Myrmecophaga tridactyla</b> (II)</p> <p><b>Bradypus variegatus</b> (II) =318</p>	<p><b>Tamandua mexicana</b> (III GT) =317</p>	<p>American anteaters</p> <p>Giant anteater</p> <p>Tamandua</p> <p>Three-toed sloths</p> <p>Brown-throated sloth</p> <p>Two-toed sloth</p>
		<p><b>Chaetophractus nationi</b> (II) (A zero annual export quota has been established. All specimens shall be deemed to be specimens of species included in Annex A and the trade in them shall be regulated accordingly)</p>	<p><b>Choloepus hoffmanni</b> (III CR)</p> <p><b>Cabassous centralis</b> (III CR)</p> <p><b>Cabassous tatouay</b> (III UY) =319</p>	<p>Hoffman's two-toed sloth</p> <p>Armadillos</p> <p>Northern naked-tailed armadillo</p> <p>Greater naked-tailed armadillo</p> <p>Hairy armadillo</p>
	<p><b>Priodontes maximus</b> (I) =320</p>			<p>Giant armadillo</p>
Hylobatidae				
Hominidae				
XENARTHRA				
Mermecophagidae				
Bradypodidae				
Megalonychidae				
Dasypodidae				
PHOLIDOTA				



	Annex A	Annex B	Annex C	Common name
Manidae		<i>Manis</i> spp. (II) (A zero annual export quota has been established for <i>Manis crassicaudata</i> , <i>Manis pentadactyla</i> and <i>Manis javanica</i> for specimens removed from the wild and traded for primarily commercial purposes)		Pangolins Pangolins
LAGOMORPHA				
Leporidae	<b>Caprolagus hispidus</b> (I) <b>Romerolagus diazi</b> (I)			Hares, rabbits Hispid rabbit Volcano rabbit
RODENTIA				
Sciuridae	<b>Cynomys mexicanus</b> (I)		<b>Epixerus ebii</b> (III GH) <b>Marmota caudata</b> (III IN) <b>Marmota himalayana</b> (III IN)	Ground squirrels, tree squirrels Mexican prairie dog Ebian's palm squirrel Long-tailed marmot Himalayan marmot
Anomaluridae		<b>Ratufa</b> spp. (II)	<b>Sciurus deppei</b> (III CR) <b>Anomalurus beecrofti</b> (III GH) <b>Anomalurus derbianus</b> (III GH) <b>Anomalurus pelii</b> (III GH) <b>Idiurus macrotis</b> (III GH)	Giant squirrels Deppe's squirrel African flying squirrels Beecroft's flying squirrel Lord Derby's flying squirrel Pell's flying squirrel Long-eared flying squirrel Mice, rats Greater stick-nest rat Shark Bay mouse False water-rat Central rock-rat Old-world porcupines
Muridae	<b>Leporillus conditor</b> (I) <b>Pseudomys praeconis</b> (I) <b>Xeromys myoides</b> (I) <b>Zyzomys pedunculatus</b> (I)			
Hystriidae				

	Annex A	Annex B	Annex C	Common name
Erethizontidae	<b>Hystrix cristata</b> (III GH)			North African crested porcupine New-world porcupines Mexican tree porcupine
Agoutidae			<b>Sphiggurus mexicanus</b> (III HN) =321 <b>Sphiggurus spinosus</b> (III UY) =321	Spiny tree porcupine Paca
Dasyproctidae			<b>Agouti paca</b> (III HN) =322	Spotted paca
Chinchillidae	<b>Chinchilla</b> spp. (I) (Specimens of the domesticated form are not subject to the provisions of this Regulation)		<b>Dasyprocta punctata</b> (III HN)	Central American agoutis Central American agouti Chinchillas Chinchillas
CETACEA	CETACEA spp. (I/II) (1)			Cetaceans (dolphins, porpoises, whales) Cetaceans
CARNIVORA				Dogs, foxes, wolves
Canidae	<b>Canis lupus</b> (I/II) (All populations except those of Spain north of the Douro and Greece north of the 39th parallel. Populations of Bhutan, India, Nepal and Pakistan are listed in Appendix I; all other populations are listed in Appendix II.) <b>Canis simensis</b>	<b>Canis lupus</b> (II) (Populations of Spain north of the Douro and Greece north of the 39th parallel)	<b>Canis aureus</b> (III IN)	Golden jackal Grey wolf
		<b>Cerdocyon thous</b> (II) =323 <b>Chrysocyon brachyurus</b> (II) <b>Cuon alpinus</b> (II) <b>Pseudalopex culpaeus</b> (II) =323 <b>Pseudalopex griseus</b> (II) =324		Ethiopian wolf Forest fox Maned wolf Asiatic wild dog Colpeo fox Argentine grey fox

	Annex A	Annex B	Annex C	Common name
Ursidae	<p><b>Speothos venaticus</b> (I)</p> <p><b>Ailuropoda melanoleuca</b> (I)</p> <p><b>Ailurus fulgens</b> (I)</p> <p><b>Helarctos malayanus</b> (I)</p> <p><b>Melursus ursinus</b> (I)</p> <p><b>Tremarctos ornatus</b> (I)</p> <p><b>Ursus arctos</b> (I/II) (Only the populations of Bhutan, China, Mexico and Mongolia and the subspecies <i>Ursus arctos isabellinus</i> are listed in Appendix I; all other populations and subspecies are listed in Appendix II).</p> <p><b>Ursus thibetanus</b> (I) =326</p>	<p><b>Pseudalopex gymnocercus</b> (II) =323</p> <p><b>Vulpes cana</b> (II)</p> <p><b>Vulpes zerda</b> (II) =325</p> <p><b>Ursidae</b> spp. (II) (Except for the species included in Annex A)</p>	<p><b>Vulpes bengalensis</b> (III IN)</p>	<p>Pampa fox</p> <p>Bush dog</p> <p>Bengal fox</p> <p>Blanford's fox</p> <p>Fennec fox</p> <p>Bears</p> <p>Bears</p> <p>Giant panda</p> <p>Lesser panda</p> <p>Sun bear</p> <p>Sloth bear</p> <p>Spectacled bear</p> <p>Brown bear</p>
Procyonidae			<p><b>Bassaricyon gabbii</b> (III CR)</p> <p><b>Bassariscus sumichrasti</b> (III CR)</p> <p><b>Nasua narica</b> (III HN) =327</p> <p><b>Nasua nasua solitaria</b> (III UY)</p> <p><b>Potos flavus</b> (III HN)</p>	<p>Asiatic black bear</p> <p>Coati, coatimundi</p> <p>Bushy-tailed olingo</p> <p>Central American cacomistle</p> <p>Coati</p> <p>South Brazilian coati</p> <p>Kinkajou</p> <p>Badgers, martens, weasels etc.</p> <p>Otters</p> <p>Otters</p>
Mustelidae Lutrinae		<p><b>Lutrinae</b> spp. (II) (Except for the species included in Annex A)</p>		

	Annex A	Annex B	Annex C	Common name
	<p><b>Aonyx congicus</b> (I) =328 (Only the populations of Cameroon and Nigeria; all other populations are included in Annex B)</p> <p><b>Enhydra lutris nereis</b> (I)</p> <p><b>Lontra felina</b> (I) =329</p> <p><b>Lontra longicaudis</b> (I) =330</p> <p><b>Lontra provocax</b> (I) =329</p> <p><b>Lutra lutra</b> (I)</p> <p><b>Pteronura brasiliensis</b> (I)</p>			<p>Cameroon clawless otter</p> <p>Southern sea otter</p> <p>Marine otter</p> <p>South American river otter</p> <p>Southern river otter</p> <p>Eurasian otter</p> <p>Giant otter</p> <p>Honey badgers</p> <p>Honey badger</p> <p>Skunks</p> <p>Patagonian hog-nosed skunk</p> <p>Grisons, martens, tayra, weasels</p> <p>Tayra</p> <p>Greater grison</p> <p>Yellow-throated marten</p> <p>Stone marten</p> <p>Nilgiri marten</p> <p>Black-footed ferret</p> <p>Binturong, civets, falanouc, fossa, linsangs, otter-civet, palm civet</p> <p>Binturong</p> <p>African civet</p> <p>Fossa</p> <p>Otter-civet</p> <p>Falanouc</p> <p>Malagasy civet</p> <p>Banded palm civet</p>
Mellivorinae			Mellivora capensis (III BW/GH)	
Mephitinae		<b>Conepatus humboldtii</b> (II)		
Mustelinae			<p><b>Eira barbara</b> (III HN)</p> <p><b>Galictis vittata</b> (III CR) =331</p> <p><b>Martes flavigula</b> (III IN)</p> <p><b>Martes foina intermedia</b> (III IN)</p> <p><b>Martes gwatkinsii</b> (III IN) =332</p>	
Viverridae	<b>Mustela nigripes</b> (I)	<p><b>Cryptoprocta ferox</b> (II)</p> <p><b>Cynogale bennettii</b> (II)</p> <p><b>Eupleres goudotii</b> (II) =334</p> <p><b>Fossa fossana</b> (II)</p> <p><b>Hemigalus derbyanus</b> (II)</p>	<p><b>Areicticis binturong</b> (III IN)</p> <p><b>Civettictis civetta</b> (III BW) =333</p>	

	Annex A	Annex B	Annex C	Common name
	<b>Prionodon pardicolor</b> (I)	<b>Prionodon linsang</b> (II)	<b>Paguma larvata</b> (III IN) <b>Paradoxurus hermaphroditus</b> (III IN) <b>Paradoxurus jerdoni</b> (III IN)  <b>Viverra civettina</b> (III IN) =335 <b>Viverra zibetha</b> (III IN) <b>Viverricula indica</b> (III IN)	Masked palm civet Common palm civet Jerdon's palm civet Banded linsang Spotted linsang Malabar large-spotted civet Large Indian civet Small Indian civet Mongoose
Herpestidae			<b>Herpestes brachyurus fuscus</b> (III IN) =336 <b>Herpestes edwardsii</b> (III IN) <b>Herpestes javanicus auropunctatus</b> (III IN) =337 <b>Herpestes smithii</b> (III IN) <b>Herpestes urva</b> (III IN) <b>Herpestes vitticollis</b> (III IN)	Indian brown mongoose Indian grey mongoose Small Indian mongoose Ruddy mongoose Crab-eating mongoose Stripe-necked mongoose
Hyaenidae			<b>Proteles cristatus</b> (III BW)	Aardwolf, hyenas Aardwolf
Felidae		<b>Felidae</b> spp. (II) (Except for the species included in Annex A. Specimens of the domesticated form are not subject to the provisions of this Regulation)		Cats, cheetahs, leopards, lions, tigers etc. Cats

	Annex A	Annex B	Annex C	Common name
	<p><b>Acinonyx jubatus</b> (I) (Annual export quotas for live specimens and hunting trophies are granted as follows: Botswana: 5; Namibia: 150; Zimbabwe: 50. The trade in such specimens is subject to the provisions of Article 4(1) of this Regulation.)</p> <p><b>Caracal caracal</b> (I) (Only the population of Asia; all other populations are included in Annex B) =338</p> <p><b>Catopuma temminckii</b> (I) =339</p> <p><b>Felis nigripes</b> (I)</p> <p><b>Felis silvestris</b> (II)</p> <p><b>Herpailurus yagouaroundi</b>(I) (Only the populations of Central and North America; all other populations are included in Annex B) =339</p> <p><b>Leopardus pardalis</b> (I) =339</p> <p><b>Leopardus tigrinus</b> (I) =339</p> <p><b>Leopardus wiedii</b> (I) =339</p> <p><b>Lynx lynx</b> (II) =339</p> <p><b>Lynx pardinus</b> (I) =340</p> <p><b>Neofelis nebulosa</b> (I)</p> <p><b>Oncifelis geoffroyi</b> (I) =339</p> <p><b>Oreailurus jacobita</b> (I) =339</p> <p><b>Panthera leo persica</b> (I)</p> <p><b>Panthera onca</b> (I)</p> <p><b>Panthera pardus</b> (I)</p> <p><b>Panthera tigris</b> (I)</p> <p><b>Pardofelis marmorata</b> (I) =339</p>			<p>Cheetah</p> <p>Asian Caracal</p> <p>Asiatic golden cat</p> <p>Black-footed cat</p> <p>Wild cat</p> <p>Jaguarundi</p> <p>Ocelot</p> <p>Little spotted cat</p> <p>Margay</p> <p>Eurasian lynx</p> <p>Iberian lynx</p> <p>Clouded leopard</p> <p>Geoffroy's cat</p> <p>Andean cat</p> <p>Asiatic lion</p> <p>Jaguar</p> <p>Leopard</p> <p>Tiger</p> <p>Marbled cat</p>

	Annex A	Annex B	Annex C	Common name
	<b>Prionailurus bengalensis bengalensis</b> (I) (Only the populations of Bangladesh, India and Thailand; all other populations are included in Annex B.) =339			Bengal leopard cat
	<b>Prionailurus bengalensis iriomotensis</b> (II)=339			Iriomote cat
	<b>Prionailurus planiceps</b> (I) =339			Flat-headed cat
	<b>Prionailurus rubiginosus</b> (I) (Only the population of India; all other populations are included in Annex B.) =339			Rusty-spotted cat
	<b>Puma concolor coryi</b> (I) =339			Florida puma
	<b>Puma concolor costaricensis</b> (I) =339			Costa Rican puma
	<b>Puma concolor cougar</b> (I) =339			Eastern puma
	<b>Uncia uncia</b> (I) =341			Snow leopard
Otariidae				Fur seals, sealions
		<b>Arctocephalus</b> spp (II) (Except for the species included in Annex A)		Fur seals
	<b>Arctocephalus philippii</b> (II)			Juan Fernandez fur seal
	<b>Arctocephalus townsendi</b> (I)			Guadelupe fur seal
Odobenidae				Walrus
		<b>Odobenus rosmarus</b> (III CA)		Walrus
Phocidae				Seals
	<b>Monachus</b> spp. (I)			Southern elephant-seal
PROBOSCIDEA				Monk seals
Elephantidae	<b>Elephas maximus</b> (I)			Elephants
				Asian elephant

	Annex A	Annex B	Annex C	Common name
SIRENIA	<b>Loxodonta africana</b> (I) — (Except for the populations of Botswana, Namibia, South Africa and Zimbabwe, which are included in Annex B)	<b>Loxodonta africana</b> (II) (Only the populations of Botswana, Namibia, South Africa (°) and Zimbabwe (°); all other populations are included in Annex A)		African elephant
Dugongidae	<b>Dugong dugon</b> (I)			Dugong Dugong Manatees Manatees
Trichechidae	<b>Trichechidae</b> spp. (I/II) ( <b>Trichechus inunguis</b> and <b>Trichechus manatus</b> are listed in Appendix I. <b>Trichechus senegalensis</b> is listed in Appendix II.)			Horses, wild asses, zebras African ass Grevy's zebra Asiatic wild ass
PERISSODACTYLA	<b>Equus africanus</b> (I) =342 <b>Equus grevyi</b> (I) <b>Equus hemionus</b> (I/II) (The species is listed in Appendix II but subspecies <b>Equus hemionus hemionus</b> is listed in Appendix I) <b>Equus kiang</b> (II) =343	<b>Equus onager</b> (II) (Except for the subspecies included in Annex A) =343		Kiang Onager ass
Equidae	<b>Equus onager khur</b> (I) =343 <b>Equus przewalskii</b> (I) =344 <b>Equus zebra zebra</b> (I)	<b>Equus zebra hartmannae</b> (II)		Indian wild ass Przewalski's horse Hartmann's mountain zebra Cape mountain zebra Tapirs Tapirs
Tapiridae	<b>Tapiridae</b> spp. (I) (Except for the species included in Annex B)	<b>Tapirus terrestris</b> (II)		Brazilian tapir



	Annex A	Annex B	Annex C	Common name
Rhinocerotidae	<b>Rhinocerotidae</b> spp. (I) (Except for the subspecies included in Annex B)	<b>Ceratotherium simum simum</b> (II) (Only the populations of South Africa; all other populations are included in Annex A. For the exclusive purpose of allowing international trade in live animals to appropriate and acceptable destinations and trade in hunting trophies. All other specimens shall be deemed to be specimens of species included in Annex A and trade in them shall be regulated accordingly)		Rhinoceroses Rhinoceroses Southern white rhino
ARTIODACTYLA Tragulidae				
Suidae	<b>Babyrussa babyrussa</b> (I) <b>Sus salvanus</b> (I)		<b>Hyemoschus aquaticus</b> (III GH)	Mouse-deer Water chevrotain Babirusa, hogs, pigs Babirusa Pygmy hog Peccaries Peccaries
Tayassuidae		<b>Tayassuidae</b> spp. (II) (Except for the species included in Annex A and excluding the populations of <b>Pecari tajacu</b> of Mexico and the United States, which are not included in the Annexes to this Regulation)		
Hippopotamidae	<b>Catagonus wagneri</b> (I)	<b>Hexaprotodon liberiensis</b> (II) =345 <b>Hippopotamus amphibius</b> (II)		Chacoan peccary Hippopotamuses Pygmy hippopotamus Hippopotamus Camels, guanaco, vicuña Guanaco
Camelidae		<b>Lama guanicoe</b> (II)		

	Annex A	Annex B	Annex C	Common name
Moschidae	<p><b>Vicugna vicugna</b> (I) (Except for the population of: Argentina (the population of the Provinces of Jujuy and Catamarca and the semicaptive populations of the Provinces of Jujuy, Salta, Catamarca, La Rioja and San Juan); Bolivia (the whole population); Chile (population of the Primera Región); and Peru (the whole population); which are included in Annex B)</p> <p><b>Moschus</b> spp. (I) (Only the populations of Afghanistan, Bhutan, India, Myanmar, Nepal and Pakistan; all other populations are included in Annex B)</p> <p><b>Axis calamianensis</b> (I) =346</p> <p><b>Axis kuhlii</b> (I) =347</p> <p><b>Axis porcinus annamiticus</b> (I) =348</p> <p><b>Blastocercus dichotomus</b> (I)</p> <p><b>Cervus duvaucelii</b> (I)</p> <p><b>Cervus elaphus hanglu</b> (I)</p> <p><b>Cervus eldii</b> (I)</p> <p><b>Dama mesopotamica</b> (I) =349</p> <p><b>Hippocamelus</b> spp. (I)</p> <p><b>Megamuntiacus vuquanghensis</b> (I)</p> <p><b>Muntiacus crinifrons</b> (I)</p>	<p><b>Vicugna vicugna</b> (II) (Only the populations of Argentina (°) (the population of the Provinces of Jujuy and Catamarca and the semicaptive populations of the Provinces of Jujuy, Salta, Catamarca, La Rioja and San Juan); Bolivia (°) (the whole population); Chile (°) (population of the Primera Región); Peru (°) (the whole population); all other populations are included in Annex A)</p> <p><b>Moschus</b> spp. (II) (Except for the populations of Afghanistan, Bhutan, India, Myanmar, Nepal and Pakistan, which are included in Annex A)</p> <p><b>Cervus elaphus bactrianus</b> (II)</p>		<p>Vicuña</p> <p>Musk deer</p> <p>Musk deer</p> <p>Deer, guemals, muntjacs, pudus</p> <p>Calamian deer</p> <p>Kuhl's hog deer</p> <p>Indochina hog deer</p> <p>Marsh deer</p> <p>Swamp deer</p> <p>Bactrian deer</p> <p>Barbary deer</p> <p>Hangul</p> <p>Thamin</p> <p>Persian fallow deer</p> <p>Huemuls</p> <p>Middle American red brocket</p> <p>Giant muntjac</p> <p>Black muntjac</p> <p>Guatemalan white-tailed deer</p>
Cervidae			<p><b>Cervus elaphus barbarus</b> (III TN)</p> <p><b>Mazama americana cerasina</b> (III GT)</p> <p><b>Odocoileus virginianus mayensis</b> (III GT)</p>	

	Annex A	Annex B	Annex C	Common name
Antilocapridae	<p><b>Ozotoceros bezoarticus</b> (I)</p> <p><b>Pudu puda</b> (I)</p> <p><b>Antilocapra americana</b> (I) (Only the population of Mexico; no other population is included in the Annexes to this Regulation)</p>	<p><b>Pudu mephistophiles</b> (II)</p>		<p>Pampas deer</p> <p>Northern pudu</p> <p>Southern pudu</p> <p>Pronghorn</p> <p>Mexican pronghorn</p>
Bovidae	<p><b>Addax nasomaculatus</b> (I)</p> <p><b>Bos gaurus</b> (I) =350</p> <p><b>Bos mutus</b> (I) =351</p> <p><b>Bos sauveli</b> (I) =352</p> <p><b>Bubalus depressicornis</b> (I) =354</p> <p><b>Bubalus mindorensis</b> (I) =354</p> <p><b>Bubalus quarlesi</b> (I) =354</p> <p><b>Capra falconeri</b> (I)</p> <p><b>Cephalophus jentinki</b> (I)</p>	<p><b>Ammotragus lervia</b> (II)</p> <p><b>Bison bison athabascæ</b> (II)</p> <p><b>Budorcas taxicolor</b> (II)</p> <p><b>Cephalophus dorsalis</b> (II)</p> <p><b>Cephalophus monticola</b> (II)</p> <p><b>Cephalophus ogilbyi</b> (II)</p> <p><b>Cephalophus silvicultor</b> (II)</p> <p><b>Cephalophus zebra</b> (II)</p>	<p><b>Antilope cervicapra</b> (III NP)</p> <p><b>Bubalus arnee</b> (III NP) =353</p> <p><b>Damaliscus lunatus</b> (III GH)</p>	<p>Antelopes, cattle, duikers, gazelles, goats, sheep etc.</p> <p>Addax</p> <p>Barbary sheep</p> <p>Wood bison</p> <p>Blackbuck</p> <p>Gaur</p> <p>Wild yak</p> <p>Kouprey</p> <p>Wild Asiatic buffalo</p> <p>Lowland anoa</p> <p>Tamaraw</p> <p>Mountain anoa</p> <p>Takin</p> <p>Markhor</p> <p>Bay duiker</p> <p>Jentink's duiker</p> <p>Blue duiker</p> <p>Ogilby's duiker</p> <p>Yellow-backed duiker</p> <p>Banded duiker</p> <p>Tsessebi</p>

	Annex A	Annex B	Annex C	Common name
	<p><b>Gazella dama</b> (I)</p> <p><b>Hippotragus niger variani</b> (I)</p> <p><b>Naemorhedus baileyi</b> (I) =356</p> <p><b>Naemorhedus caudatus</b> (I) =356</p> <p><b>Naemorhedus goral</b> (I)</p> <p><b>Naemorhedus sumatraensis</b> (I) =357</p> <p><b>Oryx dammah</b> (I) =358</p> <p><b>Oryx leucoryx</b> (I)</p> <p><b>Ovis ammon hodgsonii</b> (I)</p> <p><b>Ovis ammon nigrimontana</b> (I)</p> <p><b>Ovis orientalis ophion</b> (I) =359</p> <p><b>Ovis vignei vignei</b> (I) =360</p> <p><b>Pantholops hodgsonii</b> (I)</p> <p><b>Pseudoryx nghetinhensis</b> (I)</p> <p><b>Rupicapra pyrenaica ornata</b> (I) =361</p>	<p><b>Damaliscus pygargus pygargus</b> (II) =355</p> <p><b>Gazella cuvieri</b> (III TN)</p> <p><b>Gazella dorcas</b> (III TN)</p> <p><b>Gazella leptoceros</b> (III TN)</p> <p><b>Kobus leche</b> (II)</p> <p><b>Ovis ammon</b> (II) (Except for the subspecies included in Annex A)</p> <p><b>Ovis canadensis</b> (II) (Only the population of Mexico; no other population is included in the Annexes to this Regulation)</p> <p><b>Ovis vignei</b> (II) (Except for the subspecies included in Annex A)</p> <p>Saiga tatarica (II)</p>		<p>Bontebok</p> <p>Edmi gazelle</p> <p>Dama gazelle</p> <p>Dorcas gazelle</p> <p>Rhim</p> <p>Giant sable antelope</p> <p>Lechwe</p> <p>Red goral</p> <p>Chinese goral</p> <p>Goral</p> <p>Mainland serow</p> <p>Scimitar-horned oryx</p> <p>Arabian oryx</p> <p>Argali</p> <p>Tibetan argali</p> <p>Kara Tau argali</p> <p>Mexican bighorn sheep</p> <p>Cyprus mouflon</p> <p>Urial</p> <p>Ladakh urial</p> <p>Chiru</p> <p>Vu Quang ox</p> <p>Abruzzo chamois</p> <p>Saiga antelope</p>



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	Annex A	Annex B	Annex C	Common name
AVES				Four-horned antelope
STRUTHIONIFORMES				Bongo
Struthionidae				Sitatunga
				Birds
				Ostrich
				Ostrich
RHEIFORMES				Rheas
Rheidae		Rhea americana (II)		Greater rhea
				Lesser rhea
				Lesser rhea
TINAMIFORMES				Tinamous
Tinamidae				Solitary tinamou
SPHENISCIFORMES				Penguins
Spheniscidae				Jackass penguin
				Humboldt penguin
PODICIPEDIFORMES				Grebes
Podicipedidae				
	Struthio camelus (I) (Only the populations of Algeria, Burkina Faso, Cameroon, the Central African Republic, Chad, Mali, Mauritania, Morocco, the Niger, Nigeria, Senegal and the Sudan; all other populations are not included in the Annexes to this Regulation)		Tetracerus quadricornis (III NP)	
	Rhea pennata(I) (Except for the populations of Rhea pennata pennata of Argentina and Chile, which are included in Annex B) =363	Rhea pennata pennata (II) (Only the populations of Argentina and Chile) =363	Tragelaphus eurycerus (III GH) =362	
	Tinamus solitarius (I)		Tragelaphus spekii (III GH)	
	Spheniscus humboldti (I)	Spheniscus demersus (II)		



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	Annex A	Annex B	Annex C	Common name
PROCELLARIIFORMES				Atitlan Grebe
Diomedeidae	Podilymbus gigas (I)			Albatrosses
PELECANIFORMES	Diomedea albatrus (I)			Short-tailed albatross
Pelecanidae	Pelecanus crispus (I)			Pelicans
Sulidae	Papasula abbotti (I) =364			Dalmatian pelican
Fregatidae	Fregata andrewsi (I)			Boobies
CICONIIFORMES				Abbott's booby
Ardeidae	Bubulcus ibis (III GH) =365		Ardea goliath (III GH)	Frigatebirds
	Casmerodius albus (III GH) =366			Christmas frigatebird
	Egretta garzetta (III GH)			Egrets, herons
Balaenicipitidae				Goliath heron
				Cattle egret
				Great egret
				Little egret
				Shoebill, whale-headed stork
				Shoebill
		Balaeniceps rex (II)		Storks
Ciconiidae	Ciconia boyciana (I) =367			Oriental stork
	Ciconia nigra (II)			Black stork
	Ciconia stormi			Storm's stork
			Ephippiorhynchus senegalensis (III GH)	Saddle-billed stork
	Jabiru myceteria (I)			Jabiru
	Leptoptilos dubius		Leptoptilos crumeniferus (III GH)	Marabou stork
	Myceteria cinerea (I)			Greater adjutant stork
				Milky stork

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	Annex A	Annex B	Annex C	Common name
Threskiornithidae	<p>Geronticus calvus (II)</p> <p>Geronticus eremita (I)</p> <p>Nipponia nippon (I)</p> <p>Platalea leucorodia (II)</p> <p>Pseudibis gigantea</p>	<p>Eudocimus ruber (II)</p>	<p>Bostrychia hagedash (III GH) =368</p> <p>Bostrychia rara (III GH) =369</p>	<p>Ibises, spoonbills</p> <p>Hadada ibis</p> <p>Spot-breasted ibis</p> <p>Scarlet ibis</p> <p>Bald ibis</p> <p>Waldrapp</p> <p>Crested ibis</p> <p>Eurasian spoonbill</p> <p>Giant ibis</p> <p>Sacred ibis</p>
Phoenicopteridae	<p>Phoenicopterus ruber (II) =370</p>	<p>Phoenicopteridae spp. (II) (Except for the species included in Annex A)</p>	<p>Threskiornis aethiopicus (III GH)</p>	<p>Flamingos</p> <p>Flamingos</p> <p>Greater flamingo</p>
ANSERIFORMES				
Anatidae	<p>Anas aucklandica (I) =371</p> <p>Anas laysanensis (I) =373</p> <p>Anas oustaleti (I) =374</p> <p>Anas querquedula (III GH)</p> <p>Aythya innotata</p>	<p>Anas bernieri (II)</p> <p>Anas formosa (II)</p>	<p>Alopochen aegyptiacus (III GH)</p> <p>Anas acuta (III GH)</p> <p>Anas capensis (III GH)</p> <p>Anas clypeata (III GH) =372</p> <p>Anas crecca (III GH)</p> <p>Anas penelope (III GH)</p>	<p>Ducks, geese, swans etc.</p> <p>Egyptian goose</p> <p>Northern pintail</p> <p>Brown teal</p> <p>Madagascar teal</p> <p>Cape teal</p> <p>Northern shoveler</p> <p>Common teal</p> <p>Baikal teal</p> <p>Laysan duck</p> <p>Marianas mallard</p> <p>Eurasian wigeon</p> <p>Garganey</p> <p>Madagascar pochard</p>

	Annex A	Annex B	Annex C	Common name
FALCONIFORMES	<p>Aythya nyroca (III GH) =375</p> <p>Branta canadensis leucopareia (I)</p> <p>Branta ruficollis (II)</p> <p>Branta sandvicensis (I)</p> <p>Cairina scutulata (I)</p> <p>Mergus octosetaceus</p> <p>Oxyura leucocephala (II)</p> <p>Rhodonessa caryophyllacea (possibly extinct) (I)</p> <p>Tadorna cristata</p>	<p>Coscoroba coscoroba (II)</p> <p>Cygnus melanocorypha (II)</p> <p>Dendrocygna arborea (II)</p> <p>Oxyura jamaicensis</p> <p>Sarkidiornis melanotos (II)</p> <p>FALCONIFORMES spp. (II) — (Except for the species included in Annex A and for one species of the family Cathartidae included in Annex C; the other species of that family are not included in the Annexes to this Regulation)</p>	<p>Cairina moschata (III HN)</p> <p>Dendrocygna autumnalis (III HN)</p> <p>Dendrocygna bicolor (III GH/HN) =376</p> <p>Dendrocygna viduata (III GH)</p> <p>Nettapus auritus (III GH)</p> <p>Plectropterus gambensis (III GH)</p> <p>Pteronetta hartlaubii (III GH) =377</p>	<p>Ferruginous duck</p> <p>Aleutian goose</p> <p>Red-breasted goose</p> <p>Nene</p> <p>Muscovy duck</p> <p>White-winged duck</p> <p>Coscoroba swan</p> <p>Black-necked swan</p> <p>West Indian whistling-duck</p> <p>Black-bellied whistling-duck</p> <p>Fulvous whistling-duck</p> <p>White-faced whistling-duck</p> <p>Brazilian merganser</p> <p>African pygmy-goose</p> <p>Ruddy duck</p> <p>White-headed duck</p> <p>Spur-winged goose</p> <p>Hartlaub's duck</p> <p>Pink-headed duck</p> <p>Comb duck</p> <p>Crested shelduck</p> <p>Diurnal birds of prey (eagles, falcons, hawks, vultures)</p> <p>Diurnal birds of prey</p>



	Annex A	Annex B	Annex C	Common name
Cathartidae	Gymnogyps californianus (I)		Sarcorampus papa (III HN)	New world vultures California condor King vulture
Pandionidae	Vultur gryphus (I)			Andean condor Ospreys Osprey
Accipitridae	Pandion haliaetus (II)			Hawk, eagles Levant sparrowhawk
	Accipiter brevipes (II)			Northern goshawk
	Accipiter gentilis (II)			Eurasian sparrowhawk
	Accipiter nisus (II)			Cinereous vulture
	Aegypius monachus (II)			Adalbert's eagle
	Aquila adalberti (I) =378			Golden eagle
	Aquila chrysaetos (II)			Greater spotted eagle
	Aquila clanga (II)			Imperial eagle
	Aquila heliaca (I)			Lesser spotted eagle
	Aquila pomarina (II)			Common buzzard
	Buteo buteo (II)			Rough legged buzzard
	Buteo lagopus (II)			Long-legged buzzard
	Buteo rufinus (II)			Cuban hook-billed kite
	Chondrohierax uncinatus wilsonii (I) =379			Short-toed snake-eagle
	Circus gallicus (II)			Western marsh-harrier
	Circus aeruginosus (II)			Northern harrier
	Circus cyaneus (II)			Pallid harrier
	Circus macrourus (II)			Montagu's harrier
	Circus pygargus (II)			Black-winged kite
	Elanus caeruleus (II)			Madagascar serpent-eagle
	Eutriorchis astur (II)			Lammergeier
	Gypaetus barbatus (II)			

	Annex A	Annex B	Annex C	Common name
Falconidae	<p>Gyps fulvus (II)</p> <p>Haliaeetus spp. (I/II) (Haliaeetus albicilla and Haliaeetus leucophthalmus are listed in Appendix I; the other species are listed in Appendix II)</p> <p>Harpia harpyja (I)</p> <p>Hieraetus fasciatus (II)</p> <p>Hieraetus pennatus (II)</p> <p>Leucopternis occidentalis (II)</p> <p>Milvus migrans (II)</p> <p>Milvus milvus (II)</p> <p>Neophron perenopterus (II)</p> <p>Pernis apivorus (II)</p> <p>Pitheophaga jefferyi (I)</p> <p>Falco araea (I)</p> <p>Falco biarmicus (II)</p> <p>Falco cherrug (II)</p> <p>Falco columbarius (II)</p> <p>Falco eleonorae (II)</p> <p>Falco jugger (I)</p> <p>Falco naumanni (II)</p> <p>Falco newtoni(I) (Only the population of the Seychelles)</p> <p>Falco pelegrinoides (I) =380</p> <p>Falco peregrinus (I)</p> <p>Falco punctatus (I)</p> <p>Falco rusticolus (I)</p> <p>Falco subbuteo (II)</p> <p>Falco tinnunculus (II)</p>			<p>Eurasian griffon</p> <p>Sea-eagles</p> <p>Harpy eagle</p> <p>Bonelli's eagle</p> <p>Booted eagle</p> <p>Grey-backed hawk</p> <p>Black kite</p> <p>Red kite</p> <p>Egyptian vulture</p> <p>European honey-buzzard</p> <p>Great Philippine eagle</p> <p>Falcons</p> <p>Seychelles kestrel</p> <p>Lanner falcon</p> <p>Saker falcon</p> <p>Merlin</p> <p>Eleonora's falcon</p> <p>Laggar falcon</p> <p>Lesser kestrel</p> <p>Newton's kestrel</p> <p>Barbary falcon</p> <p>Peregrine falcon</p> <p>Mauritius kestrel</p> <p>Gyrfalcon</p> <p>Eurasian hobby</p> <p>Common kestrel</p>

	Annex A	Annex B	Annex C	Common name
GALLIFORMES Megapodiidae	Falco vespertinus (II)			Red-footed falcon
	Macrocephalon maleo (I)	Crax spp.* (-/III) (The following species are listed in Appendix III: Crax alberti, Crax daubentoni and Crax globulosa for Colombia and Crax rubra for Colombia, Costa Rica, Guatemala and Honduras)		Megapodes, scrubfowl Maleo Curassows
	Crax alberti (III CO)			Blue-knobbed curassow
	Crax blumenbachii (I)			Red-billed curassow
	Mitu mitu (I) =381			Alagoas curassow
	Oreophasis derbianus (I)	Ortalis vetula (III GT/HN)		Horned guan Plain chachalaca
	Penelope albipennis (I)	Pauxi spp. (-/III) (Pauxi pauxi is listed in Appendix III for Colombia) =382		Helmeted curassows
	Pipile jacutinga (I) =383	Penelopina nigra (III GT)		White-winged guan Crested guan Highland guan
	Pipile pipile (I) =384	Agelastes meleagrides (III GH)		Black-fronted piping guan Trinidad piping guan
		Arborophila charltonii (III MY) Arborophila orientalis (III MY) =385 Argusianus argus (II)		Grouse, guineafowl, partridges, pheasants, tragopans White-breasted guineafowl Ocellated turkey Chestnut-necklaced partridge Grey-breasted partridge Great argus Ferruginous partridge Cheer pheasant
	Catreus wallichii (I)		Calopirdix ocellata (III GT) Calopirdix ocellata (III MY)	
Phasianidae				

Annex A	Annex B	Annex C	Common name
<p>Colinus virginianus ridgwayi (I)            Crossoptilon crossoptilon (I)            Crossoptilon harmani (I) =386            Crossoptilon mantchuricum (I)</p>	<p>Gallus sonneratii (II)            Ithaginis cruentus (II)</p>		<p>Masked bobwhite            White eared-pheasant            Tibetan eared-pheasant            Brown eared-pheasant            Grey junglefowl            Blood pheasant            Himalayan monal            Chinese monal            Sclater's monal</p>
<p>Lophophorus impejanus (I)            Lophophorus lhuysii (I)            Lophophorus sclateri (I)</p>	<p>Lophura bulweri            Lophura diardi</p>		<p>Bulwer's pheasant            Siamese fireback            Edwards' pheasant            Crestless fireback</p>
<p>Lophura edwardsi (I)</p>	<p>Lophura erythrothalma (III MY)            Lophura hatinhensis            Lophura hoogerwerfi            Lophura ignita (III MY)</p>		<p>Vietnamese fireback            Sumatran pheasant            Crested fireback            Imperial pheasant</p>
<p>Lophura imperialis (I)</p>	<p>Lophura inornata            Lophura leucomelanos</p>		<p>Salvadori's pheasant            Kalij pheasant            Swinhoë's pheasant</p>
<p>Lophura swinhoii (I)</p>		<p>Melanoperdix nigra (III MY)</p>	<p>Black partridge            Gorgeted wood-quail            Himalayan quail            Green peafowl</p>
<p>Odontophorus strophium            Ophrysia superciliosa</p>	<p>Pavo muticus (II)            Polyplectron bicalcaratum (II)</p>		<p>Grey peacock-pheasant            Palawan peacock pheasant            Germain's peacock-pheasant</p>
<p>Polyplectron emphanum (I)</p>	<p>Polyplectron germaini (II)            Polyplectron malacense (II)</p>	<p>Polyplectron inopinatum (III MY)</p>	<p>Mountain peacock-pheasant            Malayan peacock-pheasant</p>



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Annex A	Annex B	Annex C	Common name
<p>Rheinardia ocellata (I) =388</p> <p>Symaticus ellioti (I)</p> <p>Symaticus humiae (I)</p> <p>Symaticus mikado (I)</p> <p>Tetraoallus caspius (I)</p> <p>Tetraoallus tibetanus (I)</p> <p>Tragopan blythii (I)</p> <p>Tragopan caboti (I)</p> <p>Tragopan melanocephalus (I)</p> <p>Tympanuchus cupido attwateri (I)</p>	<p>Polyplectron schleiermachersi (II)</p> <p>=387</p>	<p>Rhizothera longirostris (III MY)</p> <p>Rollulus rouloul (III MY)</p>	<p>Bornean peacock-pheasant</p> <p>Crested argus</p> <p>Long-billed partridge</p> <p>Crested partridge</p> <p>Elliot's pheasant</p> <p>Hume's pheasant</p> <p>Mikado pheasant</p> <p>Caspian snowcock</p> <p>Tibetan snowcock</p> <p>Blyth's tragopan</p> <p>Cabot's tragopan</p> <p>Western tragopan</p> <p>Satyr tragopan</p> <p>Attwater's prairie-chicken</p>
<p>Grus americana (I)</p> <p>Grus canadensis (I/II) (The species is listed in Appendix II but subspecies Grus canadensis nesiototes and Grus canadensis pulla are listed in Appendix I)</p> <p>Grus grus (II)</p> <p>Grus japonensis (I)</p> <p>Grus leucogeranus (I)</p> <p>Grus monacha (I)</p> <p>Grus nigricollis (I)</p> <p>Grus vipio (I)</p>	<p>Gruidae spp. (II) (Except for the species included in Annex A)</p>	<p>Tragopan satyra (III NP)</p>	<p>Cranes</p> <p>Cranes</p> <p>Whooping crane</p> <p>Sandhill crane</p> <p>Common crane</p> <p>Red-crowned crane</p> <p>Siberian crane</p> <p>Hooded crane</p> <p>Black-necked crane</p> <p>White-necked crane</p>

## GRUIFORMES

Gruidae





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Annex A	Annex B	Annex C	Common name
<p>Columba livia (III GH)</p> <p>Ducula mindorensis (I)</p> <p>Leptotila wellsi</p> <p>Streptopelia turtur (III GH)</p>	<p>Didunculus strigirostris</p> <p>Gallicolumba luzonica (II)</p> <p>Coura spp. (II)</p> <p>PSITTACIFORMES spp. (II) — (Except for the species included in Annex A and Annex C and excluding Melopsittacus undulatus and Nymphicus hollandicus, which are not included in the Annexes to this Regulation)</p>	<p>Columba mayeri (III MU) =393</p> <p>Columba unicincta (III GH)</p> <p>Oena capensis (III GH)</p> <p>Streptopelia decipiens (III GH)</p> <p>Streptopelia roseogrisea (III GH)</p> <p>Streptopelia semitorquata (III GH)</p> <p>Streptopelia senegalensis (III GH)</p> <p>Streptopelia vinacea (III GH)</p> <p>Treron calva (III GH) =394</p> <p>Treron waalia (III GH)</p> <p>Turtur abyssinicus (III GH)</p> <p>Turtur afer (III GH)</p> <p>Turtur brehmeri (III GH) =395</p> <p>Turtur tympanistria (III GH) =396</p>	<p>Rock pigeon</p> <p>Pink pigeon</p> <p>Afep pigeon</p> <p>Tooth-billed pigeon</p> <p>Mindoro zone-tailed pigeon</p> <p>Luzon bleeding-heart</p> <p>Crowned-pigeons</p> <p>Grenada dove</p> <p>Namaqua dove</p> <p>Mourning collared-dove</p> <p>African collared-dove</p> <p>Red-eyed dove</p> <p>Laughing dove</p> <p>European turtle-dove</p> <p>Vinaceous dove</p> <p>African green-pigeon</p> <p>Bruce's green-pigeon</p> <p>Black-billed wood-dove</p> <p>Blue-spotted wood-dove</p> <p>Blue-headed wood-dove</p> <p>Tambourine dove</p> <p>Cockatoos, lories, macaws, parakeets, parrots etc.</p> <p>Parrots, etc.</p> <p>Amazons, cockatoos, lories, lorikeets, macaws, parakeets, parrots</p>
PSITTACIFORMES			
Psittacidae			

	Annex A	Annex B	Annex C	Common name
	<p> <i>Amazona arausiaca</i> (I)  <i>Amazona barbadensis</i> (I)  <i>Amazona brasiliensis</i> (I)  <i>Amazona guildingii</i> (I)  <i>Amazona imperialis</i> (I)  <i>Amazona leucocephala</i> (I)  <i>Amazona ochrocephala auropalliata</i> (I)  <i>Amazona ochrocephala belizensis</i> (I)  <i>Amazona ochrocephala caribaea</i> (I)  <i>Amazona ochrocephala oratrix</i> (I)  <i>Amazona ochrocephala parvipes</i> (I)  <i>Amazona ochrocephala tresmariae</i> (I)  <i>Amazona pretrei</i> (I)  <i>Amazona rhodocorytha</i> (I) =397  <i>Amazona tucumana</i> (I)  <i>Amazona versicolor</i> (I)  <i>Amazona vinacea</i> (I)  <i>Amazona viridigenalis</i> (I)  <i>Amazona vittata</i> (I)  <i>Anodorhynchus</i> spp. (I)  <i>Ara ambigua</i> (I)  <i>Ara glaucogularis</i> (I) =398  <i>Ara macao</i> (I)  <i>Ara militaris</i> (I)  <i>Ara rubrogenys</i> (I)  <i>Cacatua goffini</i> (I)  <i>Cacatua haematuropygia</i> (I)  <i>Cacatua moluccensis</i> (I) </p>			<p> Red-necked parrot  Yellow-shouldered parrot  Red-tailed parrot  St Vincent parrot  Imperial parrot  Cuban parrot  Yellow-naped parrot    Yellow-headed parrot    Red-spectacled parrot  Red-browed parrot  Tucuman parrot  Saint Lucia parrot  Vinaceous parrot  Green-cheeked parrot  Puerto Rican parrot  Blue macaws  Great green macaw  Blue-throated macaw  Scarlet macaw  Military macaw  Red-fronted macaw  Tanimbar cockatoo  Philippine cockatoo  Salmon-crested cockatoo </p>



Annex A	Annex B	Annex C	Common name
<p>Cyanopsitta spixii (I)</p> <p>Cyanoramphus forbesi (I) =399</p> <p>Cyanoramphus novaezelandiae (I)=400</p> <p>Cyclopsitta diophthalma coxeni (I) =401</p> <p>Eos histrio (I)</p> <p>Eunymphicus cornutus (I)</p> <p>Geopsittacus occidentalis (possibly extinct) (I) =402</p> <p>Guarouba guarouba (I)=403</p> <p>Neophema chrysogaster (I)</p> <p>Ognorhynchus icterotis (I)</p> <p>Pezoporus wallicus (I)</p> <p>Pionopsitta pileata (I)</p> <p>Probosciger aterrimus (I)</p> <p>Propyrrhura couloni (I)=404</p> <p>Propyrrhura maracana (I)=405</p> <p>Psephotus chrysopterygius (I)</p> <p>Psephotus dissimilis (I) =406</p> <p>Psephotus pulcherrimus (possibly extinct) (I)</p> <p>Psittacula echo (I) =407</p> <p>Pyrrhura cruentata (I)</p> <p>Rhynchositta spp. (I)</p> <p>Strigops habroptilus (I)</p> <p>Vini spp. (I/II) (Vini ultramarina is listed in Appendix I, the other species are listed in Appendix II)</p>			<p>Spix's macaw</p> <p>Chatham Island yellow-fronted parakeet</p> <p>Red-fronted parakeet</p> <p>Coxen's double-eyed fig parrot</p> <p>Red and blue lory</p> <p>Horned parakeet</p> <p>Night parrot</p> <p>Golden parakeet</p> <p>Orange-bellied parrot</p> <p>Yellow-eared parrot</p> <p>Ground parrot</p> <p>Pileated parrot</p> <p>Palm cockatoo</p> <p>Blue-headed macaw</p> <p>Blue-winged macaw</p> <p>Golden-shouldered parrot</p> <p>Hooded parrot</p> <p>Paradise parrot</p> <p>Mauritius parakeet</p> <p>Rose-ringed parakeet</p> <p>Blue-throated parakeet</p> <p>Thick-billed parrots</p> <p>Kakapo</p> <p>Blue lorikeets</p>
		Psittacula krameri (III GH)	

	Annex A	Annex B	Annex C	Common name
CUCULIFORMES Musophagidae		Corythaeola cristata (III GH) Crimifer piscator (III GH) Musophaga porphyreolopha (II) =408 Musophaga violacea (III GH) Tauraco spp. (II) (Except for the species included in Annex A)		Turacos Great blue turaco Western grey plantain-eater Purple-crested turaco Violet turaco Turacos
STRIGIFORMES	Tauraco bannermani (II)			Bannerman's turaco
Tytonidae	Tyto alba (II) Tyto soumagnei (I)	STRIGIFORMES spp. (II) (Except for the species included in Annex A)		Owls Owls Barn owls Barn owl Soumagne's owl Owls
Strigidae	Aegolius funereus (II) Asio flammeus (II) Asio otus (II) Athene blewitti (I) Athene noctua (II) Bubo bubo (II) Glaucidium passerinum (II) Mimizuku gurneyi (I) =409 Ninox novaeseelandiae undulata (I) =410 Ninox squamipila natalis (I) Nyctea scandiaca (II) Otus irenae (II)			Boreal owl Short-eared owl Long-eared owl Forest owl Little owl Eurasian eagle-owl Eurasian pygmy-owl Lesser eagle-owl Norfolk boobook Christmas hawk-owl Snowy owl Sokoke scops-owl



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	Annex A	Annex B	Annex C	Common name
APODIFORMES Trochilidae	Otus scops (II)			Eurasian scops-owl
	Strix aluco (II)			Tawny owl
	Strix nebulosa (II)			Great grey owl
	Strix uralensis (II)			Ural owl
	Sumia ulula (II)			Northern hawk owl
TROGONIFORMES Trogonidae	Glaucis dohrmii (I)=411	Trochilidae spp. (II) (Except for the species included in Annex A)		Hummingbirds Hummingbirds
	Pharomachus mocinno (I)			Hook-billed hermit
				Quetzals Resplendent quetzal
CORACIIFORMES Bucerotidae	Aceros nipalensis (I)	Aceros spp. (II) (Except for the species included in Annex A)		Hornbills
	Aceros subnificollis (I)			Hornbills
		Anorrhinus spp. (II) =412		Rufous-necked hornbill
		Anthracoceros spp. (II)		Plain-pouched hornbill
		Buceros spp. (II) (Except for the species included in Annex A)		Hornbills
PICIFORMES Capitonidae	Buceros bicornis (I)			Great hornbill
	Buceros vigil (I) =413			Helmeted hornbill
		Penelopides spp. (II)		Hornbills
Ramphastidae		Semnornis ramphastinus (III CO)		Barbets Toucan barbet Toucans



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	Annex A	Annex B	Annex C	Common name
Picidae	Campytilus imperialis (I) Dryocopus javensis richardsi (I)	Baillonius bailloni (III AR) Pteroglossus aracari (II) Pteroglossus castanotis (III AR) Pteroglossus viridis (II) Ramphastos dicolorus (III AR) Ramphastos sulfuratus (II) Ramphastos toco (II) Ramphastos tucanus (II) Ramphastos vitellinus (II) Selenidera maculirostris (III AR)		Saffron toucanet Black-necked aracari Chestnut-eared aracari Green aracari Red-breasted toucan Keel-billed toucan Toco toucan Red-billed toucan Channel-billed toucan Spot-billed toucanet Woodpeckers Imperial woodpecker Tristram's woodpecker
PASSERIFORMES				
Cotingidae	Cotinga maculata (I) Xipholena atropurpurea (I)	Rupicola spp. (II)  Pitta guajana (II)  Pitta nympha (II) =414	Cephalopterus ornatus (III CO) Cephalopterus penduliger (III CO)	Cotingas Amazonian umbrella bird Long-wattled umbrella bird Banded cotinga Cock-of-the-rocks White-winged cotinga Pittas Banded pitta Gurney's pitta Whiskered pitta Fairy pitta Scrub-birds Noisy-scrub-bird Martins White-eyed river-martin
Pittidae	Pitta gurneyi (I) Pitta kochi (I)			
Atrichornithidae	Atrichornis clamosus (I)			
Hirundinidae	Pseudochelidon sirintarae (I)			

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	Annex A	Annex B	Annex C	Common name
Pycnonotidae		<i>Pycnonotus zeylanicus</i> (II)		Bulbuls
Muscicapidae	<p><i>Bebornis rodericanus</i> (III MU)</p> <p><i>Dasyornis broadbenti</i> <i>litoralis</i> (possibly extinct) (I)</p> <p><i>Dasyornis longirostris</i> (I) =416</p> <p><i>Picathartes gymnocephalus</i> (I)</p> <p><i>Picathartes oreas</i> (I)</p> <p><i>Zosterops albogularis</i> (I)</p> <p><i>Lichenostomus melanops cassidix</i> (I) =418</p>	<p><i>Cyornis ruckii</i> (II) =415</p> <p><i>Garrulax canorus</i> (II)</p> <p><i>Leiothrix argenteauris</i> (II)</p> <p><i>Leiothrix lutea</i> (II)</p> <p><i>Liocichla omeiensis</i> (II)</p> <p><i>Anthreptes pallidigaster</i></p> <p><i>Anthreptes rubritorques</i></p> <p><i>Gubernatrix cristata</i> (II)</p> <p><i>Paroaria capitata</i> (II)</p> <p><i>Paroaria coronata</i> (II)</p> <p><i>Tangara fastuosa</i> (II)</p>	<p>Terpsiphone bourbommensis (III MU) =417</p>	<p>Straw-headed bulbul</p> <p>Old-world flycatchers</p> <p>Rodriguez brush-warbler</p> <p>Rueck's blue-flycatcher</p> <p>Western rufous bristlebird</p> <p>Western Bristlebird</p> <p>Melodious laughing thrush</p> <p>Silver-eared mesia</p> <p>Red-billed leiothrix</p> <p>Omei shan liocichla</p> <p>White-necked rockfowl</p> <p>Grey-necked rockfowl</p> <p>Mascarene paradise-flycatcher</p> <p>Sunbirds</p> <p>Amani sunbird</p> <p>Banded sunbird</p> <p>White-eyes</p> <p>White-chested white-eye</p> <p>Honey-eaters</p> <p>Helmeted honeyeater</p> <p>Cardinals, tanagers</p> <p>Yellow cardinal</p> <p>Yellow-billed cardinal</p> <p>Red-crested cardinal</p> <p>Seven-coloured tanager</p> <p>New-world blackbirds</p>
Nectariniidae				
Zosteropidae				
Meliphagidae				
Emberizidae				
Icteridae				

	Annex A	Annex B	Annex C	Common name
Fringillidae	Agelaius flavus (I) =419			Saffron-cowled blackbird
	Carduelis cucullata (I) =420	Carduelis yarrellii (II) =420	Serinus canicapillus (III GH) =421 Serinus leucopygius (III GH) Serinus mozambicus (III GH)	Finches Red siskin Yellow-faced siskin West African seedeater White-rumped seedeater Yellow-fronted canary
Estrildidae		Amandava formosa (II)	Amadina fasciata (III GH)	Manikins, waxbills Cut throat
			Amandava subflava (III GH) =422 Estrilda astrild (III GH) Estrilda caerulescens (III GH) Estrilda melpoda (III GH) Estrilda troglodytes (III GH) Lagonosticta rara (III GH) Lagonosticta rubricata (III GH) Lagonosticta rufopicta (III GH) Lagonosticta senegala (III GH) Lagonosticta vinacea (III GH) =423 Lonchura bicolor (III GH) =424 Lonchura cantans (III GH) =425 Lonchura cucullata (III GH) =424 Lonchura fringilloides (III GH) =426 Mandingoa nitidula (III GH) =426 Nesocharis capistrata (III GH) Nigrita bicolor (III GH) Nigrita canicapilla (III GH) Nigrita fusconota (III GH)	Green avadavat Zebra waxbill Common waxbill Lavender waxbill Orange-cheeked waxbill Black-rumped waxbill Black-bellied firefinch African firefinch Bar-breasted firefinch Red-billed firefinch Black-faced firefinch Black-and-white mannikin African silverbill Bronze mannikin Magpie mannikin Green-backed twinspot Grey-headed olive-back Chestnut-breasted negrofinch Grey-headed negrofinch White-breasted negrofinch

Annex A	Annex B	Annex C	Common name
	<p><i>Padda fuscata</i></p> <p><i>Padda oryzivora</i> (II)</p> <p><i>Poephila cincta cincta</i> (II)</p>	<p><i>Nigrita luteifrons</i> (III GH)</p> <p><i>Ortyospiza atricollis</i> (III GH)</p> <p><i>Parnoptila rubrifrons</i> (III GH) =427</p> <p><i>Pholidornis rushiae</i> (III GH)</p> <p><i>Pyrenestes ostrinus</i> (III GH) =428</p> <p><i>Pytilia hypogrammica</i> (III GH)</p> <p><i>Pytilia phoenicoptera</i> (III GH)</p> <p><i>Spermophaga haematina</i> (III GH)</p> <p><i>Uræginthus bengalus</i> (III GH) =429</p> <p><i>Amblyospiza albifrons</i> (III GH)</p> <p><i>Anaplectes rubriceps</i> (III GH) =430</p> <p><i>Anomalospiza imberbis</i> (III GH)</p> <p><i>Bubalornis albirostris</i> (III GH)</p> <p><i>Euplectes afer</i> (III GH)</p> <p><i>Euplectes ardens</i> (III GH) =431</p> <p><i>Euplectes franciscanus</i> (III GH) =432</p> <p><i>Euplectes hordeaceus</i> (III GH)</p> <p><i>Euplectes macrourus</i> (III GH) =433</p> <p><i>Malimbus cassini</i> (III GH)</p> <p><i>Malimbus malimbicus</i> (III GH)</p> <p><i>Malimbus nitens</i> (III GH)</p> <p><i>Malimbus rubricollis</i> (III GH)</p> <p><i>Malimbus scutatus</i> (III GH)</p> <p><i>Pachyphantes superciliosus</i> (III GH) =434</p>	<p>Pale-fronted negrofinch</p> <p>African quailfinch</p> <p>Timor sparrow</p> <p>Java sparrow</p> <p>Jameson's antpecker</p> <p>Tit-hyllia</p> <p>Southern black-throated finch</p> <p>Black-bellied seedcracker</p> <p>Red-faced pytilia</p> <p>Red-winged pytilia</p> <p>Western bluebill</p> <p>Red-cheeked cordonbleu</p> <p>Weavers, whydahs</p> <p>Grosbeak weaver</p> <p>Red-headed weaver</p> <p>Parasitic weaver</p> <p>White-billed buffalo weaver</p> <p>Yellow-crowned bishop</p> <p>Red-collared widowbird</p> <p>Orange bishop</p> <p>Black-winged bishop</p> <p>Yellow-mantled widowbird</p> <p>Black-throated malimbe</p> <p>Crested malimbe</p> <p>Gray's malimbe</p> <p>Red-headed malimbe</p> <p>Red-vented malimbe</p> <p>Compact weaver</p>

Ploceidae



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	Annex A	Annex B	Annex C	Common name
			Passer griseus (III GH) Petronia dentata (III GH) Plocepasser superciliosus (III GH) Ploceus albinucha (III GH) Ploceus aurantius (III GH) Ploceus cucullatus (III GH) =435 Ploceus heuglini (III GH) Ploceus luteolus (III GH) =436 Ploceus melanocephalus (III GH) =437 Ploceus nigerrimus (III GH) Ploceus nigricollis (III GH) Ploceus pelzelni (III GH) Ploceus preussi (III GH) Ploceus tricolor (III GH) Ploceus vitellinus (III GH) =438 Quelea erythrops (III GH) Sporopipes frontalis (III GH) Vidua chalybeata (III GH) =439 Vidua interjecta (III GH) Vidua larvaticola (III GH) Vidua macroura (III GH) Vidua orientalis (III GH) =440 Vidua raricola (III GH) Vidua togoensis (III GH) Vidua wilsoni (III GH)	Grey-headed sparrow Bush petronia Chestnut-crowned sparrow-weaver Maxwell's black weaver Orange weaver Village weaver Heuglin's masked-weaver Little weaver Black-headed weaver Vieillot's black weaver Black-necked weaver Slender-billed weaver Preuss's weaver Yellow-mantled weaver Vitelline masked-weaver Red-headed quelea Speckle-fronted weaver Village indigobird Uelle paradise-whydah Baka indigobird Pin-tailed whydah Northern paradise whydah Jambandu indigobird Togo paradise-whydah Pale-winged indigobird Mynahs Hill mynah Bali mynah
	Leucopsar rothschildi (I)	Gracula religiosa (II)		

Sturnidae





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	Annex A	Annex B	Annex C	Common name
Paradisaeidae		Paradisaeidae spp. (II)		Birds of paradise Birds of paradise Reptiles
REPTILIA				
TESTUDINATA				
Dermatemyidae		Dermatemys mawii (II)		Central American river turtle Central American river turtle
Platystemnidae		Platystemon megacephalum (II)		Big-headed turtle Big-headed turtle
Emyidae		Annamemys annamensis (II)		Box turtles, freshwater turtles Annam pond turtle
	Batagur baska (I)	Callagur borneoensis (II) Chrysemys picta Clemmys insculpta (II)		Batagur Painted terrapin
	Clemmys muhlenbergii (I)	Cuora spp. (II)		Wood turtle Bog turtle
	Geoclemys hamiltonii (I) =441	Heosemys depressa (II) Heosemys grandis (II) Heosemys leytensis (II) Heosemys spinosa (II) Hieremys annandalii (II)		Asian box turtles Black pond turtle Arakan forest turtle Giant Asian turtle Philippine pond turtle Spiny turtle
		Kachuga spp. (II) (Except for the species included in Annex A)		Yellow-headed temple turtle Roofed turtles
	Kachuga tecta (I) =442	Leucocephalon yuwonoi (II) Mauremys mutica (II)		Indian sawback turtle Sulawesi forest turtle Yellow pond turtle
	Melanocheilus tricarinata (I) =443 Morenia ocellata (I)			Three-keeled land tortoise Burmese swamp turtle

	Annex A	Annex B	Annex C	Common name
		<p><i>Orlitia borneensis</i> (II)</p> <p><i>Pyxidea mouhotii</i> (II)</p> <p><i>Siebenrockiella crassicollis</i> (II)</p> <p><i>Terrapene</i> spp. (II) (Except for the species included in Annex A)</p> <p><i>Trachemys scripta elegans</i> =444</p> <p><i>Testudinidae</i> spp. (II) (Except for the species included in Annex A; a zero annual export quota has been established for <i>Geochelone sulcata</i> for specimens removed from the wild and traded for primarily commercial purposes.)</p>		<p>Malayan giant turtle</p> <p>Keeled bix turtle</p> <p>Black marsh turtle</p> <p>Box-turtles</p> <p>Aquatic box turtle</p> <p>Red-eared terrapin</p> <p>Tortoises</p> <p>Tortoises</p>
Testudinidae	<p><i>Terrapene coahuila</i> (I)</p> <p><i>Geochelone nigra</i> (I) =445</p> <p><i>Geochelone radiata</i> (I) =446</p> <p><i>Geochelone ymphora</i> (I) =446</p> <p><i>Gopherus flavomarginatus</i> (I)</p> <p><i>Homopus bergeri</i> (II)</p> <p><i>Malacochersus tornieri</i> (II)</p> <p><i>Psammobates geometricus</i> (I) =446</p> <p><i>Pyxis planicauda</i> (I)</p> <p><i>Testudo graeca</i> (II)</p> <p><i>Testudo hermanni</i> (II)</p> <p><i>Testudo kleinmanni</i> (I)</p> <p><i>Testudo marginata</i> (II)</p> <p><i>Testudo wernerii</i> (I)=447</p> <p><i>Cheloniidae</i> spp. (I)</p>			<p>Galapagos giant tortoise</p> <p>Radiated tortoise</p> <p>Angonoka</p> <p>Bolson tortoise</p> <p>Berger's cape tortoise</p> <p>Pancake tortoise</p> <p>Geometric tortoise</p> <p>Madagascar flat-shelled tortoise</p> <p>Spur-thighed tortoise</p> <p>Hermann's tortoise</p> <p>Egyptian tortoise</p> <p>Margined tortoise</p> <p>Sea turtles</p> <p>Sea turtles</p> <p>Leatherback turtle</p>
Cheloniidae				
Derموchelyidae				

	Annex A	Annex B	Annex C	Common name
Trionychidae	<p>Derموchelys coriacea (I)</p> <p>Apalone ater (I) =448</p> <p>Aspideretes gangeticus (I) =449</p> <p>Aspideretes hurum (I) =449</p> <p>Aspideretes nigricans (I) =449</p>	<p>Chitra spp. (II)</p> <p>Pelochelys spp. (II)</p> <p>Lissemys punctata (II)</p>	<p>Trionyx triunguis (III GH)</p>	<p>Leatherback turtle</p> <p>Softshell turtles, terrapins</p> <p>Cuatro Cienagas soft-shell turtle</p> <p>Indian soft-shell turtle</p> <p>Peacock soft-shell turtle</p> <p>Black soft-shell turtle</p> <p>Narrow-headed softshell turtles</p> <p>Giant softshell turtles</p> <p>Indo-Gangetic flap-shelled turtle</p> <p>African soft-shell turtle</p>
Pelomedusidae		<p>Erymnochelys madagascariensis (II) =450</p> <p>Peltocephalus dumeriliana (II) =450</p>	<p>Pelomedusa subrufa (III GH)</p> <p>Pelusios adansonii (III GH)</p> <p>Pelusios castaneus (III GH)</p> <p>Pelusios gabonensis (III GH) =451</p> <p>Pelusios niger (III GH)</p>	<p>Afro-American sideneck turtles</p> <p>Madagascar sideneck turtle</p> <p>Helmeted turtle</p> <p>Big-headed sideneck turtle</p> <p>Adanson's mud turtle</p> <p>West African mud turtle</p> <p>African forest turtle</p> <p>West African black forest turtle</p>
Chelidae	<p>Pseudemadura umbrina (I)</p>	<p>Podocnemis spp. (II)</p>		<p>Sideneck turtles</p> <p>Austro-American sideneck turtles</p> <p>Western swamp turtle</p>
CROCODYLIA		<p>CROCODYLIA spp. (II) (Except for the species included in Annex A) =452</p>		<p>Caimans, crocodiles, alligators</p> <p>Caimans, crocodiles, alligators</p>
Alligatoridae	<p>Alligator sinensis (I)</p> <p>Caiman crocodilus apaportiensis (I)</p>			<p>Alligators, caimans</p> <p>Chinese alligator</p> <p>Rio Apaporis spectacled caiman</p>

	Annex A	Annex B	Annex C	Common name
Crocodylidae	Caiman latirostris (I) (Except for the population of Argentina, which is included in Annex B)			Broad-nosed caiman
	Melanosuchus niger (I) (Except for the population of Ecuador, which is included in Annex B, and is subject to a zero annual export quota until an annual export quota has been approved by the CITES Secretariat and the IUCN/SSC Crocodile Specialist Group)			Black caiman
	Crocodylus acutus (I)			Crocodiles
	Crocodylus cataphractus (I)			American crocodile
	Crocodylus intermedius (I)			African slender-snouted crocodile
	Crocodylus mindorensis (I)			Orinoco crocodile
	Crocodylus moreletii (I)			Philippine crocodile
	Crocodylus niloticus (I) (Except for the populations of Botswana, Ethiopia, Kenya, Madagascar, Malawi, Mozambique, South Africa, Uganda, the United Republic of Tanzania [subject to an annual export quota of no more than 1600 wild specimens including hunting trophies, in addition to ranchered specimens], Zambia and Zimbabwe; these populations are included in Annex B)			Morelet's crocodile
	Crocodylus palustris (I)			Nile crocodile
	Crocodylus porosus (I) (Except for the populations of Australia, Indonesia and Papua New Guinea, which are included in Annex B)			Mugger crocodile
	Crocodylus rhombifer (I)			Estuarine crocodile
	Crocodylus siamensis (I)			Cuban crocodile
	Osteolaemus tetraspis (I)			Siamese crocodile
				West African dwarf crocodile



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	Annex A	Annex B	Annex C	Common name
Gavialidae	Tomistoma schlegelii (I)			False gharial Gavial or gharial Gharial
RHYNCHOCEPHALIA	Gavialis gangeticus (I)			
Sphenodontidae	Sphenodon spp. (I)			Tuataras Tuataras
SAURIA				Geckos
Gekkonidae		Cyrtodactylus serpensinsula (II) =453	Hoplodactylus spp. (III NZ) Naultinus spp. (III NZ)	Serpent Island gecko
Agamidae	Phelsuma guentheri (II)	Phelsuma spp. (II) (Except for the species included in Annex A)=454		Day-geckos Round Island day gecko Spiny-tailed lizards (Agamas, masti- gures)
Chamaeleonidae		Uromastyx spp. (II) Bradypodion spp. (II) =455 Brookesia spp. (II) (Except for the species included in Annex A)		Spiny-tailed lizards Chameleons Dwarf chameleons Dwarf chameleons
	Brookesia perarmata (I)	Calumma spp. (II) =455 Chamaeleo spp. (II) (Except for the species included in Annex A)		Dwarf spiny chameleon Chameleons Chameleons
	Chamaeleo chamaeleon (II)	Furcifer spp. (II) =455		European chameleon Chameleons
Iguanidae	Brachylophus spp. (I)	Amblyrhynchus cristatus (II)		Iguanas Galapagos marine iguana Fiji iguanas



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	Annex A	Annex B	Annex C	Common name
	Cyclura spp. (I)	Conolophus spp. (II)		Galapagos land iguanas
		Iguana spp. (II)		Ground iguanas
		Liolaemus gravenhorstii		Striped ground iguana
		Phrynosoma coronatum (II)		Coast horned lizard
Lacertidae	Sauromalus varius (I)			San Esteban Island chuckwalla
				Lizards
	Gallotia simonyi (I)			Hierro giant lizard
	Podarcis lilfordi (II)			Lilford's wall lizard
	Podarcis pityusensis (II)			Ibiza wall lizard
Cordylidae				Spiny-tailed lizards
		Cordylus spp. (II) =456		Girdled lizards
Teiidae		Crocodylurus amazonicus (II)=457		Caiman lizards, tegu lizards
		Dracaena spp. (II)		Dragon lizard
		Tupinambis spp. (II) =458		Caiman lizards
Scincidae				Tegus
				Skinks
Xenosauridae		Corucia zebrata (II)		Prehensile-tailed skink
				Chinese crocodile lizard
Helodermatidae		Shinisaurus crocodilurus (II)		Chinese crocodile lizard
				Gila monster and beaded lizard
		Heloderma spp. (II)		Gila monster and beaded lizard
Varanidae				Monitor lizards
	Varanus bengalensis (I)	Varanus spp. (II) (Except for the species included in Annex A)		Monitor lizards
	Varanus flavescens (I)			Indian monitor
	Varanus griseus (I)			Yellow monitor
	Varanus komodoensis (I)			Desert monitor
				Komodo dragon

	Annex A	Annex B	Annex C	Common name
SERPENTES				
Loxocemidae	Varanus olivaceus (II)	Loxocemidae spp. (II) =459		Gray's monitor Snakes Mexican dwarf boa Mexican dwarf boa
Pythonidae		Pythonidae spp. (II) (Except for the species included in Annex A) =459		Pythons Pythons
Boidae	Python molurus molurus (I) =460  Acrantophis spp. (I) Boa constrictor occidentalis (I) =461 Epicrates inornatus (I) Epicrates monensis (I) Epicrates subflavus (I) Eryx jaculus (II) Sanzinia madagascariensis (I) =462	Boidae spp. (II) (Except for the species included in Annex A)		Indian python Boas Boas Madagascar ground boas Argentine boa constrictor Puerto Rican boa Virgin Island tree boa Jamaican boa Spotted sand boa Madagascar tree boa Round Island boas Round Island boas
Bolyeriidae	Bolyeria multocarinata (I) Casarea dussumieri (I)	Bolyeriidae spp. (II) (Except for the species included in Annex A) =459		Round Island boa Round Island keel-scaled boa Wood boas Wood boas
Tropidophiidae		Tropidophiidae spp. (II) =459		Typical snakes, water snakes, whip snakes
Colubridae		Clelia clelia (II) =463	Atretium schistosum (III IN) Cerberus rhynchops (III IN)	Olive keel-back Dog-faced water snake Mussurana

	Annex A	Annex B	Annex C	Common name
Elapidae		Cyclagras gigas (II) =464		False cobra
		Dromicus chamissonis =465		Chilean ground snake
		Elachistodon westermanni (II)		Indian egg-eating snake
		Ptyas mucosus (II)		Common rat snake
		Hoplocephalus bungaroides (II)	Xenochrophis piscator (III IN) =466	Checkered keel-back
		Naja atra (II) =467		Cobras, coral snakes
		Naja kaouthia (II) =467		Broad-headed snake
		Naja mandalayensis (II) =467		Atlantic coral snake
		Naja naja (II)		Central American coral snake
		Naja oxiana (II) =467		
		Naja philippinensis (II) =467		
		Naja sagittifera (II) =467		Asiatic cobra
		Naja samarensis (II) =467		
Viperidae		Naja siamensis (II) =467		
		Naja sputatrix (II) =467		
		Naja sumatrana (II) =467		King cobra
		Ophiophagus hannah (II)		Vipers
		Crotalus durissus unicolor =468	Crotalus durissus (III HN)	Neotropical rattlesnake
		Crotalus willardi		Neotropical rattlesnake
	Vipera latiffi		Daboia russelii (III IN) =469	Ridge-nosed rattlesnake Russell's viper Latiff's viper



	Annex A	Annex B	Annex C	Common name
AMPHIBIA ANURA Bufonidae	Vipera ursinii (I) (Only the population of Europe, except the area which formerly constituted the USSR; these latter populations are not included in the Annexes to this Regulation)  Altiphrynoides spp. (I) =470 Atelopus zeteki (I) =471 Bufo periglenes (I) Bufo superciliaris (I) Nectophrynoides spp. (I) Nimbaphrynoides spp. (I) =470 Spinophrynoides spp. (I) =470	Vipera wagneri (II)		Orsini's viper  Wagner's viper Amphibians  Toads  Golden frog Golden toad Cameroon toad Viviparous toads
Dendrobatidae		Dendrobates spp. (II) Epipedobates spp. (II) =472 Minyobates spp. (II) =472 Phylllobates spp.(II)  Mantella spp. (II)		Poison frogs Poison-arrow frogs Poison-arrow frogs Poison-arrow frogs Poison-dart frogs Mantella frogs Mantella frogs Tomato frogs Tomato frog
Mantellidae		Scaphiophryne gottlebei (II)		Gottlebe's microhylid frog
Microhylidae	Dyscophus antongilii (I)			Frogs
Ranidae		Conraua goliath Euphlyctis hexadactylus (II) =473 Hoplobatrachus tigerinus (II) =473		Goliath frog Six-fingered frog Tiger frog



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	Annex A	Annex B	Annex C	Common name
Myobatrachidae		Rana catesbeiana		American bullfrog
CAUDATA		Rheobatrachus spp. (II) (Except for the species included in Annex A)		Gastric brooding frogs Gastric brooding frog
Ambystomidae	Rheobatrachus silus (II)	Ambystoma dumerilii (II) Ambystoma mexicanum (II)		Platypus frog Axolotls Lake Patzcuaro salamander Axolotl
Cryptobranchidae	Andrias spp. (I) =474			Giant salamanders Giant salamanders Sharks and rays
ELASMBORANCHII				Whale sharks Whale shark
ORECTOLOBIFORMES				Great white shark Great white shark
Rhincodontidae		Rhincodon typus (II)		Basking Sharks Basking shark
LAMNIFORMES			Carcharodon carcharias (III AU)	Fish
Lamnidae				Sturgeons and paddlefish
Cetorhinidae		Cetorhinus maximus (II)		Sturgeons
ACTINOPTERYGII				Shortnose sturgeon Common sturgeon
ACIPENSERIFORMES	Acipenser brevirostrum (I) Acipenser sturio (I)	ACIPENSERIFORMES spp. (II) (Except for the species included in Annex A)		Arapaimas, bonytongues Arapaima
Acipenseridae				
OSTEOGLOSSIFORMES				
Osteoglossidae		Arapaima gigas (II)		



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	Annex A	Annex B	Annex C	Common name
CYPRINIFORMES Cyprinidae	Scleropages formosus (I)			Asian arowana
Catostomidae	Probarbus jullieni (I)	Caecobarbus geertsii (II)		Blind carps, plaecesok African blind barb fish
SILURIFORMES Pangasiidae	Chasmistes cujus (I)			Ikan temoleh Cui-ui Cui-ui
SYNGNATHIFORMES Syngnathidae	Pangasianodon gigas (I)			Pangasid catfish Giant catfish
PERCIFORMES Sciaenidae		Hippocampus spp. (II) This inclusion will enter into force on 15 May 2004		Pipefishes, seahorses Seahorses
SARCOPTERYGII COELACANTHIFORMES Coelacanthidae	Totoaba macdonaldi (I)=475			Totoabas Totoaba Lungfishes
CERATODONTIFORMES Ceratodontidae	Latimeria spp. (I)	Neoceratodus forsteri (II)		Coelacanth Coelacanth Australian lungfishes Australian lungfish
ARACHNIDA SCORPIONES Scorpionidae		<b>ARTHROPODA (ARTHROPODS)</b>  Pandinus dictator (II)		Spiders and scorpions Scorpions A scorpion

	Annex A	Annex B	Annex C	Common name
ARANEAE Theraphosidae		Pandinus gambiensis (II) Pandinus imperator (II) =476		Giant Senegalese scorpion Emperor scorpion
INSECTA COLEOPTERA Lucanidae		Aphonopelma albiceps (II) =477 Aphonopelma pallidum (II) =477 Brachypelma spp (II) Brachypelmidès klaasi (II) =477		Red-kneed tarantulas, tarantulas Tarantulas
LEPIDOPTERA Papilionidae	Ornithoptera alexandrae (I) Papilio chikae (I) Papilio homerus (I)	Atrophaneura jophon (II) Atrophaneura palu Atrophaneura pandiyana (II) Baronia brevicornis Bhutanitis spp. (II) Graphium sandawanum Graphium stresemanni Ornithoptera spp. (II) (except for the species included in Annex A) =478 Papilio benguetanus Papilio esperanza Papilio grosesmithi	Colophon spp. (III ZA)	Insects Beetles Stag beetles Butterflies Birdwing and swallowtail butterflies Sri Lankan rose Palu swallowtail butterfly Short-horned baronia Swallowtail butterflies Apo swallowtail butterfly A swallowtail butterfly Birdwing butterflies Queen Alexandra's birdwing A swallowtail butterfly Luzon peacock swallowtail A swallowtail butterfly A swallowtail butterfly Homerus swallowtail

	Annex A	Annex B	Annex C	Common name
	Papilio hospiton (I)	Papilio maraho Papilio morondavana Papilio neuomegeni Parides ascanius Parides hahneli		Corsican swallowtail A swallowtail butterfly Madagascan emperor swallowtail A swallowtail butterfly Fluminense swallowtail butterfly Hahnel's amazonian swallowtail butterfly Apollo butterfly
	Parnassius apollo (II)	Teinopalpus spp. (II) Trogonoptera spp. (II) =478 Troides spp. (II) =478		Kaiser-I-Hind butterflies Birdwing butterflies Birdwing butterflies
	<b>ANNELIDA (SEGMENTED WORMS AND LEECHES)</b>			
HIRUDINOIDEA				Leeches
ARHYNCHOBELLA				Leeches
Hirudinidae		Hirudo medicinalis (II)		Medicinal leech
		<b>MOLLUSCA (MOLLUSCS)</b>		
BIVALVIA				Bivalve molluscs (clams, mussels etc.)
VENERIDA				Giant clams
Tridacnidae		Tridacnidae spp. (II)		Giant clams
UNIONIDA				Freshwater mussels, pearly mussels
Unionidae	Conradilla caelata (I) Dromus dromas (I) =479 Epioblasma curtisii (I) =480 Epioblasma florentina (I) =480 Epioblasma sampsonii (I) =480	Cyprogenia aberti (II)		Birdwing pearly mussel Western fanshell mussel Dromedary pearly mussel Curtis' pearly mussel Yellow-blossom pearly mussel Wabash riffleshell

	Annex A	Annex B	Annex C	Common name
	<p><i>Epioblasma sulcata perobliqua</i> (I) =480</p> <p><i>Epioblasma torulosa gubernaculum</i> (I) =480</p> <p><i>Epioblasma torulosa torulosa</i> (I) =480</p> <p><i>Epioblasma turgidula</i> (I) =480</p> <p><i>Epioblasma walkeri</i> (I) =480</p> <p><i>Fusconaia cuneolus</i> (I)</p> <p><i>Fusconaia edgariana</i> (I)</p> <p><i>Lampsilis higginsii</i> (I)</p> <p><i>Lampsilis orbiculata orbiculata</i> (I)</p> <p><i>Lampsilis satur</i> (I)</p> <p><i>Lampsilis virescens</i> (I)</p> <p><i>Plethobasus cicatricosus</i> (I)</p> <p><i>Plethobasus cooperianus</i> (I)</p> <p><i>Pleurobema plenum</i> (I)</p> <p><i>Potamilus capax</i> (I) =481</p> <p><i>Quadrula intermedia</i> (I)</p> <p><i>Quadrula sparsa</i> (I)</p> <p><i>Toxolasma cylindrellus</i> (I) =482</p> <p><i>Unio nickliniana</i> (I) =483</p> <p><i>Unio tampicoensis tecomatensis</i> (I) =484</p> <p><i>Villosa trabalis</i> (I) =485</p>	<p><i>Epioblasma torulosa rangiana</i> (II) =479</p> <p><i>Pleurobema clava</i> (II)</p>		<p>White catspaw mussel</p> <p>Green-blossom pearly mussel</p> <p>Northern riffleshell</p> <p>Turbercled-blossom pearly mussel</p> <p>Turgid-blossom pearly mussel</p> <p>Tan riffleshell</p> <p>Fine-rayed pigtoe pearly mussel</p> <p>Shiny pigtoe pearly mussel</p> <p>Higgins' eye pearly mussel</p> <p>Pink mucket pearly mussel</p> <p>Sandback pocketbook mussel</p> <p>Alabama lamp pearly mussel</p> <p>White warty-back pearly mussel</p> <p>Orange-footed pimpleback mussel</p> <p>Clubshell pearly mussel</p> <p>Rough pigtoe pearly mussel</p> <p>Fat pocketbook pearly mussel</p> <p>Cumberland monkey-face pearly mussel</p> <p>Appalachian monkey-face pearly mussel</p> <p>Pale lilliput pearly mussel</p> <p>Nicklin's pearly mussel</p> <p>Tampico pearly mussel</p> <p>Cumberland bean pearly mussel</p> <p>Slugs, snails and conches</p>



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	Annex A	Annex B	Annex C	Common name
STYLOMMATOPHORA				
Achatinellidae	Achatinella spp. (I)			Agate snails, oatu tree snails Little agate shells
Camaenidae		Papustyla pulcherrima (II) =486		Green tree snail Manus green tree snail
MESOGASTROPODA				
Strombidae		Strombus gigas (II)		Conches Queen conch
ANTHOZOA				
HELIOPORACEA				
Helioporidae				Corals, sea anemones
STOLONIFERA				
Tubiporidae		Heliporidae spp. (II) =487 (Fossils are not subject to the provisions of this Regulation)		Blue coral Blue coral
ANTIPATHARIA		Tubiporidae spp. (II) (Fossils are not subject to the provisions of this Regulation)		Organpipe corals Organpipe corals
SCLERACTINIA		ANTIPATHARIA spp. (II) SCLERACTINIA spp. (II) (Fossils are not subject to the provisions of this Regulation)		Black corals Stony corals
HYDROZOA				
MILLEPORINA				
Milleporidae		Milleporidae spp. (II) (Fossils are not subject to the provisions of this Regulation)		Sea ferns, fire corals, stinging medusas Wello fire corals Wello fire corals
STYLASTERINA				
Stylasteridae				Lace corals

**CNIDARIA (CORALS, FIRE CORALS, SEA ANEMONES)**



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	Annex A	Annex B	Annex C	Common name
AGAVACEAE	Agave arizonica (I) Agave parviflora (I)	Stylasteridae spp. (II) (Fossils are not subject to the provisions of this Regulation)		Lace corals
AMARYLLIDACEAE	Nolina interrata (I)	<b>FLORA</b> Agave victoriae-reginae (II) #1		Agaves New River agave Santa Cruz striped agave Queen Victoria agave Dehesa bear-grass Amaryllids
APOCYNACEAE	Pachypodium ambongense (I) Pachypodium baronii (I) Pachypodium decaryi (I)	Galanthus spp. (II) #1 Stembergia spp. (II) #1 Pachypodium spp. (II) (Except for the species included in Annex A)#1		Snowdrops Sternbergias Dogbanes Elephant trunks
ARALIACEAE		Rauvolfia serpentina (II) #2 Panax ginseng (II) + (Only the population of the Russian Federation; no other population is included in the Annexes to this Regulation) #3 Panax quinquefolius (II) #3		Snake-root devil-pepper Aralias Ginseng
ARAUCARIACEAE	Araucaria araucana (I)			American ginseng Auricularias Monkey-puzzle tree Barberries Himalayan may-apple
BERBERIDACEAE		Podophyllum hexandrum (II) =488 #2		Air plants, bromelias
BROMELIACEAE				



	Annex A	Annex B	Annex C	Common name
<p>CACTACEAE</p>	<p>Ariocarpus spp. (I) =489  Astrophytum asterias (I) =490  Aztekium ritteri (I)  Coryphantha werdermannii (I) =491  Discocactus spp. (I)  Echinocereus ferreirianus ssp. lindsayi (I) =492  Echinocereus schmollii (I) =493  Escobaria minima (I) =494  Escobaria sneedii (I) =495  Mammillaria pectinifera(I) =496  Mammillaria solisioioides (I)  Melocactus conoideus (I)  Melocactus deinacanthus (I)  Melocactus glaucescens (I)  Melocactus paucispinus (I)  Obregonia denegrii (I)  Pachycereus militaris (I) =497</p>	<p>Tillandsia harrisii (II) #1  Tillandsia kammii (II) #1  Tillandsia kautskyi (II) #1  Tillandsia mauryana (II) #1  Tillandsia sprengeliana (II) #1  Tillandsia sucrei (II) #1  Tillandsia xerographica (II) #1</p> <p>CACTACEAE spp. (II) (Except for the species included in Annex A) (*)#4</p>		<p>Harris' tillandsia  Kamm's tillandsia  Kautsky's tillandsia  Maury's tillandsia  Sprengel's tillandsia  Suere tillandsia  Xerographic tillandsia  Cacti  Cacti</p> <p>Living rock cacti  Star cactus  Aztec cactus  Jobali pincushion cactus  Discocacti  Lindsay's hedgehog cacti  Lamb's tail cactus  Nelle's cactus  Sneed's pincushion cactus  Conchiliinque  Pitayita  Conelike Turk's-cap cactus  Wonderfully-bristled Turk's cap cactus  Woolly waxy-stemmed Turk's-cap cactus  Few-spined Turk's-cap cactus  Artichoke cactus  Grenadier's cap</p>

	Annex A	Annex B	Annex C	Common name
	<p>Pediocactus bradyi (I) =498</p> <p>Pediocactus knowltonii (I)</p> <p>Pediocactus paradinei (I)</p> <p>Pediocactus peeblesianus (I) =499</p> <p>Pediocactus sileri (I) =500</p> <p>Peleciphora spp. (I) =501</p> <p>Sclerocactus brevihamatus ssp. tobuschii (I) =502</p> <p>Sclerocactus erectocentrus (I) =503</p> <p>Sclerocactus glaucus (I) =504</p> <p>Sclerocactus mariposensis (I) =505</p> <p>Sclerocactus mesae-verdae (I) =506</p> <p>Sclerocactus nyensis (I)</p> <p>Sclerocactus papyracanthus (I) =507</p> <p>Sclerocactus pubispinus (I) =508</p> <p>Sclerocactus wrightiae (I) =508</p> <p>Strombocactus spp. (I)</p> <p>Turbiniarpus spp. (I) =509</p> <p>Uebelmannia spp. (I) =510</p>			<p>Brady's pincushion cactus</p> <p>Knowlton's cactus</p> <p>Housetop valley cactus</p> <p>Peebles' Navajo cactus</p> <p>Siler's pincushion cactus</p> <p>Pine cane cactus</p> <p>Tobusch fishhook cactus</p>
				<p>Vinta Basin hookless cactus</p> <p>Mariposa cactus</p> <p>Mesa Verde cactus</p> <p>Tonopah fishhook cactus</p> <p>Grama-grass cactus</p> <p>Great Basin fishhook cactus</p> <p>Wright's fishhook cactus</p> <p>Peyote</p> <p>Turbiniarpus</p> <p>Uebelmann cacti</p> <p>Ajos</p> <p>Ajillo</p> <p>Asters, daisies, costus</p> <p>Costus</p> <p>Dudleyas, crassulas</p> <p>Laguna beach dudleya</p> <p>Santa Barbara Island dudleya</p> <p>Cypresses</p> <p>Alerce</p> <p>Pilgerodendron</p>
CARYOCARACEAE		Caryocar costaricense (II) #1		
COMPOSITAE (ASTERACEAE)				
CRASSULACEAE	Saussurea costus (I) =511			
CUPRESSACEAE	Fitzroya cupressoides (I)	Dudleya stolonifera (II) #1		
	Pilgerodendron uviferum (I)	Dudleya traskiae (II)		



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	Annex A	Annex B	Annex C	Common name
CYATHEACEAE		Cyathea spp. (II) #1 =512		Tree ferns
CYCADACEAE		CYCADACEAE spp. (II) (Except for the species included in Annex A) #1		Tree ferns Cycads Cycads
DIAPENSIACEAE	Cycas beddomei (I)	Shortia galacifolia (II) #1		Beddome cycad Oconee bells
DICKSONIACEAE		Cibotium barometz (II) #1		Oconee bells Tree ferns
DIDIEREACEAE		Dicksonia spp. (II) (Only the populations of the Americas; no other populations are included in the Annexes to this Regulation) #1		Tree ferns
DIOSCOREACEAE		DIDIEREACEAE spp. (II) #1		Didiereas
DROSERACEAE		Dioscorea deltoidea (II) #1		Alluaudias, didiereas Yams
EUPHORBACEAE	Euphorbia ambovombensis (I) Euphorbia capsaintemariensis (I) =513 Euphorbia cremersii (I) =514 Euphorbia cylindrifolia (I) =515	Dionaea muscipula (II) #1  Euphorbia spp. (II) (Except for the species included in A A; succulent species only; artificially propagated specimens of cultivars of Euphorbia trigona are not subject to the provisions of this Regulation) #1		Elephant's foot Sundews Venus fly-trap Spurges Euphorbias

	Annex A	Annex B	Annex C	Common name
FOUQUIERIACEAE	Euphorbia decaryi (I) =516 Euphorbia francoisii (I) Euphorbia handiensis (II) Euphorbia lambii (II) Euphorbia moratii (I) =517 Euphorbia parvicyathophora (I) Euphorbia quartziticola (I) Euphorbia tulearensis (I) =518 Euphorbia stygiana (II)	Fouquieria columnaris (II) #1		Ocotillos, boojums Boojum tree Arbol del barril
GNETACEAE	Fouquieria fasciculata (I) Fouquieria purpusii (I)		Gnetum montanum (III NP) #1	Joint firs
JUGLANDACEAE		Oreomunnea pterocarpa (II) =519 #1		Walnuts, gavilan Gavilan Legumes
LEGUMINOSAE (FABACEAE)	Dalbergia nigra (I)	Pericopsis elata (II) =520 #5 Platymiscium pleiostachyum (II) #1 Pterocarpus santalinus (II) #7	Dipteryx panamensis (III CR)	Brazilian rosewood Almendro Afromosia Quira macawood Red sandalwood Lilies Aloes
LILIACEAE	Aloe albidia (I)	Aloe spp. (II) (Except Aloe vera; also referenced as Aloe barbadensis, which is not included in the Annexes to this Regulation) #1		

	Annex A	Annex B	Annex C	Common name
	<p>Aloe albiflora (I)  Aloe alfredii (I)  Aloe bakeri (I)  Aloe bellatula (I)  Aloe calcairophila (I)  Aloe compressa (I) =521  Aloe delphinensis (I)  Aloe descoingsii (I)  Aloe fragilis (I)  Aloe haworthioides (I) =522  Aloe helenae (I)  Aloe laeta (I) =523  Aloe parallelifolia (I)  Aloe parvula (I)  Aloe pillansii (I)  Aloe polyphylla (I)  Aloe rauhii (I)  Aloe suzannae (I)  Aloe versicolor (I)  Aloe vossii (I)</p>	<p>Swietenia humilis (II) #1  Swietenia mahagoni (II) #5</p>	<p>Magnolia liliifera var. obovata (III NP) =524 #1  Cedrela odorata (III Population of Columbia [CO], Population of Peru [PE]) #5</p>	<p>Magnolias  Safan  Mahogamies  Honduras mahogany  Caribbean mahogany</p>
MAGNOLIACEAE				
MELIACEAE				

	Annex A	Annex B	Annex C	Common name
NEPENTHACEAE	Nepenthes khasiana (I) Nepenthes rajah (I)	Swietenia macrophylla (II) (Population of the Neotropics) #6 This inclusion will enter into force on 15 November 2003	Swietenia macrophylla Until 15 November 2003 (III BO, BR, CO, Population of the Americas CR, MX, PE) #5	Big-leaf mahogany
		Nepenthes spp. (II) (Except for the species included in Annex A) #1		Pitcher plants (old-world) Tropical pitcher plants
ORCHIDACEAE		ORCHIDACEAE spp. (II) (Except for the species included in Annex A) (*) =525 #8		Indian pitcher plant Giant tropical pitcher plant Orchids Orchids
		For all of the following Annex A species, tissue cultures obtained in vitro, in solid or liquid media, transported in sterile containers, are not subject to the provisions of this Regulation)		
		Aerangis ellisii (I)		Winter cattleya
		Cattleya trianaei (I)		Hooded helleborine
		Cephalanthera cucullata (II)		Lady's slipper orchid
		Cypripedium calceolus (II)		
		Dendrobium cruentum (I)		
		Goodyera macrophylla (II)		Madeiran lady's-tresses
		Laelia jongheana (I)		
		Laelia lobata (I)		
		Liparis loeselii (II)		Fen orchid
		Ophrys argolica (II)		Eyed bee orchid
		Ophrys lunulata (II)		Crescent ophrys
	Orchis scopolorum (II)		Madeiran orchid	
	Paphiopedilum spp. (I)		Asian slipper orchids	
	Peristeria elata (I)		Holy ghost orchid	

	Annex A	Annex B	Annex C	Common name
OROBANCHACEAE	Phragmipedium spp. (I) Renanthera imschootiana (I) Spiranthes aestivalis (II) Vanda coerulea (I)	Cistanche deserticola (II)  Beccariophoenix madagascariensis (II) Chrysalidocarpus decipiens (II) #1 Lemurophoenix halleuxii (II) Marojejya darianii (II) Neodypsis decaryi (II) #1 Ravenea louvelii(II) Ravenea rivularis (II) Satranala decussilvae (II) Voanioala gerardii (II)		South American slipper orchids Red vanda Autumn lady's-tresses Blue vanda Broomrapes Desert cistanche Palms  Manarano  Butterfly palm Hovitra varimena Ravimbe Triangle palm Lakamarefo  Gora Satranabe Voanioala Poppies Himalayan poppy Pine family Guatemalan fir Podocarps Yellow wood Parlatore's podocarp Portulacas, purslanes Purslanes
PALMAE (ARECACEAE)				
PAPAVERACEAE				
PINACEAE	Abies guatemalensis (I)		Meconopsis regia (III NP) #1	
PODOCARPACEAE	Podocarpus parlatorei (I)	Anacampseros spp. (II) =526 #1 Avonia spp. =527 #1 Lewisia serrata (II) #1	Podocarpus neritifolius (III NP) #1	
PORTULACACEAE				
				Saw-toothed lewisia



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	Annex A	Annex B	Annex C	Common name
PRIMULACEAE		Cyclamen spp. (II) <sup>(1)</sup> #1		Primulas, cyclamens
PROTEACEAE		Orothamnus zeyheri (II) #1 Protea odorata (II) #1		Cyclamens Proteas Marsh-rose Ground-rose Buttercups Yellow adonis
RANUNCULACEAE		Adonis vernalis (II) #2 Hydrastis canadensis (II) #3		Roses, cherries African cherry
ROSACEAE		Prunus africana (II) #1		Ayugue Pitcher plants (new world) Pitcher plants
RUBIACEAE	Balmea stormiae (I)			Alabama canebroke pitcher plant
SARRACENIACEAE	Sarracenia rubra ssp. alabamensis (I) =528 Sarracenia rubra ssp. jonesii (I) =529 Sarracenia oreophila (I)	Sarracenia spp. (II) (Except for the species included on Annex A) #1		Mountain sweet pitcher plant Green pitcher plant Figworts
SCROPHULARIACEAE		Picrothiza kurroo =530#3		Indian gentian
STANGERIACEAE		Bowenia spp. =531 #1		Stangerias (cycads) Cycads
TAXACEAE	Stangeria eriopus (I) =532	Taxus wallichiana (II) #2 =533		Stangeria Yews Himalayan yew Tetracentrons
TROGODENDRACEAE (TETRACENTRACEAE)			Tetracentron sinense (III NP) #1	



	Annex A	Annex B	Annex C	Common name
THYMELEACEAE (AQUILARIACEAE)		Aquilaria malaccensis (II) #1	Gonystylus spp. (III ID) #1	Agarwood, ramin
VALERIANACEAE		Nardostachys grandiflora =534 #3		Agarwood Ramin Valerians
WELWITSCHIACEAE		Welwitschia mirabilis (II) =535 #1		Welwitschias
ZAMIACEAE	Ceratozamia spp. (I) Chigua spp. (I) Encephalartos spp. (I) Microcyacas calocoma (I)	ZAMIACEAE spp. (II) (Except for the species included on Annex A) #1		Welwitschia Cycads Horncones
ZINGIBERACEAE		Hedychium philippinense (II) #1		Bread palms Palm corcho Ginger lilies
ZYGOPHYLLACEAE		Guaiacum spp. (II) #1		Philippine garland-flower Lignum-vitae Lignum-vitae

(1) All species are listed in Appendix II except *Lipotes vexillifer*, *Platanista* spp., *Barardius* spp., *Hyperoodon* spp., *Physeter catodon* (includes synonym *Physeter macrocephalus*), *Sotalia* spp., *Sousa* spp., *Neophocaena phocaenoides*, *Phocoena sinus*, *Eschrichtius robustus* (includes synonym *Eschrichtius glaucus*), *Balaenoptera* spp. (except population of West Greenland of *Balaenoptera acutorostrata*), *Megaptera novaeangliae*, *Balaena mysticetus*, *Eubalaena* spp. (formerly included in genus *Balaena*) and *Caperea marginata* which are listed in Appendix I. Specimens of the species listed in Appendix II to the Convention, including products and derivatives other than meat products for commercial purposes, taken by the people of Greenland under licence granted by the competent authority concerned, shall be treated as belonging to Annex B. A zero annual export quota is established for live specimens from the Black Sea population of *Tursiops truncatus* removed from the wild and traded for primarily commercial purposes.

(2) Populations of Botswana, Namibia and South Africa (listed in Annex B):

For the exclusive purpose of allowing: (1) trade in hunting trophies for non-commercial purposes; (2) trade in live animals for in situ conservation programmes; (3) trade in hides; (4) trade in leather goods for non-commercial purposes; (5) trade in registered raw ivory (for Botswana and Namibia, whole tusks and pieces; for South Africa, whole tusks and cut pieces of ivory that are both 20 cm or more in length and 1 kg or more in weight) subject to the following: (i) only registered government-owned stocks, originating in the State (excluding seized ivory and ivory of unknown origin) and, in the case of South Africa, only ivory originating from the Kruger National Park; (ii) only to trading partners that have been verified by the Secretariat, in consultation with the Standing Committee, to have sufficient national legislation and domestic trade controls to ensure that the imported ivory will not be re-exported and will be managed in accordance with all requirements of Resolution Conf.10.10 (Rev. CoP12) concerning domestic manufacturing and trade; (iii) not before May 2004, and in any event not before the Secretariat has verified the prospective importing countries, and the MIKE programme has reported to the Secretariat on the baseline information (e.g. elephant population numbers, incidence of illegal killing); (iv) a maximum of 20 000 kg (Botswana), 10 000 kg (Namibia) and 30 000 kg (South Africa) of ivory may be traded, and despatched in a single shipment under strict supervision of the Secretariat; (v) the proceeds of the trade are used exclusively for elephant conservation and community conservation and development programmes within or adjacent to the elephant range; (vi) only after the Standing Committee has agreed that the above conditions have been met. On a proposal from the Secretariat, the Standing Committee can decide to cease this trade to cease partially or completely in the event of non-compliance by exporting or importing countries or in the case of proven detrimental impacts of the trade on other elephant populations. All other specimens shall be deemed to be specimens of species included in Annex A and the trade in them shall be regulated accordingly.

(3) Population of Zimbabwe (listed in Annex B):

For the exclusive purpose of allowing: (1) export of hunting trophies for non-commercial purposes; (2) export of live animals to appropriate and acceptable destinations; (3) export of hides; (4) export of leather goods and ivory carvings for non-commercial purposes. All other specimens shall be deemed to be specimens of species included in Annex A and the trade in them shall be regulated accordingly. To ensure that where (a) destinations for live

animals are to be 'appropriate and acceptable' and/or (b) the purpose of the import is to be 'non-commercial', export permits and re-export certificates may be issued only after the issuing Management Authority has received, from the Management Authority of the State of import, a certification to the effect that: in case a), in analogy to Article 4, paragraph 1(c) of the Convention, the holding facility has been reviewed by the competent Scientific Authority, and the proposed recipient has been found to be suitably equipped to house and care for the animals; and/or in case b), in analogy to Article 4, paragraph 1(d), the Management Authority is satisfied that the specimens will not be used for primarily commercial purposes.

- (4) Population of Argentina (listed in Annex B):  
For the exclusive purpose of allowing international trade in live vicuñas of the populations included in Annex B, in cloth and in derived manufactured products and other handicraft artefacts. The reverse side of the cloth must bear the logotype adopted by the range States of the species, which are signatories to the Convenio para la Conservación y Manejo de la Vicuña, and the selvages the words 'VICUÑA-ARGENTINA'. Other products must bear a label including the logotype and the designation 'VICUÑA-ARGENTINA-ARTESANÍA'. All other specimens shall be deemed to be specimens of species included in Annex A and the trade in them shall be regulated accordingly.
- (5) Population of Bolivia (listed in Annex B):  
For the exclusive purpose of allowing international trade in products made from wool sheared from live animals The wool must bear the logotype adopted by the range States of the species, which are signatories to the Convenio para la Conservación y Manejo de la Vicuña, and the selvages the words 'VICUÑA-BOLIVIA'. Other products must bear a label including the logotype and the designation 'VICUÑA-BOLIVIA-ARTESANÍA'. All other specimens shall be deemed to be specimens of species included in Annex A and the trade in them shall be regulated accordingly.
- (6) Population of Chile (listed in Annex B):  
For the exclusive purpose of allowing international trade in wool sheared from live vicuñas of the populations included in Annex B, and in cloth and items made thereof, including luxury handicrafts and knitted articles. The reverse side of the cloth must bear the logotype adopted by the range States of the species, which are signatories to the Convenio para la Conservación y Manejo de la Vicuña, and the selvages the words 'VICUÑA-CHILE'. Other products must bear a label including the logotype and the designation 'VICUÑA-CHILE-ARTESANÍA'. All other specimens shall be regulated accordingly.
- (7) Population of Peru (listed in Annex B):  
For the exclusive purpose of allowing international trade in wool sheared from live vicuñas and in the stock extant at the time of the ninth meeting of the Conference of the Parties (November 1994) of 3 249 kg of wool, and in cloth and items made thereof, including luxury handicrafts and knitted articles. The reverse side of the cloth must bear the logotype adopted by the range States of the species, which are signatories to the Convenio para la Conservación y Manejo de la Vicuña, and the selvages the words 'VICUÑA-PERU'. Other products must bear a label including the logotype and the designation 'VICUÑA-PERU-ARTESANÍA'. All other specimens shall be deemed to be specimens of species included in Annex A and the trade in them shall be regulated accordingly.
- (8) Artificially propagated specimens of the following hybrids and/or cultivars are not subject to the provisions of this Regulation:  
Hattoria x graeseri  
Schlumbergera x buckleyi  
Schlumbergera russelliana x Schlumbergera truncata  
Schlumbergera orsichiana x Schlumbergera truncata  
Schlumbergera opuntioides x Schlumbergera truncata  
Schlumbergera truncata (cultivars)  
Cactaceae spp. colour mutants lacking chlorophyll, grafted on the following grafting stocks: *Harrisia 'Jusbertii'*, *Hylocereus trigonus* or *Hylocereus undatus*  
*Opuntia microdasys* (cultivars)
- (9) Artificially propagated specimens of hybrids within the genus *Phalaenopsis* are not subject to the provisions of this Regulation when: (1) specimens are traded in shipments consisting of individual containers (i.e. cartons, boxes, or crates) containing 100 or more plants each; (2) all plants within a container are of the same hybrid, with no mixing of different hybrids within a container; (3) plants within a container can be readily recognised as artificially propagated specimens by exhibiting a high degree of uniformity in size and stage of growth, cleanliness, intact root systems, and general absence of damage or injury that could be attributable to plants originating in the wild; (4) plants do not exhibit characteristics of wild origin, such as damage by insects or other animals, fungi or algae adhering to leaves, or mechanical damage to roots, leaves, or other parts resulting from collection; and (5) shipments are accompanied by documentation, such as an invoice, which clearly states the number of plants and is signed by the shipper. Plants not clearly qualifying for the exemption must be accompanied by appropriate CITES documents.
- (10) Artificially propagated specimens of cultivars of *Cyclamen persicum* are not subject to the provisions of this Regulation. However, the exemption does not apply to such specimens traded as dormant tubers.

	Annex D	Common name
	<b>FAUNA</b>	
	CHORDATA (CHORDATES)	
MAMMALIA		Mammals
CARNIVORA		Dogs, foxes, wolves
Canidae	Vulpes vulpes griffithi (III IN) §1	Red fox
	Vulpes vulpes montana (III IN) §1	Red fox
	Vulpes vulpes pusilla (III IN) =536 §1	Red fox
Mustelidae	Mustela altaica (III IN) §1	Mountain weasel
	Mustela erminea ferghanae §1 (III IN)	Stoat
	Mustela kathiah (III IN) §1	Yellow-bellied weasel
	Mustela sibirica (III IN) §1	Siberian weasel
AVES		Birds
ANSERIFORMES		Ducks, geese, swans
Anatidae	Anas melleri	Meller's duck
GALLIFORMES		Megapodes, scrubfowl
Megapodiidae	Megapodius wallacei	Moluccan scrub fowl
Cracidae	Penelope pileata	Chachalas, curassows, guans
Phasianidae	Arborophila gingica	White-crested guan
	Symaticus reevesii §2	Grouse, guineafowl, partridges, pheasants, tragopans
COLUMBIFORMES		White-necklaced partridge
Columbidae	Columba oenops	Reeves's pheasant
	Ducula pickeringii	Doves, pigeons
	Gallicolumba criniger	Peruvian pigeon
		Grey imperial-pigeon
		Mindanao bleeding-heart

	Annex D	Common name
PASSERIFORMES		
Cotingidae	<i>Ptilinopus marchei</i> <i>Turacoena modesta</i>	Flame-breasted fruit-dove Black cuckoo-dove
Pittidae	<i>Procnias nudicollis</i> <i>Pitta nipalensis</i> <i>Pitta steerii</i>	Cotingas Bare-throated bellbird Pittas Blue-naped pitta Azure-breasted pitta
Bombycillidae	<i>Bombycilla japonica</i>	Waxwings Japanese waxwing
Muscicapidae	<i>Cochoa azurea</i> <i>Cochoa purpurea</i> <i>Garrulax formosus</i> <i>Garrulax galbanus</i> <i>Garrulax milnei</i> <i>Niltava davidi</i> <i>Stachyris whiteheadi</i> <i>Swynnertonia swynnertoni</i> =537 <i>Turdus dissimilis</i>	Old-world flycatchers Javan cochoa Purple cochoa Red-winged laughingthrush Yellow-throated laughingthrush Red-tailed laughing thrush Fujian niltava Chestnut-faced babbler Swynnerton's robin Black-breasted thrush
Sittidae	<i>Sitta magna</i> <i>Sitta yuanaensis</i>	Nuthatches Giant nuthatch Yunnan nuthatch
Emberizidae	<i>Dacnis nigripes</i> <i>Sporophila falcirostris</i> <i>Sporophila frontalis</i> <i>Sporophila hypochroma</i> <i>Sporophila palustris</i>	Cardinals, tanagers Black-legged dacnis Temminck's seedeater Buffy-throated seedeater Grey-and-chestnut seedeater Marsh seedeater

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	Annex D	Common name
Icteridae	<i>Sturnella militaris</i>	New-world blackbirds
Fringillidae	<i>Carpodacus roborowskii</i>	Pampas meadowlark
	<i>Carduelis ambigua</i>	Finches
	<i>Carduelis atrata</i>	Tibetan rosefinch
	<i>Pyrrhula erythaca</i>	Black-headed greenfinch
	<i>Serinus canicollis</i>	Black siskin
	<i>Serinus hypositictus</i> =538	Grey-headed bullfinch
		Cape canary
		East African citril
		Mannikins, waxbills
		Red avadavat
Estrildidae	<i>Amandava amandava</i>	Red-faced crimson-wing
	<i>Cryptospiza reichenovii</i>	Red-eared parrotfinch
	<i>Erythrura coloria</i>	Green-faced parrotfinch
	<i>Erythrura viridifacies</i>	Yellow-bellied waxbill
	<i>Estrilda quartinia</i> =539	Peters's twinspot
	<i>Hypargos niveoguttatus</i>	Grey-headed silverbill
	<i>Lonchura griseicapilla</i>	Scaly-breasted munia
	<i>Lonchura punctulata</i>	Black munia
	<i>Lonchura stygia</i>	Mynahs, starlings
		Golden-breasted starling
Sturnidae	<i>Cosmopsarus regius</i>	Yellow-faced myna
	<i>Mino dumontii</i>	White-headed starling
	<i>Sturnus erythropygius</i>	Crows, magpies, jays
Corvidae	<i>Cyanocorax caeruleus</i>	Azure jay
	<i>Cyanocorax dickeyi</i>	Tufted jay
REPTILIA		Reptiles
TESTUDINATA		Box turtles, freshwater turtles
Emyidae		

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	Annex D	Common name
Carettochelidae	<i>Chimemys nigricans</i> <i>Geoemyda spengleri</i> <i>Melanocheilus trijuga</i> <i>Carettochelys insculpta</i>	Pig-nosed turtle Pig-nosed turtle
SAURIA		
Gekkonidae	<i>Geckolepis maculata</i> <i>Rhacodactylus auriculatus</i> <i>Rhacodactylus ciliatus</i> <i>Rhacodactylus leachianus</i> <i>Uroplatus</i> spp., viz. <i>Uroplatus alluaudi</i> <i>Uroplatus eburnei</i> <i>Uroplatus fimbriatus</i> <i>Uroplatus guentheri</i> <i>Uroplatus henkeli</i> <i>Uroplatus lineatus</i> <i>Uroplatus malahelo</i> <i>Uroplatus phantasticus</i> <i>Uroplatus sikorae</i> <i>Acanthosaura armata</i> <i>Zonosaurus karsteni</i> <i>Zonosaurus laticaudatus</i> <i>Zonosaurus madagascariensis</i> <i>Zonosaurus quadrilineatus</i>	Geckos Peters' spotted gecko New Caledonia bumpy gecko Guichenot's giant gecko New Caledonia giant gecko Northern flat-tail gecko Nosy Be flat-tail gecko Common flat-tail gecko Gunther's flat-tail gecko Lined flat-tail gecko Southern flat-tail gecko Agamas, mastigures Armoured pricklenape Spiny-tailed lizards Karsten's girdled lizard Western girdled lizard Madagascar girdled lizard Four-lined girdled lizard Skins
Agamidae		
Cordylidae		
Scincidae		

	Annex D	Common name
	Teratoscincus microlepis	Pinktongue skink
	Teratoscincus scincus	Giant bluetongue skink
	Tiliqua gerrardii	Eastern bluetongue skink
	Tiliqua gigas	Crocodile skink
	Tiliqua scincoides	New Guinea helmet skink
	Tribolonotus gracilis	
	Tribolonotus novaeguineae	
	Xenopeltis unicolor §1	Sunbeam snake
	Acrochordus javanicus §1	Sunbeam snake
	Acrochordus granulatus §1	File snakes
	Ahaetulla prasina §1	Java file snake
	Boiga dendrophila §1	Little file snake
	Elaphe carinata §1	Typical snakes, water snakes, whip snakes
	Elaphe radiata §1	Oriental whip snake
	Elaphe taeniura §1	Mangrove snake
	Enhydryis bocourti §1	Taiwan stink snake
	Enhydryis chinensis §1	Radiated rat snake
	Enhydryis enhydryis §1	Taiwan beauty snake
	Enhydryis plumbea §1	Bocourt's water snake
	Homalopsis buccata §1	Chinese water snake
	Langaha nasuta	Rainbow water snake
	Lioheterodon madagascariensis	Yellowbelly water snake
	Ptyas korros §1	Masked water snake
	Rhabdophis chrysargus §1	Northern leafnose snake
	Rhabdophis subminiatus §1	Madagascar menarana snake
		Indian rat snake
		Specklebelly keelback
		Redneck keelback
SERPENTES		
Xenopeltidae		
Acrochordidae		
Colubridae		

	Annex D	Common name
Elapidae	Zaoecys dhumrades §1 Bungarus candidus §1 Laticauda spp., viz. Laticauda colubrina §1 Laticauda crockeri §1 Laticauda laticaudata §1 Laticauda schistorhynchus §1 Laticauda semifasciata §1	Cantor's rat snake Cobras, coral snakes Blue krait  Banded sea snake Crocker's sea snake Black-banded sea krait Flat-tailed sea snake Chinese sea snake Vipers Malayan pit viper Sea snakes
Viperidae	Calloselasma rhodostoma §1	
Hydrophiidae	Hydrophis spp., viz. Hydrophis atriceps §1 Hydrophis belcheri §1 Hydrophis bituberculatus §1 Hydrophis brookei §1 Hydrophis caeruleus §1 Hydrophis cantoris §1 Hydrophis coggeri §1 Hydrophis cyanocinctus §1 Hydrophis czeblukovi §1 Hydrophis elegans §1 Hydrophis fasciatus §1 Hydrophis geometricus §1 Hydrophis gracilis §1 Hydrophis inornatus §1 Hydrophis klossi §1 Hydrophis lamberti §1	Southeast Asia sea snake Belcher's sea snake Belcher's sea snake Brooke's sea snake Dark blue-banded sea snake Günther's sea snake Cogger's sea snake Annulated sea snake Czeblukov's sea snake Elegant sea snake Striped sea snake Geometric sea snake Smallhead sea snake Blue-grey sea snake Kloss's sea snake Lambert's sea snake



	Annex D	Common name
	<p>Hydrophis lapemoides §1  Hydrophis macdowellii §1  Hydrophis mamillaris §1  Hydrophis melanocephalus §1  Hydrophis melanosoma §1  Hydrophis obscurus §1  Hydrophis ornatus §1  Hydrophis pacificus §1  Hydrophis parviceps §1  Hydrophis semperi §1  Hydrophis spiralis §1  Hydrophis stricticollis §1  Hydrophis torquatus §1  Hydrophis vorisi §1  Lapemis curtus =540 §1</p>	<p>Persian Gulf sea snake  McDowell's sea snake  Bombay sea snake  Black-headed sea snake  Black-striped sea snake  Russell's sea snake  Reef sea snake  Pacific sea snake  Smith's sea snake  Garman's sea snake  Yellow sea snake  Collared sea snake  West Coast black-headed sea snake  Kharin's sea snake  Shaw's sea snake  Fish</p>
<p>ACTINOPTERYGII  SYNGNATHIFORMES  Syngnathidae</p>	<p>Hippocampus spp. (with effect from 15 May 2004, all Hippocampus spp. will be included on Annex B), viz.  Hippocampus abdominalis =541  Hippocampus aimei  Hippocampus angustus =542  Hippocampus bargibanti  Hippocampus bicuspis  Hippocampus borbontensis  Hippocampus brachyrhynchus  Hippocampus breviceps =543  Hippocampus camelopardalis =544</p>	<p>Pipefishes, seahorses  Big-bellied seahorse  Western Australian seahorse  Short-headed seahorse  Giraffe seahorse</p>

	Annex D	Common name
	Hippocampus capensis	Kynsna Seahorse
	Hippocampus comes	Crowned Seahorse
	Hippocampus coronatus =545	Lined Seahorse
	Hippocampus erectus =546	Sea pony
	Hippocampus erinaceus	Short-snouted Seahorse
	Hippocampus fuscus =547	Thorny/spiny Seahorse
	Hippocampus hippocampus =548	Giant Seahorse
	Hippocampus histrix	Japanese Seahorse
	Hippocampus horai	
	Hippocampus ingens =549	
	Hippocampus japonicus	
	Hippocampus jayakari	
	Hippocampus kaupii	
	Hippocampus kelloggi	
	Hippocampus kuda =550	Yellow Seahorse
	Hippocampus lichensteinii =551	
	Hippocampus minotaur	Bullneck Seahorse
	Hippocampus planifrons =552	Low-crowned Seahorse
	Hippocampus ramulosus =553	Long-snouted Seahorse
	Hippocampus reidi =554	Slender Seahorse
	Hippocampus sindonis	Hedgehog Seahorse
	Hippocampus spinosissimus	
	Hippocampus taeniops	
	Hippocampus takakurae	
	Hippocampus trimaculatus =555	Three-spotted Seahorse
	Hippocampus tristis	
	Hippocampus whitei =556	White's Seahorse
	Hippocampus zebra	
	Hippocampus zosterae =557	

	Annex D	Common name
AGAVACEAE	<b>FLORA</b>	Agaves
ARACEAE	<i>Calibanus hookeri</i> <i>Dasyilirion longissimum</i> <i>Arisaema dracontium</i> <i>Arisaema erubescens</i> <i>Arisaema galeatum</i> <i>Arisaema jacquemontii</i> <i>Arisaema nepenthoides</i> <i>Arisaema sikokianum</i> <i>Arisaema speciosum</i> <i>Arisaema thunbergii</i> var. <i>urashima</i> <i>Arisaema tortuosum</i> <i>Arisaema triphyllum</i> <i>Biarum davisii</i> ssp. <i>davisii</i> <i>Biarum davisii</i> ssp. <i>marmarisense</i> <i>Biarum ditschianum</i>	Beargrass Arums Green dragon
COMPOSITAE (ASTERACEAE)	<i>Arnica montana</i> §3 <i>Othonna armiana</i> <i>Othonna cacalioides</i> <i>Othonna clavifolia</i> <i>Othonna euphorbioides</i> <i>Othonna hallii</i> <i>Othonna herrei</i> <i>Othonna lepidocaulis</i> <i>Othonna lobata</i> <i>Othonna retrorsa</i>	Jack-in-the-pulpit  Asters, daisies, costus Mountain tobacco



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	Annex D	Common name
ERICACEAE		Heathers, rhododendrons
GENTIANACEAE	Arctostaphylos uva-ursi §3	Bearberry
	Gentiana lutea §3	Gentians
LYCOPODIACEAE	Lycopodium clavatum §3	Great yellow gentian
MENYANTHACEAE	Menyanthes trifoliata §3	Clubmosses
PARMELIACEAE	Cetraria islandica §3	Stagshorn clubmoss
PASSIFLORACEAE	Adenia fruticosa	Bogbeans
	Adenia glauca	Bogbean
	Adenia pechuelli	Parmelioid lichens
	Adenia spinosa	Icelandic moss
PORTULACACEAE	Ceraria spp. viz.	Desert roses
	Ceraria carissoana	Desert rose
	Ceraria fruticulosa	Desert rose
	Ceraria gariepina	Desert rose
	Ceraria longipedunculata	Desert rose
	Ceraria namaquensis	Desert rose
	Ceraria pygmaea	Portulas, purslanes
	Ceraria schaeferi	
LILIACEAE	Trillium catesbaei	Lilies
	Trillium cernuum	Rosy wakerobin
	Trillium flexipes	Nodding trillium
	Trillium grandiflorum	Drooping trillium
		White wakerobin

	Annex D	Common name
	Trillium luteum Trillium pusillum Trillium recurvatum Trillium rugelii Trillium sessile Trillium undulatum	Purple trillium  Wakerorobin wood-lily Painted wood-lily