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**COUNCIL REGULATION (EC) No 338/97
of 9 December 1996
on the protection of species of wild fauna and flora by regulating trade therein**

(OJ L 61, 3.3.1997, p. 1)

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►M2	Commission Regulation (EC) No 2307/97 of 18 November 1997	L 325	1	27.11.1997
►M3	Commission Regulation (EC) No 2214/98 of 15 October 1998	L 279	3	16.10.1998
►M4	Commission Regulation (EC) No 1476/1999 of 6 July 1999	L 171	5	7.7.1999
►M5	Commission Regulation (EC) No 2724/2000 of 30 November 2000	L 320	1	18.12.2000
►M6	Commission Regulation (EC) No 1579/2001 of 1 August 2001	L 209	14	2.8.2001
►M7	Commission Regulation (EC) No 2476/2001 of 17 December 2001	L 334	3	18.12.2001
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- C1 Corrigendum, OJ L 298, 1.11.1997, p. 70 (338/97)

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COUNCIL REGULATION (EC) No 338/97
of 9 December 1996
on the protection of species of wild fauna and flora by regulating
trade therein

THE COUNCIL OF THE EUROPEAN UNION,

Having regard to the Treaty establishing the European Community, and in particular Article 130s (1) thereof,

Having regard to the proposal from the Commission (¹),

Having regard to the opinion of the Economic and Social Committee (²),

Acting in accordance with the procedure laid down in Article 189c of the Treaty (³),

- (1) Whereas Regulation (EEC) No 3626/82 (⁴) applies the Convention on International Trade in Endangered Species of Wild Fauna and Flora in the Community with effect from 1 January 1984; whereas the purpose of the Convention is to protect endangered species of fauna and flora through controls on international trade in specimens of those species;
- (2) Whereas, in order to improve the protection of species of wild fauna and flora which are threatened by trade or likely to be so threatened, Regulation (EEC) No 3626/82 must be replaced by a Regulation taking account of the scientific knowledge acquired since its adoption and the current structure of trade; whereas, moreover, the abolition of controls at internal borders resulting from the Single Market necessitates the adoption of stricter trade control measures at the Community's external borders, with documents and goods being checked at the customs office at the border where they are introduced;
- (3) Whereas the provisions of this Regulation do not prejudice any stricter measures which may be taken or maintained by Member States, in compliance with the Treaty, in particular with regard to the holding of specimens of species covered by this Regulation;
- (4) Whereas it is necessary to lay down objective criteria for the inclusion of species of wild fauna and flora in the Annexes to this Regulation;
- (5) Whereas the implementation of this Regulation necessitates the application of common conditions for the issue, use and presentation of documents relating to authorization of the introduction into the Community and the export or re-export from the Community of specimens of the species covered by this Regulation; whereas it is necessary to lay down specific provisions relating to the transit of specimens through the Community;
- (6) Whereas it is for a management authority of the Member State of destination, assisted by the scientific authority of that Member State and, where appropriate, taking into account any opinion of the Scientific Review Group, to decide on the requests for introduction of specimens into the Community;
- (7) Whereas it is necessary to supplement the provisions on re-export with a consultation procedure, in order to limit the risk of infringement;

(¹) OJ No C 26, 3. 2. 1992, p. 1, and OJ No C 131, 12. 5. 1994, p. 1.

(²) OJ No C 223, 31. 8. 1992, p. 19.

(³) Opinion of the European Parliament of 15 December 1995 (OJ No C 17, 22. 1. 1996, p. 430). Common position of the Council of 26 February 1996 (OJ No C 196, 6. 7. 1996, p. 58) and Decision of the European Parliament of 18 September 1996 (OJ No C 320, 28. 10. 1996).

(⁴) OJ No L 384, 31. 12. 1982, p. 1. Regulation as last amended by Commission Regulation (EC) No 558/95 (OJ No L 57, 15. 3. 1995, p. 1).

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- (8) Whereas, in order to guarantee effective protection of species of wild fauna and flora, additional restrictions may be imposed on the introduction of specimens into, and the export thereof from, the Community; whereas, with regard to live specimens, these restrictions may be supplemented by restrictions at Community level on the holding or movement of such specimens within the Community;
- (9) Whereas it is necessary to lay down specific provisions applicable to captive-born and bred, or artificially propagated specimens, to specimens which are personal or household effects, and to non-commercial loans, donations or exchanges between registered scientists and scientific institutions;
- (10) Whereas there is a need, in order to ensure the broadest possible protection for species covered by this Regulation, to lay down provisions for controlling trade and movement of specimens within the Community, and the conditions for housing specimens; whereas the certificates issued under this Regulation, which contribute to controlling these activities, must be governed by common rules on their issue, validity and use;
- (11) Whereas measures should be taken to minimize the adverse effects on live specimens of transport to their destination, from or within the Community;
- (12) Whereas, to ensure effective controls and to facilitate customs procedures, customs offices should be designated, with trained personnel responsible for carrying out the necessary formalities and corresponding checks where specimens are introduced into the Community, in order to assign them a customs-approved treatment or use within the meaning of Council Regulation (EEC) No 2913/92 of 12 October 1992 establishing the Community Customs Code⁽¹⁾, or where they are exported or re-exported from the Community; whereas there should also be facilities guaranteeing that live specimens are adequately housed and cared for;
- (13) Whereas the implementation of this Regulation also calls for the designation of management and scientific authorities by the Member States;
- (14) Whereas informing the public and making them aware of the provisions of this Regulation, particularly at border crossing points, is likely to encourage compliance with these provisions;
- (15) Whereas, in order to ensure effective enforcement of this Regulation, Member States should closely monitor compliance with its provisions and, to that end, cooperate closely between themselves and with the Commission; whereas this requires the communication of information relating to the implementation of this Regulation;
- (16) Whereas the monitoring of levels of trade in the species of wild fauna and flora covered by this Regulation is of crucial importance for assessing the effects of trade on the conservation status of species; whereas detailed annual reports should be drawn up in a common format;
- (17) Whereas, in order to guarantee compliance with this Regulation, it is important that Member States impose sanctions for infringements in a manner which is both sufficient and appropriate to the nature and gravity of the infringement;
- (18) Whereas it is essential to lay down a Community procedure enabling the implementing provisions and amendments to the Annexes of this Regulation to be adopted within a suitable period; whereas a Committee must be set up to permit close and effective cooperation between the Member States and the Commission in this field;

⁽¹⁾ OJ No L 302, 19. 10. 1992, p. 1. Regulation as last amended by the 1994 Act of Accession.

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- (19) Whereas the multitude of biological and ecological aspects to be considered in the implementation of this Regulation requires the setting up of a Scientific Review Group, whose opinions will be forwarded by the Commission to the Committee and the management bodies of the Member States, to assist them in making their decisions,

HAS ADOPTED THIS REGULATION:

Article 1

Object

The object of this Regulation is to protect species of wild fauna and flora and to guarantee their conservation by regulating trade therein in accordance with the following Articles.

This Regulation shall apply in compliance with the objectives, principles and provisions of the Convention defined in Article 2.

Article 2

Definitions

For the purposes of this Regulation:

- (a) ‘Committee’ shall mean the Committee on Trade in Wild Fauna and Flora, established under Article 18;
- (b) ‘Convention’ shall mean the Convention on International Trade in Endangered Species of Wild Fauna and Flora (Cites);
- (c) ‘country of origin’ shall mean the country in which a specimen was taken from the wild, captive-bred or artificially propagated;
- (d) ‘import notification’ shall mean the notification given by the importer or his agent or representative, at the time of the introduction into the Community of a specimen of a species included in Annexes C or D, on a form prescribed by the Commission in accordance with the procedure laid down in Article 18;
- (e) ‘introduction from the sea’ shall mean the introduction into the Community of any specimen which was taken in, and is being introduced directly from, the marine environment not under the jurisdiction of any State, including the air-space above the sea and the sea-bed and subsoil beneath the sea;
- (f) ‘issuance’ shall mean the completion of all procedures involved in preparing and validating a permit or certificate and its delivery to the applicant;
- (g) ‘management authority’ shall mean a national administrative authority designated, in the case of a Member State, in accordance with Article 13(1)(a) or, in the case of a third country party to the Convention, in accordance with Article IX of the Convention;
- (h) ‘Member State of destination’ shall mean the Member State of destination mentioned in the document used to export or re-export a specimen; in the event of introduction from the sea, it shall mean the Member State within whose jurisdiction the place of destination of a specimen lies;
- (i) ‘offering for sale’ shall mean offering for sale and any action that may reasonably be construed as such, including advertising or causing to be advertised for sale and invitation to treat;
- (j) ‘personal or household effects’ shall mean dead specimens, parts and derivatives thereof, that are the belongings of a private individual and that form, or are intended to form, part of his normal goods and chattels;
- (k) ‘place of destination’ shall mean the place at which at the time of introduction into the Community, it is intended that specimens will normally be kept; in the case of live specimens, this shall be the first place where specimens are intended to be kept following any

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period of quarantine or other confinement for the purposes of sanitary checks and controls;

- (l) ‘population’ shall mean a biologically or geographically distinct total number of individuals;
- (m) ‘primarily commercial purposes’ shall mean all purposes the non-commercial aspects of which do not clearly predominate;
- (n) ‘re-export from the Community’ shall mean export from the Community of any specimen that has previously been introduced;
- (o) ‘reintroduction into the Community’ shall mean introduction into the Community of any specimen that has previously been exported or re-exported;
- (p) ‘sale’ shall mean any form of sale. For the purposes of this Regulation, hire, barter or exchange shall be regarded as sale; cognate expressions shall be similarly construed;
- (q) ‘scientific authority’ shall mean a scientific authority designated, in the case of a Member State, in accordance with Article 13(1)(b) or, in the case of a third country party to the Convention, in accordance with Article IX of the Convention;
- (r) ‘Scientific Review Group’ shall mean the consultative body established under Article 17;
- (s) ‘species’ shall mean a species, subspecies or population thereof;
- (t) ‘specimen’ shall mean any animal or plant, whether alive or dead, of the species listed in Annexes A to D, any part or derivative thereof, whether or not contained in other goods, as well as any other goods which appear from an accompanying document, the packaging or a mark or label, or from any other circumstances, to be or to contain parts or derivatives of animals or plants of those species, unless such parts or derivatives are specifically exempted from the provisions of this Regulation or from the provisions relating to the Annex in which the species concerned is listed by means of an indication to that effect in the Annexes concerned.

A specimen will be considered to be a specimen of a species listed in Annexes A to D if it is, or is part of or derived from, an animal or plant at least one of whose ‘parents’ is of a species so listed. In cases where the ‘parents’ of such an animal or plant are of species listed in different Annexes, or of species only one of which is listed, the provisions of the more restrictive Annex shall apply. However, in the case of specimens of hybrid plants, if one of the ‘parents’ is of a species listed in Annex A, the provisions of the more restrictive Annex shall apply only if that species is annotated to that effect in the Annex;

- (u) ‘trade’ shall mean the introduction into the Community, including introduction from the sea, and the export and re-export therefrom, as well as the use, movement and transfer of possession within the Community, including within a Member State, of specimens subject to the provisions of this Regulation;
- (v) ‘transit’ shall mean the transport of specimens between two points outside the Community through the territory of the Community which are shipped to a named consignee and during which any interruption in the movement arises only from the arrangements necessitated by this form of traffic;
- (w) ‘worked specimens that were acquired more than 50 years previously’ shall mean specimens that were significantly altered from their natural raw state for jewellery, adornment, art, utility, or musical instruments, more than 50 years before the entry into force of this Regulation and that have been, to the satisfaction of the management authority of the Member State concerned, acquired in such conditions. Such specimens shall be considered as worked only if they are clearly in one of the aforementioned categories and require no further carving, crafting or manufacture to effect their purpose;
- (x) ‘checks at the time of introduction, export, re-export and transit’ shall mean documentary checks on the certificates, permits and

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notifications provided for in this Regulation and — in cases where Community provisions so provide or in other cases by representative sampling of the consignments — examination of the specimens, where appropriate accompanied by the taking of samples with a view to analysis or more detailed checks.

*Article 3***Scope**

1. Annex A shall contain:
 - (a) the species listed in Appendix I to the Convention for which the Member States have not entered a reservation;
 - (b) any species:
 - (i) which is, or may be, in demand for utilization in the Community or for international trade and which is either threatened with extinction or so rare that any level of trade would imperil the survival of the species;
or
 - (ii) which is in a genus of which most of the species or which is a species of which most of the subspecies are listed in Annex A in accordance with the criteria in subparagraphs (a) or (b)(i) and whose listing in the Annex is essential for the effective protection of those taxa.
2. Annex B shall contain:
 - (a) the species listed in Appendix II to the Convention, other than those listed in Annex A, for which the Member States have not entered a reservation;
 - (b) the species listed in Appendix I to the Convention for which a reservation has been entered;
 - (c) any other species not listed in Appendices I or II to the Convention:
 - (i) which is subject to levels of international trade that might not be compatible:
 - with its survival or with the survival of populations in certain countries, or
 - with the maintenance of the total population at a level consistent with the role of the species in the ecosystems in which it occurs;
or
 - (ii) whose listing in the Annex for reasons of similarity in appearance to other species listed in Annex A or Annex B, is essential in order to ensure the effectiveness of controls on trade in specimens of such species;
 - (d) species in relation to which it has been established that the introduction of live specimens into the natural habitat of the Community would constitute an ecological threat to wild species of fauna and flora indigenous to the Community.
3. Annex C shall contain:
 - (a) the species listed in Appendix III to the Convention, other than those listed in Annexes A or B, for which the Member States have not entered a reservation;
 - (b) the species listed in Appendix II to the Convention for which a reservation has been entered.
4. Annex D shall contain:
 - (a) species not listed in Annexes A to C which are imported into the Community in such numbers as to warrant monitoring;
 - (b) the species listed in Appendix III to the Convention for which a reservation has been entered.

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5. Where the conservation status of species covered by this Regulation warrants their inclusion in one of the Appendices to the Convention, the Member States shall contribute to the necessary amendments.

*Article 4***Introduction into the Community**

1. The introduction into the Community of specimens of the species listed in Annex A shall be subject to completion of the necessary checks and the prior presentation, at the border customs office at the point of introduction, of an import permit issued by a management authority of the Member State of destination.

The import permit may be issued only in accordance with the restrictions established pursuant to paragraph 6 and when the following conditions have been met:

- (a) the competent scientific authority, after considering any opinion by the Scientific Review Group, has advised that the introduction into the Community:
 - (i) would not have a harmful effect on the conservation status of the species or on the extent of the territory occupied by the relevant population of the species;
 - (ii) is taking place:
 - for one of the purposes referred to in Article 8(3)(e), (f) and (g), or
 - for other purposes which are not detrimental to the survival of the species concerned;
- (b) (i) the applicant provides documentary evidence that the specimens have been obtained in accordance with the legislation on the protection of the species concerned which, in the case of import from a third country of specimens of a species listed in the Appendices to the Convention, shall be an export permit or re-export certificate, or copy thereof, issued in accordance with the Convention by a competent authority of the country of export or re-export;
 - (ii) however, the issuance of import permits for species listed in Annex A in accordance with Article 3(1)(a) shall not require such documentary evidence, but the original of any such import permit shall be withheld from the applicant pending presentation of the export permit or re-export certificate;
- (c) the competent scientific authority is satisfied that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly;
- (d) the management authority is satisfied that the specimen is not to be used for primarily commercial purposes;
- (e) the management authority is satisfied, following consultation with the competent scientific authority, that there are no other factors relating to the conservation of the species which militate against issuance of the import permit; and
- (f) in the case of introduction from the sea, the management authority is satisfied that any live specimen will be so prepared and shipped as to minimize the risk of injury, damage to health or cruel treatment.

2. The introduction into the Community of specimens of the species listed in Annex B shall be subject to completion of the necessary checks and the prior presentation, at the border customs office at the point of introduction, of an import permit issued by a management authority of the Member State of destination.

The import permit may be issued only in accordance with the restrictions established pursuant to paragraph 6 and when:

- (a) the competent scientific authority, after examining available data and considering any opinion from the Scientific Review Group, is

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of the opinion that the introduction into the Community would not have a harmful effect on the conservation status of the species or on the extent of the territory occupied by the relevant population of the species, taking account of the current or anticipated level of trade. This opinion shall be valid for subsequent imports as long as the abovementioned aspects have not changed significantly;

- (b) the applicant provides documentary evidence that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly;
- (c) the conditions referred to in paragraph 1(b)(i), (e) and (f) have been met.

3. The introduction into the Community of specimens of the species listed in Annex C shall be subject to completion of the necessary checks and the prior presentation, at the border customs office at the point of introduction, of an import notification and:

- (a) in the case of export from a country mentioned in relation to the species concerned in Annex C, the applicant shall provide documentary evidence, by means of an export permit issued in accordance with the Convention by an authority of that country competent for the purpose, that the specimens have been obtained in accordance with the national legislation on the conservation of the species concerned; or
- (b) in the case of export from a country not mentioned in relation to the species concerned in Annex C or re-export from any country, the applicant shall present an export permit, a re-export certificate or a certificate of origin issued in accordance with the Convention by an authority of the exporting or re-exporting country competent for the purpose.

4. The introduction into the Community of specimens of the species listed in Annex D shall be subject to completion of the necessary checks and the prior presentation of an import notification at the border customs office at the point of introduction.

5. The conditions for the issuance of an import permit as referred to in paragraph 1(a) and (d) and in paragraph 2(a), (b) and (c) shall not apply to specimens for which the applicant provides documentary evidence:

- (a) that they had previously been legally introduced into or acquired in the Community and that they are, modified or not, being reintroduced into the Community; or
- (b) that they are worked specimens that were acquired more than 50 years previously.

6. In consultation with the countries of origin concerned, in accordance with the procedure laid down in Article 18 and taking account of any opinion from the Scientific Review Group, the Commission may establish general restrictions, or restrictions relating to certain countries of origin, on the introduction into the Community:

- (a) on the basis of the conditions referred to in paragraph 1(a)(i) or (e), of specimens of species listed in Annex A;
- (b) on the basis of the conditions referred to in paragraph 1(e) or paragraph 2(a), of specimens of species listed in Annex B; and
- (c) of live specimens of species listed in Annex B which have a high mortality rate during shipment or for which it has been established that they are unlikely to survive in captivity for a considerable proportion of their potential life span; or
- (d) of live specimens of species for which it has been established that their introduction into the natural environment of the Community presents an ecological threat to wild species of fauna and flora indigenous to the Community.

The Commission shall on a quarterly basis publish a list of such restrictions, if any, in the *Official Journal of the European Communities*.

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7. Where special cases of transhipment, air transfer or rail transport occur following introduction into the Community, derogations from completion of the checks and presentations of import documents at the border customs office at the point of introduction which are referred to in paragraphs 1 to 4 shall be granted in accordance with the procedure laid down in Article 18, in order to permit such checks and presentations to be made at another customs office designated in accordance with Article 12 (1).

*Article 5***Export or re-export from the Community**

1. The export or re-export from the Community of specimens of the species listed in Annex A shall be subject to completion of the necessary checks and the prior presentation, at the customs office at which the export formalities are completed, of an export permit or re-export certificate issued by a management authority of the Member State in which the specimens are located.

2. An export permit for specimens of the species listed in Annex A may be issued only when the following conditions have been met:

(a) the competent scientific authority has advised in writing that the capture or collection of the specimens in the wild or their export will not have a harmful effect on the conservation status of the species or on the extent of the territory occupied by the relevant population of the species;

(b) the applicant provides documentary evidence that the specimens have been obtained in accordance with the legislation in force on the protection of the species in question; where the application is made to a Member State other than the Member State of origin, such documentary evidence shall be furnished by means of a certificate stating that the specimen was taken from the wild in accordance with the legislation in force on its territory;

(c) the management authority is satisfied that:

(i) any live specimen will be so prepared and shipped as to minimize the risk of injury, damage to health or cruel treatment; and

(ii) — the specimens of species not listed in Annex I to the Convention will not be used for primarily commercial purposes, or
— in the case of export to a State party to the Convention of specimens of the species referred to in Article 3(1)(a) of this Regulation, an import permit has been issued;

and

(d) the management authority of the Member State is satisfied, following consultation with the competent scientific authority, that there are no other factors relating to the conservation of the species which militate against issuance of the export permit.

3. A re-export certificate may be issued only when the conditions referred to in paragraph 2 (c) and (d) have been met and when the applicant provides documentary evidence that the specimens:

(a) were introduced into the Community in accordance with the provisions of this Regulation;

(b) if introduced into the Community before the entry into force of this Regulation, were introduced in accordance with the provisions of Regulation (EEC) No 3626/82; or

(c) if introduced into the Community before 1984, entered international trade in accordance with the provisions of the Convention; or

(d) were legally introduced into the territory of a Member State before the provisions of the Regulations referred to in (a) and (b) or of the Convention became applicable to them, or became applicable in that Member State.

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4. The export or re-export from the Community of specimens of the species listed in Annexes B and C shall be subject to completion of the necessary checks and the prior presentation, at the customs office at which the export formalities are completed, of an export permit or re-export certificate issued by a management authority of the Member State in whose territory the specimens are located.

An export permit may be issued only when the conditions referred to in paragraph 2 (a), (b), (c) (i) and (d) have been met.

A re-export certificate may be issued only when the conditions referred to in paragraph 2 (c) (i) and (d) and in paragraph 3 (a) to (d) have been met.

5. Where an application for a re-export certificate concerns specimens introduced into the Community under an import permit issued by another Member State, the management authority must first consult the management authority which issued the permit. The consultation procedures and the cases in which consultation is necessary shall be established in accordance with the procedure laid down in Article 18.

6. The conditions for the issuance of an export permit or re-export certificate as referred to in paragraph 2 (a) and (c) (ii) shall not apply to:

- (i) worked specimens that were acquired more than 50 years previously; or
- (ii) dead specimens and parts and derivatives thereof for which the applicant provides documentary evidence that they were legally acquired before the provisions of this Regulation, or of Regulation (EEC) No 3626/82 or of the Convention became applicable to them.

7. (a) The competent scientific authority in each Member State shall monitor the issuance of export permits by that Member State for specimens of species listed in Annex B and actual exports of such specimens. Whenever such scientific authority determines that the export of specimens of any such species should be limited in order to maintain that species throughout its range at a level consistent with its role in the ecosystem in which it occurs, and well above the level at which that species might become eligible for inclusion in Annex A in accordance with Article 3 (1) (a) or (b) (i), the scientific authority shall advise the competent management authority, in writing, of suitable measures to be taken to limit the issuance of export permits for specimens of that species

(b) Whenever a management authority is advised of the measures referred to in (a), it shall inform and send comments to the Commission which shall, if appropriate, recommend restrictions on exports of the species concerned in accordance with the procedure laid down in Article 18.

Article 6

Rejection of applications for permits and certificates referred to in Articles 4, 5 and 10

1. When a Member State rejects an application for a permit or certificate in a case of significance in respect of the objectives of this Regulation, it shall immediately inform the Commission of the rejection and of the reasons for rejection.

2. The Commission shall communicate information received in accordance with paragraph 1 to the other Member States in order to ensure uniform application of this Regulation.

3. When an application is made for a permit or certificate relating to specimens for which such an application has previously been rejected, the applicant must inform the competent authority to which the application is submitted of the previous rejection.

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4. (a) Member States shall recognize the rejection of applications by the competent authorities of the other Member States, where such rejection is based on the provisions of this Regulation
- (b) However, this need not apply where the circumstances have significantly changed or where new evidence to support an application has become available. In such cases, if a management authority issues a permit or certificate, it shall inform the Commission thereof, stating the reasons for issuance.

*Article 7***Derogations**

1. *Specimens born and bred in captivity or artificially propagated*
 - (a) Save where Article 8 applies, specimens of species listed in Annex A that have been born and bred in captivity or artificially propagated shall be treated in accordance with the provisions applicable to specimens of species listed in Annex B.
 - (b) In the case of artificially propagated plants, the provisions of Articles 4 and 5 may be waived under special conditions laid down by the Commission, relating to:
 - (i) the use of phytosanitary certificates;
 - (ii) trade by registered commercial traders and by the scientific institutions referred to in paragraph 4 of this Article; and
 - (iii) trade in hybrids.
 - (c) The criteria for determining whether a specimen has been born and bred in captivity or artificially propagated and whether for commercial purposes, as well as the special conditions referred to in (b), shall be specified by the Commission in accordance with the procedure laid down in Article 18.
2. *Transit*
 - (a) By way of derogation from Article 4, where a specimen is in transit through the Community, checks and presentation at the border customs office at the point of introduction of the prescribed permits, certificates and notifications shall not be required.
 - (b) In the case of species listed in the Annexes in accordance with Article 3 (1) and Article 3 (2) (a) and (b), the derogation referred to in (a) shall apply only where a valid export or re-export document provided for by the Convention, relating to the specimens that it accompanies and specifying the destination of the specimens, has been issued by the competent authorities of the exporting or re-exporting third country.
 - (c) If the document referred to in (b) has not been issued before export or re-export, the specimen must be seized and may, where applicable, be confiscated unless the document is submitted retrospectively in compliance with the conditions specified by the Commission in accordance with the procedure laid down in Article 18.
3. *Personal and household effects*

By way of derogation from Articles 4 and 5, the provisions therein shall not apply to dead specimens, parts and derivatives of species listed in Annexes A to D which are personal or household effects being introduced into the Community, or exported or re-exported therefrom, in compliance with provisions that shall be specified by the Commission in accordance with the procedure laid down in Article 18.

4. *Scientific institutions*

The documents referred to in Articles 4, 5, 8 and 9 shall not be required in the case of non-commercial loans, donations and exchanges between scientists and scientific institutions, registered by the management authorities of the States in which they are located, of herbarium specimens and other preserved, dried or embedded museum specimens, and of live plant material, bearing a label, the model for which has

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been determined in accordance with the procedure laid down in Article 18 or a similar label issued or approved by a management authority of a third country.

*Article 8***Provisions relating to the control of commercial activities**

1. The purchase, offer to purchase, acquisition for commercial purposes, display to the public for commercial purposes, use for commercial gain and sale, keeping for sale, offering for sale or transporting for sale of specimens of the species listed in Annex A shall be prohibited.
2. Member States may prohibit the holding of specimens, in particular live animals of the species listed in Annex A.
3. In accordance with the requirements of other Community legislation on the conservation of wild fauna and flora, exemption from the prohibitions referred to in paragraph 1 may be granted by issuance of a certificate to that effect by a management authority of the Member State in which the specimens are located, on a case-by-case basis where the specimens:
 - (a) were acquired in, or were introduced into, the Community before the provisions relating to species listed in Appendix I to the Convention or in Annex C1 to Regulation (EEC) No 3626/82 or in Annex A became applicable to the specimens; or
 - (b) are worked specimens that were acquired more than 50 years previously; or
 - (c) were introduced into the Community in compliance with the provisions of this Regulation and are to be used for purposes which are not detrimental to the survival of the species concerned; or
 - (d) are captive-born and bred specimens of an animal species or artificially propagated specimens of a plant species or are parts or derivatives of such specimens; or
 - (e) are required under exceptional circumstances for the advancement of science or for essential biomedical purposes pursuant to Council Directive 86/609/EEC of 24 November 1986 on the approximation of laws, regulations and administrative provisions of the Member States regarding the protection of animals used for experimental and other scientific purposes (⁽¹⁾) where the species in question proves to be the only one suitable for those purposes and where there are no specimens of the species which have been born and bred in captivity; or
 - (f) are intended for breeding or propagation purposes from which conservation benefits will accrue to the species concerned; or
 - (g) are intended for research or education aimed at the preservation or conservation of the species; or
 - (h) originate in a Member State and were taken from the wild in accordance with the legislation in force in that Member State.
4. General derogations from the prohibitions referred to in paragraph 1 based on the conditions referred to in paragraph 3, as well as general derogations with regard to species listed in Annex A in accordance with Article 3 (1) (b) (ii) may be defined by the Commission in accordance with the procedure laid down in Article 18. Any such derogations must be in accordance with the requirements of other Community legislation on the conservation of wild fauna and flora.
5. The prohibitions referred to in paragraph 1 shall also apply to specimens of the species listed in Annex B except where it can be proved to the satisfaction of the competent authority of the Member State concerned that such specimens were acquired and, if they originated outside the Community, were introduced into it, in accordance

⁽¹⁾ OJ No L 358, 18. 12. 1986, p. 1.

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with the legislation in force for the conservation of wild fauna and flora.

6. The competent authorities of the Member States shall have discretion to sell any specimen of the species listed in Annexes B to D they have confiscated under this Regulation, provided that it is not thus returned directly to the person or entity from whom it was confiscated or who was party to the offence. Such specimens may then be treated for all purposes as if they had been legally acquired.

*Article 9***Movement of live specimens**

1. Any movement within the Community of a live specimen of a species listed in Annex A from the location indicated in the import permit or in any certificate issued in compliance with this Regulation shall require prior authorization from a management authority of the Member State in which the specimen is located. In other cases of movement, the person responsible for moving the specimen must be able, where applicable, to provide proof of the legal origin of the specimen.

2. Such authorization shall:

- (a) be granted only when the competent scientific authority of such Member State or, where the movement is to another Member State, the competent scientific authority of the latter, is satisfied that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly;
- (b) be confirmed by issuance of a certificate; and
- (c) where applicable, be immediately communicated to a management authority of the Member State in which the specimen is to be located.

3. However, no such authorization shall be required if a live animal must be moved for the purpose of urgent veterinary treatment and is returned directly to its authorized location.

4. Where a live specimen of a species listed in Annex B is moved within the Community, the holder of the specimen may relinquish it only after ensuring that the intended recipient is adequately informed of the accommodation, equipment and practices required to ensure the specimen will be properly cared for.

5. When any live specimens are transported into, from or within the Community or are held during any period of transit or transhipment, they shall be prepared, moved and cared for in a manner such as to minimize the risk of injury, damage to health or cruel treatment and, in the case of animals, in conformity with Community legislation on the protection of animals during transport.

6. Under the procedure laid down in Article 18, the Commission may establish restrictions on the holding or movement of live specimens of species in relation to which restrictions on introduction into the Community have been established in accordance with Article 4 (6).

*Article 10***Certificates to be issued**

On receiving an application, together with all the requisite supporting documents, from the person concerned and provided that all the conditions governing their issuance have been fulfilled, a management authority of a Member State may issue a certificate for the purposes referred to in Article 5 (2) (b), 5 (3) and (4), Article 8 (3) and Article 9 (2) (b).

▼B*Article 11***Validity of and special conditions for permits and certificates**

1. Without prejudice to stricter measures which the Member States may adopt or maintain, permits and certificates issued by the competent authorities of the Member States in accordance with this Regulation shall be valid throughout the Community.
2. (a) However, any such permit or certificate, as well as any permit or certificate issued on the basis of it, shall be deemed void if a competent authority or the Commission, in consultation with the competent authority which issued the permit or certificate, establishes that it was issued on the false premise that the conditions for its issuance were met
 - (b) Specimens situated in the territory of a Member State and covered by such documents shall be seized by the competent authorities of that Member State and may be confiscated.
3. Any permit or certificate issued in accordance with this Regulation may stipulate conditions and requirements imposed by the issuing authority to ensure compliance with the provisions thereof. Where such conditions or requirements need to be incorporated in the design of permits or certificates, Member States shall inform the Commission thereof.
4. Any import permit issued on the basis of a copy of the corresponding export permit or re-export certificate shall be valid for the introduction of specimens into the Community only when accompanied by the original of the valid export permit or re-export certificate.
5. The Commission shall establish time limits for the issuance of permits and certificates in accordance with the procedure laid down in Article 18.

*Article 12***Places of introduction and export**

1. Member States shall designate customs offices for carrying out the checks and formalities for the introduction into and export from the Community, in order to assign to them a customs-approved treatment or use, within the meaning of Regulation (EEC) No 2913/92, of specimens of species covered by this Regulation and shall state which offices are specifically intended to deal with live specimens.
2. All offices designated in accordance with paragraph 1 shall be provided with sufficient and adequately trained staff. Member States shall ensure that accommodation is provided in accordance with relevant Community legislation as regards the transport and accommodation of live animals and that, where necessary, adequate steps are taken for live plants.
3. All offices designated in accordance with paragraph 1 shall be notified to the Commission which shall publish a list of them in the *Official Journal of the European Communities*.
4. In exceptional cases and in accordance with criteria defined under the procedure laid down in Article 18, a management authority may authorize the introduction into the Community or the export or re-export therefrom at a customs office other than one designated in accordance with paragraph 1.
5. Member States shall ensure that at border crossing-points the public are informed of the implementing provisions of this Regulation.

*Article 13***Management and scientific authorities and other competent authorities**

1. (a) Each Member State shall designate a management authority with primary responsibility for implementation of this Regulation and for communication with the Commission

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- (b) Each Member State may also designate additional management authorities and other competent authorities to assist in implementation, in which case the primary management authority shall be responsible for providing the additional authorities with all the information required for correct application of this Regulation.
2. Each Member State shall designate one or more scientific authorities with appropriate qualifications whose duties shall be separate from those of any designated management authority.
3. (a) Not later than three months before the date of application of this Regulation, Member States shall forward the names and addresses of the designated management authorities, other authorities competent to issue permits or certificates and scientific authorities to the Commission, which shall publish this information in the *Official Journal of the European Communities* within a month
- (b) Each management authority referred to in paragraph 1 (a) shall, if so requested by the Commission, communicate to it within two months the names and specimen signatures of people authorized to sign permits or certificates, and impressions of the stamps, seals or other devices used to authenticate permits or certificates.
- (c) Member States shall communicate to the Commission any changes in the information already provided, not later than two months after the implementation of such change.

*Article 14***Monitoring of compliance and investigation of infringements**

1. (a) The competent authorities of the Member States shall monitor compliance with the provisions of this Regulation
- (b) If, at any time, the competent authorities have reason to believe that these provisions are being infringed, they shall take the appropriate steps to ensure compliance or to instigate legal action.
- (c) Member States shall inform the Commission and, in the case of species listed in the Appendices to the Convention, the Convention Secretariat of any steps taken by the competent authorities in relation to significant infringements of this Regulation, including seizures and confiscations.
2. The Commission shall draw the attention of the competent authorities of the Member States to matters whose investigation it considers necessary under this Regulation. Member States shall inform the Commission and, in the case of species listed in the Appendices to the Convention, the Convention Secretariat of the outcome of any subsequent investigation.
3. (a) An enforcement group shall be established consisting of the representatives of each Member State's authorities with responsibility for ensuring the implementation of the provisions of this Regulation. The group shall be chaired by the representative of the Commission
- (b) The enforcement group shall examine any technical question relating to the enforcement of this Regulation raised by the chairman, either on his own initiative or at the request of the members of the group or the committee.
- (c) The Commission shall convey the opinions expressed in the enforcement group to the committee.

▼B*Article 15***Communication of information**

1. The Member States and the Commission shall communicate to one another the information necessary for implementing this Regulation.

The Member States and the Commission shall ensure that the necessary steps are taken to make the public aware and inform it of the provisions regarding implementation of the Convention and of this Regulation and of the latter's implementing measures.

2. The Commission shall communicate with the Convention Secretariat so as to ensure that the Convention is effectively implemented throughout the territory to which this Regulation applies.
3. The Commission shall immediately communicate any advice from the Scientific Review Group to the management authorities of the Member States concerned.

4. (a) The management authorities of the Member States shall communicate to the Commission before 15 June each year all the information relating to the preceding year required for drawing up the reports referred to in Article VIII.7 (a) of the Convention and equivalent information on international trade in all specimens of species listed in Annexes A, B and C and on introduction into the Community of specimens of species listed in Annex D. The information to be communicated and the format for its presentation shall be specified by the Commission in accordance with the procedure laid down in Article 18
- (b) On the basis of the information referred to in (a), the Commission shall publish before 31 October each year a statistical report on the introduction into, and the export and re-export from, the Community of specimens of the species to which this Regulation applies and shall forward to the Convention Secretariat information on the species to which the Convention applies.
- (c) Without prejudice to Article 20, the management authorities of the Member States shall, before 15 June of each second year, and for the first time in 1999, communicate to the Commission all the information relating to the preceding two years required for drawing up the reports referred to in Article VIII.7 (b) of the Convention and equivalent information on the provisions of this Regulation that fall outside the scope of the Convention. The information to be communicated and the format for its presentation shall be specified by the Commission in accordance with the procedure laid down in Article 18.
- (d) On the basis of the information referred to in (c), the Commission shall, before 31 October of each second year, and for the first time in 1999, draw up a report on the implementation and enforcement of this Regulation.

5. With a view to the preparation of amendments to the Annexes, the competent authorities of the Member States shall forward all relevant information to the Commission. The Commission shall specify the information required, in accordance with the procedure laid down in Article 18.

- C1** 6. Without prejudice to Council Directive 90/313/EEC **◀** of 7 June 1990 on the freedom of access to information on the environment⁽¹⁾, the Commission shall take appropriate measures to protect the confidentiality of information obtained in implementation of this Regulation.

⁽¹⁾ OJ No L 158, 23. 6. 1990, p. 56.

▼B*Article 16***Sanctions**

1. Member States shall take appropriate measures to ensure the imposition of sanctions for at least the following infringements of this Regulation:

- (a) introduction into, or export or re-export from, the Community of specimens without the appropriate permit or certificate or with a false, falsified or invalid permit or certificate or one altered without authorization by the issuing authority;
- (b) failure to comply with the stipulations specified on a permit or certificate issued in accordance with this Regulation;
- (c) making a false declaration or knowingly providing false information in order to obtain a permit or certificate;
- (d) using a false, falsified or invalid permit or certificate or one altered without authorization as a basis for obtaining a Community permit or certificate or for any other official purpose in connection with this Regulation;
- (e) making no import notification or a false import notification;
- (f) shipment of live specimens not properly prepared so as to minimize the risk of injury, damage to health or cruel treatment;
- (g) use of specimens of species listed in Annex A other than in accordance with the authorization given at the time of issuance of the import permit or subsequently;
- (h) trade in artificially propagated plants contrary to the provisions laid down in accordance with Article 7(1)(b);
- (i) shipment of specimens into or out of or in transit through the territory of the Community without the appropriate permit or certificate issued in accordance with this Regulation and, in the case of export or re-export from a third country party to the Convention, in accordance therewith, or without satisfactory proof of the existence of such permit or certificate;
- (j) purchase, offer to purchase, acquisition for commercial purposes, use for commercial gain, display to the public for commercial purposes, sale, keeping for sale, offering for sale or transporting for sale of specimens in contravention of Article 8;
- (k) use of a permit or certificate for any specimen other than one for which it was issued;
- (l) falsification or alteration of any permit or certificate issued in accordance with this Regulation;
- (m) failure to disclose rejection of an application for a Community import, export or re-export permit or certificate, in accordance with Article 6 (3).

2. The measures referred to in paragraph 1 shall be appropriate to the nature and gravity of the infringement and shall include provisions relating to the seizure and, where appropriate, confiscation of specimens.

3. Where a specimen is confiscated, it shall be entrusted to a competent authority of the Member State of confiscation which:

- (a) following consultation with a scientific authority of that Member State, shall place or otherwise dispose of the specimen under conditions which it deems to be appropriate and consistent with the purposes and provisions of the Convention and this Regulation; and
- (b) in the case of a live specimen which has been introduced into the Community, may, after consultation with the State of export, return the specimen to that State at the expense of the convicted person.

4. Where a live specimen of a species listed in Annex B or C arrives at a point of introduction into the Community without the appropriate valid permit or certificate, the specimen must be seized and may be confiscated or, if the consignee refuses to acknowledge the specimen,

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the competent authorities of the Member State responsible for the point of introduction may, if appropriate, refuse to accept the shipment and require the carrier to return the specimen to its place of departure.

*Article 17***The Scientific Review Group**

1. A Scientific Review Group is hereby established, consisting of the representatives of each Member State's scientific authority or authorities and chaired by the representative of the Commission.
2. (a) The Scientific Review Group shall examine any scientific question relating to the application of this Regulation — in particular concerning Article 4 (1) (a), (2) (a) and (6) — raised by the chairman, either on his own initiative or at the request of the members of the Group or the Committee.
 (b) The Commission shall convey the opinions of the Scientific Review Group to the Committee.

*Article 18***The Committee**

1. The Commission shall be assisted by a Committee composed of the representatives of the Member States and chaired by the representative of the Commission.

The representative of the Commission shall submit to the Committee a draft of the measures to be taken. The Committee shall deliver its opinion on the draft within a time limit which the chairman may lay down according to the urgency of the matter. The opinion shall be delivered by the majority laid down in Article 148 (2) of the Treaty in the case of decisions which the Council is required to adopt on a proposal from the Commission. The votes of the representatives of the Member States within the Committee shall be weighted in the manner set out in that Article. The chairman shall not vote.

The Commission shall adopt the measures envisaged if they are in accordance with the opinion of the Committee.

If the measures envisaged are not in accordance with the opinion of the Committee, or if no opinion is delivered, the Commission shall without delay submit to the Council a proposal relating to the measures to be taken. The Council shall act by a qualified majority.

2. As regards the Committee's tasks referred to in points 1 and 2 of Article 19, if, on the expiry of a period of three months from the date of referral to the Council, the Council has not acted, the proposed measures shall be adopted by the Commission.
3. As regards the Committee's tasks referred to in points 3 and 4 of Article 19, if, on the expiry of a period of three months from the date of referral to the Council, the Council has not acted, the proposed measures shall be adopted by the Commission, save where the Council has decided against the said measures by a simple majority.

Article 19

In accordance with the procedure laid down in Article 18, the Commission shall:

1. lay down uniform conditions and criteria for:
 - (i) the issue, validity and use of the documents referred to in Articles 4, 5, 7 (4) and 10; it shall determine the design thereof;
 - (ii) the use of phytosanitary certificates; and
 - (iii) the establishment of procedures, where necessary, for marking specimens in order to facilitate identification and ensure enforcement of the provisions;

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2. adopt the measures referred to in Article 4 (6) and (7), Article 5 (5) and (7) (b), Article 7 (1) (c), (2) (c) and (3), Article 8 (4), Article 9 (6), Article 11 (5), Article 15 (4) (a), (c) and (5) and Article 21 (3);
3. amend Annexes A to D except in the case of amendments to Annex A which do not result from decisions of the Conference of the Parties to the Convention;
4. adopt, where necessary, additional measures to implement resolutions of the Conference of the Parties to the Convention, decisions or recommendations of the Standing Committee of the Convention and recommendations of the Convention Secretariat.

*Article 20***Final provisions**

Each Member State shall notify the Commission and the Convention Secretariat of the provisions which it adopts specifically for the implementation of this Regulation and of all legal instruments used and measures taken for its implementation and enforcement.

The Commission shall communicate this information to the other Member States.

Article 21

1. Regulation (EEC) No 3626/82 is hereby repealed.
2. Until the measures provided for in points 1 and 2 of Article 19 have been adopted, Member States may maintain or continue to apply the measures adopted in accordance with Regulation (EEC) No 3626/82 and Commission Regulation (EEC) No 3418/83 of 28 November 1983 laying down provisions for the uniform issue and use of the documents required for the implementation in the Community of the Convention on International Trade in Endangered Species of Wild Fauna and Flora (⁽¹⁾).
3. Two months before this Regulation is implemented the Commission, in accordance with the procedure laid down in Article 18 and in consultation with the Scientific Review Group:
 - (a) must check that there is no justification for restrictions on the introduction into the Community of the species listed in Annex C1 to Regulation (EEC) No 3626/82 which are not included in Annex A to this Regulation;
 - (b) shall adopt a Regulation amending Annex D into a representative list of species meeting the criteria laid down in Article 3 (4) (a).

Article 22

This Regulation shall enter into force on the date of its publication in the *Official Journal of the European Communities*.

It shall apply from 1 June 1997.

Articles 12, 13, 14 (3), 16, 17, 18, 19 and 21(3) shall apply from the date of entry into force of this Regulation.

This Regulation shall be binding in its entirety and directly applicable in all Member States.

⁽¹⁾ OJ No L 344, 7. 12. 1983, p. 1.

▼M8*ANNEX***Notes on interpretation of Annexes A, B, C and D**

1. Species included in these Annexes A, B, C and D are referred to:
 - (a) by the name of the species; or
 - (b) as being all of the species included in a higher taxon or designated part thereof.
2. The abbreviation ‘spp.’ is used to denote all species of a higher taxon.
3. Other references to taxa higher than species are for the purposes of information or classification only.
4. Species printed in bold in Annex A are listed there in consistency with their protection as provided for by Council Directive 79/409/EEC⁽¹⁾ (‘Birds Directive’) or Council Directive 92/43/EEC⁽²⁾ (‘Habitats Directive’).
5. The following abbreviations are used for plant taxa below the level of species:
 - (a) ‘ssp’ is used to denote subspecies;
 - (b) ‘var(s)’ is used to denote variety (varieties); and
 - (c) ‘fa’ is used to denote forma.
6. The symbols ‘(I)’, ‘(II)’ and ‘(III)’ placed against the name of a species or higher taxon refer to the Appendices of the Convention in which the species concerned are listed as indicated in notes 7 to 9. Where none of these annotations appears, the species concerned are not listed in the Appendices to the Convention.
7. (I) against the name of a species or higher taxon indicates that the species or higher taxon concerned is included in Appendix I to the Convention.
8. (II) against the name of a species or higher taxon indicates that the species or higher taxon concerned is included in Appendix II to the Convention.
9. (III) against the name of a species or higher taxon indicates that it is included in Appendix III to the Convention. In this case the country with respect to which the species or higher taxon is included in Appendix III is also indicated by a two-letter code as follows: BO (Bolivia), BR (Brazil), BW (Botswana), CA (Canada), CO (Colombia), CR (Costa Rica), GH (Ghana), GT (Guatemala), HN (Honduras), IN (India), MY (Malaysia), MU (Mauritius), NP (Nepal), TN (Tunisia), UY (Uruguay) and ZA (South Africa).
10. Hybrids may be specifically included in the Appendices but only if they come from distinct and stable populations in the wild. Hybrid animals that have in their previous four generations of the lineage one or more specimens of species included in Annexes A or B shall be subject to the provisions of this Regulation just as if they were full species, even if the hybrid concerned is not specifically included in the Annexes.
11. The symbol ‘=’ followed by a number placed against the name of a species or higher taxon denotes that the name of that species or taxon shall be interpreted as follows:
 - =301 Also referenced as *Phalanger maculatus*
 - =302 Also referenced as *Vampyrops lineatus*
 - =303 Formerly included in family Lemuridae
 - =304 Formerly included as subspecies of *Callithrix jacchus*
 - =305 Includes generic synonym *Leontideus*
 - =306 Formerly included in species *Saguinus oedipus*
 - =307 Formerly included as *Alouatta palliata*
 - =308 Formerly included as *Alouatta palliata (villosa)*
 - =309 Includes synonym *Cercopithecus roloway*
 - =310 Formerly included in genus *Papio*

⁽¹⁾ OJ L 103, 25.4.1979, p. 1. Directive as last amended by Commission Directive 97/49/EC (OJ L 233, 13.8.1997, p. 9).

⁽²⁾ OJ L 206, 22.7.1992, p. 7. Directive as last amended by Council Directive 97/62/EC (OJ L 305, 8.11.1997, p. 42).

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- =311 Includes generic synonym *Simias*
- =312 Includes synonym *Colobus badius rufomitratus*
- =313 Includes generic synonym *Rhinopithecus*
- =314 Also referenced as *Presbytis entellus*
- =315 Also referenced as *Presbytis geei* and *Semnopithecus geei*
- =316 Also referenced as *Presbytis pileata* and *Semnopithecus pileatus*
- =317 Formerly included as *Tamandua tetradactyla* (in part)
- =318 Includes synonyms *Bradypus boliviensis* and *Bradypus griseus*
- =319 Includes synonym *Cabassous gymnurus*
- =320 Includes synonym *Priodontes giganteus*
- =321 Includes generic synonym *Coendou*
- =322 Includes generic synonym *Cuniculus*
- =323 Formerly included in genus *Dusicyon*
- =324 Includes synonym *Dusicyon fulvipes*
- =325 Includes generic synonym *Fennecus*
- =326 Also referenced as *Selenarctos thibetanus*
- =327 Formerly included as *Nasua nasua*
- =328 Also referenced as *Aonyx microdon* or *Paraonyx microdon*
- =329 Formerly included in genus *Lutra*
- =330 Formerly included in genus *Lutra*; includes synonyms *Lutra annectens*, *Lutra enudris*, *Lutra incarum* and *Lutra platensis*
- =331 Includes synonym *Galictis allamandi*
- =332 Formerly included in *Martes flavigula*
- =333 Includes generic synonym *Viverra*
- =334 Includes synonym *Eupleres major*
- =335 Formerly included as *Viverra megaspila*
- =336 Formerly included as *Herpestes fuscus*
- =337 Formerly included as *Herpestes auropunctatus*
- =338 Also referenced as *Felis caracal* and *Lynx caracal*
- =339 Formerly included in genus *Felis*
- =340 Also referenced as *Felis pardina* or *Felis lynx pardina*
- =341 Formerly included in genus *Panthera*
- =342 Excludes the domesticated form referenced as *Equus asinus*, which is not subject to the provisions of this Regulation
- =343 Formerly included in species *Equus hemionus*
- =344 Also referenced as *Equus caballus przewalskii*
- =345 Also referenced as *Choeropsis liberiensis*
- =346 Also referenced as *Cervus porcinus calamianensis*
- =347 Also referenced as *Cervus porcinus kuhlii*
- =348 Also referenced as *Cervus porcinus annamiticus*
- =349 Also referenced as *Cervus dama mesopotamicus*
- =350 Excludes the domesticated form of *Bos gaurus* referenced as *Bos frontalis* which is not subject to the provisions of this Regulation
- =351 Excludes the domesticated form of *Bos mutus* referenced as *Bos grunniens* which is not subject to the provisions of this Regulation
- =352 Includes generic synonym *Novibos*
- =353 Excludes the domesticated form of *Bubalus arnee* referenced as *Bubalus bubalis*
- =354 Includes generic synonym *Anoa*
- =355 Also referenced as *Damaliscus dorcas dorcas*
- =356 Formerly included in species *Naemorhedus goral*
- =357 Also referenced as *Capricornis sumatraensis*
- =358 Includes synonym *Oryx tao*
- =359 Includes synonym *Ovis aries ophion*
- =360 Formerly included as *Ovis vignei*

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- =361 Also referenced as *Rupicapra rupicapra ornata*
- =362 Also referenced as *Boocercus eurycerus*; includes generic synonym *Taurotragus*
- =363 Also referenced as *Pterocnemia pennata*
- =364 Also referenced as *Sula abbotti*
- =365 Also referenced as *Ardeola ibis*
- =366 Also referenced as *Egretta alba* and *Ardea alba*
- =367 Also referenced as *Ciconia ciconia boyciana*
- =368 Also referenced as *Hagedashia hagedash*
- =369 Also referenced as *Lampribis rara*
- =370 Includes *Phoenicopterus roseus*
- =371 Includes synonyms *Anas chlorotis* and *Anas nesiotis*
- =372 Also referenced as *Spatula clypeata*
- =373 Also referenced as *Anas platyrhynchos laysanensis*
- =374 Probably a hybrid between *Anas platyrhynchos* and *Anas superciliosa*
- =375 Also referenced as *Nyroca nyroca*
- =376 Includes synonym *Dendrocygna fulva*
- =377 Also referenced as *Cairina hartlaubii*
- =378 Also referenced as *Aquila heliaca adalberti*
- =379 Also referenced as *Chondrohierax wilsonii*
- =380 Also referenced as *Falco peregrinus babylonicus* and *Falco peregrinus pelegrinoides*
- =381 Also referenced as *Crax mitu mitu*
- =382 Also referenced as genus *Crax*
- =383 Formerly included in genus *Aburria*
- =384 Formerly included in genus *Aburria*; also referenced as *Pipile pipile*
- =385 Formerly included as *Arborophila brunneopectus* (in part)
- =386 Formerly included in species *Crossoptilon crossoptilon*
- =387 Formerly included in species *Polyplectron malacense*
- =388 Includes synonym *Rheinardia nigrescens*
- =389 Also referenced as *Tricholimnas sylvestris*
- =390 Also referenced as *Choriotis nigriceps*
- =391 Also referenced as *Houbaropsis bengalensis*
- =392 Also referenced as *Turturoena iriditorques*; formerly included as *Columba malherbi* (in part)
- =393 Also referenced as *Nesoenas mayeri*
- =394 Formerly included as *Treron australis* (in part)
- =395 Also referenced as *Calopelia brehmeri*; includes synonym *Calopelia puella*
- =396 Also referenced as *Tymanistris tymanistris*
- =397 Also referenced as *Amazona dufresniana rhodocorytha*
- =398 Often traded under the incorrect designation *Ara caninde*
- =399 Formerly *Cyanoramphus auriceps forbesi*
- =400 Includes *Cyanoramphus cookii*
- =401 Also referenced as *Oropsitta diophthalma coxeni*
- =402 Also referenced as *Pezoporus occidentalis*
- =403 Formerly *Aratinga guarouba*
- =404 Formerly *Ara couloni*
- =405 Formerly *Ara maracana*
- =406 Formerly included in species *Psephotus chrysoterygius*
- =407 Also referenced as *Psittacula krameri echo*
- =408 Formerly included in genus *Gallirex*; also referenced as *Tauraco porphyreolophus*
- =409 Also referenced as *Otus gurneyi*

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- =410 Also referenced as *Ninox novaeseelandiae royana*
- =411 Formerly included as *Ramphodon dohrnii*
- =412 Includes generic synonym *Ptilolaemus*
- =413 Formerly included in genus *Rhinoplax*
- =414 Also referenced as *Pitta brachyura nymphula*
- =415 Also referenced as *Muscicapa rueckii* or *Niltava rueckii*
- =416 Also referenced as *Dasyornis brachypterus longirostris*
- =417 Also referenced as *Tchitrea bourbonnensis*
- =418 Also referenced as *Meliphaga cassidix*
- =419 Includes generic synonym *Xanthopsar*
- =420 Formerly included in genus *Spinus*
- =421 Formerly included as *Serinus gularis* (in part)
- =422 Also referenced as *Estrilda subflava* or *Sporaeginthus subflavus*
- =423 Formerly included as *Lagonosticta larvata* (in part)
- =424 Includes generic synonym *Spermestes*
- =425 Also referenced as *Euodice cantans*; formerly included as *Lonchura malabarica* (in part)
- =426 Also referenced as *Hypargos nitidulus*
- =427 Formerly included as *Parmoptila woodhousei* (in part)
- =428 Includes synonyms *Pyrenestes frommi* and *Pyrenestes rothschildi*
- =429 Also referenced as *Estrilda bengala*
- =430 Also referenced as *Malimbus rubriceps* or *Anaplectes melanotis*
- =431 Also referenced as *Coliuspasser ardens*
- =432 Formerly included as *Euplectes orix* (in part)
- =433 Also referenced as *Coliuspasser macrourus*
- =434 Also referenced as *Ploceus superciliosus*
- =435 Includes synonym *Ploceus nigriceps*
- =436 Also referenced as *Sitagra luteola*
- =437 Also referenced as *Sitagra melanocephala*
- =438 Formerly included as *Ploceus velatus*
- =439 Also referenced as *Hypochera chalybeata*; includes synonyms *Vidua amauropygia*, *Vidua centralis*, *Vidua neumanni*, *Vidua okavangoensis* and *Vidua ultramarina*
- =440 Formerly included as *Vidua paradisaea* (in part)
- =441 Also referenced as genus *Damonia*
- =442 Formerly included as *Kachuga tecta tecta*
- =443 Includes generic synonyms *Nicoria* and *Geoemyda* (in part)
- =444 Also referenced as *Chrysemys scripta elegans*
- =445 Also referenced as *Geochelone elephantopus*; also referenced in genus *Testudo*
- =446 Also referenced as genus *Testudo*.
- =447 Formerly included in *Testudo kleinmanni*
- =448 Formerly included in genus *Trionyx*. Also referenced in genus *Aspidoscelis*
- =449 Formerly included in genus *Trionyx*
- =450 Formerly included in *Podocnemis* spp.
- =451 Also referenced as *Pelusios subniger*
- =452 Includes *Alligatoridae*, *Crocodylidae* and *Gavialidae*
- =453 Also referenced in genus *Nactus*
- =454 Includes generic synonym *Rhoptropella*
- =455 Formerly included in *Chamaeleo* spp.
- =456 Includes the genus *Pseudocordylus*
- =457 Formerly *Crocodilurus lacertinus*
- =458 *Tupinambis merianae* (Duméril & Bibron, 1839) was until 1 August 2000 listed as *T. teguixin* (Linnaeus, 1758) (Distribution: northern Argentina, Uruguay, Paraguay, southern Brazil, extending into

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southern Amazonian Brazil). *Tupinambis teguixin* (Linnaeus, 1758) was until 1 August 2000 listed as *Tupinambis nigropunctatus* (Spix, 1824) (Distribution: Colombia, Venezuela, Guianas, Amazonian basin of Ecuador, Peru, Bolivia and Brazil, in Brazil south into State of São Paulo).

- =459 Formerly included in family *Boidae*
- =460 Includes synonym *Python molurus pimbura*
- =461 Also referenced as *Constrictor constrictor occidentalis*
- =462 Includes synonym *Sanzinia manditra*
- =463 Includes synonym *Pseudoboa cloelia*
- =464 Also referenced as *Hydrodynastes gigas*
- =465 Also referenced as *Alsophis chamissonis*
- =466 Formerly included in genus *Natrix*
- =467 Formerly referenced as *Naja naja*
- =468 Formerly included as *Crotalus unicolor*
- =469 Formerly included as *Vipera russelli*
- =470 Formerly included in *Nectophrynoidea*
- =471 Formerly *Atelopus varius zeteki*
- =472 Formerly included in *Dendrobates*
- =473 Also referenced as *Rana*
- =474 Includes generic synonym *Megalobatrachus*
- =475 Formerly *Cynoscion macdonaldi*
- =476 Includes synonyms *Pandinus africanus* and *Heterometrus roeseli*
- =477 Formerly included in genus *Brachypelma*
- =478 *Sensu D'Abrera*
- =479 Also referenced as *Conchodromus dromas*
- =480 Also referenced in genera *Dysnomia* and *Plagiola*
- =481 Includes generic synonym *Proptera*
- =482 Also referenced in genus *Carunculina*
- =483 Also referenced as *Megalonaia nickliniana*
- =484 Also referenced as *Cyrtonaias tampicoensis tecumatensis* and *Lampropeltis tampicoensis tecumatensis*
- =485 Includes generic synonym *Micromyia*
- =486 Includes generic synonym *Papuina*
- =487 Includes only one species *Helicopora coerulea*
- =488 Also referenced as *Podophyllum emodi* and *Sinopodophyllum hexandrum*
- =489 Includes generic synonyms *Neogomesia* and *Roseocactus*
- =490 Also referenced in genus *Echinocactus*
- =491 Includes synonym *Coryphantha densispina*
- =492 Also referenced as *Echinocereus lindsayi*
- =493 Also referenced in genus *Wilcoxia*; includes *Wilcoxia nerispina*
- =494 Also referenced in genus *Coryphantha*; includes synonym *Escobaria nelliae*
- =495 Also referenced in genus *Coryphantha*; includes *Escobaria leei* as a subspecies
- =496 Includes synonym *Solisia pectinata*
- =497 Also referenced in genera *Backebergia*, *Cephalocereus* and *Mitrocereus*; includes synonym *Pachycereus chrysomallus*
- =498 Includes *Pediocactus bradyi* ssp. *despainii* and *Pediocactus bradyi* ssp. *winkleri* and synonyms *Pediocactus despainii*, *Pediocactus simpsonii* ssp. *Bradyi* and *Pediocactus winkleri*; also referenced in genus *Toumeya*.
- =499 Also referenced in genera *Navajoa*, *Toumeya* and *Utahia* includes synonyms *Pediocactus ficeisenii*, *Navajoa peeblesiana* ssp. *ficeisenii* and *Navajoa ficeisenii*.
- =500 Also referenced as genera *Echinocactus* and *Utahia*
- =501 Includes generic synonym *Encephalocarpus*

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- =502 Also referenced in genus *Pediocactus*; includes synonym *Ancistrocactus tobuschii* and *Ferocactus tobuschii*
- =503 Also referenced in genera *Echinomastus*, *Neolloydia* and *Pediocactus*; includes synonyms *Echinomastus acunensis* and *Echinomastus krausei*.
- =504 Includes synonyms *Ferocactus glaucus*, *Sclerocactus brevispinus*, *Sclerocactus wetlandicus* and *Sclerocactus wetlandicus* ssp. *Ilseae*; also referenced in genus *Pediocactus*.
- =505 Also referenced in genera *Echinomastus*, *Neolloydia* and *Pediocactus*.
- =506 Also referenced in genera *Coloradoa*, *Ferocactus* and *Pediocactus*
- =507 Also referenced in genera *Pediocactus* and *Toumeya*
- =508 Also referenced in genera *Ferocactus* and *Pediocactus*.
- =509 Includes generic synonyms *Gymnocalycium* and *Normanbokea*; also referenced in genera *Kadenicarpus*, *Neolloydia*, *Pediocactus*, *Pelecyphora*, *Strombocactus*, *Thelocactus* and *Toumeya*.
- =510 Also referenced in genus *Parodia*.
- =511 Also referenced as *Saussurea lappa*
- =512 Includes genera *Alsophila*, *Nephelea*, *Sphaeropteris* and *Trichipteris*.
- =513 Also referenced as *Euphorbia decaryi* var. *capsaintemariensis*
- =514 Includes *Euphorbia cremersii* fa. *viridifolia* and *Euphorbia cremersii* var. *rakotozafy*
- =515 Includes *Euphorbia cylindrifolia* ssp. *tuberifera*
- =516 Includes *Euphorbia decaryi* vars. *ampanihensis*, *robinsonii* and *spirosticha*
- =517 Includes *Euphorbia moratii* vars. *antsingiensis*, *bemarahensis* and *multipflora*
- =518 Also referenced as *Euphorbia capsaintemariensis* var. *tulearensis*
- =519 Also referenced as *Engelhardia pterocarpa*
- =520 Also referenced in the genus *Afrormosia*
- =521 Includes *Aloe compressa* vars. *rugosquamosa*, *schistophila* and *paucituberculata*.
- =522 Includes *Aloe haworthioides* var. *aurantiaca*
- =523 Includes *Aloe laeta* var. *maniaensis*
- =524 Formerly included in *Talauma hodgsonii*; also referenced as *Magnolia hodgsonii* and *Magnolia candolii* var. *obvata*.
- =525 Includes families *Apostasiaceae* and *Cypripediaceae* as subfamilies *Apostasioideae* and *Cypripedioideae*
- =526 *Anacampseros australiana* and *A. kurtzii* are also referenced in genus *Grahamia*
- =527 Formerly included in *Anacampseros* spp.
- =528 Also referenced as *Sarracenia alabamensis*
- =529 Also referenced as *Sarracenia jonesii*
- =530 Excludes *Picrorhiza scrophulariifolia*
- =531 Formerly included in *Zamiaceae* spp.
- =532 Includes synonym *Stangeria paradoxa*
- =533 Also referenced as *Taxus baccata* ssp. *wallichiana*
- =534 Excludes *Valeriana jatamansi* Jones
- =535 Includes synonym *Welwitschia bainesii*
- =536 Includes synonym *Vulpes vulpes leucopus*
- =537 Also referenced as *Pogonochichla swynnertonii*
- =538 Frequently traded as *Serinus citrinelloides*
- =539 Frequently traded as *Estrilda melanotis*
- =540 Includes *Lapemis hardwickii*
- =541 Includes *Hippocampus agnesiae*, *Hippocampus bleekeri*, *Hippocampus graciliformis* and *Hippocampus macleayina*.
- =542 Includes *Hippocampus elongatus* and *Hippocampus subelongatus*.
- =543 Includes *Hippocampus tuberculatus*.
- =544 Includes *Hippocampus subcoronatus*

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- =545 Includes *Hippocampus fasciatus* and *Hippocampus mohnikei*
- =546 Includes *Hippocampus brunneus*, *Hippocampus fascicularis*, *Hippocampus hudsonius*, *Hippocampus kinkaidi*, *Hippocampus laevicaudatus*, *Hippocampus marginalis*, *Hippocampus punctulatus*, *Hippocampus stylifer*, *Hippocampus tetragonurus* and *Hippocampus villlosus*.
- =547 Includes *Hippocampus obscurus*
- =548 Includes *Hippocampus antiquorum*, *Hippocampus antiquus*, *Hippocampus brevirostris*, *Hippocampus europeaus*, *Hippocampus heptagonus*, *Hippocampus pentagonus* and *Hippocampus vulgaris*.
- =549 Includes *Hippocampus ecuadorensis*, *Hippocampus gracilis*, *Hippocampus hildebrandi* and *Hippocampus ringens*.
- =550 Includes *Hippocampus atterimus*, *Hippocampus barbouri*, *Hippocampus fisheri*, *Hippocampus hilonis*, *Hippocampus melanospilos*, *Hippocampus moluccensis*, *Hippocampus natalensis*, *Hippocampus polytaenia*, *Hippocampus rhincomacer*, *Hippocampus taeniopterus* and *Hippocampus valentyni*.
- =551 Includes *Hippocampus suezensis*
- =552 Includes *Hippocampus dahli* and *Hippocampus lenis*.
- =553 Includes *Hippocampus atrichus*, *Hippocampus guttulatus*, *Hippocampus jubatus*, *Hippocampus longirostris*, *Hippocampus microcoronatus*, *Hippocampus microstethanus*, *Hippocampus multiannularis*, *Hippocampus rosaceus* and *Hippocampus trichus*.
- =554 Includes *Hippocampus obtusus* and *Hippocampus poeyi*.
- =555 Includes *Hippocampus chinensis*, *Hippocampus kampylotrachelos*, *Hippocampus manadensis*, *Hippocampus mannulus* and *Hippocampus sexmaculatus*.
- =556 Includes *Hippocampus novaehollandiae*
- =557 Includes *Hippocampus regulus* and *Hippocampus rosamondae*

12. In accordance with Article 2(t) of this Regulation, the symbol '#' followed by a number placed against the name of a species or higher taxon included in Annex B or C designates parts or derivatives which are specified in relation thereto for the purposes of the Regulation as follows:

- #1 Designates all parts and derivatives, except:
 - (a) seeds, spores and pollen (including pollinia);
 - (b) seedling or tissue cultures obtained *in vitro*, in solid or liquid media, transported in sterile containers, and
 - (c) cut flowers of artificially propagated plants.
- #2 Designates all parts and derivations, except:
 - (a) seeds and pollen;
 - (b) seedling or tissue cultures obtained *in vitro*, in solid or liquid media, transported in sterile containers;
 - (c) cut flowers of artificially propagated plants; and
 - (d) chemical derivatives and finished pharmaceutical products.
- #3 Designates whole and sliced roots and parts of roots, excluding manufactured parts or derivatives such as powders, pills, extracts, tonics, teas and confectionery.
- #4 Designates all parts and derivatives, except:
 - (a) seeds, except those from Mexican cacti originating in Mexico, and pollen;
 - (b) seedling or tissue cultures obtained *in vitro*, in solid or liquid media, transported in sterile containers;
 - (c) cut flowers of artificially propagated plants;
 - (d) fruits and parts and derivatives thereof of naturalised or artificially propagated plants; and
 - (e) separate stem joints (pads) and parts and derivatives therefore of naturalised or artificially propagated plants of the genus *Opuntia* subgenus *Opuntia*.
- #5 Designates logs, sawn wood and veneer sheets;
- #6 Designates logs, sawn wood, veneer sheets and plywood
- #7 Designates logs, wood-chips and unprocessed broken material;

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- #8 Designates all parts and derivatives, except:
- (a) seeds and pollen (including *pollinia*);
 - (b) seedling or tissue cultures obtained *in vitro*, in solid or liquid media, transported in sterile containers;
 - (c) cut flowers of artificially propagated plants; and
 - (d) fruits and parts and derivatives thereof of artificially propagated plants of the genus *Vanilla*.
13. As none of the species or higher taxa of flora included in Annex A is annotated to the effect that its hybrids shall be treated in accordance with the provisions of Article 4.1 of the Regulation, this means that artificially propagated hybrids produced from one or more of these species or taxa may be traded with a certificate of artificial propagation, and that seeds and pollen (including *pollinia*), cut flowers, seedling or tissue cultures obtained *in vitro*, in solid or liquid media, transported in sterile containers of these hybrids are not subject to the provisions of the Regulation.
14. Urine, faeces and ambergris which are waste products and gained without the manipulation of the animal concerned are not subject to the provisions of the Regulation.
15. In respect of fauna species listed in Annex D, the provisions shall apply only to live specimens and whole, or substantially whole, dead specimens except for taxa which are annotated as follows to show that other parts and derivatives are also covered:
- § 1 Any whole, or substantially whole, skins, raw or tanned.
 - § 2 Any feathers or any skin or other part with feathers on it.
16. In respect of flora species listed in Annex D, the provisions shall apply only to live specimens except for taxa which are annotated as follows to show that other parts and derivatives are also covered:
- § 3 Dried and fresh plants, including, where appropriate; leaves, roots/rootstock, stems, seeds/spores, bark and fruits.

	Annex A	Annex B	Annex C	Common name
FAUNA				
CHORDATA (CHORDATES)				
MAMMALIA				Mammals
MONOTREMATA				Echidnas, spiny ant eaters
Tachyglossidae				Long-nosed echidna
DASYUROMORPHIA				Dunnarts, marsupial mice, planigales
Dasyuridae				Long-tailed dunnart
		<i>Zaglossus</i> spp. (II)		Sandhill dunnart
		<i>Sminthopsis longicaudata</i> (I)		Tasmanian wolf, thylacine
		<i>Sminthopsis psammophila</i> (I)		Thylacine
Thylacinidae				
PERAMELEMORPHIA				
Peramelidae		<i>Chaeropus ecaudatus</i> (possibly extinct) (I)		Bandicoots
		<i>Macrotis lagotis</i> (I)		Pig-footed bandicoot
		<i>Macrotis leucura</i> (I)		Greater bilby
		<i>Perameles bougainville</i> (I)		Lesser bilby
DIPROTODONTIA				Western barred bandicoot
Phalangeridae				Cuscus
		<i>Phalanger orientalis</i> (II)		Grey cuscus
Vombatidae		<i>Spilogaleus maculatus</i> (II) =301		Common spotted cuscus
				Wombats
Macropodidae				Northern hairy-nosed wombat
Macropodidae		<i>Lasiorhinus krefftii</i> (I)		Kangaroos, wallabies
				Unicoloured tree kangaroo
				Goodfellow's tree kangaroo
				Grizzled tree-kangaroo
Dendrolagus dorianus				
Dendrolagus goodfellowi				
Dendrolagus inustus (II)				

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	Annex A	Annex B	Annex C	Common name
		Dendrolagus matschiei		Matschie's tree kangaroo
		Dendrolagus ursinus (II)		Vogelkop tree-kangaroo
	Lagorchestes hirsutus (I)			Rufous hare-wallaby
	Lagostrophus fasciatus (I)			Banded hare-wallaby
	Onychogalea fraenata (I)			Bridled nailtail wallaby
	Onychogalea lunata (I)			Crescent nailtail wallaby
Potoroidae				Rat-kangaroos
		Bettongia spp. (I)		Bettongs
		Caloprymnus campstris (possibly extinct) (I)		Desert rat-kangaroo
SCANDENTIA				
	Tupaiidae			
		Tupaiidae spp.		
CHIROPTERA				
	Phyllostomidae			
				Broad-nosed bats
	Pteropodidae			
				White-lined bat
				Fruit bats, flying foxes
				Flying foxes
			Platyrrhinus lineatus (III UY) =302	
			Acerodon spp. (II) (Except for the species included in Annex A)	
		Acerodon jubatus (I)		Golden-capped fruit bat
		Acerodon lucifer (possibly extinct) (I)		Panay giant fruit bat
				Flying-foxes
		Pteropus spp. (II) (Except for the species included in Annex A)		
	Pteropus insularis (I)			Truk flying-fox
	Pteropus livingstonei (II)			Comoro black flying-fox
	Pteropus mariannus (I)			Micronesian flying-fox
	Pteropus molossinus (I)			Pohnpei flying-fox
	Pteropus phaeocephalus (I)			Mortlock flying-fox
	Pteropus pilosus (I)			Large Palau flying-fox

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	Annex A	Annex B	Annex C	Common name
PRIMATES	Pteropus rodricensis (II) Pteropus samoensis (I) Pteropus tonganus (I) Pteropus voeltzkowi (II)			Rodrigues flying-fox Samoan flying-fox Insular flying-fox Pemba flying-fox Primates (apes and monkeys)
Lemuridae				Large Lemurs
Megaladapidae	Lemuridae spp. (I)			Large Lemurs
Cheirogaleidae	Megaladapidae spp. (I) =303			Sportive lemurs
Indriidae	Cheirogaleidae spp. (I)			Sportive lemurs
Daubentoniiidae	Indriidae spp. (I)			Dwarf lemurs and mouse-lemurs
Tarsiidae	Daubentonnia madagascariensis (I)			Dwarf lemurs and mouse-lemurs
Callithrichidae	Tarsius spp. (II)			Indri, sifakas and woolly lemurs
				Indri, sifakas and woolly lemurs
				Aye-aye
				Tarsiers
				Tarsiers
				Marmosets, tamarins
				Goeldi's marmoset
				Buffy-tufted-ear marmoset
				Buffy-headed marmoset
				Lion tamarins
				Bare-faced tamarin
				Rufous-naped tamarin
				White-footed tamarin
				Cotton-headed tamarin
				New-world monkeys

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Common name	Annex C	Annex B	Annex A
Coiba Island howler			<i>Alouatta coibensis</i> (I) =307
Mantled howler			<i>Alouatta palliata</i> (I)
Guatemalan howler			<i>Alouatta pigra</i> (I) =308
Black-browed spider monkey			<i>Ateles geoffroyi frontatus</i> (I)
Red spider monkey			<i>Ateles geoffroyi panamensis</i> (I)
Woolly spider monkey			<i>Brachyteles arachnoides</i> (I)
Uakaris			<i>Cacajao</i> spp. (I)
Masked titi			<i>Callicebus personatus</i> (II)
White-nosed saki			<i>Chiropotes albinasus</i> (I)
Yellow-tailed woolly monkey			<i>Lagothrix flavicauda</i> (I)
Central American squirrel monkey			<i>Saimiri oerstedii</i> (I)
Old-world monkeys			
Crested mangabey			<i>Cercopithecus galeritus</i> (I/I) (The subspecies <i>Cercopithecus galeritus galeritus</i> is listed in Appendix I)
Diana guenon			<i>Cercopithecus diana</i> (I) =309
Sun-tailed monkey			<i>Cercopithecus solatus</i> (II)
Black colobus			<i>Colobus satanas</i> (II)
Lion-tailed macaque			<i>Macaca silenus</i> (I)
Drill			<i>Mandrillus leucophaeus</i> (I) =310
Mandrill			<i>Mandrillus sphinx</i> (I) =310
Pig-tailed langur			<i>Nasalis concolor</i> (I) =311
Proboscis monkey			<i>Nasalis larvatus</i> (I)
Mentawai leaf monkey			<i>Presbytis potenziani</i> (I)
Eastern red colobus			<i>Procolobus pennanti</i> (I/I) (The species is listed in Appendix II but the subspecies <i>Procolobus pennanti kirkii</i> is listed in Appendix I)
Preuss's colobus			<i>Procolobus preussi</i> (II)
Tana River colobus			<i>Procolobus rufomitratus</i> (I) =312
Snub-nosed monkey			<i>Pygathrix</i> spp. (I) =313

Common name	Annex C	Annex B	Annex A
Hanuman langur François' leaf monkey Golden langur Nilgiri langur Capped langur Gibbons Gibbons Chimpanzees, gorillas, orang-utan Gorilla Chimpanzees Orang-utan			<i>Semnopithecus entellus</i> (I) =314 <i>Trachypithecus francoisi</i> (II) <i>Trachypithecus gei</i> (I) =315 <i>Trachypithecus johnii</i> (II) <i>Trachypithecus pileatus</i> (I) =316
American anteaters Giant anteater Tamandua Three-toed sloths Brown-throated sloth Two-toed sloth Hoffman's two-toed sloth Armadillos			<i>Hylobatidae</i> <i>Homomidae</i> <i>Gorilla gorilla</i> (I) <i>Pan</i> spp. (I) <i>Pongo pygmaeus</i> (I)
Northern naked-tailed armadillo Greater naked-tailed armadillo Hairy armadillo			<i>Myrmecophaga tridactyla</i> (II) <i>Tamandua mexicana</i> (III GT) =317
Giant armadillo			<i>Bradypterus variegatus</i> (II) =318 <i>Choloepus hoffmanni</i> (III CR) <i>Cabassous centralis</i> (III CR) <i>Cabassous tatouay</i> (III UY) =319
			<i>Chaetophractus nationi</i> (II) (A zero annual export quota has been established. All specimens shall be deemed to be specimens of species included in Annex A and the trade in them shall be regulated accordingly) <i>Priodontes maximus</i> (I) =320

		Annex A	Annex B	Annex C	Common name
PHOLIDOTA					Pangolins
Manidae			<i>Manis</i> spp. (II) (A zero annual export quota has been established for <i>Manis crassicaudata</i> , <i>Manis pentadactyla</i> and <i>Manis javanica</i> for specimens removed from the wild and traded for primarily commercial purposes)		Pangolins
LAGOMORPHA					
Leporidae		<i>Caprolagus hispidus</i> (I)		Hares, rabbits	
		<i>Romerolagus diazi</i> (I)		Hispid rabbit	
				Volcano rabbit	
RODENTIA					
Sciuridae		<i>Cynomys mexicanus</i> (I)		Ground squirrels, tree squirrels	
			<i>Epixerus ebbii</i> (III GH)	Mexican prairie dog	
			<i>Marmota caudata</i> (III IN)	Ebian's palm squirrel	
			<i>Marmota himalayana</i> (III IN)	Long-tailed marmot	
			<i>Ratufa</i> spp. (II)	Himalayan marmot	
				Giant squirrels	
				Deppe's squirrel	
			<i>Sciurus deppei</i> (III CR)	African flying squirrels	
				Beecroft's flying squirrel	
				Lord Derby's flying squirrel	
				Pel's flying squirrel	
				Long-eared flying squirrel	
				Mice, rats	
				Greater stick-nest rat	
				Shark Bay mouse	
				False water-rat	
				Central rock-rat	
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		<i>Anomaluridae</i>			
			<i>Anomalurus beccrofti</i> (III GH)		
			<i>Anomalurus derbianus</i> (III GH)		
			<i>Anomalurus pelii</i> (III GH)		
			<i>Idiurus macrotis</i> (III GH)		
		<i>Muridae</i>			
			<i>Leporillus conditor</i> (I)		
			<i>Pseudomys praeconis</i> (I)		
			<i>Xeromys myoides</i> (I)		
			<i>Zyzomys pedunculatus</i> (I)		

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	Annex A	Annex B	Annex C	Common name
Hystricidae	Hystrix cristata (III GH)			Old-world porcupines North African crested porcupine
Erethizontidae				New-world porcupines Mexican tree porcupine
Agoutidae				<i>Sphiggurus spinosus</i> (III UY) =321 <i>Sphiggurus mexicanus</i> (III HN) Spiny tree porcupine Paca
Dasyproctidae				Agouti paca (III HN) =322 Spotted paca
Chinchillidae				<i>Dasyprocta punctata</i> (III HN) Central American agouti Central American agouti Chinchillas
CETACEA				Cetaceans (dolphins, whales) Cetaceans
CARNIVORA				Dogs, foxes, wolves Golden jackal Grey wolf
Canidae				<i>Canis aureus</i> (III IN) <i>Canis lupus</i> (II) (Populations of Spain north of the Douro and Greece north of the 39th parallel) <i>Canis lupus</i> (I/II) (All populations except those of Spain north of the Douro and Greece north of the 39th parallel. Populations of Bhutan, India, Nepal and Pakistan are listed in Appendix I; all other populations are listed in Appendix II.) <i>Canis simensis</i> Cerdyon thous (II) =323 <i>Chrysocyon brachyurus</i> (II) <i>Cuon alpinus</i> (II) <i>Pseudalopex culpaeus</i> (II) =323

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	Annex A	Annex B	Annex C	Common name
Speothos venaticus (I)		Pseudalopex griseus (II) =324 Pseudalopex gymnocercus (II) =323		Argentine grey fox Pampa fox
		Vulpes cana (II) Vulpes zerda (II) =325	Vulpes bengalensis (III IN)	Bush dog Bengal fox Blanford's fox Fennec fox
Ursidae		Ursidae spp. (II) (Except for the species included in Annex A)		Bears Bears
	Ailuropoda melanoleuca (I)			Giant panda Lesser panda
	Ailurus fulgens (I)			Sun bear
	Helarctos malayanus (I)			Sloth bear
	Melursus ursinus (I)			Spectacled bear
	Tremarctos ornatus (I)			Brown bear
	Ursus arctos (I/II) (Only the populations of Bhutan, China, Mexico and Mongolia and the subspecies <i>Ursus arctos isabellinus</i> are listed in Appendix I; all other populations and subspecies are listed in Appendix II).			Asiatic black bear
	Ursus thibetanus (I) =326			Coati, coatinundi Bushy-tailed olingo
	Procyonidae			Central American cacomistle Coati South Brazilian coati Kinkajou
Mustelidae				Badgers, martens, weasels etc.
Lutrinae				Otters
				Otters
			Lutrinae spp. (II) (Except for the species included in Annex A)	

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	Annex A	Annex B	Annex C	Common name
	Aonyx congicus (I) =328 (Only the populations of Cameroon and Nigeria; all other populations are included in Annex B)			Cameroon clawless otter
	Enhydra lutris nereis (I)			Southern sea otter
	Lontra felina (I) =329			Marine otter
	Lontra longicaudis (I) =330			South American river otter
	Lontra provocax (I) =329			Southern river otter
	Lutra lutra (I)			Eurasian otter
	Pteronura brasiliensis (I)			Giant otter
	Melivorinae			Honey badgers
				Honey badger
				Skunks
				Patagonian hog-nosed skunk
				Grisons, martens, tayra, weasels
				Tayra
				Greater grison
				Yellow-throated marten
				Stone marten
				Nilgiri marten
				Black-footed ferret
				Binturong, civets, falanouc, fossa, linsangs, otter-civet, palm civet
				Binturong
				African civet
				Fossa
				Otter-civet
				Falanouc
				Madagascar civet
				Banded palm civet
				Conepatus humboldtii (II)
				Eira barbara (III HN)
				Galictis vittata (III CR) =331
				Martes flavigula (III IN)
				Martes foina intermedia (III IN)
				Martes gwatkinsii (III IN) =332
				Mustela nigripes (I)
				Viverridae
				Arctictis binturong (III IN)
				Civettictis civetta (III BW) =333
				Cryptoprocta ferox (II)
				Cynogale bennettii (II)
				Eupleres goudotii (II) =334
				Fossa fossana (II)
				Hemigalus derbyanus (II)

	Annex A	Annex B	Annex C	Common name
			Paguma larvata (III IN)	Masked palm civet
			Paradoxurus hermaphroditus (III IN)	Common palm civet
			Paradoxurus jerdoni (III IN)	Jerdon's palm civet
		Prionodon linsang (I)		
			Viverra civetta (III IN) =335	Banded linsang
			Viverra zibetha (III IN)	Spotted linsang
			Viverricula indica (III IN)	Malabar large-spotted civet
				Large Indian civet
				Small Indian civet
				Mongooses
			Herpestes brachyurus fuscus (III IN) =336	Indian brown mongoose
			Herpestes edwardsii (III IN)	Indian grey mongoose
			Herpestes javanicus auropunctatus (III IN) =337	Small Indian mongoose
			Herpestes smithii (III IN)	Ruddy mongoose
			Herpestes urva (III IN)	Crab-eating mongoose
			Herpestes vitticollis (III IN)	Stripe-necked mongoose
				Aardwolf, hyenas
			Proteles cristatus (III BW)	Aardwolf
				Cats, cheetahs, leopards, lions, tigers etc.
				Cats
▼ M8				Felidae spp. (II) (Except for the species included in Annex A. Specimens of the domesticated form are not subject to the provisions of this Regulation)

	Annex A	Annex B	Annex C	Common name
<i>Acinonyx jubatus</i> (I) (Annual export quotas for live specimens and hunting trophies are granted as follows: Botswana: 5; Namibia: 150; Zimbabwe: 50. The trade in such specimens is subject to the provisions of Article 4(1) of this Regulation.)				Cheetah
<i>Caracal caracal</i> (I) (Only the population of Asia; all other populations are included in Annex B) =338				Asian Caracal
<i>Catopuma temminckii</i> (I) =339				
<i>Felis nigripes</i> (I)				
<i>Felis silvestris</i> (II)				
<i>Herpailurus yagouaroundi</i>(I) (Only the populations of Central and North America; all other populations are included in Annex B) =339				
<i>Leopardus pardalis</i> (I) =339				
<i>Leopardus tigrinus</i> (I) =339				Ocelot
<i>Leopardus wiedii</i> (I) =339				
<i>Lynx lynx</i> (II) =339				
<i>Lynx pardinus</i> (I) =340				
<i>Neofelis nebulosa</i> (I)				
<i>Oncifelis geoffroyi</i> (I) =339				
<i>Oreailurus jacobita</i> (I) =339				
<i>Panthera leo persica</i> (I)				
<i>Panthera onca</i> (I)				
<i>Panthera pardus</i> (I)				
<i>Panthera tigris</i> (I)				
<i>Pardofelis marmorata</i> (I) =339				
				Marbled cat

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	Annex A	Annex B	Annex C	Common name
	Prionailurus bengalensis bengalensis (I) (Only the populations of Bangladesh, India and Thailand; all other populations are included in Annex B.) =339			Bengal leopard cat
	Prionailurus bengalensis iriomotensis (II)=339			Iriomote cat
	Prionailurus planiceps (I) =339			Flat-headed cat
	Prionailurus rubiginosus (I) (Only the population of India; all other populations are included in Annex B.) =339			Rusty-spotted cat
Otariidae				
	Puma concolor coryi (I) =339			Florida puma
	Puma concolor costaricensis (I) =339			Costa Rican puma
	Puma concolor conguar (I) =339			Eastern puma
	Uncia uncia (I) =341			Snow leopard
				Fur seals, sealions
				Fur seals
				Juan Fernandez fur seal
				Guadalupe fur seal
Odobenidae				Walrus
				Seals
Phocidae				Southern elephant-seal
				Monk seals
PROBOSCIDEA				
Elephantidae				Elephants
				Asian elephant

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	Annex A	Annex B	Annex C	Common name
SIRENIA Dugongidae	Loxodonta africana (I) — (Except for the populations of Botswana, Namibia, South Africa and Zimbabwe, which are included in Annex B)	Loxodonta africana (II) (Only the populations of Botswana, Namibia, South Africa (I) and Zimbabwe (I); all other populations are included in Annex A)		African elephant
Trichechidae	Dugong dugon (I)	Dugong Dugong Manatees		Dugong Dugong Manatees
PERISSODACTYLA Equidae	Trichechidae spp. (I/II) (<i>Trichechus inunguis</i> and <i>Trichechus manatus</i> are listed in Appendix I. <i>Trichechus senegalensis</i> is listed in Appendix II.)		Horses, wild asses, zebras African ass Grevy's zebra Asiatic wild ass	Kiang Onager ass
Tapiroidea	Equus africanus (I) =342 Equus grevyi (I) Equus hemionus (I/II) (The species is listed in Appendix II but subspecies <i>Equus hemionus hemionus</i> is listed in Appendix I) Equus kiang (II) =343 Equus onager (II) (Except for the subspecies included in Annex A) =343 Equus przewalskii (I) =344 Equus zebra (I)		Equus onager (II) =343 Equus przewalskii (I) =344 Equus zebra hartmannae (II)	Indian wild ass Przewalski's horse Hartmann's mountain zebra Cape mountain zebra Tapirs Tapirs
Tapiridae	Tapiridae spp. (I) (Except for the species included in Annex B)		Tapirus terrestris (II)	Brazilian tapir

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	Annex A	Annex B	Annex C	Common name
Rhinocerotidae	Rhinocerotidae spp. (I) (Except for the subspecies included in Annex B)			Rhinoceroses Rhinoceroses
		Ceratotherium simum simum (II) (Only the populations of South Africa; all other populations are included in Annex A. For the exclusive purpose of allowing international trade in live animals to appropriate and acceptable destinations and trade in hunting trophies. All other specimens shall be deemed to be specimens of species included in Annex A and trade in them shall be regulated accordingly)		Southern white rhino
ARTIODACTYLA				
Tragulidae				Mouse-deer
				Water chevrotain
Suidae				Babirusa, hogs, pigs
Tayassuidae				Babirusa
				Pygmy hog
				Peccaries
				Peccaries
				Chacoan peccary
				Hippopotamuses
				Pygmy hippopotamus
				Hippopotamus
				Camels, guanoco, vicuña
				Guanaco
				Lama guanicoe (II)

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	Annex A	Annex B	Annex C	Common name
	Vicugna vicugna (I) (Except for the populations of Argentina (the population of the Provinces of Jujuy and Catamarca and the semicaptive populations of the Provinces of Jujuy, Salta, Catamarca, La Rioja and San Juan); Bolivia (the whole population); Chile (population of the Primera Region); Peru (the whole population); all other populations are included in Annex A)	Vicugna vicugna (II) (Only the populations of Argentina (I) (the population of the Provinces of Jujuy and Catamarca and the semicaptive populations of the Provinces of Jujuy, Salta, Catamarca, La Rioja and San Juan); Bolivia (I) (the whole population); Chile (I) (population of the Primera Region); Peru (I) (the whole population); all other populations are included in Annex A)		Vicuña
Moschidae	Moschus spp. (I) (Only the populations of Afghanistan, Bhutan, India, Myanmar, Nepal and Pakistan; all other populations are included in Annex B)	Moschus spp. (II) (Except for the populations of Afghanistan, Bhutan, India, Myanmar, Nepal and Pakistan, which are included in Annex A)		Musk deer Musk deer
Cervidae				Deer, guemals, muntjacs, pudus Calamian deer Kuhl's hog deer Indochina hog deer Marsh deer Swamp deer Bactrian deer Barbary deer Hangul Thamin Persian fallow deer Huemuls
	<i>Axis calamianensis</i> (I) =346 <i>Axis kuhlii</i> (I) =347 <i>Axis porcinus annamiticus</i> (I) =348 <i>Blastocerus dichotomus</i> (I) <i>Cervus duvaucelii</i> (I)		<i>Cervus elaphus bactrianus</i> (II) <i>Cervus elaphus hanglu</i> (I) <i>Cervus eldi</i> (I) <i>Dama mesopotamica</i> (I) =349 <i>Hippocamelus</i> spp. (I) <i>Megamuntiacus vuquangensis</i> (I) <i>Muntiacus crinifrons</i> (I)	<i>Cervus elaphus barbarus</i> (III TN) <i>Mazama americana cerasina</i> (III GT) <i>Odocoileus virginianus mayensis</i> (III GT)
				Middle American red brocket Giant muntjac Black muntjac Guatemalan white-tailed deer

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	Annex A	Annex B	Annex C	Common name
	Ozotoceros bezoarticus (I)			Pampas deer
	Pudu pudu (I)	Pudu mephistophiles (II)		Northern pudu
Antilocapridae	Antilocapra americana (I) (Only the population of Mexico; no other population is included in the Annexes to this Regulation)			Pronghorn
Bovidae	Addax nasomaculatus (I)	Ammotragus lervia (II) Bison bison athabascae (II)	Antilope cervicapra (III NP)	Mexican pronghorn Antelopes, cattle, goats, sheep etc.
		Bos gaurus (I) =350 Bos mutus (I) =351 Bos sauveti (I) =352	Bubalus arnee (III NP) =353	Addax Barbary sheep Wood bison Blackbuck Gaur Wild yak Kouprey Wild Asiatic buffalo
		Bubalus depressicornis (I) =354 Bubalus mindorensis (I) =354 Bubalus quarlesi (I) =354	Budorcas taxicolor (II)	Lowland anoa Tamaraw Mountain anoa Takin Markhor Bay duiker
		Capra falconeri (I)		Jentink's duiker
			Cephalophus dorsalis (II)	Blue duiker
		Cephalophus jentinki (I)		Ogilby's duiker
			Cephalophus monticola (II)	Yellow-backed duiker
			Cephalophus ogilbyi (II)	Banded duiker
			Cephalophus silvicultor (II)	Tsessebi
			Cephalophus zebra (II)	Damaliscus lunatus (III GH)

	Annex A	Annex B	Annex C	Common name
Gazella dama (I)	<i>Damaliscus pygargus pygargus</i> (II) =355			Bontebok
Hippotragus niger variani (I)	<i>Gazella cuvieri</i> (III TN)			Edmi gazelle
	<i>Gazella dorcas</i> (III TN)			Dama gazelle
	<i>Gazella leptoceros</i> (III TN)			Dorcas gazelle
	<i>Kobus leche</i> (II)			Rhim
	<i>Naemorhedus baileyi</i> (I) =356			Giant sable antelope
	<i>Naemorhedus caudatus</i> (I) =356			Lechwe
	<i>Naemorhedus goral</i> (I)			Red goral
	<i>Naemorhedus sumatraensis</i> =357			Chinese goral
	<i>Oryx dammah</i> (I) =358			Goral
	<i>Oryx leucoryx</i> (I)			Mainland serow
		Ovis ammon (II) (Except for the subspecies included in Annex A)		Scimitar-horned oryx
	<i>Ovis ammon hodsonii</i> (I)			Arabian oryx
	<i>Ovis ammon nigrimontana</i> (I)			Argali
		Ovis canadensis (II) (Only the population of Mexico; no other population is included in the Annexes to this Regulation)		Tibetan argali
	<i>Ovis orientalis opinion</i> (I) =359			Kara Tau argali
				Mexican bighorn sheep
				Cyprus mouflon
				Urial
	<i>Ovis vignei</i> (I) =360			Ladakh urial
	<i>Pantholops hodsonii</i> (I)			Chiru
	<i>Pseudoryx nghetinhensis</i> (I)			Vu Quang ox
	<i>Rupicapra pyrenaica ornata</i> (I) =361			Abruzzo chamois
				Saiga antelope

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	Annex A	Annex B	Annex C	Common name
AVES			Tetracerus quadricornis (III NP) Tragelaphus eurycerus (III GH) =362 Tragelaphus spekii (III GH)	Four-horned antelope Bongo Sitatunga Birds
STRUTHIONIFORMES				Ostrich Ostrich
Struthionidae	Struthio camelus (I) (Only the populations of Algeria, Burkina Faso, Cameroon, the Central African Republic, Chad, Mali, Mauritania, Morocco, the Niger, Nigeria, Senegal and the Sudan; all other populations are not included in the Annexes to this Regulation)			
RHEIFORMES				Rheas
Rheidae	Rhea pennata(I) (Except for the populations of Rhea pennata pennata of Argentina and Chile, which are included in Annex B) =363	Rhea americana (II)	Rhea pennata pennata (II) (Only the populations of Argentina and Chile) =363	Greater rhea Lesser rhea Lesser rhea
TINAMIFORMES				Tinamous
Tinamidae		Tinamus solitarius (I)		Solitary tinamou
SPHENISCIFORMES				Penguins
Spheniscidae			Spheniscus demersus (II)	Jackass penguin Humboldt penguin
PODICIPEDIFORMES			Spheniscus humboldti (I)	Grebes
Podicipedidae				

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	Annex A	Annex B	Annex C	Common name
PROCELLARIIFORMES	<i>Podilymbus gigas</i> (I)			Atitlan Grebe
Diomedeidae	<i>Diomedea albatrus</i> (I)			Albatrosses
PELECANIFORMES				Short-tailed albatross
Pelecanidae	<i>Pelecanus crispus</i> (I)			Pelicans
Sulidae	<i>Papasula abbotti</i> (I) =364			Dalmatian pelican
Fregatidae	<i>Fregata andrewsi</i> (I)			Boobies
CICONIIFORMES			<i>Ardea goliath</i> (III GH)	Abbott's booby
Ardidae				Frigatebirds
Bubulcus ibis (III GH) =365				Christmas frigatebird
Casmerodius albus (III GH) =366				Egrets, herons
Egretta garzetta (III GH)				Goliath heron
Balaenicipitidae			<i>Balaeniceps rex</i> (II)	Cattle egret
Ciconiidae				Great egret
<i>Ciconia boyciana</i> (I) =367				Little egret
<i>Ciconia nigra</i> (II)				Shoebill, whale-headed stork
<i>Ciconia stormi</i>				Shoebill
Jabiru mycteria (I)				Storks
Leptoptilos crumeniferus (III GH)				Oriental stork
Leptoptilos dubius				Black stork
Mycteria cinerea (I)				Storm's stork
				Saddle-billed stork
				Jabiru
				Marabou stork
				Greater adjutant stork
				Milky stork

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	Annex A	Annex B	Annex C	Common name
Threskiornithidae				Ibises, spoonbills
<i>Geronticus calvus</i> (II)				Haddada ibis
<i>Geronticus eremita</i> (I)				Spot-breasted ibis
<i>Nipponia nippon</i> (I)				Scarlet ibis
<i>Platalea leucorodia</i> (II)				Bald ibis
<i>Pseudibis gigantea</i>				Waldraff
Phoenicopteridae				Crested ibis
<i>Phoenicopterus ruber</i> (II) =368				Eurasian spoonbill
<i>Phoenicopterus ruber</i> (II) =369				Giant ibis
				Sacred ibis
				Flamingos
				Greater flamingo
				Ducks, geese, swans etc.
				Egyptian goose
				Northern pintail
				Brown teal
				Madagascar teal
				Cape teal
				Northern shoveler
				Common teal
				Baikal teal
				Laysan duck
				Marianas mallard
				Eurasian wigeon
				Garganey
				Madagascar pochard
ANSERIFORMES				
				Anatidae
				<i>Anas acuta</i> (III GH)
				<i>Anas bernieri</i> (II)
				<i>Anas capensis</i> (III GH)
				<i>Anas clypeata</i> (III GH) =372
				<i>Anas crecca</i> (III GH)
				<i>Anas formosa</i> (II)
				<i>Anas laysanensis</i> (I) =373
				<i>Anas oustaleti</i> (I) =374
				<i>Anas penelope</i> (III GH)
				<i>Anas querquedula</i> (III GH)
				<i>Aythya innotata</i>

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	Annex A	Annex B	Annex C	Common name
	Aythya nyroca (III GH) =375 Branta canadensis leucopareia (I) Branta ruficollis (II) Branta sandvicensis (I)			Ferruginous duck Aleutian goose Red-breasted goose Nene
Cairina scutulata (I)	Coscoroba coscoroba (II) Cygnus melanocorypha (II) Dendrocygna arborea (II)	Cairina moschata (III HN)		Muscovy duck White-winged duck Coscoroba swan Black-necked swan West Indian whistling-duck Black-bellied whistling-duck
Mergus octosetaceus		Dendrocygna autumnalis (III HN) Dendrocygna bicolor (III GH/HN) =376 Dendrocygna viduata (III GH)		Fulvous whistling-duck White-faced whistling-duck Brazilian merganser African pygmy-geese Ruddy duck
Oxyura jamaicensis	Oxyura leucocephala (II)	Nettapus auritus (III GH)		White-headed duck Spur-winged goose Hartlaub's duck Pink-headed duck
Rhodonessa caryophyllacea (possibly extinct) (I)		Sarkidiornis melanotos (II)		Comb duck Crested shelduck
Tadorna cristata				Diurnal birds of prey (eagles, falcons, hawks, vultures)
FALCONIFORMES				Diurnal birds of prey
				FALCONIFORMES spp. (II) — (Except for the species included in Annex A and for one species of the family Cathartidae included in Annex C, the other species of that family are not included in the Annexes to this Regulation)

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	Annex A	Annex B	Annex C	Common name
Cathartidae	Gymnogyps californianus (I)			New world vultures California condor
	Vultur gryphus (I)		Sarcoramphus papa (III HN)	King vulture Andean condor
Pandionidae	Pandion haliaetus (II)			Ospreys
Accipitridae				Hawk, eagles
	Accipiter brevipes (II)			Levant sparrowhawk
	Accipiter gentilis (II)			Northern goshawk
	Accipiter nisus (II)			Eurasian sparrowhawk
	Aegypius monachus (II)			Cinerous vulture
	Aquila adalberti (I) =378			Adalbert's eagle
	Aquila chrysaetos (II)			Golden eagle
	Aquila clanga (II)			Greater spotted eagle
	Aquila heliaca (I)			Imperial eagle
	Aquila pomarina (II)			Lesser spotted eagle
	Buteo buteo (II)			Common buzzard
	Buteo lagopus (II)			Rough legged buzzard
	Buteo rufinus (II)			Long-legged buzzard
	Chondrohierax uncinatus wilsonii (I) =379			Cuban hook-billed kite
	Circaetus gallicus (II)			Short-toed snake-eagle
	Circus aeruginosus (II)			Western marsh-harrier
	Circus cyaneus (II)			Northern harrier
	Circus macrourus (II)			Pallid harrier
	Circus pygargus (II)			Montagu's harrier
	Elanus caeruleus (II)			Black-winged kite
	Euriorchis astur (II)			Madagascar serpent-eagle
	Gypaetus barbatus (II)			Lammergeier

	Annex A	Annex B	Annex C	Common name
	<p>Gyps fulvus (II)</p> <p>Haliaeetus spp. (I/II) (Haliaeetus albicilla and Haliaeetus leucocephalus are listed in Appendix I; the other species are listed in Appendix II)</p> <p>Harpia harpyja (I)</p> <p>Hieraetus fasciatus (II)</p> <p>Hieraetus pennatus (II)</p> <p>Leucophaeus occidentalis (II)</p> <p>Milvus migrans (II)</p> <p>Milvus milvus (II)</p> <p>Neophron percnopterus (II)</p> <p>Pernis apivorus (II)</p> <p>Pithecopaga jefferyi (I)</p>			<p>Eurasian griffon Sea-eagles</p> <p>Harpie eagle</p> <p>Bonelli's eagle</p> <p>Booted eagle</p> <p>Grey-backed hawk</p> <p>Black kite</p> <p>Red kite</p> <p>Egyptian vulture</p> <p>European honey-buzzard</p> <p>Great Philippine eagle</p> <p>Falcons</p> <p>Seychelles kestrel</p> <p>Lanner falcon</p> <p>Saker falcon</p> <p>Merlin</p> <p>Eleonor's falcon</p> <p>Lagger falcon</p> <p>Lesser kestrel</p> <p>Newton's kestrel</p> <p>Barbary falcon</p> <p>Peregrine falcon</p> <p>Mauritius kestrel</p> <p>Gyrfalcon</p> <p>Eurasian hobby</p> <p>Common kestrel</p>

Falconidae

	Annex A	Annex B	Annex C	Common name
GALLIFORMES	Falco vespertinus (II)			Red-footed falcon
Megapodiidae	Macrocephalon maleo (I)			Megapodes, scrubfowl
Cracidae	Crax spp.* (-/III) (The following species are listed in Appendix III: Crax alberti, Crax daubentonii and Crax globulosa for Colombia and Crax rubra for Colombia, Costa Rica, Guatemala and Honduras)	Crax alberti (III CO) Crax blumenbachii (I) Mitu mitu (I) =381 Oreophasis derbianus (I)	Ortalis vetula (III GT/HN) Pauxi spp. (-/III) (Pauxi pauxi is listed in Appendix III for Colombia) =382	Blue-knobbed curassow Red-billed curassow Alagoas curassow Horned guan Plain chachalaca Helmeted curassow
	Penelope albipennis (I)	Pipile jacutinga (I) =383 Pipile pipile (I) =384	Penelope purpurascens (III HN) Penelopina nigra (III GT)	White-winged guan Crested guan Highland guan Black-fronted piping guan Trinidad piping guan Grouse, guineafowl, partridges, pheasants, tragopans White-breasted guineafowl Ocellated turkey
	Agelaias meleagrides (III GH)	Phasianidae	Agrioccharis ocellata (III GT)	Chestnut-necklaced partridge Grey-breasted partridge Great argus Ferruginous partridge
	Catreus wallichii (I)		Caloperdix oculea (III MY)	Cheer pheasant

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	Annex A	Annex B	Annex C	Common name
	<i>Colinus virginianus ridgwayi</i> (I)			Masked bobwhite
	<i>Crossoptilon crossoptilon</i> (I)			White eared-pheasant
	<i>Crossoptilon harmani</i> (I) =386			Tibetan eared-pheasant
	<i>Crossoptilon manchuricum</i> (I)			Brown eared-pheasant
		<i>Gallus sonneratii</i> (II)		Grey junglefowl
		<i>Ithaginis cruentus</i> (II)		Blood pheasant
	<i>Lophophorus impejanus</i> (I)			Himalayan monal
	<i>Lophophorus llyuyii</i> (I)			Chinese monal
	<i>Lophophorus sclateri</i> (I)			Sclater's monal
		<i>Lophura bulweri</i>		Bulwer's pheasant
		<i>Lophura diardi</i>		Siamese fireback
	<i>Lophura edwardsi</i> (I)			Edwards' pheasant
		<i>Lophura erythrophthalma</i> (III MY)		Crestless fireback
		<i>Lophura hatinhensis</i>		Vietnamese fireback
		<i>Lophura hoogerwerfi</i>		Sumatran pheasant
		<i>Lophura ignita</i> (III MY)		Crested fireback
		<i>Lophura imperialis</i> (I)		Imperial pheasant
		<i>Lophura inornata</i>		Salvadori's pheasant
		<i>Lophura leucomelanos</i>		Kalij pheasant
		<i>Lophura swinhoii</i> (I)		Swinhoe's pheasant
		<i>Odontophorus strigatus</i>		Black partridge
		<i>Ophrysia superciliosa</i>		Gorgeted wood-quail
			<i>Pavo muticus</i> (II)	Himalayan quail
			<i>Polyplectron bicalcaratum</i> (II)	Green peafowl
		<i>Polyplectron emphanum</i> (I)		Grey peacock-pheasant
			<i>Polyplectron gemmaceum</i> (II)	Palawan peacock-pheasant
				German's peacock-pheasant
				Mountain peacock-pheasant
				Malayan peacock-pheasant
			<i>Melanoperdix nigra</i> (III MY)	Polyplectron malacense (II)

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	Annex A	Annex B =387	Annex C	Common name
Rheinardia ocellata (I) =388	Polyplectron schleiermacheri (II)	Rhizothera longirostris (III MY) Rollulus rouloul (III MY)	Crested argus Long-billed partridge Crested partridge	Bornean peacock-pheasant
Syrmaticus ellioti (I)			Elliot's pheasant	
Syrmaticus humiae (I)			Hume's pheasant	
Syrmaticus mikado (I)			Mikado pheasant	
Tetraogallus caspius (I)			Caspian snowcock	
Tetraogallus tibetanus (I)			Tibetan snowcock	
Tragopan blythii (I)			Blyth's tragopan	
Tragopan caboti (I)			Cabot's tragopan	
Tragopan melanocephalus (I)			Western tragopan	
Tympanuchus cupido attwateri (I)		Tragopan satyra (III NP)	Satyr tragopan Attwater's prairie-chicken	
GRUIFORMES				
Gruidae		Gruidae spp. (II) (Except for the species included in Annex A)		
Grus americana (I)		Grus canadensis (I/II) (The species is listed in Appendix II but subspecies Grus canadensis nesiotis and Grus canadensis pulla are listed in Appendix I)	Whooping crane	
Grus grus (II)		Grus grus (II)	Sandhill crane	
Grus japonensis (I)		Grus japonensis (I)	Common crane	
Grus leucogeranus (I)		Grus leucogeranus (I)	Red-crowned crane	
Grus monacha (I)		Grus monacha (I)	Siberian crane	
Grus nigricollis (I)		Grus nigricollis (I)	Hooded crane	
Grus vipio (I)		Grus vipio (I)	Black-necked crane	
			White-necked crane	

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		Annex A	Annex B	Annex C	Common name
Rallidae		Gallirallus sylvestris (I) =389			Coots, rails Lord Howe rail
Rhynochetidae		Rhynochetos jubatus (I)			Kagu Kagu
Otididae			Otididae spp. (II) (Except for the species included in Annex A)		Bustards Bustards Indian bustard Houbara bustard Lesser florican Bengal florican Great bustard Little bustard
		Ardeotis nigriceps (I) =390			Thick-knees
		Chlamydotis undulata (I)			Double-striped thick-knee
		Eupodotis indica (II)			Curlews, greenshanks
		Eupodotis bengalensis (I) =391			Eskimo curlew
		Otis tarda (II)			Slender-billed curlew
		Tetrax tetrax (II)			Nordmann's greenshank
					Gulls, terns
					Relict gull
				Burhinus bistriatus (III GT)	Doves, pigeons
CHARADRIFORMES					Nicobar pigeon
Burhinidae					Ring-tailed pigeon
					Purple-winged ground-dove
Scolopacidae					Speckled pigeon
					Western bronze-naped pigeon
		Numenius borealis (I)			
		Numenius tenuirostris (I)			
		Tringa guttifer (I)			
Laridae		Larus relictus (I)			
COLUMBIFORMES					
Columbidae		Caloenas nicobarica (I)			
		Claravis godeffroyi			
				Columba caribaea	
				Columba guinea (III GH)	
				Columba iriditorques (III GH) =392	

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	Annex A	Annex B	Annex C	Common name
<i>Columba livia</i> (III GH)			<i>Columba mayeri</i> (III MU) =393	Rock pigeon Pink pigeon Afep pigeon Tooth-billed pigeon Mindoro zone-tailed pigeon
<i>Ducula mindorensis</i> (I)	<i>Didunculus strigirostris</i>	<i>Gallidolumba luzonica</i> (II) <i>Goura</i> spp. (II)	<i>Columba unicincta</i> (III GH)	Luzon bleeding-heart Crowned-pigeons Grenada dove Namaqua dove Mourning collared-dove African collared-dove Red-eyed dove Laughing dove European turtle-dove Vinaceous dove African green-pigeon
<i>Leptotila wellsi</i>			<i>Oena capensis</i> (III GH) <i>Streptopelia decipiens</i> (III GH) <i>Streptopelia roseogrisea</i> (III GH) <i>Streptopelia semitorquata</i> (III GH) <i>Streptopelia senegalensis</i> (III GH) <i>Streptopelia turtur</i> (III GH)	Brace's green-pigeon Black-billed wood-dove Blue-spotted wood-dove Blue-headed wood-dove Tambourine dove Cockatoos, lorries, macaws, parakeets, parrots etc. Parrots, etc.
			<i>Streptopelia vinacea</i> (III GH) <i>Treron calva</i> (III GH) =394 <i>Treron waalia</i> (III GH) <i>Turtur abyssinicus</i> (III GH) <i>Turtur afer</i> (III GH) <i>Turtur brehmeri</i> (III GH) =395 <i>Turtur tympanistria</i> (III GH) =396	PSITTACIFORMES spp. (II) — (Except for the species included in Annex A and Annex C and excluding <i>Melopsittacus undulatus</i> and <i>Nymphicus hollandicus</i> , which are not included in the Annexes to this Regulation)
				Amazons, cockatoos, lorries, lorikeets, macaws, parakeets, parrots
				Psittacidae

	Annex A	Annex B	Annex C	Common name
	Amazona arausiaca (I)			Red-necked parrot
	Amazona barbadensis (I)			Yellow-shouldered parrot
	Amazona brasiliensis (I)			Red-tailed parrot
	Amazona guildingii (I)			St Vincent parrot
	Amazona imperialis (I)			Imperial parrot
	Amazona leucocephala (I)			Cuban parrot
	Amazona ochrocephala autopalaita (I)			Yellow-naped parrot
	Amazona ochrocephala belizensis (I)			Yellow-headed parrot
	Amazona ochrocephala caribaea (I)			
	Amazona ochrocephala oratrix (I)			
	Amazona ochrocephala parvipes (I)			
	Amazona ochrocephala tresmariae (I)			
	Amazona pretrei (I)			Red-spectacled parrot
	Amazona rhodocorytha (I) =397			Red-browed parrot
	Amazona tucumana (I)			Tucuman parrot
	Amazona versicolor (I)			Saint Lucia parrot
	Amazona vinacea (I)			Vinaceous parrot
	Amazona viridigenalis (I)			Green-cheeked parrot
	Amazona vittata (I)			Puerto Rican parrot
	Anodorhynchus spp. (I)			Blue macaws
	Ara ambiguua (I)			Great green macaw
	Ara glauccularis (I) =398			Blue-throated macaw
	Ara macao (I)			Scarlet macaw
	Ara militaris (I)			Military macaw
	Ara rubrogenys (I)			Red-fronted macaw
	Cacatua goffini (I)			Tanimbar cockatoo
	Cacatua haematuropygia (I)			Philippine cockatoo
	Cacatua moluccensis (I)			Salmon-crested cockatoo

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	Annex A	Annex B	Annex C	Common name
	<i>Cyanopsitta spixii</i> (I) <i>Cyanorhamphus forbesi</i> (I) =399			<i>Spix's macaw</i> <i>Chatham Island yellow-fronted parakeet</i>
<i>Cyanoramphus</i> (I)=400	<i>novaezelandiae</i>			<i>Red-fronted parakeet</i>
<i>Cyclopsitta diophthalma coxeni</i> (I)=401				<i>Coxen's double-eyed fig parrot</i>
<i>Eos histrio</i> (I)				<i>Red and blue lory</i>
<i>Euynymphicus cornutus</i> (I)				<i>Horned parakeet</i>
<i>Geopsittacus occidentalis</i> (possibly extinct) (I) =402				<i>Night parrot</i>
<i>Guarouba guarouba</i> (I)=403				<i>Golden parakeet</i>
<i>Neophema chrysogaster</i> (I)				<i>Orange-bellied parrot</i>
<i>Ognorhynchus icterotis</i> (I)				<i>Yellow-eared parrot</i>
<i>Pezoporus wallicus</i> (I)				<i>Ground parrot</i>
<i>Pionopsitta pileata</i> (I)				<i>Pileated parrot</i>
<i>Probosciger aterrimus</i> (I)				<i>Palm cockatoo</i>
<i>Pyrrhura couloni</i> (I)=404				<i>Blue-headed macaw</i>
<i>Pyrrhura maracana</i> (I)=405				<i>Blue-winged macaw</i>
<i>Psephotus chrysopterygius</i> (I)				<i>Golden-shouldered parrot</i>
<i>Psephotus dissimilis</i> (I) =406				<i>Hooded parrot</i>
<i>Psephotus pulcherrimus</i> (possibly extinct) (I)				<i>Paradise parrot</i>
<i>Psittacula echo</i> (I) =407				<i>Mauritius parakeet</i>
				<i>Rose-ringed parakeet</i>
				<i>Blue-throated parakeet</i>
				<i>Thick-billed parrots</i>
				<i>Kakapo</i>
				<i>Blue lorikeets</i>
			<i>Psittacula krameri</i> (III GH)	
				<i>Vini</i> spp. (I/II) (<i>Vini ultramarina</i> is listed in Appendix I, the other species are listed in Appendix II)

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	Annex A	Annex B	Annex C	Common name
CUCULIFORMES				
Muscicapidae				Turacos
	Corythaëola cristata (III GH) Crinifer piscator (III GH)			Great blue turaco
	Musophaga porphyreolophia (II) =408			Western grey plantain-eater Purple-crested turaco
	Musophaga violacea (III GH)			Violet turaco
	Tauraco spp. (II) (Except for the species included in Annex A)			Turacos
	Tauraco bannermani (II)			Bannerman's turaco
STRIGIFORMES				Owls
	STRIGIFORMES spp. (II) (Except for the species included in Annex A)			Owls
Tytonidae				Barn owl
	Tyto alba (II)			Soumagne's owl
	Tyto soumaginei (I)			Owls
Strigidae				Boreal owl
	Aegolius funereus (II)			Short-eared owl
	Asio flammeus (II)			Long-eared owl
	Asio otus (II)			Forest owllet
	Athene bleewitti (I)			Little owl
	Athene noctua (II)			Eurasian eagle-owl
	Bubo bubo (II)			Eurasian pygmy-owl
	Glaucidium passerinum (II)			Lesser eagle-owl
	Mimizuku gurneyi (I) =409			Norfolk boobook
	Ninox novaeseelandiae undulata (I) =410			Christmas hawk-owl
	Ninox squamipila natalis (I)			Snowy owl
	Nyctea scandiaca (II)			Sokoke scops-owl
Otus ireneae (II)				

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	Annex A	Annex B	Annex C	Common name
	Otus scops (II) Strix aluco (II) Strix nebulosa (II) Strix uralensis (II) Strix ulula (II)			Eurasian scops-owl Tawny owl Great grey owl Ural owl Northern hawk owl
APODIFORMES				
Trochilidae				Hummingbirds Hummingbirds
				Hook-billed hermit
TROGONIFORMES				
Trogonidae				Quetzals
CORACIFORMES				Resplendent quetzal
Bucerotidae				Hornbills
				Hornbills
				Rufous-necked hornbill
				Plain-pouched hornbill
				Hornbills
				Hornbills
				Hornbills
				Great hornbill
				Helmeted hornbill
				Hornbills
PICIFORMES				
Capitonidae				Barbets
Ramphastidae				Toucan barbet Toucans
				Semnornis ramphastinus (III CO)

Trochilidae spp. (II) (Except for the species included in Annex A)

Glaucis dohrnii (I)=411

Aceros spp. (II) (Except for the species included in Annex A)

Aceros nipalensis (I)

Aceros subruficollis (I)

Anorrhinus spp. (II) =412

Anthracoceros spp. (II)

Buceros spp. (II) (Except for the species included in Annex A)

Buceros bicornis (I)

Buceros vigil (I) =413

Penelopides spp. (II)

Semnornis ramphastinus (III CO)

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	Annex A	Annex B	Annex C	Common name
Pycnonotidae		Pycnonotus zeylanicus (II)		Bulbuls Straw-headed bulbul
Muscicapidae	Bebrornis rodericanus (III MU)	Cyornis ruckii (II) =415		Old-world flycatchers Rodriguez brush-warbler Rueck's blue-flycatcher Western rufous bristlebird
	Dasyornis broadbenti litoralis (possibly extinct) (I)			Western Bristlebird
	Dasyornis longirostris (I) =416	Garrulax canorus (II) Leiothrix argentauris (II) Leiothrix lutea (II) Liocichla onmeiensis (II)		Melodious laughing thrush Silver-eared mesia Red-billed leiothrix Omei shan liocichla
		Picathartes gymnocephalus (I)		White-necked rockfowl Grey-necked rockfowl
		Picathartes oreas (I)		Mascarene paradise-flycatcher
			Terpsiphone bourbonnensis (III MU) =417	Sunbirds
				Anamni sunbird Banded sunbird
				White-eyes
				White-chested white-eye
				Honey-eaters
				Helmeted honeyeater
				Cardinals, tanagers
Nectariniidae				Yellow cardinal
Zosteropidae	Zosterops albogularis (I)			Yellow-billed cardinal
Meliphagidae	Lichenostomus melanops cassidix (I) =418			Red-crested cardinal
Emberizidae				Seven-coloured tanager
Icteridae				New-world blackbirds

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	Annex A	Annex B	Annex C	Common name
Fringillidae	<i>Agelaius flavus</i> (I) =419 <i>Carduelis cucullata</i> (I) =420	<i>Carduelis yarrellii</i> (I) =420	 <i>Serinus canicapillus</i> (III GH) =421 <i>Serinus leucopygius</i> (III GH) <i>Serinus mozambicus</i> (III GH)	Saffron-cowled blackbird Finches Red siskin Yellow-faced siskin West African seedeater White-rumped seedeater Yellow-fronted canary Manikins, waxbills Cut throat Green avadavat Zebra waxbill Common waxbill Lavender waxbill Orange-cheeked waxbill Black-rumped waxbill Black-bellied firefinch African firefinch Bar-breasted firefinch Red-billed firefinch Black-faced firefinch Black-and-white mannikin African silverbill Bronze mannikin Magpie mannikin Green-backed twinspot Grey-headed olive-back Chestnut-breasted negrofinch Grey-headed negrofinch White-breasted negronfinch
Estrildidae	 <i>Amandava formosa</i> (II)	 <i>Amandava subflava</i> (III GH) =422 <i>Estrilda astrild</i> (III GH) <i>Estrilda caerulescens</i> (III GH) <i>Estrilda melpoda</i> (III GH) <i>Estrilda troglodytes</i> (III GH) <i>Lagonosticta rara</i> (III GH) <i>Lagonosticta rubricata</i> (III GH) <i>Lagonosticta rufopicta</i> (III GH) <i>Lagonosticta senegala</i> (III GH) <i>Lagonosticta vinacea</i> (III GH) =423 <i>Lonchura cantans</i> (III GH) =425 <i>Lonchura cucullata</i> (III GH) =424 <i>Lonchura fringilloides</i> (III GH) =424 <i>Mandingoa nitidula</i> (III GH) =426 <i>Nesocharis capistrata</i> (III GH) <i>Nigrita bicolor</i> (III GH) <i>Nigrita canicapilla</i> (III GH) <i>Nigrita fusconota</i> (III GH)	 <i>Amadina fasciata</i> (III GH)	

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Common name	Annex C	Annex B	Annex A
Pale-fronted negrofinch	Nigrita luteifrons (III GH)	Padda fuscata	
African quailfinch	Oryzopsis atricollis (III GH)	Padda oryzivora (II)	
Timor sparrow			
Jameson's antpecker			
Tit-hylia			
Southern black-throated finch			
Black-bellied seedcracker			
Red-faced pytilia			
Red-winged pytilia			
Western bluebill			
Red-cheeked cordonbleu			
Weavers, whydahs			
Grosbeak weaver			
Red-headed weaver			
Parasitic weaver			
White-billed buffalo weaver			
Yellow-crowned bishop			
Redcollared widowbird			
Orange bishop			
Black-winged bishop			
Yellow-mantled widowbird			
Black-throated malimbe			
Crested malimbe			
Gray's malimbe			
Red-headed malimbe			
Red-vented malimbe			
Compact weaver			
Pachyphantes superciliatus (III GH)			
Pachyphantes superciliatus (III GH) =434			

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	Annex A	Annex B	Annex C	Common name
			<i>Passer griseus</i> (III GH) <i>Petronia denata</i> (III GH) <i>Plocepasser superciliosus</i> (III GH) <i>Ploceus albimucha</i> (III GH) <i>Ploceus aurantius</i> (III GH) <i>Ploceus cucullatus</i> (III GH) =435 <i>Ploceus heuglini</i> (III GH) <i>Ploceus luteolus</i> (III GH) =436 <i>Ploceus melanocephalus</i> (III GH) =437 <i>Ploceus nigrerrimus</i> (III GH) <i>Ploceus nigricollis</i> (III GH) <i>Ploceus pelzelni</i> (III GH) <i>Ploceus preussi</i> (III GH) <i>Ploceus tricolor</i> (III GH) <i>Ploceus vitellinus</i> (III GH) =438 <i>Qualea erythrops</i> (III GH) <i>Sporopipes frontalis</i> (III GH) <i>Vidua chalybeata</i> (III GH) =439 <i>Vidua interjecta</i> (III GH) <i>Vidua larvaticola</i> (III GH) <i>Vidua macroura</i> (III GH) <i>Vidua orientalis</i> (III GH) =440 <i>Vidua raricola</i> (III GH) <i>Vidua togoensis</i> (III GH) <i>Vidua wilsoni</i> (III GH)	Grey-headed sparrow Bush petronia Chestnut-crowned sparrow-weaver Maxwell's black weaver Orange weaver Village weaver Heuglin's masked-weaver Little weaver Black-headed weaver Vieillot's black weaver Black-necked weaver Slender-billed weaver Preuss's weaver Yellow-mantled weaver Vitelline masked-weaver Red-headed quelea Speckle-fronted weaver Village indigobird Uelle paradise-whydah Baka indigobird Pin-tailed whydah Northern paradise whydah Jambandu indigobird Togo paradise-whydah Pale-winged indigobird Mynahs Hill mynah Bali mynah

Gracula religiosa (II)

Leucopsar rothschildi (1)

Sturnidae

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	Annex A	Annex B	Annex C	Common name
Paradisaeidae		Paradisaeidae spp. (II)		Birds of paradise
REPTILIA				Birds of paradise
TESTUDINATA				Reptiles
Dermatemydidae		Dermatemys mawii (II)		Central American river turtle
Platysternidae		Platysternon megacephalum (II)		Central American river turtle
Emydidae		Annamemys annamensis (II)		Big-headed turtle
		Batagur		Big-headed turtle
		Callagur borneoensis (II)		Box turtles, freshwater turtles
		Chrysemys picta		Annam pond turtle
		Clemmys insculpta (II)		Batagur
		Cuora spp. (II)		Painted terrapin
		Geoclemmys hamiltonii (I) =441		Wood turtle
		Heosennys depressa (II)		Bog turtle
		Heosennys grandis (II)		Asian box turtles
		Heosennys leytensis (II)		Black pond turtle
		Heosennys spinosa (II)		Arakan forest turtle
		Hieremys annandalii (II)		Giant Asian turtle
		Kachuga spp. (II) (Except for the species included in Annex A)		Philippine pond turtle
		Kachuga tecta (I) =442		Spiny turtle
				Yellow-headed temple turtle
				Roofed turtles
				Indian sawback turtle
				Sulawesi forest turtle
				Yellow pond turtle
				Three-keeled land tortoise
				Burmese swamp turtle
		Melanochelys tricarinata (I) =443		
		Morenia ocellata (I)		

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	Annex A	Annex B	Annex C	Common name
Trionychidae	Dermochelys coriacea (I) Apalone aer (I) =448 Aspideretes gangeticus (I) =449 Aspideretes hurum (I) =449 Aspideretes nigricans (I) =449	Chitra spp. (II) Pelochelys spp. (II) Lissemys punctata (II)	Trionyx triunguis (III GH) Erymnochelys madagascariensis (II) =450	Leatherback turtle Softshell turtles, terrapins Cuatro Cienegas soft-shell turtle Indian soft-shell turtle Peacock soft-shell turtle Black soft-shell turtle Narrow-headed softshell turtles Giant softshell turtles Indo-Gangetic flap-shelled turtle African soft-shell turtle Afro-American sideneck turtles Madagascar sideneck turtle Helmeted turtle Big-headed sideneck turtle Adanson's mud turtle West African mud turtle African forest turtle West African black forest turtle Sideneck turtles Austro-American sideneck turtles Western swamp turtle Caimans, crocodiles, alligators Caimans, crocodiles, alligators Alligators, caimans Chinese alligator Rio Apaporis spectacled caiman
Pelomedusidae	Peltoccephalus dumeriliiana (II) =450	Pelomedusa subrufa (III GH)	Pelomedusa subrufa (III GH) Pelusios adansonii (III GH) Pelusios castaneus (III GH) Pelusios gabonensis (III GH) =451 Pelusios niger (III GH)	CROCODYLIA spp. (II) (Except for the species included in Annex A) =452
Chelidae	Pseudemydura umbrina (I)	Podoconemis spp. (II)		Alligator sinensis (I) Caiman crocodilus apaporiensis (I)
CROCODYLIA				
Alligatoridae				

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	Annex A	Annex B	Annex C	Common name
Crocodylidae	Caiman latirostris (I) (Except for the population of Argentina, which is included in Annex B) Melanosuchus niger (I) (Except for the population of Ecuador, which is included in Annex B, and is subject to a zero annual export quota until an annual export quota has been approved by the CITES Secretariat and the IUCN/SSC Crocodile Specialist Group)			Broad-nosed caiman Black caiman
Crocodiles				American crocodile African slender-snouted crocodile Orinoco crocodile Philippine crocodile Morelet's crocodile Nile crocodile
	Crocodylus acutus (I) Crocodylus cataphractus (I) Crocodylus intermedius (I) Crocodylus mindorensis (I) Crocodylus moreletii (I) Crocodylus niloticus (I) (Except for the populations of Botswana, Ethiopia, Kenya, Madagascar, Malawi, Mozambique, South Africa, Uganda, the United Republic of Tanzania [subject to an annual export quota of no more than 1600 wild specimens including hunting trophies, in addition to ranned specimens], Zambia and Zimbabwe; these populations are included in Annex B) Crocodylus palustris (I) Crocodylus porosus (I) (Except for the populations of Australia, Indonesia and Papua New Guinea, which are included in Annex B) Crocodylus rhombifer (I) Crocodylus siamensis (I) Osteolaemus tetraspis (I)			Mugger crocodile Estuarine crocodile Cuban crocodile Siamese crocodile West African dwarf crocodile

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	Common name	Annex C	Annex B	Annex A	
Gavialidae	False gharial Gavial or gharial Gharial			Tomistoma schlegelii (I)	
RHYNCHOCEPHALIA				Gavialis gangeticus (I)	
Sphenodontidae	Tuataras Tuataras			Sphenodon spp. (I)	
SAURIA					
Gekkonidae	Geckos Serpent Island gecko			Cyrtodactylus serpensinsula (II) =453	
					Hoplodactylus spp. (III NZ) Naultinus spp. (III NZ)
				Phelsuma spp. (II) (Except for the species included in Annex A)=454	
Agamidae	Round Island day gecko Spiny-tailed lizards (Agamas, mastigures)			Phelsuma guentheri (II)	
Chamaeleonidae	Spiny-tailed lizards Chameleons			Uromastyx spp. (II)	
	Dwarf chameleons Dwarf chameleons			Bradyponion spp. (II) =455	
	Dwarf spiny chameleon Chameleons			Brookesia spp. (II) (Except for the species included in Annex A)	
	European chameleon Chameleons			Brookesia perarmata (I)	
	Iguanidae			Calumma spp. (II) =455	
	Galapagos marine iguanas			Chamaeleo spp. (II) (Except for the species included in Annex A)	
	Fiji iguanas			Chamaeleo chamaeleon (II)	
	Brachylophus spp. (I)			Furcifer spp. (II) =455	

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	Annex A	Annex B	Annex C	Common name
	Cyclura spp. (I)	Conolophus spp. (II)		Galapagos land iguanas Ground iguanas
		Iguana spp. (II)		Iguanas
		Liolemus gravenhorstii		Striped ground iguana
		Phrynosoma coronatum (II)		Coast horned lizard
	Sauromalus varius (I)			San Esteban Island chuckwalla
Lacertidae				Lizards
	Gallotia simonyi (I)			Hierro giant lizard
	Podarcis lillfordi (II)			Lilford's wall lizard
	Podarcis pityusensis (II)			Ibiza wall lizard
Cordylidae		Cordylus spp. (II) =456		Spiny-tailed lizards
		Crocodilurus amazonicus (II)=457		Girdled lizards
		Dracaena spp. (II)		Caiman lizards, tegu lizards
		Tupinambis spp. (II) =458		Dragon lizard
Teiidae				Caiman lizards
				Teju
Scincidae		Corucia zebrata (II)		Skinks
Xenosauridae		Shinisaurus crocodilurus (II)		Prehensile-tailed skink
Helodermatidae				Chinese crocodile lizard
		Heloderma spp. (II)		Chinese crocodile lizard
Varanidae				Gila monster and beaded lizard
		Varanus spp. (II) (Except for the species included in Annex A)		Gila monster and beaded lizard
				Monitor lizards
				Monitor lizards
				Indian monitor
				Yellow monitor
				Desert monitor
				Komodo dragon

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	Annex A	Annex B	Annex C	Common name
SERPENTES	Varanus olivaceus (II)			Gray's monitor Snakes
Loxocemidae		Loxocemidae spp. (II) =459		Mexican dwarf boa Mexican dwarf boa
Pythonidae		Pythonidae spp. (II) (Except for the species included in Annex A) =459		Pythons Pythons
Boidae	Python molurus molurus (I) =460	Boidae spp. (II) (Except for the species included in Annex A)		Indian python Boas Boas
		Acrantophis spp. (I)		Madagascar ground boas
		Boa constrictor occidentalis (I) =461		Argentine boa constrictor
		Epicrates inornatus (I)		Puerto Rican boa
		Epicrates monensis (I)		Virgin Island tree boa
		Epicrates subflavus (I)		Jamaican boa
		Eryx jacchus (II)		Spotted sand boa
		Sanzinia madagascariensis (I) =462		Madagascar tree boa
Bolyeriidae		Bolyeriidae spp. (II) (Except for the species included in Annex A) =459		Round Island boas
	Bolyeria multocarinata (I)			Round Island boas
	Casarea dussumieri (I)	Tropidophiidae spp. (II) =459		Round Island keel-scaled boa
Tropidophiidae				Wood boas
Colubridae				Wood boas
				Typical snakes, water snakes, whip snakes
				Olive keel-back
				Dog-faced water snake
				Mussurana
				Atretium schistosum (III IN) Cerberus rhinchops (III IN)
				Clelia clelia (II) =463

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	Annex A	Annex B	Annex C	Common name
		Cyclagras gigas (II) =464 Dromicrus chamissonis =465 Elachistodon westermanni (II) Ptyas mucosus (II)		False cobra Chilean ground snake Indian egg-eating snake Common rat snake Checkered keel-back Cobras, coral snakes Broad-headed snake Atlantic coral snake Central American coral snake
Elapidae		Hoplocephalus bungaroides (II)	Micrurus diastema (III HN) Micrurus nigrocinctus (III HN)	
		Naja atra (II) =467 Naja kaouthia (II) =467 Naja mandalayensis (II) =467 Naja naja (II) Naja oxiana (II) =467 Naja philippensis (II) =467 Naja sagittifera (II) =467 Naja samarensis (II) =467 Naja siamensis (II) =467 Naja sputatrix (II) =467 Naja sumatrana (II) =467 Ophiophagus hannah (II)	Asiatic cobra	
Viperidae			Crotalus durissus (III HN)	King cobra Vipers Neotropical rattlesnake Neotropical rattlesnake Ridge-nosed rattlesnake Russell's viper Latifi's viper
			Crotalus durissus unicolor =468 Crotalus willardi	
			Daboia russelii (III IN) =469	Vipera latifi

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	Annex A	Annex B	Annex C	Common name
	Vipera ursinii (I) (Only the population of Europe, except the area which formerly constituted the USSR, these latter populations are not included in the Annexes to this Regulation)	Vipera wagneri (II)		Orsini's viper
AMPHIBIA ANURA Bufonidae	Altiphrynoidea spp. (I) =470 Atelopus zeteki (I) =471 Bufo periglenes (I) Bufo superciliaris (I) Nectophrynoidea spp. (I) Nimbaphrynoidea spp. (I) =470 Spinophrynoidea spp. (I) =470	Toads	Golden frog Golden toad Cameroon toad Viviparous toads	
Dendrobatiidae		Poison frogs	Poison-arrow frogs	
Mantellidae		Dendrobates spp. (II) Epipedobates spp. (II) =472 Minyobates spp. (II) =472 Phyllobates spp.(II)	Poison-arrow frogs Poison-dart frogs Mantella frogs	
Microhylidae	Dyscophus antongilli (I)	Mantella spp. (II)	Mantella frogs	
Ranidae	Scaphiophryne gottlebei (I)	Tomato frogs	Tomato frog	Gottlebe's microhylid frog
	Conraua goliath	Frogs	Frogs	
	Euphlyctis hexadactylus (II) =473	Goliath frog	Goliath frog	
	Hoplobatrachus tigerinus (II) =473	Six-fingered frog	Six-fingered frog	
	Tiger frog	Tiger frog	Tiger frog	

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	Annex A	Annex B	Annex C	Common name
Myobatrachidae	Rana catesbeiana			American bullfrog Gastric brooding frogs
Rheobatrachus silus (II)	Rheobatrachus spp. (II) (Except for the species included in Annex A)			Gastric brooding frog Platypus frog
CAUDATA				Axolotls
Ambystomidae				Lake Patzcuaro salamander
Cryptobranchidae				Axolotl Giant salamanders Giant salamanders Sharks and rays
ELASMBORANCHII				Andrias spp. (I) =474
ORECTOLOBIFORMES				
Rhinodontidae				Rhincodon typus (II)
LAMNIFORMES				
Lamnidae				Carcharodon carcharias (III AU)
Cetorhinidae				Cetorhinus maximus (II)
ACTINOPTERYGI				
ACIPENSERIFORMES				ACIPENSERIFORMES spp. (II) (Except for the species included in Annex A)
Acipenseridae				Sturgeons Shortnose sturgeon Common sturgeon
OSTEOGLOSSIFORMES				Arapaimas, bonytongues Arapaima
Osteoglossidae				Arapaima gigas (II)

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	Annex A	Annex B	Annex C	Common name
CYPRINIFORMES Cyprinidae	Scleropages formosus (I)			Asian arowana
				Blind carps, placeosok
				African blind barb fish
				Ikan tenoleh
Catostomidae	Probarbus julieni (I)			Cui-ui
				Cui-ui
SILURIFORMES Pangasiidae	Chasmistes cujus (I)			Pangasid catfish
				Giant catfish
SYNGNATHIFORMES Syngnathidae	Pangasianodon gigas (I)			Pipefishes, seahorses
				Seahorses
PERCIFORMES Sciaenidae		Hippocampus spp. (II) This inclusion will enter into force on 15 May 2004		Totoabas
				Totoaba
				Lungfishes
SARCOPTERYGII COELACANTHIFORMES Coelacanthidae				Coelacanths
CERATODONTIFORMES Ceratodontidae	Latimeria spp. (I)			Coelacanths
				Australian lungfishes
				Australian lungfish
ARTHROPODA (ARTHROPODS)			Neoceratodus forsteri (II)	
ARACHNIDA				Spiders and scorpions
SCORPIONES Scorpionidae				Scorpions
				A scorpion
			Pandinus dictator (II)	

	Annex A	Annex B	Annex C	Common name
ARANEAE		Pandinus gambiensis (II) Pandinus imperator (II) =476		Giant Senegalese scorpion Emperor scorpion
Theraphosidae		Aphonopelma albiceps (II) =477 Aphonopelma pallidum (II) =477 Brachypelma spp (II) Brachypelmides klaasi (II) =477		Red-kneed tarantulas, tarantulas
INSECTA				
COLEOPTERA				
Lucanidae				Insects
LEPIDOPTERA				Beetles
Papilionidae				Stag beetles
		Colophon spp. (III ZA)		Butterflies
				Birdwing and swallowtail butterflies
				Sri Lankan rose
				Palu swallowtail butterfly
				Short-horned baronia
				Swallowtail butterflies
				Apo swallowtail butterfly
				A swallowtail butterfly
				Birdwing butterflies
				Queen Alexandra's birdwing
				A swallowtail butterfly
				Luzon peacock swallowtail
				A swallowtail butterfly
				A swallowtail butterfly
				Homerus swallowtail

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	Annex A	Annex B	Annex C	Common name
Epioblasma sulcata perobliqua (I) =480				White catspaw mussel
Epioblasma torulosa gubernaculum (I) =480		Epioblasma torulosa rangiana (II) =479		Green-blossom pearly mussel
Epioblasma torulosa torulosa (I) =480				Northern riffleshell
Epioblasma turgidula (I) =480				Turbered-blossom pearly mussel
Epioblasma walkeri (I) =480				Turgid-blossom pearly mussel
Fusconaia cuneolus (I)				Tan riffleshell
Fusconaia edgariana (I)				Fine-rayed pigtoe pearly mussel
Lampsilis higginsii (I)				Shiny pigtoe pearly mussel
Lampsilis orbiculata orbiculata (I)				Higgins' eye pearly mussel
Lampsilis satur (I)				Pink mucket pearly mussel
Lampsilis virescens (I)				Sandback pocketbook mussel
Plethobasus cicatricosus (I)				Alabama lamp pearly mussel
Plethobasus cooperianus (I)				White warty-back pearly mussel
Pleurobema plenum (I)				Orange-footed pimpleback mussel
Potamilus capax (I) =481				Clubshell pearly mussel
Quadrula intermedia (I)				Rough pigtoe pearly mussel
Quadrula sparsa (I)				Fat pocketbook pearly mussel
Toxolasma cylindrellus (I) =482				Cumberland monkey-face pearly mussel
Unio nickliniana (I) =483				Appalachian monkey-face pearly mussel
Unio tampicoensis tecumensis (I) =484				Pale lilliput pearly mussel
Villosa trabalis (I) =485				Nicklin's pearly mussel
				Tampico pearly mussel
				Cumberland bean pearly mussel
				Slugs, snails and conches

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	Annex A	Annex B	Annex C	Common name
STYLOMMAТОPHORA Achatinellidae	Achatinella spp. (I)	Papuistyia pulcherrima (II) =486		Agate snails, oatu tree snails Little agate shells Green tree snail Manus green tree snail
Camaenidae				Conches Queen conch
MESOGASTROPODA Strombidae		Strombus gigas (II)		
ANTHOZOA HELIOPORACEA		CNIDARIA (CORALS, FIRE CORALS, SEA ANEMONES)		Corals, sea anemones Blue coral Blue coral
Heliporidae				
		Heliporidae spp. (II) =487 (Fossils are not subject to the provisions of this Regulation)		
STOLONIFERA				
Tubiporidae		Tubiporidae spp. (II) (Fossils are not subject to the provisions of this Regulation)		Organpipe corals Organpipe corals
ANTIPATHARIA SCLERACTINIA		ANTIPATHARIA spp. (II) SCLERACTINIA spp. (II) (Fossils are not subject to the provisions of this Regulation)		Black corals Stony corals
HYDROZOA MILLEPORINA				Sea ferns, fire corals, stinging medusas Wello fire corals Wello fire corals
Milleporidae				
STYLASTERINA Stylasteridae		Milleporidae spp. (II) (Fossils are not subject to the provisions of this Regulation)		Lace corals

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	Annex A	Annex B	Annex C	Common name
AGAVACEAE		Stylasteridae spp. (II) (Fossils are not subject to the provisions of this Regulation)		Lace corals
AMARYLLIDACEAE	Agave arizonica (I) Agave parviflora (I)	Agave victoriae-reginae (II) #1		Agaves New River agave Santa Cruz striped agave Queen Victoria agave
APOCYNACEAE	Nolina interrrata (I)			Dehesa bear-grass Amaryllids Snowdrops
ARALIACEAE		Galanthus spp. (II) #1 Sternbergia spp. (II) #1		Sternbergias
BERBERIDACEAE		Pachypodium spp. (II) (Except for the species included in Annex A) #1		Dogbanes
ARAUCARIACEAE		Pachypodium ambongense (I) Pachypodium baronii (I) Pachypodium decaryi (I)	Rauvolfia serpentina (II) #2	Elephant trunks Snake-root devil-pepper
BROMELIACEAE				Aralias Ginseng
				American ginseng Auricarias Monkey-puzzle tree Barberries
				Himalayan may-apple
				Air plants, bromelias
				Podophyllum hexandrum (II) #2 =488

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	Annex A	Annex B	Annex C	Common name
CACTACEAE		<p>Tillandsia harrisii (II) #1 Tillandsia kammii (II) #1 Tillandsia kautskyi (II) #1 Tillandsia mauryana (II) #1 Tillandsia sprengeliana (II) #1 Tillandsia sucrei (II) #1 Tillandsia xerographica (II) #1</p> <p>CACTACEAE spp. (II) (Except for the species included in Annex A) (#)4</p> <p>Ariocarpus spp. (I) =489 Astrophytum asterias (I) =490 Aztekium ritteri (I) Coryphantha werdermannii (I) =491 Discocactus spp. (I) Echinocereus ferreiranus ssp. lind-sayi (I) =492 Echinocereus schmollii (I) =493 Escobaria minima (I) =494 Escobaria sneedii (I) =495 Mammillaria pectinifera(I) =496 Mammillaria solisioides (I) Melocactus conoideus (I) Melocactus deinacanthus (I) Melocactus glaucescens (I) Melocactus paucispinus (I) Obregonia denegrii (I) Pachycereus militaris (I) =497</p>	<p>Harris' tillandsia Kamm's tillandsia Kautsky's tillandsia Maury's tillandsia Sprenge's tillandsia Sucre tillandsia Xerographic tillandsia</p> <p>Cacti</p> <p>Cacti</p> <p>Living rock cacti Star cactus Aztec cactus Jobali pincushion cactus Discocacti Lindsay's hedgehog cacti Lamb's tail cactus Nelle's cactus Sneed's pincushion cactus Conchilinque Pitayita Conelike Turk's-cap cactus Wonderfully-bristled Turk's cap cactus Woolly waxy-stemmed Turk's-cap cactus Few-spined Turk's-cap cactus Artichoke cactus Grenadier's cap</p>	

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	Annex A	Annex B	Annex C	Common name
Pediocactus bradyi (I) =498				Brady's pincushion cactus
Pediocactus knowltonii (I)				Knowlton's cactus
Pediocactus paradinei (I)				Houserock valley cactus
Pediocactus peeblesianus (I) =499				Peebles' Navajo cactus
Pediocactus sileri (I) =500				Siler's pincushion cactus
Pelecyphora spp. (I) =501				Pine cane cactus
Sclerocactus brevihamatus ssp. tobuschii (I) =502				Tobusch fishhook cactus
Sclerocactus erectocentrus (I) =503				Vinta Basin hookless cactus
Sclerocactus glaucus (I) =504				Mariposa cactus
Sclerocactus mariposensis (I) =505				Mesa Verde cactus
Sclerocactus mesae-verdae (I) =506				Tonopah fishhook cactus
Sclerocactus nyensis (I)				Grama-grass cactus
Sclerocactus papyracanthus (I) =507				Great Basin fishhook cactus
Sclerocactus pubispinus (I) =508				Wright's fishhook cactus
Sclerocactus wrightiae (I) =508				Peyote
Strombocactus spp. (I)				Turbinicarps
Turbinicarpus spp. (I) =509				Uebelmann cacti
Uebelmannia spp. (I) =510				Ajos
CARYOCARACEAE				Ajillo
COMPOSITAE (ASTERACEAE)				Asters, daisies, costus
	Saussurea costus (I) =511			Costus
CRASSULACEAE				Dudleyas, crassulas
				Laguna beach dudleya
CUPRESSACEAE				Santa Barbara Island dudleya
				Cypresses
				Alerce
				Pilgerodendron

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	Annex A	Annex B	Annex C	Common name
CYATHEACEAE		Cyathaea spp. (II) #1 =512		Tree ferns
CYCADACEAE		CYCADACEAE spp. (II) (Except for the species included in Annex A) #1		Tree ferns Cycads
		<i>Cycas beddomei</i> (I)		Cycads
DIAPESSIACEAE				<i>Beddome cycad</i>
DICKSONIACEAE				Oconee bells
		<i>Shortia galacifolia</i> (II) #1		Oconee bells
DIDIEREACEAE				Tree ferns
		<i>Cibotium barometz</i> (II) #1		Tree ferns
		<i>Dicksonia</i> spp. (II) (Only the populations of the Americas; no other populations are included in the Annexes to this Regulation) #1		Didiereas
DIDIEREACEAE				<i>Alhuauadias, didiereas</i>
DIOSCOREACEAE				Yams
		<i>Dioscorea deltoidea</i> (II) #1		Elephant's foot
DROSERACEAE				Sundews
EUPHORBIACEAE				Venus fly-trap
		<i>Dionaea muscipula</i> (II) #1		Spurges
				<i>Euphorbia</i> trigona
				Euphorbias
Euphorbia ambowensis (I) =513				
Euphorbia capsaintemariensis (I)				
Euphorbia cremenii (I) =514				
Euphorbia cylindrifolia (I) =515				

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	Annex A	Annex B	Annex C	Common name
	Euphorbia decaryi (I) =516 Euphorbia francoisii (I) Euphorbia handiensis (II) Euphorbia lambii (II) Euphorbia moratii (I) =517 Euphorbia parvicyathophora (I) Euphorbia quartziticola (I) Euphorbia tularensis (I) =518 Euphorbia stygiana (II)	Fouquieria columnaris (II) #1 Fouquieria fasciculata (I) Fouquieria purpusii (I)	Gnetum montanum (III NP) #1	Ocotillos, boojums Boojum tree Arbol del barril
FOUQUIERIACEAE				Joint firs
GNETACEAE				Wahnuts, gavilan
JUGLANDACEAE			Oreomunnea pterocarpa (II) =519 #1	Gavilan Legumes
LEGUMINOSAE (FABACEAE)	Dalbergia nigra (I)			Brazilian rosewood
LILIACEAE		Pericopsis elata (II) =520 #5 Platymiscium pleiostachyum (II) #1 Pterocarpus santalinus (II) #7	Dipteryx panamensis (III CR)	Almendro Afromasia Quira macawood Red sandalwood
				Lilies Aloes
			Aloe spp. (II) (Except Aloe vera, also referenced as Aloe barbadensis, which is not included in the Annexes to this Regulation) #1	Aloe albida (I)

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	Annex A	Annex B	Annex C	Common name
	<i>Aloe albiflora</i> (I) <i>Aloe alfredii</i> (I) <i>Aloe bakeri</i> (I) <i>Aloe bellatula</i> (I) <i>Aloe calcairophita</i> (I) <i>Aloe compressa</i> (I) =521 <i>Aloe delphinensis</i> (I) <i>Aloe descoingsii</i> (I) <i>Aloe fragilis</i> (I) <i>Aloe haworthioides</i> (I) =522 <i>Aloe heleneae</i> (I) <i>Aloe laeta</i> (I) =523 <i>Aloe parallelifolia</i> (I) <i>Aloe parvula</i> (I) <i>Aloe pillansii</i> (I) <i>Aloe polyphylla</i> (I) <i>Aloe rauhii</i> (I) <i>Aloe suzannaæ</i> (I) <i>Aloe versicolor</i> (I) <i>Aloe vossii</i> (I)			<i>Magnolia liliifera</i> var. <i>obovata</i> (III) NP) =524 #1

MAGNOLIACEAE

MELIACEAE

Magnolias
Safan

Cedrela odorata (III Population of
Columbia [CO], Population of Peru
[PE]) #5

Honduras mahogany
Caribbean mahogany
Swietenia humilis (II) #1
Swietenia mahagoni (II) #5

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	Annex A	Annex B	Annex C	Common name
NEPENTHACEAE		<p><i>Swietinia macrophylla</i> (II) (Population of the Neotropics) #6 This inclusion will enter into force on 15 November 2003</p> <p><i>Nepenthes</i> spp. (II) (Except for the species included in Annex A) #1</p> <p><i>Nepenthes khasiana</i> (I)</p> <p><i>Nepenthes rajah</i> (I)</p>	<p><i>Swietinia macrophylla</i> Until 15 November 2003 (III BO, BR, CO, Population of the Americas CR, MX, PE) #5</p> <p>Pitcher plants (old-world) Tropical pitcher plants</p> <p>Indian pitcher plant Giant tropical pitcher plant</p> <p>Orchids</p>	
ORCHIDACEAE		<p><i>ORCHIDACEAE</i> spp. (II) (Except for the species included in Annex A) (#)=525 #8</p> <p>For all of the following Annex A species, tissue cultures obtained in vitro, in solid or liquid media, transported in sterile containers, are not subject to the provisions of this Regulation)</p> <p><i>Aerangis ellisii</i> (I)</p> <p><i>Cattleya trianaei</i> (I)</p> <p><i>Cephalanthera cucullata</i> (II)</p> <p><i>Cypripedium calceolus</i> (II)</p> <p><i>Dendrobium cruentum</i> (I)</p> <p><i>Goodyera macrophylla</i> (II)</p> <p><i>Laelia jongheana</i> (I)</p> <p><i>Laelia lobata</i> (I)</p> <p><i>Liparis loeselii</i> (II)</p> <p><i>Ophrys argolica</i> (II)</p> <p><i>Ophrys lundulata</i> (II)</p> <p><i>Orchis scopulorum</i> (II)</p> <p><i>Paphiopedilum</i> spp. (I)</p> <p><i>Peristeria elata</i> (I)</p>		<p>Winter cattleya Hooded helleborine Lady's slipper orchid</p> <p>Madeiran lady's-tresses</p> <p>Fen orchid Eyed bee orchid Crescent ophrys Madeiran orchid</p> <p>Asian slipper orchids Holy ghost orchid</p>

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	Annex A	Annex B	Annex C	Common name
OROBANCHACEAE	Phragmipedium spp. (I) Renanthera imschootiana (I) Spiranthes aestivalis (II) Vanda coerulea (I)			South American slipper orchids Red vanda Autumn lady's-tresses Blue vanda Broomrapes Desert cistanche Palms
PALMAE (ARECACEAE)	Cistanche deserticola (II)	Beccariophoenix madagascariensis (II) Chrysalidocarpus decipiens (II) #1 Lemurophoenix halleuxii (II) Marojejya darianii (II) Neodypsis decaryi (II) #1 Ravenea louvelii(II) Ravenea rivularis (II) Satranala decussilvae (II) Voanioala gerardii (II)		Manarano Butterfly palm Hovitra varimena Ravimbe Triangle palm Lakamarefo Gora Satranabe Voanioala Poppies
PAPAVERACEAE			Mecconopsis regia (III NP) #1	Himalayan poppy
PINACEAE		Abies guatemalensis (I)		Pine family
PODOCARPACEAE			Podocarpus nerifolius (III NP) #1	Guatemalan fir Podocarps
PORTULACACEAE		Podocarpus parlatorei (I)		Yellow wood Parlatore's podocarp Portulacas, purslanes Purseleans
			Anacampseros spp. (II) =526 #1 Avonia spp. =527 #1 Lewisia serrata (I) #1	Saw-toothed lewisia

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	Annex A	Annex B	Annex C	Common name
PRIMULACEAE		Cyclamen spp. (II) (⁽¹⁰⁾ #1		Primulas, cyclamens
PROTEACEAE		Orothamnus zeyheri (II) #1 Protea odorata (II) #1		Cyclamens Proteas Marsh-rose Ground-rose Buttercups
RANUNCULACEAE		Adonis vernalis (II) #2		Yellow adonis
ROSACEAE		Hydrastis canadensis (II) #3		Roses, cherries
RUBIACEAE		Prunus africana (II) #1		African cherry
SARRACENIACEAE	Balmea stormiae (I)	Sarracenia spp. (II) (Except for the species included on Annex A) #1 =528	Ayngue Pitcher plants (new world) Pitcher plants	Pitcher plants (new world) Alabama canebrake pitcher plant
SCROPHULARIACEAE	Sarracenia rubra ssp. alabamensis (I) Sarracenia rubra ssp. jonesii (I) =529 Sarracenia oreophila (I)		Mountain sweet pitcher plant Green pitcher plant Figworts	Mountain sweet pitcher plant Green pitcher plant
STRANGERIAEAE		Picrothiza kurrooa =530#3		Indian gentian Strangerias (cycads)
TAXACEAE	Bowenia spp. =531 #1	Stangeria eriopus (I) =532	Cycads Strangeria	Cycads Strangeria
TROGODENDRACEAE (TETRACENTRACEAE)		Taxus wallichiana (II) #2 =533	Yews Himalayan yew Tetracentrons	Tetracentron sinense (III NP) #1

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	Annex A	Annex B	Annex C	Common name
THYMELEACEAE (AQUILLARIACEAE)		Aquilaria malaccensis (II) #1	Gonystylus spp. (III ID) #1	Agarwood, ramin
VALERIANACEAE		Nardostachys grandiflora =534 #3		Ramin Valerians
WELWITSCHIACEAE		Welwitschia mirabilis (II) =535 #1		Welwitschias
ZAMIACEAE	Ceratozamia spp. (I) Chigua spp. (I) Encephalartos spp. (I) Microcycas calocoma (I)	ZAMIACEAE spp. (II) (Except for the species included on Annex A) #1	Hedychium philippinense (II) #1 Guaiacum spp. (II) #1	Cycads Horncores Bread palms Palm corcho Ginger lilies Philippine garland-flower Lignum-vitae Lignum-vitae
ZINGIBERACEAE				
ZYGOHYLLACEAE				

(¹) All species are listed in Appendix II except Lipotes vexillifer, Platanista spp., Berardius spp., Hyperoodon spp., Physeter catodon (includes synonym Physeter macrocephalus), Sotalia spp., Sousa spp., Neophocaena phocaenoides, Phocoena sinus, Eschrichtius robustus (includes synonym Echthrichtius glaucescens), Balaenoptera acutorostrata), Megaptera novaeangliae, Balaena mysticetus, Eubalaena spp. (formerly included in genus Balaena) and Caperea marginata which are listed in Appendix I. Specimens of the species listed in Appendix II to the Convention, including products and derivatives other than meat products for commercial purposes, taken by the people of Greenland under licence granted by the competent authority concerned, shall be treated as belonging to Annex B. A zero annual export quota is established for live specimens from the Black Sea population of *Tursiops truncatus* removed from the wild and traded for primarily commercial purposes.

(²) Populations of Botswana, Namibia and South Africa (listed in Annex B):

For the exclusive purpose of allowing: (1) trade in hunting trophies for non-commercial purposes; (2) trade in live animals for in situ conservation programmes; (3) trade in leather goods for non-commercial purposes; (5) trade in registered raw ivory (for Botswana and Namibia, whole tusks and pieces; for South Africa, whole tusks and cut pieces of ivory that are both 20 cm or more in length and 1 kg or more in weight) subject to the following: (i) only registered government-owned stocks, originating in the State (excluding seized ivory and ivory of unknown origin) and, in the case of South Africa, only ivory originating from the Kruger National Park; (ii) only to trading partners that have been verified by the Secretariat, in consultation with the Standing Committee, to have sufficient national legislation and domestic trade controls to ensure that the imported ivory will not be re-exported and will be managed in accordance with all requirements of Resolution Conf.10.10 (Rev. CoPI2) concerning domestic manufacturing and trade; (iii) not before May 2004, and in any event not before the Secretariat has verified the prospective importing countries, and the MIKE programme has reported to the Secretariat on the baseline information (e.g., elephant population numbers, incidence of illegal killing); (iv) a maximum of 20 000 kg (Botswana), 10 000 kg (Namibia) and 30 000 kg (South Africa) of ivory may be traded, and despatched in a single shipment under strict supervision of the Secretariat; (v) the proceeds of the trade are used exclusively for elephant conservation and community conservation and development programmes within or adjacent to the elephant range; (vi) only after the Standing Committee has agreed that the above conditions have been met. On a proposal from the Secretariat, the Standing Committee can decide to cause this trade to cease partially or completely in the event of non-compliance by exporting or importing countries or in the case of proven detrimental impacts of the trade on other elephant populations. All other specimens shall be deemed to be specimens of species included in Annex A and the trade in them shall be regulated accordingly.

(³) Population of Zimbabwe (listed in Annex B):

For the exclusive purpose of allowing: (1) export of hunting trophies for non-commercial purposes; (2) export of live animals to appropriate and acceptable destinations; (3) export of hides; (4) export of leather goods and ivory carvings for non-commercial purposes. All other specimens shall be deemed to be specimens of species included in Annex A and the trade in them shall be regulated accordingly. To ensure that where (a) destinations for live

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animals are to be 'appropriate and acceptable' and/or (b) the purpose of the import is to be 'non-commercial', export permits and re-export certificates may be issued only after the issuing Management Authority has received, from the Management Authority of the State of import, a certification to the effect that: in case a), in analogy to Article 4, paragraph 1(c) of the Convention, the holding facility has been reviewed by the competent Scientific Authority, and the proposed recipient has been found to be suitably equipped to house and care for the animals; and/or in case b), in analogy to Article 4, paragraph 1(d), the Management Authority is satisfied that the specimens will not be used for primarily commercial purposes.

(^a) Population of Argentina (listed in Annex B):

For the exclusive purpose of allowing international trade in wool sheared from live vicuñas of the populations included in Annex B, in cloth and in derived manufactured products and other handicraft artefacts. The reverse side of the cloth must bear the logotype adopted by the range States of the species, which are signatories to the Convenio para la Conservación y Manejo de la Vicuña, and the selvages the words 'VICUNA-ARGENTINA'. Other products must bear a label including the logotype and the designation 'VICUNA-ARTESANÍA'. All other specimens shall be deemed to be specimens of species included in Annex A and the trade in them shall be regulated accordingly.

(^b) Population of Bolivia (listed in Annex B):

For the exclusive purpose of allowing international trade in products made from wool sheared from live animals. The wool must bear the logotype adopted by the range States of the species, which are signatories to the Convenio para la Conservación y Manejo de la Vicuña, and the selvages the words 'VICUNA-BOLIVIA'. Other products must bear a label including the logotype and the designation 'VICUNA-ARTESANÍA'. All other products must bear a label including the logotype and the designation 'VICUNA-BOLIVIA'. Other products must bear a label including the logotype and the designation 'VICUNA-ARTESANÍA'. All other specimens shall be deemed to be specimens of species included in Annex A and the trade in them shall be regulated accordingly.

(^c) Population of Chile (listed in Annex B):

For the exclusive purpose of allowing international trade in wool sheared from live vicuñas of the populations included in Annex B, and in cloth and items made thereof, including luxury handicrafts and knitted articles. The reverse side of the cloth must bear the logotype adopted by the range States of the species, which are signatories to the Convenio para la Conservación y Manejo de la Vicuña, and the selvages the words 'VICUNA-CHILE'; Other products must bear a label including the logotype and the designation 'VICUNA-CHILE-ARTESANÍA'. All other specimens shall be deemed to be specimens of species included in Annex A and the trade in them shall be regulated accordingly.

(^d) Population of Peru (listed in Annex B):

For the exclusive purpose of allowing international trade in wool sheared from live vicuñas and in the stock extant at the time of the ninth meeting of the Conference of the Parties (November 1994) of 3 249 kg of wool, and in cloth and items made thereof, including luxury handicrafts and knitted articles. The reverse side of the cloth must bear the logotype adopted by the range States of the species, which are signatories to the Convenio para la Conservación y Manejo de la Vicuña, and the selvages the words 'VICUNA-PERU'. Other products must bear a label including the logotype and the designation 'VICUNA-PERU-ARTESANÍA'. All other specimens shall be deemed to be specimens of species included in Annex A and the trade in them shall be regulated accordingly.

(^e) Artificially propagated specimens of the following hybrids and/or cultivars are not subject to the provisions of this Regulation:

Hatiora x graeseri

Schlumbergera buckleyi

Schlumbergera russelliana × *Schlumbergera truncata*

Schlumbergera orssichiana × *Schlumbergera truncata*

Schlumbergera opuntioides × *Schlumbergera truncata*

Schlumbergera truncata (cultivars)

Cactaceae spp. colour mutants lacking chlorophyll, grafted on the following grafting stocks: *Harrisia 'Jusbertii'*, *Hylocereus trigonus* or *Hylocereus undatus*

Opuntia microdasys (cultivars)

(^f) Artificially propagated specimens of hybrids within the genus *Phalaenopsis* are not subject to the provisions of this Regulation when: (1) specimens are traded in shipments consisting of individual containers (i.e. cartons, boxes, or crates) containing 100 or more plants each; (2) all plants within a container are of the same hybrid, with no mixing of different hybrids within a container; (3) plants within a container can be readily recognised as artificially propagated specimens by exhibiting a high degree of uniformity in size and stage of growth, cleanliness, intact root systems, and general absence of damage or injury that could be attributable to plants originating in the wild; (4) plants do not exhibit characteristics of wild origin, such as damage by insects or other animals, fungi or algae adhering to leaves, or mechanical damage to roots, leaves, or other parts resulting from collection; and (5) shipments are accompanied by documentation, such as an invoice, which clearly states the number of plants and is signed by the shipper. Plants not clearly qualifying for the exemption must be accompanied by appropriate CITES documents.

(^g) Artificially propagated specimens of cultivars of *Cyclamen persicum* are not subject to the provisions of this Regulation. However, the exemption does not apply to such specimens traded as dormant tubers.

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	Annex D	Common name
	FAUNA	
	CHORDATA (CHORDATES)	
MAMMALIA		
CARNIVORA		
Canidae	Mammals	Dogs, foxes, wolves
		Red fox
		Red fox
		Red fox
Mustelidae		Mountain weasel
		Stoat
		Yellow-bellied weasel
		Siberian weasel
AVES	Birds	
ANSERIFORMES		
Anatidae		Ducks, geese, swans
		Meller's duck
GALLIFORMES		
Megapodiidae		Megapodes, scrubfowl
		Moluccan scrub fowl
Cracidae		Chachalas, curassows, guans
Phasianidae		White-crested guan
		Grouse, guineafowl, partridges, pheasants, tragopans
		White-necklaced partridge
		Reeves's pheasant
COLUMBIFORMES		
Columbidae		Doves, pigeons
		Peruvian pigeon
		Grey imperial-pigeon
		Mindanao bleeding-heart
		Gallicolumba criniger

		Annex D	Common name
PASSERIFORMES			
Cotingidae			
Pittidae			
Bombycillidae			
Muscicapidae			
Sittidae			
Emberizidae			
Ptilinopus marchei Turacoena modesta			Flame-breasted fruit-dove Black cuckoo-dove
Procnias nudicollis			Cotingas
Pitta nipalensis Pitta steerii			Pittas
Bombycilla japonica			Waxwings
Cochlearia azurea Cochlearia purpurea			Old-world flycatchers
Garrulax formosus Garrulax galbanus Garrulax milnei			Javan cochoa Purple cochoa
Niltava davidi Stachyris whiteheadi			Red-winged laughingthrush Yellow-throated laughingthrush Red-tailed laughing thrush
Swynnertonia swynnertoni =537 Turdus dissimilis			Fujian niltava Chestnut-faced babbler Swynnerton's robin Black-breasted thrush
Sitta magna Sitta yuanaensis			Nuthatches
Dacnis nigripes			Giant nuthatch Yunnan nuthatch
Sporophila falcirostris Sporophila frontalis			Cardinals, tanagers
Sporophila hypochroma Sporophila palustris			Black-legged dacnis Temminck's seedeater Buffy-throated seedeater Grey-and-chestnut seedeater Marsh seedeater

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		Annex D	Common name
Icteridae	<i>Sturnella militaris</i>		New-world blackbirds
Fringillidae	<i>Carpodacus roborowskii</i> <i>Carduelis ambigua</i> <i>Carduelis atrata</i> <i>Pyrhula erythaca</i> <i>Serinus canicollis</i> <i>Serinus hypostictus</i> =538	<i>Finches</i> Tibetan rosefinch Black-headed greenfinch Black siskin Grey-headed bullfinch Cape canary East African citril Mannikins, waxbills	Pampas meadowlark
Estrildidae	<i>Amandava amandava</i> <i>Cryptospiza reichenovii</i> <i>Erythrura coloria</i> <i>Erythrura viridifacies</i> <i>Estrilda quartaria</i> =539 <i>Hypargos niveoguttatus</i> <i>Lonchura griseicapilla</i> <i>Lonchura punctulata</i> <i>Lonchura stygia</i>	<i>Red avadavat</i> Red-faced crimson-wing Red-eared parrotfinch Green-faced parrotfinch Yellow-bellied waxbill Peters's twinspot Grey-headed silverbill Scaly-breasted munia Black munia	
Sturnidae	<i>Cosmopsarus regius</i> <i>Mino dumontii</i> <i>Sturnus erythropygius</i>	<i>Mynahs, starlings</i> Golden-breasted starling Yellow-faced myna White-headed starling Crows, magpies, jays	
Corvidae	<i>Cyanocorax caeruleus</i> <i>Cyanocorax dickeyi</i>	Azure jay Tufted jay	
REPTILIA	<i>Reptiles</i>		
TESTUDINATA			Box turtles, freshwater turtles
Emydidae			

	Annex D	Common name
Carettochelidae	<i>Chinemys nigricans</i> <i>Geoemyda spengleri</i> <i>Melanochelys trijuga</i> <i>Carettochelys insculpta</i>	Pig-nosed turtle Pig-nosed turtle
SAURIA		
Gekkonidae	 <i>Geckolepis maculata</i> <i>Rhacodactylus auriculatus</i> <i>Rhacodactylus ciliatus</i> <i>Rhacodactylus leachianus</i> <i>Uroplatus spp., viz.</i> <i>Uroplatus alluaudi</i> <i>Uroplatus ebenaui</i> <i>Uroplatus fimbriatus</i> <i>Uroplatus guentheri</i> <i>Uroplatus henkeli</i> <i>Uroplatus lineatus</i> <i>Uroplatus malahelo</i> <i>Uroplatus phantasticus</i> <i>Uroplatus sikorae</i>	 Geckos Peters' spotted gecko New Caledonia bumpy gecko Guichenot's giant gecko New Caledonia giant gecko Northern flat-tail gecko Nosy Be flat-tail gecko Common flat-tail gecko Gunther's flat-tail gecko Lined flat-tail gecko Southern flat-tail gecko
Agamidae		Agamas, mastigures
Cordylidae		Armoured prickle-nape Spiny-tailed lizards
Scincidae		Karsten's girdled lizard Western girdled lizard Madagascar girdled lizard Four-lined girdled lizard Skinks

	Annex D	Common name
SERPENTES		
Xenopeltidae	<i>Teratoscincus microlepis</i> <i>Teratoscincus seicus</i> <i>Tiliqua gerrardii</i> <i>Tiliqua gigas</i> <i>Tiliqua scincoides</i> <i>Tribolomotus gracilis</i> <i>Tribolomotus novaeguineae</i>	Pinktongue skink Giant bluetongue skink Eastern bluetongue skink Crocodile skink New Guinea helmet skink Sunbeam snake Sunbeam snake File snakes Java file snake Little file snake Typical snakes, water snakes, whip snakes Oriental whip snake Mangrove snake Taiwan stink snake Radiated rat snake Taiwan beauty snake Bocourt's water snake Chinese water snake Rainbow water snake Yellowbelly water snake Masked water snake Northern leafnose snake Madagascar menarana snake Indian rat snake Specklebelly keelback Redneck keelback
Acrochordidae	<i>Xenopeltis unicolor</i> §1	
Colubridae	<i>Acrochordus javanicus</i> §1 <i>Acrochordus granulatus</i> §1 <i>Ahaetulla prasina</i> §1 <i>Boiga dendrophila</i> §1 <i>Elaphe carinata</i> §1 <i>Elaphe radiata</i> §1 <i>Elaphe taeniura</i> §1 <i>Enhydris bocourti</i> §1 <i>Enhydris chinensis</i> §1 <i>Enhydris enhydris</i> §1 <i>Enhydris plumbea</i> §1 <i>Homalopsis buccata</i> §1 <i>Langaha nasuta</i> <i>Lioheterodon madagascariensis</i> <i>Ptyas korros</i> §1 <i>Rhabdophis chrysargus</i> §1 <i>Rhabdophis subminutus</i> §1	

		Annex D	Common name
Elapidae	Zaocys dhumnades §1 Bungarus candidus §1 Laticauda spp., viz. Laticauda colubrina §1 Laticauda crockeri §1 Laticauda laticaudata §1 Laticauda schistorhynchos §1 Laticauda semifasciata §1 Viperidae	Cantor's rat snake Cobras, coral snakes Blue krait Banded sea snake Crocker's sea snake Black-banded sea krait Flat-tailed sea snake Chinese sea snake Vipers Malayan pit viper Sea snakes	Cantor's rat snake Cobras, coral snakes Blue krait Banded sea snake Crocker's sea snake Black-banded sea krait Flat-tailed sea snake Chinese sea snake Vipers Malayan pit viper Sea snakes
Hydrophiiidae	Calloseasma rhodostoma §1 Hydrophis spp., viz.	Southeast Asia sea snake Belcher's sea snake Belcher's sea snake Brooke's sea snake Dark blue-banded sea snake Günther's sea snake Cogger's sea snake Annulated sea snake Czeblukov's sea snake Elegant sea snake Striped sea snake Geometric sea snake Smallhead sea snake Blue-grey sea snake Kloss's sea snake Lambert's sea snake	Southeast Asia sea snake Belcher's sea snake Belcher's sea snake Brooke's sea snake Dark blue-banded sea snake Günther's sea snake Cogger's sea snake Annulated sea snake Czeblukov's sea snake Elegant sea snake Striped sea snake Geometric sea snake Smallhead sea snake Blue-grey sea snake Kloss's sea snake Lambert's sea snake

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	Annex D	Common name
	<i>Hydrophis lapemoides</i> §1 <i>Hydrophis macdowelli</i> §1 <i>Hydrophis mammillaris</i> §1 <i>Hydrophis melanocephalus</i> §1 <i>Hydrophis melanosoma</i> §1 <i>Hydrophis obscurus</i> §1 <i>Hydrophis ornatus</i> §1 <i>Hydrophis pacificus</i> §1 <i>Hydrophis parviceps</i> §1 <i>Hydrophis semperi</i> §1 <i>Hydrophis spiralis</i> §1 <i>Hydrophis stricticollis</i> §1 <i>Hydrophis torquatus</i> §1 <i>Hydrophis vorisi</i> §1 <i>Lapemis curtus</i> =540 §1	Persian Gulf sea snake McDowell's sea snake Bombay sea snake Black-headed sea snake Black-striped sea snake Russell's sea snake Reef sea snake Pacific sea snake Smith's sea snake Garman's sea snake Yellow sea snake Collared sea snake West Coast black-headed sea snake Kharin's sea snake Shaw's sea snake Fish
		Pipefishes, seahorses
		Hippocampus spp. (with effect from 15 May 2004, all Hippocampus spp. will be included on Annex B), viz.
		Big-bellied seahorse Hippocampus abdominalis =541 Hippocampus aimei Hippocampus angustus =542 Hippocampus bargibanti Hippocampus bicuspis Hippocampus borboniensis Hippocampus brachyrhynchus Hippocampus breviceps =543 Hippocampus camelopardalis =544
		Short-headed seahorse Giraffe seahorse

ACTINOPTERYGII
SYNGNATHIFORMES
Syngnathidae

	Annex D	Common name
Hippocampus capensis		Knysna seahorse
Hippocampus comes		Crowned seahorse
Hippocampus coronatus =545		Lined seahorse
Hippocampus erectus =546		
Hippocampus erinaceus		
Hippocampus fuscus =547		Sea pony
Hippocampus hippocampus =548		Short-snouted seahorse
Hippocampus histrix		Thorny/spiny seahorse
Hippocampus horai		
Hippocampus ingens =549		Giant seahorse
Hippocampus japonicus		Japanese seahorse
Hippocampus jayakari		
Hippocampus kaupii		
Hippocampus kelloggi		
Hippocampus kuda =550		Yellow seahorse
Hippocampus lichensteinii =551		
Hippocampus minotaur		Bullneck seahorse
Hippocampus planifrons =552		Low-crowned seahorse
Hippocampus ramulosus =553		Long-snouted seahorse
Hippocampus reidi =554		Slender seahorse
Hippocampus sindonis		
Hippocampus spinosissimus		Hedgehog seahorse
Hippocampus taenios		
Hippocampus takakurae		
Hippocampus trimaculatus =555		Three-spotted seahorse
Hippocampus tristis		
Hippocampus whitei =556		White's seahorse
Hippocampus zebra		
Hippocampus zosterae =557		

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	Annex D	Common name
AGAVACEAE		FLORA
ARACEAE		
		Agaves
		Beargrass
		Aruna
		Green dragon
		Calibanus hookeri
		Dasyliston longissimum
		Arisaema dracontium
		Arisaema erubescens
		Arisaema galeatum
		Arisaema jacquemontii
		Arisaema nepenthoides
		Arisaema sikokianum
		Arisaema speciosum
		Arisaema thunbergii var. urashima
		Arisaema tortuosum
		Arisaema triphyllum
		Biarum davisii ssp. davisii
		Biarum davisii ssp. marmarisense
		Biarum ditschianum
		Asters, daisies, costus
		Mountain tobacco
		COMPOSITAE (ASTERACEAE)
		Arnica montana §3
		Othonna armiana
		Othonna cacalioides
		Othonna clavifolia
		Othonna euphorbioides
		Othonna hallii
		Othonna herrei
		Othonna lepidocaulis
		Othonna lobata
		Othonna retorta

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		Annex D	Common name
ERICACEAE	<i>Arctostaphylos uva-ursi</i> §3	Heathers, rhododendrons	
GENTIANACEAE	<i>Gentiana lutea</i> §3	Bearberry Gentians	
LYCOPODIACEAE	<i>Lycopodium clavatum</i> §3	Great yellow gentian Clubmosses	
MENYANTHACEAE	<i>Menyanthes trifoliata</i> §3	Stagshorn clubmoss Bogbeans	
PARMELIACEAE	<i>Cetraria islandica</i> §3	Pogonio Parmelioid lichens	
PASSIFLORACEAE	<i>Adenia fruticosa</i> <i>Adenia glauca</i> <i>Adenia pechuelii</i> <i>Adenia spinosa</i>	Icelandic moss Desert roses	
PORITULACACEAE	<i>Ceraria</i> spp. <i>viz.</i> <i>Ceraria carrisoana</i> <i>Ceraria fruticulosa</i> <i>Ceraria garipina</i> <i>Ceraria longipedunculata</i> <i>Ceraria namaquensis</i> <i>Ceraria pygmaea</i> <i>Ceraria schaeferi</i>	Portulas, purslanes Desert rose	
LILIACEAE		Lilies	
		Rosy wakerobin Nodding trillium Drooping trillium White wakerobin	

	Annex D	Common name
	<i>Trillium luteum</i> <i>Trillium pusillum</i> <i>Trillium recurvatum</i> <i>Trillium rugelii</i> <i>Trillium sessile</i> <i>Trillium undulatum</i>	Purple trillium Walkerorobin wood-lily Painted wood-lily