This document is meant purely as documentation tool and the institutions do not assume any liability for its contents

►<u>B</u>

COUNCIL REGULATION (EC) No 338/97 of 9 December 1996 on the protection of species of wild fauna and flora by regulating trade therein

(OJ L 61, 3.3.1997, p. 1)

Amended by:

		Official Journal		
		No	page	date
► <u>M1</u>	Commission Regulation (EC) No 938/97 of 26 May 1997	L 140	1	30.5.1997
► <u>M2</u>	Commission Regulation (EC) No 2307/97 of 18 November 1997	L 325	1	27.11.1997
► <u>M3</u>	Commission Regulation (EC) No 2214/98 of 15 October 1998	L 279	3	16.10.1998
► <u>M4</u>	Commission Regulation (EC) No 1476/1999 of 6 July 1999	L 171	5	7.7.1999

Corrected by:

▶<u>C1</u> Corrigendum, OJ L 298, 1.11.1997, p. 70 (338/97)

COUNCIL REGULATION (EC) No 338/97

of 9 December 1996

on the protection of species of wild fauna and flora by regulating trade therein

THE COUNCIL OF THE EUROPEAN UNION,

Having regard to the Treaty establishing the European Community, and in particular Article 130s (1) thereof,

Having regard to the proposal from the Commission (1),

Having regard to the opinion of the Economic and Social Committee $(^2)$,

Acting in accordance with the procedure laid down in Article 189c of the Treaty (3),

- (1)Whereas Regulation (EEC) No 3626/82 (4) applies the Convention on International Trade in Endangered Species of Wild Fauna and Flora in the Community with effect from 1 January 1984; whereas the purpose of the Convention is to protect endangered species of fauna and flora through controls on international trade in specimens of those species;
- (2)Whereas, in order to improve the protection of species of wild fauna and flora which are threatened by trade or likely to be so threatened, Regulation (EEC) No 3626/82 must be replaced by a Regulation taking account of the scientific knowledge acquired since its adoption and the current structure of trade; whereas, moreover, the abolition of controls at internal borders resulting from the Single Market necessitates the adoption of stricter trade control measures at the Community's external borders, with documents and goods being checked at the customs office at the border where they are introduced;
- (3) Whereas the provisions of this Regulation do not prejudice any stricter measures which may be taken or maintained by Member States, in compliance with the Treaty, in particular with regard to the holding of specimens of species covered by this Regulation;
- (4) Whereas it is necessary to lay down objective criteria for the inclusion of species of wild fauna and flora in the Annexes to this Regulation;
- Whereas the implementation of this Regulation necessitates the (5) application of common conditions for the issue, use and presentation of documents relating to authorization of the introduction into the Community and the export or re-export from the Community of specimens of the species covered by this Regulation; whereas it is necessary to lay down specific provisions relating to the transit of specimens through the Community;
- (6)Whereas it is for a management authority of the Member State of destination, assisted by the scientific authority of that Member State and, where appropriate, taking into account any opinion of the Scientific Review Group, to decide on the requests for introduction of specimens into the Community;
- (7)Whereas it is necessary to supplement the provisions on re-export with a consultation procedure, in order to limit the risk of infringement;
- (8)Whereas, in order to guarantee effective protection of species of wild fauna and flora, additional restrictions may be imposed on the introduction of specimens into, and the export thereof from, the Community; whereas, with regard to live specimens, these restrictions may be supplemented by restrictions at Community level on the holding or movement of such specimens within the Community;

OJ No C 26, 3. 2. 1992, p. 1, and OJ No C 131, 12. 5. 1994, p. 1. OJ No C 223, 31. 8. 1992, p. 19.

Opinion of the European Parliament of 15 December 1995 (OJ No C 17, 22. 1. (J) No C 320, 28. 10. 1996).
(J) No C 320, 28. 10. 1996).
(J) No L 384, 31. 12, 1982, p. 1. Regulation as last amended by Commission

⁽⁴⁾ Regulation (EC) No 558/95 (OJ No L 57, 15. 3. 1995, p. 1).

- (9) Whereas it is necessary to lay down specific provisions applicable to captive-born and bred, or artificially propagated specimens, to specimens which are personal or household effects, and to noncommercial loans, donations or exchanges between registered scientists and scientific institutions;
- (10) Whereas there is a need, in order to ensure the broadest possible protection for species covered by this Regulation, to lay down provisions for controlling trade and movement of specimens within the Community, and the conditions for housing specimens; whereas the certificates issued under this Regulation, which contribute to controlling these activities, must be governed by common rules on their issue, validity and use;
- (11) Whereas measures should be taken to minimize the adverse effects on live specimens of transport to their destination, from or within the Community;
- (12) Whereas, to ensure effective controls and to facilitate customs procedures, customs offices should be designated, with trained personnel responsible for carrying out the necessary formalities and corresponding checks where specimens are introduced into the Community, in order to assign them a customs-approved treatment or use within the meaning of Council Regulation (EEC) No 2913/92 of 12 October 1992 establishing the Community Customs Code (¹), or where they are exported or re-exported from the Community; whereas there should also be facilities guaranteeing that live specimens are adequately housed and cared for;
- (13) Whereas the implementation of this Regulation also calls for the designation of management and scientific authorities by the Member States;
- (14) Whereas informing the public and making them aware of the provisions of this Regulation, particularly at border crossing points, is likely to encourage compliance with these provisions;
- (15) Whereas, in order to ensure effective enforcement of this Regulation, Member States should closely monitor compliance with its provisions and, to that end, cooperate closely between themselves and with the Commission; whereas this requires the communication of information relating to the implementation of this Regulation;
- (16) Whereas the monitoring of levels of trade in the species of wild fauna and flora covered by this Regulation is of crucial importance for assessing the effects of trade on the conservation status of species; whereas detailed annual reports should be drawn up in a common format;
- (17) Whereas, in order to guarantee compliance with this Regulation, it is important that Member States impose sanctions for infringements in a manner which is both sufficient and appropriate to the nature and gravity of the infringement;
- (18) Whereas it is essential to lay down a Community procedure enabling the implementing provisions and amendments to the Annexes of this Regulation to be adopted within a suitable period; whereas a Committee must be set up to permit close and effective cooperation between the Member States and the Commission in this field;
- (19) Whereas the multitude of biological and ecological aspects to be considered in the implementation of this Regulation requires the setting up of a Scientific Review Group, whose opinions will be forwarded by the Commission to the Committee and the management bodies of the Member States, to assist them in making their decisions,

⁽¹⁾ OJ No L 302, 19. 10. 1992, p. 1. Regulation as last amended by the 1994 Act of Accession.

HAS ADOPTED THIS REGULATION:

Article 1

Object

The object of this Regulation is to protect species of wild fauna and flora and to guarantee their conservation by regulating trade therein in accordance with the following Articles.

This Regulation shall apply in compliance with the objectives, principles and provisions of the Convention defined in Article 2.

Article 2

Definitions

For the purposes of this Regulation:

- (a) 'Committee' shall mean the Committee on Trade in Wild Fauna and Flora, established under Article 18;
- (b) 'Convention' shall mean the Convention on International Trade in Endangered Species of Wild Fauna and Flora (Cites);
- (c) 'country of origin' shall mean the country in which a specimen was taken from the wild, captive-bred or artificially propagated;
- (d) 'import notification' shall mean the notification given by the importer or his agent or representative, at the time of the introduction into the Community of a specimen of a species included in Annexes C or D, on a form prescribed by the Commission in accordance with the procedure laid down in Article 18;
- (e) 'introduction from the sea' shall mean the introduction into the Community of any specimen which was taken in, and is being introduced directly from, the marine environment not under the jurisdiction of any State, including the air-space above the sea and the sea-bed and subsoil beneath the sea;
- (f) 'issuance' shall mean the completion of all procedures involved in preparing and validating a permit or certificate and its delivery to the applicant;
- (g) 'management authority' shall mean a national administrative authority designated, in the case of a Member State, in accordance with Article 13(1)(a) or, in the case of a third country party to the Convention, in accordance with Article IX of the Convention;
- (h) 'Member State of destination' shall mean the Member State of destination mentioned in the document used to export or re-export a specimen; in the event of introduction from the sea, it shall mean the Member State within whose jurisdiction the place of destination of a specimen lies;
- (i) 'offering for sale' shall mean offering for sale and any action that may reasonably be construed as such, including advertising or causing to be advertised for sale and invitation to treat;
- (j) 'personal or household effects' shall mean dead specimens, parts and derivatives thereof, that are the belongings of a private individual and that form, or are intended to form, part of his normal goods and chattels;
- (k) 'place of destination' shall mean the place at which at the time of introduction into the Community, it is intended that specimens will normally be kept; in the case of live specimens, this shall be the first place where specimens are intended to be kept following any period of quarantine or other confinement for the purposes of sanitary checks and controls;
- (l) 'population' shall mean a biologically or geographically distinct total number of individuals;
- (m) 'primarily commercial purposes' shall mean all purposes the noncommercial aspects of which do not clearly predominate;

- (n) 're-export from the Community' shall mean export from the Community of any specimen that has previously been introduced;
- (o) 'reintroduction into the Community' shall mean introduction into the Community of any specimen that has previously been exported or re-exported;
- (p) 'sale' shall mean any form of sale. For the purposes of this Regulation, hire, barter or exchange shall be regarded as sale; cognate expressions shall be similarly construed;
- (q) 'scientific authority' shall mean a scientific authority designated, in the case of a Member State, in accordance with Article 13(1)(b) or, in the case of a third country party to the Convention, in accordance with Article IX of the Convention;
- (r) 'Scientific Review Group' shall mean the consultative body established under Article 17;
- (s) 'species' shall mean a species, subspecies or population thereof;
- (t) 'specimen' shall mean any animal or plant, whether alive or dead, of the species listed in Annexes A to D, any part or derivative thereof, whether or not contained in other goods, as well as any other goods which appear from an accompanying document, the packaging or a mark or label, or from any other circumstances, to be or to contain parts or derivatives of animals or plants of those species, unless such parts or derivatives are specifically exempted from the provisions of this Regulation or from the provisions relating to the Annex in which the species concerned is listed by means of an indication to that effect in the Annexes concerned.

A specimen will be considered to be a specimen of a species listed in Annexes A to D if it is, or is part of or derived from, an animal or plant at least one of whose 'parents' is of a species so listed. In cases where the 'parents' of such an animal or plant are of species listed in different Annexes, or of species only one of which is listed, the provisions of the more restrictive Annex shall apply. However, in the case of specimens of hybrid plants, if one of the 'parents' is of a species listed in Annex A, the provisions of the more restrictive Annex shall apply only if that species is annotated to that effect in the Annex;

- (u) 'trade' shall mean the introduction into the Community, including introduction from the sea, and the export and re-export therefrom, as well as the use, movement and transfer of possession within the Community, including within a Member State, of specimens subject to the provisions of this Regulation;
- (v) 'transit' shall mean the transport of specimens between two points outside the Community through the territory of the Community which are shipped to a named consignee and during which any interruption in the movement arises only from the arrangements necessitated by this form of traffic;
- (w) 'worked specimens that were acquired more than 50 years previously' shall mean specimens that were significantly altered from their natural raw state for jewellery, adornment, art, utility, or musical instruments, more than 50 years before the entry into force of this Regulation and that have been, to the satisfaction of the management authority of the Member State concerned, acquired in such conditions. Such specimens shall be considered as worked only if they are clearly in one of the aforementioned categories and require no further carving, crafting or manufacture to effect their purpose;
- (x) 'checks at the time of introduction, export, re-export and transit' shall mean documentary checks on the certificates, permits and notifications provided for in this Regulation and — in cases where Community provisions so provide or in other cases by representative sampling of the consignments — examination of the specimens, where appropriate accompanied by the taking of samples with a view to analysis or more detailed checks.

▼B

Article 3

Scope

- 1. Annex A shall contain:
- (a) the species listed in Appendix I to the Convention for which the Member States have not entered a reservation;
- (b) any species:
 - which is, or may be, in demand for utilization in the Community or for international trade and which is either threatened with extinction or so rare that any level of trade would imperil the survival of the species;
 - or
 - (ii) which is in a genus of which most of the species or which is a species of which most of the subspecies are listed in Annex A in accordance with the criteria in subparagraphs (a) or (b)(i) and whose listing in the Annex is essential for the effective protection of those taxa.
- 2. Annex B shall contain:
- (a) the species listed in Appendix II to the Convention, other than those listed in Annex A, for which the Member States have not entered a reservation;
- (b) the species listed in Appendix I to the Convention for which a reservation has been entered;
- (c) any other species not listed in Appendices I or II to the Convention:
 - (i) which is subject to levels of international trade that might not be compatible:
 - with its survival or with the survival of populations in certain countries, or
 - with the maintenance of the total population at a level consistent with the role of the species in the ecosystems in which it occurs:
 - or
 - whose listing in the Annex for reasons of similarity in appearance to other species listed in Annex A or Annex B, is essential in order to ensure the effectiveness of controls on trade in specimens of such species;
- (d) species in relation to which it has been established that the introduction of live specimens into the natural habitat of the Community would constitute an ecological threat to wild species of fauna and flora indigenous to the Community.
- 3. Annex C shall contain:
- (a) the species listed in Appendix III to the Convention, other than those listed in Annexes A or B, for which the Member States have not entered a reservation;
- (b) the species listed in Appendix II to the Convention for which a reservation has been entered.
- 4. Annex D shall contain:
- (a) species not listed in Annexes A to C which are imported into the Community in such numbers as to warrant monitoring;
- (b) the species listed in Appendix III to the Convention for which a reservation has been entered.

5. Where the conservation status of species covered by this Regulation warrants their inclusion in one of the Appendices to the Convention, the Member States shall contribute to the necessary amendments.

Article 4

Introduction into the Community

1. The introduction into the Community of specimens of the species listed in Annex A shall be subject to completion of the necessary checks and the prior presentation, at the border customs office at the point of introduction, of an import permit issued by a management authority of the Member State of destination.

The import permit may be issued only in accordance with the restrictions established pursuant to paragraph 6 and when the following conditions have been met:

- (a) the competent scientific authority, after considering any opinion by the Scientific Review Group, has advised that the introduction into the Community:
 - would not have a harmful effect on the conservation status of the species or on the extent of the territory occupied by the relevant population of the species;
 - (ii) is taking place:
 - for one of the purposes referred to in Article 8(3)(e), (f) and (g), or
 - for other purposes which are not detrimental to the survival of the species concerned;
- (b) (i) the applicant provides documentary evidence that the specimens have been obtained in accordance with the legislation on the protection of the species concerned which, in the case of import from a third country of specimens of a species listed in the Appendices to the Convention, shall be an export permit or re-export certificate, or copy thereof, issued in accordance with the Convention by a competent authority of the country of export or re-export;
 - (ii) however, the issuance of import permits for species listed in Annex A in accordance with Article 3(1)(a) shall not require such documentary evidence, but the original of any such import permit shall be withheld from the applicant pending presentation of the export permit or re-export certificate;
- (c) the competent scientific authority is satisfied that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly;
- (d) the management authority is satisfied that the specimen is not to be used for primarily commercial purposes;
- (e) the management authority is satisfied, following consultation with the competent scientific authority, that there are no other factors relating to the conservation of the species which militate against issuance of the import permit; and
- (f) in the case of introduction from the sea, the management authority is satisfied that any live specimen will be so prepared and shipped as to minimize the risk of injury, damage to health or cruel treatment.

2. The introduction into the Community of specimens of the species listed in Annex B shall be subject to completion of the necessary checks and the prior presentation, at the border customs office at the point of introduction, of an import permit issued by a management authority of the Member State of destination.

The import permit may be issued only in accordance with the restrictions established pursuant to paragraph 6 and when:

(a) the competent scientific authority, after examining available data and considering any opinion from the Scientific Review Group, is of the opinion that the introduction into the Community would not have a harmful effect on the conservation status of the species or on the extent of the territory occupied by the relevant population of the species, taking account of the current or anticipated level of trade. This opinion shall be valid for subsequent imports as long as the abovementioned aspects have not changed significantly;

- (b) the applicant provides documentary evidence that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly;
- (c) the conditions referred to in paragraph 1(b)(i), (e) and (f) have been met.

3. The introduction into the Community of specimens of the species listed in Annex C shall be subject to completion of the necessary checks and the prior presentation, at the border customs office at the point of introduction, of an import notification and:

- (a) in the case of export from a country mentioned in relation to the species concerned in Annex C, the applicant shall provide documentary evidence, by means of an export permit issued in accordance with the Convention by an authority of that country competent for the purpose, that the specimens have been obtained in accordance with the national legislation on the conservation of the species concerned; or
- (b) in the case of export from a country not mentioned in relation to the species concerned in Annex C or re-export from any country, the applicant shall present an export permit, a re-export certificate or a certificate of origin issued in accordance with the Convention by an authority of the exporting or re-exporting country competent for the purpose.

4. The introduction into the Community of specimens of the species listed in Annex D shall be subject to completion of the necessary checks and the prior presentation of an import notification at the border customs office at the point of introduction.

5. The conditions for the issuance of an import permit as referred to in paragraph 1(a) and (d) and in paragraph 2(a), (b) and (c) shall not apply to specimens for which the applicant provides documentary evidence:

- (a) that they had previously been legally introduced into or acquired in the Community and that they are, modified or not, being reintroduced into the Community; or
- (b) that they are worked specimens that were acquired more than 50 years previously.

6. In consultation with the countries of origin concerned, in accordance with the procedure laid down in Article 18 and taking account of any opinion from the Scientific Review Group, the Commission may establish general restrictions, or restrictions relating to certain countries of origin, on the introduction into the Community:

- (a) on the basis of the conditions referred to in paragraph 1(a)(i) or (e), of specimens of species listed in Annex A;
- (b) on the basis of the conditions referred to in paragraph 1(e) or paragraph 2(a), of specimens of species listed in Annex B; and
- (c) of live specimens of species listed in Annex B which have a high mortality rate during shipment or for which it has been established that they are unlikely to survive in captivity for a considerable proportion of their potential life span; or
- (d) of live specimens of species for which it has been established that their introduction into the natural environment of the Community presents an ecological threat to wild species of fauna and flora indigenous to the Community.

The Commission shall on a quarterly basis publish a list of such restrictions, if any, in the *Official Journal of the European Communities*.

7. Where special cases of transhipment, air transfer or rail transport occur following introduction into the Community, derogations from completion of the checks and presentations of import documents at the border customs office at the point of introduction which are referred to in paragraphs 1 to 4 shall be granted in accordance with the procedure laid down in Article 18, in order to permit such checks and presentations to be made at another customs office designated in accordance with Article 12 (1).

Article 5

Export or re-export from the Community

1. The export or re-export from the Community of specimens of the species listed in Annex A shall be subject to completion of the necessary checks and the prior presentation, at the customs office at which the export formalities are completed, of an export permit or re-export certificate issued by a management authority of the Member State in which the specimens are located.

2. An export permit for specimens of the species listed in Annex A may be issued only when the following conditions have been met:

- (a) the competent scientific authority has advised in writing that the capture or collection of the specimens in the wild or their export will not have a harmful effect on the conservation status of the species or on the extent of the territory occupied by the relevant population of the species;
- (b) the applicant provides documentary evidence that the specimens have been obtained in accordance with the legislation in force on the protection of the species in question; where the application is made to a Member State other than the Member State of origin, such documentary evidence shall be furnished by means of a certificate stating that the specimen was taken from the wild in accordance with the legislation in force on its territory;
- (c) the management authority is satisfied that:
 - (i) any live specimen will be so prepared and shipped as to minimize the risk of injury, damage to health or cruel treatment; and
 - (ii) the specimens of species not listed in Annex I to the Convention will not be used for primarily commercial purposes, or
 - in the case of export to a State party to the Convention of specimens of the species referred to in Article 3(1)(a) of this Regulation, an import permit has been issued;

and

(d) the management authority of the Member State is satisfied, following consultation with the competent scientific authority, that there are no other factors relating to the conservation of the species which militate against issuance of the export permit.

3. A re-export certificate may be issued only when the conditions referred to in paragraph 2 (c) and (d) have been met and when the applicant provides documentary evidence that the specimens:

- (a) were introduced into the Community in accordance with the provisions of this Regulation;
- (b) if introduced into the Community before the entry into force of this Regulation, were introduced in accordance with the provisions of Regulation (EEC) No 3626/82; or
- (c) if introduced into the Community before 1984, entered international trade in accordance with the provisions of the Convention; or
- (d) were legally introduced into the territory of a Member State before the provisions of the Regulations referred to in (a) and (b) or of the Convention became applicable to them, or became applicable in that Member State.

4. The export or re-export from the Community of specimens of the species listed in Annexes B and C shall be subject to completion of the necessary checks and the prior presentation, at the customs office at which the export formalities are completed, of an export permit or re-export certificate issued by a management authority of the Member State in whose territory the specimens are located.

An export permit may be issued only when the conditions referred to in paragraph 2 (a), (b), (c) (i) and (d) have been met.

A re-export certificate may be issued only when the conditions referred to in paragraph 2 (c) (i) and (d) and in paragraph 3 (a) to (d) have been met.

5. Where an application for a re-export certificate concerns specimens introduced into the Community under an import permit issued by another Member State, the management authority must first consult the management authority which issued the permit. The consultation procedures and the cases in which consultation is necessary shall be established in accordance with the procedure laid down in Article 18.

6. The conditions for the issuance of an export permit or re-export certificate as referred to in paragraph 2 (a) and (c) (ii) shall not apply to:

- (i) worked specimens that were acquired more than 50 years previously; or
- (ii) dead specimens and parts and derivatives thereof for which the applicant provides documentary evidence that they were legally acquired before the provisions of this Regulation, or of Regulation (EEC) No 3626/82 or of the Convention became applicable to them.
- 7. (a) The competent scientific authority in each Member State shall monitor the issuance of export permits by that Member State for specimens of species listed in Annex B and actual exports of such specimens. Whenever such scientific authority determines that the export of specimens of any such species should be limited in order to maintain that species throughout its range at a level consistent with its role in the ecosystem in which it occurs, and well above the level at which that species might become eligible for inclusion in Annex A in accordance with Article 3 (1) (a) or (b) (i), the scientific authority shall advise the competent management authority, in writing, of suitable measures to be taken to limit the issuance of export permits for specimens of that species
 - (b) Whenever a management authority is advised of the measures referred to in (a), it shall inform and send comments to the Commission which shall, if appropriate, recommend restrictions on exports of the species concerned in accordance with the procedure laid down in Article 18.

Article 6

Rejection of applications for permits and certificates referred to in Articles 4, 5 and 10

1. When a Member State rejects an application for a permit or certificate in a case of significance in respect of the objectives of this Regulation, it shall immediately inform the Commission of the rejection and of the reasons for rejection.

2. The Commission shall communicate information received in accordance with paragraph 1 to the other Member States in order to ensure uniform application of this Regulation.

3. When an application is made for a permit or certificate relating to specimens for which such an application has previously been rejected, the applicant must inform the competent authority to which the application is submitted of the previous rejection.

- 4. (a) Member States shall recognize the rejection of applications by the competent authorities of the other Member States, where such rejection is based on the provisions of this Regulation
 - (b) However, this need not apply where the circumstances have significantly changed or where new evidence to support an application has become available. In such cases, if a management authority issues a permit or certificate, it shall inform the Commission thereof, stating the reasons for issuance.

Article 7

Derogations

- 1. Specimens born and bred in captivity or artificially propagated
- (a) Save where Article 8 applies, specimens of species listed in Annex A that have been born and bred in captivity or artificially propagated shall be treated in accordance with the provisions applicable to specimens of species listed in Annex B.

- (b) In the case of artificially propagated plants, the provisions of Articles 4 and 5 may be waived under special conditions laid down by the Commission, relating to:
 - (i) the use of phytosanitary certificates;
 - (ii) trade by registered commercial traders and by the scientific institutions referred to in paragraph 4 of this Article; and
 - (iii) trade in hybrids.
- (c) The criteria for determining whether a specimen has been born and bred in captivity or artificially propagated and whether for commercial purposes, as well as the special conditions referred to in (b), shall be specified by the Commission in accordance with the procedure laid down in Article 18.
- 2. Transit
- (a) By way of derogation from Article 4, where a specimen is in transit through the Community, checks and presentation at the border customs office at the point of introduction of the prescribed permits, certificates and notifications shall not be required.
- (b) In the case of species listed in the Annexes in accordance with Article 3 (1) and Article 3 (2) (a) and (b), the derogation referred to in (a) shall apply only where a valid export or re-export document provided for by the Convention, relating to the specimens that it accompanies and specifying the destination of the specimens, has been issued by the competent authorities of the exporting or re-exporting third country.
- (c) If the document referred to in (b) has not been issued before export or re-export, the specimen must be seized and may, where applicable, be confiscated unless the document is submitted retrospectively in compliance with the conditions specified by the Commission in accordance with the procedure laid down in Article 18.
- 3. Personal and household effects

By way of derogation from Articles 4 and 5, the provisions therein shall not apply to dead specimens, parts and derivatives of species listed in Annexes A to D which are personal or household effects being introduced into the Community, or exported or re-exported therefrom, in compliance with provisions that shall be specified by the Commission in accordance with the procedure laid down in Article 18.

4. *Scientific institutions*

The documents referred to in Articles 4, 5, 8 and 9 shall not be required in the case of non-commercial loans, donations and exchanges between scientists and scientific institutions, registered by the management authorities of the States in which they are located, of herbarium specimens and other preserved, dried or embedded museum specimens, and of live plant material, bearing a label, the model for which has been determined in accordance with the procedure laid down in Article 18 or a similar label issued or approved by a management authority of a third country.

Article 8

Provisions relating to the control of commercial activities

1. The purchase, offer to purchase, acquisition for commercial purposes, display to the public for commercial purposes, use for commercial gain and sale, keeping for sale, offering for sale or transporting for sale of specimens of the species listed in Annex A shall be prohibited.

2. Member States may prohibit the holding of specimens, in particular live animals of the species listed in Annex A.

3. In accordance with the requirements of other Community legislation on the conservation of wild fauna and flora, exemption from the prohibitions referred to in paragraph 1 may be granted by issuance of a certificate to that effect by a management authority of the Member State in which the specimens are located, on a case-by-case basis where the specimens:

(a) were acquired in, or were introduced into, the Community before the provisions relating to species listed in Appendix I to the Convention or

in Annex C1 to Regulation (EEC) No 3626/82 or in Annex A became applicable to the specimens; or

- (b) are worked specimens that were acquired more than 50 years previously; or
- (c) were introduced into the Community in compliance with the provisions of this Regulation and are to be used for purposes which are not detrimental to the survival of the species concerned; or
- (d) are captive-born and bred specimens of an animal species or artificially propagated specimens of a plant species or are parts or derivatives of such specimens; or
- (e) are required under exceptional circumstances for the advancement of science or for essential biomedical purposes pursuant to Council Directive 86/609/EEC of 24 November 1986 on the approximation of laws, regulations and administrative provisions of the Member States regarding the protection of animals used for experimental and other scientific purposes (1) where the species in question proves to be the only one suitable for those purposes and where there are no specimens of the species which have been born and bred in captivity; or
- (f) are intended for breeding or propagation purposes from which conservation benefits will accrue to the species concerned; or
- (g) are intended for research or education aimed at the preservation or conservation of the species; or
- (h) originate in a Member State and were taken from the wild in accordance with the legislation in force in that Member State.

4. General derogations from the prohibitions referred to in paragraph 1 based on the conditions referred to in paragraph 3, as well as general derogations with regard to species listed in Annex A in accordance with Article 3 (1) (b) (ii) may be defined by the Commission in accordance with the procedure laid down in Article 18. Any such derogations must be in accordance with the requirements of other Community legislation on the conservation of wild fauna and flora.

5. The prohibitions referred to in paragraph 1 shall also apply to specimens of the species listed in Annex B except where it can be proved to the satisfaction of the competent authority of the Member State concerned that such specimens were acquired and, if they originated outside the Community, were introduced into it, in accordance with the legislation in force for the conservation of wild fauna and flora.

6. The competent authorities of the Member States shall have discretion to sell any specimen of the species listed in Annexes B to D they have confiscated under this Regulation, provided that it is not thus returned directly to the person or entity from whom it was confiscated or who was party to the offence. Such specimens may then be treated for all purposes as if they had been legally acquired.

Article 9

Movement of live specimens

1. Any movement within the Community of a live specimen of a species listed in Annex A from the location indicated in the import permit or in any certificate issued in compliance with this Regulation shall require prior authorization from a management authority of the Member State in which the specimen is located. In other cases of movement, the person responsible for moving the specimen must be able, where applicable, to provide proof of the legal origin of the specimen.

- 2. Such authorization shall:
- (a) be granted only when the competent scientific authority of such Member State or, where the movement is to another Member State, the competent scientific authority of the latter, is satisfied that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly;
- (b) be confirmed by issuance of a certificate; and

(c) where applicable, be immediately communicated to a management authority of the Member State in which the specimen is to be located.

3. However, no such authorization shall be required if a live animal must be moved for the purpose of urgent veterinary treatment and is returned directly to its authorized location.

4. Where a live specimen of a species listed in Annex B is moved within the Community, the holder of the specimen may relinquish it only after ensuring that the intended recipient is adequately informed of the accommodation, equipment and practices required to ensure the specimen will be properly cared for.

5. When any live specimens are transported into, from or within the Community or are held during any period of transit or transhipment, they shall be prepared, moved and cared for in a manner such as to minimize the risk of injury, damage to health or cruel treatment and, in the case of animals, in conformity with Community legislation on the protection of animals during transport.

6. Under the procedure laid down in Article 18, the Commission may establish restrictions on the holding or movement of live specimens of species in relation to which restrictions on introduction into the Community have been established in accordance with Article 4 (6).

Article 10

Certificates to be issued

On receiving an application, together with all the requisite supporting documents, from the person concerned and provided that all the conditions governing their issuance have been fulfilled, a management authority of a Member State may issue a certificate for the purposes referred to in Article 5 (2) (b), 5 (3) and (4), Article 8 (3) and Article 9 (2) (b).

Article 11

Validity of and special conditions for permits and certificates

1. Without prejudice to stricter measures which the Member States may adopt or maintain, permits and certificates issued by the competent authorities of the Member States in accordance with this Regulation shall be valid throughout the Community.

- 2. (a) However, any such permit or certificate, as well as any permit or certificate issued on the basis of it, shall be deemed void if a competent authority or the Commission, in consultation with the competent authority which issued the permit or certificate, establishes that it was issued on the false premise that the conditions for its issuance were met
 - (b) Specimens situated in the territory of a Member State and covered by such documents shall be seized by the competent authorities of that Member State and may be confiscated.

3. Any permit or certificate issued in accordance with this Regulation may stipulate conditions and requirements imposed by the issuing authority to ensure compliance with the provisions thereof. Where such conditions or requirements need to be incorporated in the design of permits or certificates, Member States shall inform the Commission thereof.

4. Any import permit issued on the basis of a copy of the corresponding export permit or re-export certificate shall be valid for the introduction of specimens into the Community only when accompanied by the original of the valid export permit or re-export certificate.

5. The Commission shall establish time limits for the issuance of permits and certificates in accordance with the procedure laid down in Article 18.

Article 12

Places of introduction and export

1. Member States shall designate customs offices for carrying out the checks and formalities for the introduction into and export from the Community, in order to assign to them a customs-approved treatment or use, within the meaning of Regulation (EEC) No 2913/92, of specimens of species covered by this Regulation and shall state which offices are specifically intended to deal with live specimens.

2. All offices designated in accordance with paragraph 1 shall be provided with sufficient and adequately trained staff. Member States shall ensure that accommodation is provided in accordance with relevant Community legislation as regards the transport and accommodation of live animals and that, where necessary, adequate steps are taken for live plants.

3. All offices designated in accordance with paragraph 1 shall be notified to the Commission which shall publish a list of them in the *Official Journal of the European Communities*.

4. In exceptional cases and in accordance with criteria defined under the procedure laid down in Article 18, a management authority may authorize the introduction into the Community or the export or re-export therefrom at a customs office other than one designated in accordance with paragraph 1.

5. Member States shall ensure that at border crossing-points the public are informed of the implementing provisions of this Regulation.

Article 13

Management and scientific authorities and other competent authorities

- 1. (a) Each Member State shall designate a management authority with primary responsibility for implementation of this Regulation and for communication with the Commission
 - (b) Each Member State may also designate additional management authorities and other competent authorities to assist in implementation, in which case the primary management authority shall be responsible for providing the additional authorities with all the information required for correct application of this Regulation.

2. Each Member State shall designate one or more scientific authorities with appropriate qualifications whose duties shall be separate from those of any designated management authority.

- 3. (a) Not later than three months before the date of application of this Regulation, Member States shall forward the names and addresses of the designated management authorities, other authorities competent to issue permits or certificates and scientific authorities to the Commission, which shall publish this information in the *Official Journal of the European Communities* within a month
 - (b) Each management authority referred to in paragraph 1 (a) shall, if so requested by the Commission, communicate to it within two months the names and specimen signatures of people authorized to sign permits or certificates, and impressions of the stamps, seals or other devices used to authenticate permits or certificates.
 - (c) Member States shall communicate to the Commission any changes in the information already provided, not later than two months after the implementation of such change.

Article 14

Monitoring of compliance and investigation of infringements

- 1. (a) The competent authorities of the Member States shall monitor compliance with the provisions of this Regulation
 - (b) If, at any time, the competent authorities have reason to believe that these provisions are being infringed, they shall take the appropriate steps to ensure compliance or to instigate legal action.

(c) Member States shall inform the Commission and, in the case of species listed in the Appendices to the Convention, the Convention Secretariat of any steps taken by the competent authorities in relation to significant infringements of this Regulation, including seizures and confiscations.

2. The Commission shall draw the attention of the competent authorities of the Member States to matters whose investigation it considers necessary under this Regulation. Member States shall inform the Commission and, in the case of species listed in the Appendices to the Convention, the Convention Secretariat of the outcome of any subsequent investigation.

- (a) An enforcement group shall be established consisting of the representatives of each Member State's authorities with responsibility for ensuring the implementation of the provisions of this Regulation. The group shall be chaired by the representative of the Commission
 - (b) The enforcement group shall examine any technical question relating to the enforcement of this Regulation raised by the chairman, either on his own initiative or at the request of the members of the group or the committee.
 - (c) The Commission shall convey the opinions expressed in the enforcement group to the committee.

Article 15

Communication of information

1. The Member States and the Commission shall communicate to one another the information necessary for implementing this Regulation.

The Member States and the Commission shall ensure that the necessary steps are taken to make the public aware and inform it of the provisions regarding implementation of the Convention and of this Regulation and of the latter's implementing measures.

2. The Commission shall communicate with the Convention Secretariat so as to ensure that the Convention is effectively implemented throughout the territory to which this Regulation applies.

3. The Commission shall immediately communicate any advice from the Scientific Review Group to the management authorities of the Member States concerned.

- 4. (a) The management authorities of the Member States shall communicate to the Commission before 15 June each year all the information relating to the preceding year required for drawing up the reports referred to in Article VIII.7 (a) of the Convention and equivalent information on international trade in all specimens of species listed in Annexes A, B and C and on introduction into the Community of specimens of species listed in Annex D. The information to be communicated and the format for its presentation shall be specified by the Commission in accordance with the procedure laid down in Article 18
 - (b) On the basis of the information referred to in (a), the Commission shall publish before 31 October each year a statistical report on the introduction into, and the export and re-export from, the Community of specimens of the species to which this Regulation applies and shall forward to the Convention Secretariat information on the species to which the Convention applies.
 - (c) Without prejudice to Article 20, the management authorities of the Member States shall, before 15 June of each second year, and for the first time in 1999, communicate to the Commission all the information relating to the preceding two years required for drawing up the reports referred to in Article VIII.7 (b) of the Convention and equivalent information on the provisions of this Regulation that fall outside the scope of the Convention. The information to be communicated and the format for its presentation shall be specified by the Commission in accordance with the procedure laid down in Article 18.
 - (d) On the basis of the information referred to in (c), the Commission shall, before 31 October of each second year, and for the first

time in 1999, draw up a report on the implementation and enforcement of this Regulation.

5. With a view to the preparation of amendments to the Annexes, the competent authorities of the Member States shall forward all relevant information to the Commission. The Commission shall specify the information required, in accordance with the procedure laid down in Article 18.

▶<u>C1</u> 6. Without prejudice to Council Directive 90/313/EEC \triangleleft of 7 June 1990 on the freedom of access to information on the environment (¹), the Commission shall take appropriate measures to protect the confidentiality of information obtained in implementation of this Regulation.

Article 16

Sanctions

1. Member States shall take appropriate measures to ensure the imposition of sanctions for at least the following infringements of this Regulation:

- (a) introduction into, or export or re-export from, the Community of specimens without the appropriate permit or certificate or with a false, falsified or invalid permit or certificate or one altered without authorization by the issuing authority;
- (b) failure to comply with the stipulations specified on a permit or certificate issued in accordance with this Regulation;
- (c) making a false declaration or knowingly providing false information in order to obtain a permit or certificate;
- (d) using a false, falsified or invalid permit or certificate or one altered without authorization as a basis for obtaining a Community permit or certificate or for any other official purpose in connection with this Regulation;
- (e) making no import notification or a false import notification;
- (f) shipment of live specimens not properly prepared so as to minimize the risk of injury, damage to health or cruel treatment;
- (g) use of specimens of species listed in Annex A other than in accordance with the authorization given at the time of issuance of the import permit or subsequently;
- (h) trade in artificially propagated plants contrary to the provisions laid down in accordance with Article 7(1)(b);
- shipment of specimens into or out of or in transit through the territory of the Community without the appropriate permit or certificate issued in accordance with this Regulation and, in the case of export or re-export from a third country party to the Convention, in accordance therewith, or without satisfactory proof of the existence of such permit or certificate;
- (j) purchase, offer to purchase, acquisition for commercial purposes, use for commercial gain, display to the public for commercial purposes, sale, keeping for sale, offering for sale or transporting for sale of specimens in contravention of Article 8;
- (k) use of a permit or certificate for any specimen other than one for which it was issued;
- (1) falsification or alteration of any permit or certificate issued in accordance with this Regualation;
- (m) failure to disclose rejection of an application for a Community import, export or re-export permit or certificate, in accordance with Article 6 (3).

2. The measures referred to in paragraph 1 shall be appropriate to the nature and gravity of the infringement and shall include provisions relating to the seizure and, where appropriate, confiscation of specimens.

3. Where a specimen is confiscated, it shall be entrusted to a competent authority of the Member State of confiscation which:

- (a) following consultation with a scientific authority of that Member State, shall place or otherwise dispose of the specimen under conditions which it deems to be appropriate and consistent with the purposes and provisions of the Convention and this Regulation; and
- (b) in the case of a live specimen which has been introduced into the Community, may, after consultation with the State of export, return the specimen to that State at the expense of the convicted person.

4. Where a live specimen of a species listed in Annex B or C arrives at a point of introduction into the Community without the appropriate valid permit or certificate, the specimen must be seized and may be confiscated or, if the consignee refuses to acknowledge the specimen, the competent authorities of the Member State responsible for the point of introduction may, if appropriate, refuse to accept the shipment and require the carrier to return the specimen to its place of departure.

Article 17

The Scientific Review Group

1. A Scientific Review Group is hereby established, consisting of the representatives of each Member State's scientific authority or authorities and chaired by the representative of the Commission.

- 2. (a) The Scientific Review Group shall examine any scientific question relating to the application of this Regulation in particular concerning Article 4 (1) (a), (2) (a) and (6) raised by the chairman, either on his own initiative or at the request of the members of the Group or the Committee.
 - (b) The Commission shall convey the opinions of the Scientific Review Group to the Committee.

Article 18

The Committee

1. The Commission shall be assisted by a Committee composed of the representatives of the Member States and chaired by the representative of the Commission.

The representative of the Commission shall submit to the Committee a draft of the measures to be taken. The Committee shall deliver its opinion on the draft within a time limit which the chairman may lay down according to the urgency of the matter. The opinion shall be delivered by the majority laid down in Article 148 (2) of the Treaty in the case of decisions which the Council is required to adopt on a proposal from the Commission. The votes of the representatives of the Member States within the Committee shall be weighted in the manner set out in that Article. The chairman shall not vote.

The Commission shall adopt the measures envisaged if they are in accordance with the opinion of the Committee.

If the measures envisaged are not in accordance with the opinion of the Committee, or if no opinion is delivered, the Commission shall without delay submit to the Council a proposal relating to the measures to be taken. The Council shall act by a qualified majority.

2. As regards the Committee's tasks referred to in points 1 and 2 of Article 19, if, on the expiry of a period of three months from the date of referral to the Council, the Council has not acted, the proposed measures shall be adopted by the Commission.

3. As regards the Committee's tasks referred to in points 3 and 4 of Article 19, if, on the expiry of a period of three months from the date of referral to the Council, the Council has not acted, the proposed measures shall be adopted by the Commission, save where the Council has decided against the said measures by a simple majority.

Article 19

In accordance with the procedure laid down in Article 18, the Commission shall:

- 1. lay down uniform conditions and criteria for:
 - (i) the issue, validity and use of the documents referred to in Articles 4, 5, 7 (4) and 10; it shall determine the design thereof;
 - (ii) the use of phytosanitary certificates; and
 - (iii) the establishment of procedures, where necessary, for marking specimens in order to facilitate identification and ensure enforcement of the provisions;
- adopt the measures referred to in Article 4 (6) and (7), Article 5 (5) and (7) (b), Article 7 (1) (c), (2) (c) and (3), Article 8 (4), Article 9 (6), Article 11 (5), Article 15 (4) (a), (c) and (5) and Article 21 (3);
- 3. amend Annexes A to D except in the case of amendments to Annex A which do not result from decisions of the Conference of the Parties to the Convention;
- 4. adopt, where necessary, additional measures to implement resolutions of the Conference of the Parties to the Convention, decisions or recommendations of the Standing Committee of the Convention and recommendations of the Convention Secretariat.

Article 20

Final provisions

Each Member State shall notify the Commission and the Convention Secretariat of the provisions which it adopts specifically for the implementation of this Regulation and of all legal instruments used and measures taken for its implementation and enforcement.

The Commission shall communicate this information to the other Member States.

Article 21

1. Regulation (EEC) No 3626/82 is hereby repealed.

2. Until the measures provided for in points 1 and 2 of Article 19 have been adopted, Member States may maintain or continue to apply the measures adopted in accordance with Regulation (EEC) No 3626/82 and Commission Regulation (EEC) No 3418/83 of 28 November 1983 laying down provisions for the uniform issue and use of the documents required for the implementation in the Community of the Convention on International Trade in Endangered Species of Wild Fauna and Flora (¹).

3. Two months before this Regulation is implemented the Commission, in accordance with the procedure laid down in Article 18 and in consultation with the Scientific Review Group:

- (a) must check that there is no justification for restrictions on the introduction into the Community of the species listed in Annex C1 to Regulation (EEC) No 3626/82 which are not included in Annex A to this Regulation;
- (b) shall adopt a Regulation amending Annex D into a representative list of species meeting the criteria laid down in Article 3 (4) (a).

Article 22

This Regulation shall enter into force on the date of its publication in the *Official Journal of the European Communities*.

It shall apply from 1 June 1997.

Articles 12, 13, 14 (3), 16, 17, 18, 19 and 21(3) shall apply from the date of entry into force of this Regulation.

^{(&}lt;sup>1</sup>) OJ No L 344, 7. 12. 1983, p. 1.

This Regulation shall be binding in its entirety and directly applicable in all Member States.

ANNEX

Notes on interpretation of Annexes A, B, C and D

- 1. Species included in these Annexes A, B, C and D are referred to:
 - by the name of the species; or (a)
 - as being all of the species included in a higher taxon or designated part (b) thereof.
- 2. The abbreviation 'spp.' is used to denote all species of a higher taxon.
- 3 Other references to taxa higher than species are for the purposes of information or classification only.
- Species printed in bold in Annex A are listed there in consistency with their 4. protection as provided for by Council Directive 79/409/EEC(1) ('Birds Directive') or Council Directive 92/43/EEC (2) ('Habitats Directive').
- 5. The following abbreviations are used for plant taxa below the level of species:
 - 'ssp' is used to denote subspecies; (a)
 - 'var(s)' is used to denote variety (varieties); and (b)
 - (c) 'fa' is used to denote forma.
- 6. The abbreviation 'p.e.' is used to denote species that are possibly extinct.
- 7. An asterisk '*' placed against the name of a species or higher taxon indicated that one or more geographically separate populations, subspecies or species of that species or taxon are included in Annex A and are excluded from Annex B.
- 8. Two asterisks '**' placed against the name of a species or higher taxon indicate that one or more geographically separate populations, subspecies or species of that species or taxon are included in Annex B and are excluded from Annex A.
- The symbols '(I)', '(II)' and '(III)' and the symbol 'x' followed by a number 9. placed against the name of a species or higher taxon refer to the Appendices of the Convention in which the species concerned are listed, as indicated in notes 10 to 13. Where none of these annotations appears, the species concerned are not listed in the Appendices to the Convention.
- 10. (I) against the name of a species or higher taxon indicates that the species or higher taxon concerned is included in Appendix I to the Convention.
- 11. (II) against the name of a species or higher taxon indicates that the species or higher taxon concerned is included in Appendix II to the Convention.

▼M4

12. (III) against the name of species or higher taxon indicates that it is included in Appendix III to the Convention. In this case the country with respect to which the species or higher taxon is included in Appendix III is also indicated by a two-letter code as follows: BO (Bolivia), BR (Brazil), BW (Botswana), CA (Canada), CO (Colombia), CR (Costa Rica), GH (Ghana), GT (Guatemala), HN (Honduras), IN (India), MX (Mexico), MY (Malaysia), MU (Mauritius), NP (Nepal), TN (Tunisia) and UY (Uruguay).

▼M2

- 13. The symbol 'x' followed by a number placed against the name of a species or higher taxon in Annex A or B denotes that certain geographically separate populations, species, groups of species or families of that species or taxon are listed in Appendix I, II or III to the Convention, as follows:
 - ×701 The species is listed in Appendix II but subspecies Cercocebus galeritus galeritus is listed in Appendix I.
 - ×702 The species is listed in Appendix II but the subspecies Procolobus pennantii kirkii (also referenced as Colobus bodius kirkii) is listed in Appendix I
 - ×703 All species are listed in Appendix II except Lipotes vexillifer, Platanista spp., Bernardius spp., Hyperoodon spp., Physeter catodon (includes synonym Physeter macrocephalus), Sotalia spp., Sousa spp., Neophocaena phocaenoides, Phocoena sinus, Eschrichtius robustus (includes synonym Eschrichtius glaucus), Balaenoptera spp. (except population of West Greenland of Balaenoptera acutorostrata), Megaptera novaeangliae, Eubalaena spp. (formerly included in genus Balaena) and

OJ L 103, 25. 4. 1979, p. 1. Directive as last amended by Commission Directive 97/49/EC (OJ No L 223, 13. 8. 1997, p. 9). OJ L 206, 22. 7. 1992, p. 7. Directive as last amended by the 1994 Act of (1)

⁽²⁾ Accession.

Caperea marginata which are listed in Appendix I. Specimens of the species listed in Appendix II to the Convention, including products and derivatives other than meat products for commercial purposes, taken by the people of Greenland under licence granted by the competent authority concerned, shall be treated as belonging to Annex B.

- ×704 Populations of Bhutan, India, Nepal and Pakistan are listed in Appendix I, other populations are listed in Appendix II.
- ×705 Populations of Bhutan, China, Mexico and Mongolia and the subspecies Ursus arctos isabellinus are listed in Appendix I, other populations and subspecies listed in Appendix II.
- ×706 The species is listed in Appendix I except the population of Australia which is listed in Appendix II.
- ×707 Trichechus inunguis and Trichechus manatus are listed in Appendix I. Trichechus senegalensis is listed in Appendix II.
- ×708 The species is listed in Appendix II but subspecies *Equus hemionus hemionus* is listed in Appendix I.
- ×709 *Haliaeetus albicilla* and *Haliaeetus leucocephalus* are listed in Appendix I, the other species are listed in Appendix II.
- ×710 The following species are listed in Appendix III: *Crax alberti, Crax daubentoni and Crax globulosa* for Colombia and *Crax rubra* for Colombia, Costa Rica, Guatemala and Honduras.
- ×711 Pauxi pauxi is listed in Appendix III for Colombia.
- ×712 The species is listed in Appendix II but subspecies *Grus canadensis nesiotes* and *Grus canadensis pulla* are listed in Appendix I.
- ×713 Vini ultramarina is listed in Appendix I, the other species are listed in Appendix II.
- ×714 Mantella aurantiaca is listed in Appendix II.
- 14. The symbol '-' followed by a number placed against the name of a species or higher taxon denotes that designated geographically separate populations, species, groups of species or families of that species or taxon are excluded from the annex concerned, as follows:
 - -101 Populations of Spain north of the Duero, Greek populations north of the 39th parallel and populations of Bhutan, India, Nepal and Pakistan
 - -102 Populations of Botswana, Namibia and Zimbabwe
 - -103 Population of Pecari tajacu of Mexico and the United States of America
 - -104 Argentina: the population of the Province of Jujuy and the semicaptive populations of the provinces of Jujuy, Salta, Catamarca, La Rioja and San Juan.
 - Bolivia: the populations of the Conservation Units of Mauri-Desaguadero, Ulla Ulla and Lípez-Chichas, with a zero annual export quota.
 - Chile: part of the population of Parinacota Province, 1a. Region of Tarapacá
 - Peru: the whole population
 - -105 Populations of Afghanistan, Bhutan, India, Myanmar, Nepal and Pakistan
 - -106 Cathartidae
 - -107 Melopsittacus undulatus, Nymphicus hollandicus and Psittacula krameri
 - -108 Population of Argentina
 - -109 Population of Ecuador, subject to a zero annual export quota until an annual export quota has been approved by the CITES Secretariat and the IUCN/SSC Crocodile Specialist Group
 - -110 Populations of Botswana, Ethiopia, Kenya, Madagascar, Malawi, Mozambique, South Africa, Uganda, the United Republic of Tanzania, Zambia and Zimbabwe. Apart from ranched specimens, the United Republic of Tanzania will authorize the export of no more than 1 100 wild specimens (including 100 hunting trophies) in 1998 and 1999 and 2000.
 - -111 Populations of Australia, Indonesia and Papua New Guinea
 - -112 Population of Chile
 - -113 All species that are not succulent

- -114 Aloe vera; also referenced as Aloe barbadensis.
- The symbol '+' followed by a number placed against the name of a species or higher taxon denotes that only designated geographically separate populations, subspecies or species of that species or taxon are included in the annex concerned, as follows:
 - +201 Populations of Spain north of the Duero, Greek populations north of the 39th parallel and populations of Bhutan, India, Nepal and Pakistan.
 - +202 Populations of Cameroon and Nigeria
 - +203 Population of Asia
 - +204 Population of Central and North America
 - +205 Populations of Bangladesh, India and Thailand
 - +206 Population of India
 - +207 Populations of Botswana, Namibia and Zimbabwe
 - +208 Population of South Africa
 - +209 Argentina: the population of the Province of Jujuy and the semicaptive populations of the Provinces of Jujuy, Salta, Catamarca, La Rioja and San Juan
 - Bolivia: the populations of the Conservation Units of Mauri-Desaguadero, Ulla Ulla and Lípez-Chichas, with a zero annual export quota.
 - Chile: part of the population of Parinacota Province, 1a. Region of Tarapacá
 - Peru: the whole population
 - +210 Populations of Afghanistan, Bhutan, India, Myanmar, Nepal and Pakistan
 - +211 Population of Mexico
 - +212 Populations of Algeria, Burkino Faso, Cameroon, Central African Repulic, Chad, Mali, Mauritania, Morocco, Niger, Nigeria, Senegal and Sudan
 - +213 Population of the Seychelles
 - +214 Population of Europe, except the area which formerly constituted the USSR
 - +215 Population of Chile.

▼<u>M3</u>

- +216 Population of the species in Bolivia.
- + 217 Population of the species in Brazil
- + 218 All populations of the species in the Americas
- + 219 Population of the species in Mexico
- The symbol '=' followed by a number placed against the name of a species or higher taxon denotes that the name of that species or taxon shall be interpreted as follows:
 - =301 Also referenced as Phalanger maculatus
 - =302 Also referenced as *Vampyrops lineatus*
 - =303 Includes family Tupaiidae
 - =304 Formerly included in family Lemuridae
 - =305 Formerly included as subspecies of Callithrix jacchus
 - =306 Includes generic synonym Leontideus
 - =307 Formerly included in species Saguinus oedipus
 - =308 Formerly included as Alouatta palliata
 - =309 Formerly included as Alouatta palliata (villosa)
 - =310 Includes synonym Cercopithecus roloway
 - =311 Formerly included in genus Papio
 - =312 Includes generic synonym Simias
 - =313 Includes synonym Colobus badius rufomitratus

▼<u>M4</u> ▼<u>M2</u>

16

▼<u>M2</u>

15.

- =314 Includes generic synonym Rhinopithecus
- =315 Also referenced as Presbytis entellus
- =316 Also referenced as Presbytis geei and Semnopithecus geei
- =317 Also referenced as Presbytis pileata and Semnopithecus pileatus
- =318 Formerly included as Tamandua tetradactyla (in part)
- =319 Includes synonyms Bradypus boliviensis and Bradypus griseus
- =320 Includes synonym Cabassous gymnurus
- =321 Includes synonym Priodontes giganteus
- =322 Includes generic synonym Coendou
- =323 Includes generic synonym Cuniculus
- =324 Formerly included in genus Dusicyon
- =325 Includes synonym Dusicyon fulvipes
- =326 Includes generic synonym Fennecus
- =327 Also referenced as *Selenarctos thibetanus*
- =328 Formerly included as Nasua nasua
- =329 Also referenced as Aonyx microdon or Paraonyx microdon
- =330 Includes synonym Galictis allamandi
- =331 Formerly included in genus Lutra
- =332 Formerly included in genus Lutra; includes synonyms Lutra annectens, Lutra enudris, Lutra incarum and Lutra platensis
- =333 Formerly included in Martes flavigula
- =334 Includes generic synonym Viverra
- =335 Includes synonym Eupleres major
- =336 Formerly included as Viverra megaspila
- =337 Formerly included as Herpestes fuscus
- =338 Formerly included as Herpestes auropunctatus
- =339 Also referenced as Hyaena brunnea
- =340 Also referenced as Felis caracal and Lynx caracal
- =341 Formerly included in genus Felis
- =342 Also referenced as Felis pardina or Felis lynx pardina
- =343 Formerly included in genus Panthera
- =344 Also referenced as Equus asinus
- =345 Formerly included in species Equus hemionus
- =346 Also referenced as Equus caballus przewalskii
- =347 Also referenced as Choeropsis liberiensis
- =348 Also referenced as Cervus porcinus annamiticus
- =349 Also referenced as Cervus porcinus calamianensis
- =350 Also referenced as Cervus porcinus kuhlii
- =351 Also referenced as Cervus dama mesopotamicus
- =352 Includes synonym Bos frontalis
- =353 Includes synonym Bos grunniens
- =354 Includes generic synonym Novibos
- =355 Formerly included as Bubalus bubalis (domesticated form)
- =356 Includes generic synonym Anoa
- =357 Also referenced as *Damaliscus dorcas dorcas* or *Damaliscus pygargus dorcas*
- =358 Formerly included in species Naemorhedus goral
- =359 Also referenced as Capricornis sumatraensis

- =360 Includes synonym Oryx tao
- =361 Includes synonym Ovis aries ophion
- =362 Formerly included as Ovis vignei
- =363 Also referenced as Rupicapra rupicapra ornata
- =364 Also referenced as *Boocercus eurycerus*; includes generic synonym *Taurotragus*
- =365 Also referenced as Pterocnemia pennata
- =366 Also referenced as Sula abbotti
- =367 Also referenced as Ardeola ibis
- =368 Also referenced as Egretta alba and Ardea alba
- =369 Also referenced as Ciconia ciconia boyciana
- =370 Also referenced as Hagedashia hagedash
- =371 Also referenced as Lampribis rara
- =372 Includes synonyms Anas chlorotis and Anas nesiotis
- =373 Also referenced as Spatula clypeata
- =374 Also referenced as Anas platyrhynchos laysanensis
- =375 Probably a hybrid between Anas platyrhynchos and Anas superciliosa
- =376 Also referenced as Nyroca nyroca
- =377 Includes synonym Dendrocygna fulva
- =378 Also referenced as Cairina hartlaubii
- =379 Also referenced as Aquila heliaca adalberti
- =380 Also referenced as Chondrohierax wilsonii
- =381 Also referenced as Falco peregrinus babylonicus and Falco peregrinus pelegrinoides
- =382 Also referenced as Crax mitu mitu
- =383 Formerly included in genus Crax
- =384 Formerly included in genus Aburria
- =385 Formerly included in genus Aburria; also referenced as Pipile pipile pipile
- =386 Formerly included as Arborophila brunneopectus (in part)
- =387 Formerly included in species Crossoptilon crossoptilon
- =388 Formerly included in species Polyplectron malacense
- =389 Includes synonym Rheinardia nigrescens
- =390 Also referenced as Tricholimnas sylvestris
- =391 Also referenced as Choriotis nigriceps
- =392 Also referenced as Houbaropsis bengalensis
- =393 Also referenced as *Turturoena iriditorques*; formerly included as *Columba malherbii* (in part)
- =394 Also referenced as Nesoenas mayeri
- =395 Formerly included as Treron australis (in part)
- =396 Also referenced as Calopelia brehmeri; includes synonym Calopelia puella
- =397 Also referenced as Tympanistria tympanistria
- =398 Also referenced as Amazona dufresniana rhodocorytha
- =399 Often traded under the incorrect designation Ara caninde
- =400 Also referenced as Cyanoramphus novaezelandiae cookii
- =401 Also referenced as Opopsitta diophthalma coxeni
- =402 Also referenced as Pezoporus occidentalis
- =403 Formerly included in species Psephotus chrysopterygius
- =404 Also referenced as Psittacula krameri echo

- =405 Formerly included in genus *Gallirex*; also referenced as *Tauraco* porphyreolophus
- =406 Also referenced as Otus gurneyi
- =407 Also referenced as Ninox novaeseelandiae royana
- =408 Formerly included in genus Glaucis
- =409 Includes generic synonym Ptilolaemus
- =410 Formerly included in genus Rhinoplax
- =411 Also referenced as Pitta brachyura nympha
- =412 Also referenced as Muscicapa ruecki or Niltava ruecki
- =413 Also referenced as Dasyornis brachypterus longirostris
- =414 Also referenced as Tchitrea bourbonnensis
- =415 Also referenced as Meliphaga cassidix
- =416 Includes generic synonym Xanthopsar
- =417 Formerly included in genus Spinus
- =418 Formerly included as Serinus gularis (in part)
- =419 Also referenced as Estrilda subflava or Sporaeginthus subflavus
- =420 Formerly included as Lagonosticta larvata (in part)
- =421 Includes generic synonym Spermestes
- =422 Also referenced as *Euodice cantans*; formerly included as *Lonchura malabarica* (in part)
- =423 Also referenced as Hypargos nitidulus
- =424 Formerly included as Parmoptila woodhousei (in part)
- =425 Includes synonyms Pyrenestes frommi and Pyrenestes rothschildi
- =426 Also referenced as Estrilda bengala
- =427 Also referenced as Malimbus rubriceps or Anaplectes melanotis
- =428 Also referenced as Coliuspasser ardens
- =429 Formerly included as Euplectes orix (in part)
- =430 Also referenced as Coliuspasser macrourus
- =431 Also referenced as Ploceus superciliosus
- =432 Includes synonym Ploceus nigriceps
- =433 Also referenced as Sitagra luteola
- =434 Also referenced as Sitagra melanocephala
- =435 Formerly included as Ploceus velatus
- =436 Also referenced as Hypochera chalybeata; includes synonyms Vidua amauropteryx, Vidua centralis, Vidua neumanni, Vidua okavangoensis and Vidua ultramarina
- =437 Formerly included as Vidua paradisaea (in part)
- =438 Includes synonym Cuora criskarannarum
- =439 Also referenced as genus Damonia
- =440 Formerly included as Kachuga tecta tecta
- =441 Includes generic synonyms Nicoria and Geoemyda (in part)
- =442 Also referenced as Chrysemys scripta elegans
- =443 Also referenced as *Geochelone elephantopus*; also referenced in genus *Testudo*
- =444 Also referenced in genus Testudo
- =445 Also referenced in genus Aspideretes
- =446 Formerly included in *Podocnemis* spp.
- =447 Also referenced as Pelusios subniger
- =448 Includes Alligatoridae, Crocodylidae and Gavialidae
- =449 Also referenced as Crocodylus mindorensis

- =450 Also referenced in genus Nactus
- =451 Includes generic synonym Rhoptropella
- =452 Formerly included in Chamaeleo spp.
- =453 Includes generic synonyms Calumma and Furcifer
- =454 Includes families Bolyeriidae and Tropidophiidae as subfamilies
- =455 Also referenced as Constrictor constrictor occidentalis
- =456 Includes synonym Python molurus pimbura
- =457 Includes synonym Sanzinia manditra
- =458 Includes synonym Pseudoboa cloelia
- =459 Also referenced as Hydrodynastes gigas
- =460 Also referenced as Alsophis chamissonis
- =461 Formerly included in genus Natrix
- =462 Includes synonyms Naja atra, Naja kaouthia, Naja oxiana, Naja philippinensis, Naja samarensis, Naja sputatrix and Naja sumatrana
- =463 Includes generic synonym Megalobatrachus
- =464 Formerly included in Nectophrynoides
- =465 Formerly included in Dendrobates
- =466 Also referenced as Rana
- =467 Sensu D'Abrera
- =468 Includes synonyms Pandinus africanus and Heterometrus roeseli
- =469 Includes generic synonym Aphonopelma
- =470 Also referenced as Conchodromus dromas
- =471 Also referenced in genera Dysnomia and Plagiola
- =472 Includes generic synonym Proptera
- =473 Also referenced in genus Carunculina
- =474 Also referenced as Megalonaias nickliniana
- =475 Also referenced as *Cyrtonaias tampicoensis tecomatensis* and *Lampsilis tampicoensis tecomatensis*
- =476 Includes generic synonym Micromya
- =477 Includes generic synonym Papuina
- =478 Includes only the family Helioporidae with one species *Heliopora* coerulea
- =479 Also referenced as Podophyllum emodi and Sinopodophyllum hexandrum
- =480 Includes generic synonyms Neogomesia and Roseocactus
- =481 Also referenced in genus Echinocactus
- =482 Also referenced in genus Mammillaria; includes synonym Coryphantha densispina
- =483 Also referenced as Lobeira macdougallii and Nopalxochia macdougallii
- =484 Also referenced as Echinocereus lindsayi
- =485 Also referenced in genera Cereus and Wilcoxia
- =486 Also referenced in genus *Coryphantha*; formerly included in *Escobaria sneedii* and includes synonym *Escobaria nellieae*
- =487 Also referenced in genus Coryphantha: includes Escobaria leei as a subspecies
- =488 Also referenced as Solisia pectinata
- =489 Also referenced as *Backebergia militaris*, *Cephalocereus militaris* and *Mitrocereus militaris*; includes synonym *Pachycereus chrysomallus*
- =490 Includes Pediocactus bradyi ssp. despainii and Pediocactus bradyi ssp. winkleri and synonyms Pediocactus despainii and Pediocactus winkleri
- =491 Also referenced in genus Toumeya

- =492 Also referenced in genera Navajoa, Toumeya and Utahia includes Pediocactus peeblesianus var. fickeisenii
- =493 Also referenced as genera Echinocactus and Utahia
- =494 Includes generic synonym Encephalocarpus
- =495 Includes synonym Ancistrocactus tobuschii and Ferocactus tobuschii
- =496 Also referenced in genera Neolloydia and Echinomastus; includes synonyms Echinomastus acunensis and Echinomastus krausei
- =497 Includes synonyms Ferocactus glaucus, sclerocactus brevispinus, Sclerocactus wetlandicus and Sclerocactus wetlandicus spp. ilseae
- =498 Also referenced as genera Echinocactus, Echinomastus and Neolloydia
- =499 Also referenced as genera Coloradoa, Echinocactus, Ferocactus and Pediocactus
- =500 Also referenced in genera *Echinocactus, Mammillaria, Pediocactus* and *Toumeya*
- =501 Also referenced as Echinocactus and Ferocactus
- =502 Also referenced as Pediocactus
- =503 Includes generic synonyms Gymnocactus, Normanbokea and Rapicactus
- =504 Also referenced as Saussurea lappa
- =505 Also referenced as Euphorbia decaryi var. capsaintemariensis
- =506 Includes Euphorbia cremersii fa. viridifolia and Euphorbia cremersii var. rakotozafyi
- =507 Includes Euphorbia cylindrifolia ssp. tuberifera
- =508 Includes Euphorbia decaryi vars. ampanihyensis, robinsonii and spirosticha
- =509 Includes Euphorbia moratii vars. antsingiensis, bemarahensis and multiflora
- =510 Also referenced as Euphorbia capsaintemariensis var. tulearensis
- =511 Also referenced as Engelhardia pterocarpa
- =512 Includes Aloe compressa var. rugosquamosa and Aloe compressa var. schistophila
- =513 Includes Aloe haworthioides var. aurantiaca
- =514 Includes Aloe laeta var. maniaensis
- =515 Formerly included in Talauma hodgsonii
- =516 Includes families Apostasiaceae and Cypripediaceae as subfamilies Apostasioideae and Cypripedioideae
- =517 Anacampseros australiana and A. kurtzii are also referenced in genus Grahamia
- =518 Formerly included in Anacampseros spp.
- =519 Also referenced as Sarracenia rubra ssp. alabamensis
- =520 Also referenced as Sarracenia rubra ssp. jonesii
- =521 Formerly included in ZAMIACEAE spp.
- =522 Includes synonym Stangeria paradoxa
- =523 Also referenced as Taxus baccata ssp. wallichiana
- =524 Includes synonym Welwitschia bainesii
- =525 Includes synonym Vulpes vulpes leucopus
- =526 Also referenced as Erithacus pectardens
- =527 Also referenced as Pogonocichla swynnertoni
- =528 Also referenced as *Platylophus leucopterus*
- =529 Includes Lapemis hardwickii
- =530 Includes Hippocampus agnesiae, Hippocampus bleekeri, Hippocampus graciliformis and Hippocampus macleayina.
- =531 Includes Hippocampus elongatus and Hippocampus subelongatus.
- =532 Includes Hippocampus tuberculatus.

- =533 Includes Hippocampus subcoronatus
- =534 Includes Hippocampus fasciatus and Hippocampus mohnikei
- =535 Includes Hippocampus brunneus, Hippocampus fascicularis, Hippocampus hudsonius, Hippocampus kinkaidi, Hippocampus laevicaudatus, Hippocampus marginalis, Hippocampus punctulatus, Hippocampus stylifer, Hippocampus tetragonurus and Hippocampus villosus.
- =536 Includes Hippocampus obscurus
- =537 Includes Hippocampus antiquorum, Hippocampus antiquus, Hippocampus brevirostris, Hippocampus europeaus, Hippocampus heptagonus, Hippocampus pentagonus and Hippocampus vulgaris.
- =538 Includes Hippocampus ecuadorensis, Hippocampus gracilis, Hippocampus hildebrandi and Hippocampus ringens.
- =539 Includes Hippocampus atterimus, Hippocampus barbouri, Hippocampus fisheri, Hippocampus hilonis, Hippocampus melanospilos, Hippocampus moluccensis, Hippocampus natalensis, Hippocampus polytaenia, Hippocampus rhyncomacer, Hippocampus taeniopterus and Hippocampus valentyni.
- =540 Includes Hippocampus suezensis
- =541 Includes Hippocampus dahli and Hippocampus lenis.
- =542 Includes Hippocampus atrichus, Hippocampus guttulatus, Hippocampus jubatus, Hippocampus longirostris, Hippocampus microcoronatus, Hippocampus microstephanus, Hippocampus multiannularis, Hippocampus rosaceus and Hippocampus trichus.
- =543 Includes Hippocampus obtusus and Hippocampus poeyi.
- =544 Includes Hippocampus chinensis, Hippocampus kampylotrachelos, Hippocampus manadensis, Hippocampus mannulus and Hippocampus sexmaculatus.
- =545 Includes Hippocampus novaehollandiae
- =546 Includes Hippocampus regulus and Hippocampus rosamondae
- 17. The symbol 'o' followed by a number placed against the name of a species or higher taxon shall be interpreted as follows:
 - °601 A zero annual export quota has been established. All specimens shall be deemed to be specimens of species included in Annex A and the provisions of this Regulation shall be applied to them accordingly.
 - °602 Specimens of the domesticated form are not subject to the provisions of the Regulation.
 - °603 Annual export quotas for live specimens and hunting trophies are granted as follows:
 - Botswana: 5
 - Namibia: 150
 - Zimbabwe: 50

The trade in such specimens is subject to the provisions of Article 4.1 of the Regulation.

^o604 For the exclusive purpose of allowing: 1) export of hunting trophies for non-commercial purposes; 2) export of live animals to appropriate and acceptable destinations (Namibia: for non-commercial purposes only);
3) export of hides (Zimbabwe only); 4) export of leather goods and ivory carvings for non-commercial purposes (Zimbabwe only).

No international trade in ivory is permitted before 18 March 1999. Thereafter, under experimental quotas for raw ivory not exceeding 25,3 tonnes (Botswana), 13,8 tonnes (Namibia) and 20 tonnes (Zimbabwe), raw ivory may be exported to Japan subject to the conditions established in Decision of the Conference of the Parties regarding ivory No 10.1. All other specimens shall be deemed to be specimens of species included in Annex A and the provisions of this Regulation shall be applied to them accordingly.

- °605 For the exclusive purpose of allowing international trade in live animals to appropriate and acceptable destinations and hunting trophies. All other specimens shall be deemed to be specimens of species included in Annex A and the provisions of this Regulation shall be applied to them accordingly.
- °606 For the exclusive purpose of allowing international trade in wool sheared from live vicuñas of the populations included in Annex B (see +209), and the stock extant at the time of the ninth meeting of the Conference of the

Parties (November 1994) in Peru of 3 249 kg of wool, and in cloth and items made thereof, including luxury handicrafts and knitted articles. The reverse side of the cloth must bear the logotype adopted by the range states of the species, which are signatories to the Convenion para la Conservación y Manejo de la Vicuña, and the selvages the words 'VICUNA — COUNTRY OF ORIGIN', depending on the country of origin. All other specimens shall be deemed to be specimens of species included in Annex A and the provisions of this Regulation shall be applied to them accordingly.

- °607 Fossils are not subject to the provisions of the Regulation.
- °608 Artificially propagated specimens of the following hybrids and/or cultivars are not subject to the provisions of the Regulation:

Hatiora × graeseri

 $Schlumbergera \times buckleyi$

Schlumbergera russelliana × Schlumbergera truncata

Schlumbergera orssichiana × Schlumbergera truncata

Schlumbergera opuntioides × Schlumbergera truncata

Schlumbergera truncata (cultivars)

Gymnocalycium mihanovichii (cultivars) forms lacking chlorophyll, grafted on the following grafting stocks: *Harrisia*' 'Jusbertii', *Hylocereus trigonus* or *Hylocereus undatus*

Opuntia microdasys (cultivars)

- °609 Artificially propagated specimens of cultivars of *Euphorbia trigona* are not subject to the provisions of the Regulation.
- °610 Seedling or tissue cultures obtained *in vitro*, in solid or liquid media, transported in sterile containers are not subject to the provisions of the Regulation.
- °611 Artificially propagated specimens of cultivars of *Cyclamen persicum* are not subject to the provisions of the Regulation. However, the exemption does not apply to such specimens traded as dormant tubers
- 18. In accordance with Article 2 (t) of this Regulation, the symbol '#' followed by a number placed against the name of a species or higher taxon included in Annex B designates parts or derivatives which are specified in relation thereto for the purposes of the Regulation as follows:
 - #1 designates all parts and derivatives, except:
 - (a) seeds, spores and pollen (including pollinia);
 - (b) seedling or tissue cultures obtained *in vitro*, in solid or liquid media, transported in sterile containers, and
 - (c) cut flowers of artificially propagated plants.
 - #2 designates all parts and derivations, except:
 - (a) seeds and pollen;
 - (b) seedling or tissue cultures obtained *in vitro*, in solid or liquid media, transported in sterile containers;
 - (c) cut flowers of artificially propagated plants; and
 - (d) chemical derivations.
 - #3 designates whole and sliced roots and parts of roots, excluding manufactured parts or derivatives such as powders, pills, extracts, tonics, teas and confectionery.
 - #4 designates all parts and derivatives, except:
 - (a) seeds, except those from Mexican cacti originating in Mexico, and pollen;
 - (b) seedling or tissue cultures obtained *in vitro*, in solid or liquid media, transported in sterile containers;
 - (c) cut flowers of artificially propagated plants;
 - (d) fruits and parts and derivatives thereof of naturalised or artificially propagated plants; and
 - (e) separate stem joints (pads) and parts and derivatives therefore of naturalised or artifically propagated plants of the genus *Opuntia* subgenus *Opuntia*.
 - #5 designates logs, sawn wood and veneer sheets;

- #6 designates logs, wood-chips and unprocessed broken material;
- #7 designates all parts and derivatives, except:
 - (a) seeds and pollen (including pollinia);
 - (b) seedling or tissue cultures obtained *in vitro*, in solid or liquid media, transported in sterile containers;
 - (c) cut flowers of artificially propagated plants; and
 - (d) fruits and parts and derivatives thereof of artificially propagated plants of the genus *Vanilla*.
- #8 designates all parts and derivatives, except:
 - (a) seeds and pollen;
 - (b) seedling or tissue cultures obtained *in vitro*, in solid or liquid media, transported in sterile containers;
 - (c) cut flowers of artificially propagated plants; and
 - (d) finished pharmaceutical products.
- 19. As none of the species or higher taxa of FLORA included in Annex A is annotated to the effect that its hybrids shall be treated in accordance with the provisions of Article 4.1 of the Regulation, this means that artifically propagated hybrids produced from one or more of these species or taxa may be traded with a certificate of artificial propagation, and that seeds and pollen (including pollinia), cut flowers, seedling or tissue cultures obtained *in vitro*, in solid or liquid media, transported in sterile containers of these hybrids are not subject to the provisions of the Regulation.
- 20. In respect of fauna species listed in Annex D, the provisions, shall apply only to live specimens and whole or substantially whole, dead specimens except for taxa which are annotated as follows to show that other parts and derivatives are also covered:
 - § 1 Any whole or substantially whole skins, raw or tanned.
 - § 2 Any feathers or any skin or other part with feathers on it.
- 21. In respect of flora species listed in Annex D, the provisions shall apply only to live specimens except for taxa which are annotated as follows to show that other parts and derivatives are also covered:
 - § 3 Dried and fresh plants, including; leaves, roots/rootstock, stems, seeds/ spores, bark and fruits.

▼	M2	

	Annex A	Annex B	Annex C	Common name
		FAUNA		
		CHORDATA		
MAMMALIA				
MONOTREMATA				
Tachyglossidae		Zaglossus spp. (II)		Long-nosed echina
DASYUROMORPHIA				
Dasyuridae	Sminthopsis longicau- data (I)			Long-tailed dunnart
	Sminthopsis psammo- phila (I)			Sandhill dunnart
Thylacinidae	Thylacinus cynocepha- lus p.e. (I)			Thylacine
PERAMELEMORPHIA				
Peramelidae	<i>Chaeropus ecaudatus</i> p.e. (I)			Pig-footed bandicoot
	Macrotis lagotis (I)			Greater bilby
	Macrotis leucura (I)			Lesser bilby
	Perameles bougainville (I)			Western barred bandi- coot
DIPROTODONTIA				
Phalangeridae		Phalanger orientalis (II)		Grey cuscus
		Spilocuscus maculatus (II) =301		Common spotted cusc
Vombatidae	Lasiorhinus krefftii (I)			Northern hairy-nosed wombat
Macropodidae		Dendrolagus dorianus		Unicoloured tree kan- garoo
		Dendrolagus goodfello- wi		Goodfellow's tree kan- garoo
		Dendrolagus inustus (II)		Grizzled tree-kangaroo
		Dendrolagus matschiei		Matschie's tree kangar
		Dendrolagus ursinus (II)		Vogelkop tree-kangaro
	Lagorchestes hirsutus (I)			Rufous hare-wallaby
	Lagostrophus fasciatus (I)			Banded hare-wallaby
	Onychogalea fraenata (I)			Bridled nailtail wallab
	Onychogalea lunata (I)			Crescent nailtail walla
Potoroidae	Bettongia spp. (I)			Bettongs

	Annex A	Annex B	Annex C	Common name
	Caloprymnus campes- tris p.e. (I)			Desert rat-kangaroo
CHIROPTERA				
Pteropodidae		Acerodon spp. * (II)		Flying foxes
	Acerodon jubatus (I)			Golden-capped fruit ba
	Acerodon lucifer p.e. (I)			Panay giant fruit bat
		Pteropus spp. * (II)		Flying-foxes
	Pteropus insularis (I)			Truk flying-fox
	Pteropus livingstonei (II)			Comoro black flying-fo
	Pteropus mariannus (I)			Micronesian flying-fox
	Pteropus molossinus (I)			Pohnpei flying-fox
	Pteropus phaeocepha- lus (I)			Mortlock flying-fox
	Pteropus pilosus (I)			Large Palau flying-fox
	Pteropus rodricensis (II)			Rodriques flying-fox
	Pteropus samoensis (I)			Samoan flying-fox
	Pteropus tonganus (I)			Insular flying-fox
	Pteropus voeltzkowi (II)			Pemba flying-fox
Phyllostomidae			Platyrrhinus lineatus (III UY)=302	White-lined bat
PRIMATES		PRIMATES spp. * (II) =303		Primates
Lemuridae	Lemuridae spp. (I)			Lemurs
Megaladapidae	Megaladapidae spp. (I) =304			Sportive lemurs
Cheirogaleidae	Cheirogaleidae spp. (I)			Dwarf lemurs and mouse-lemurs
Indridae	Indridae spp. (I)			Indri, sifakas and wool lemurs
Daubentoniidae	Daubentonia madagas- cariensis (I)			Aye-aye
Tarsiidae	Tarsius spp. (II)			Tarsiers
Callithricidae	Callimico goeldii (I)			Goeldi's marmoset
	<i>Callithrix aurita</i> (I) =305			Buffy-tufted-ear mar- moset
	Callithrix flaviceps (I) =305			Buffy-headed marmose
	<i>Leontopithecus</i> spp. (I) =306			Lion tamarins
	Saguinus bicolor (I)			Bare-faced tamarin
	Saguinus geoffroyi (I) =307			Rufous-naped tamarin
	Saguinus leucopus (I)			White-footed tamarin

	Annex A	Annex B	Annex C	Common name
	Saguinus oedipus (I)			Cotton-headed tamari
Cebidae	Alouatta coibensis (I) =308			Coibensis howler
	Alouatta palliata (I)			Mantled howler
	Alouatta pigra (I) =309			Guatemalan howler
	Ateles geoffroyi fronta- tus (I)			Black-browed spider monkey
	Ateles geoffroyi pana- mensis (I)			Red spider monkey
	Brachyteles ara- chnoides (I)			Woolly spider monke
	Cacajao spp. (I)			Uakaris
	Callicebus personatus (II)			Masked titi
	Chiropotes albinasus (I)			White-nosed saki
	Lagothrix flavicauda (I)			Yellow-tailed woolly monkey
	Saimiri oerstedii (I)			Central American squ rel monkey
Cercopithecidae	<i>Cercocebus galeritus</i> (I/ II) ×701			Crested mangabey
	<i>Cercopithecus diana</i> (I) =310			Diana guenon
	Cercopithecus solatus (II)			Sun-tailed monkey
	Colobus satanas (II)			Black colobus
	Macaca silenus (I)			Lion-tailed macaque
	Mandrillus leucophaeus (I) =311			Drill
	Mandrillus sphinx (I) =311			Mandrill
	Nasalis concolor (I) =312			Pig-tailed langur
	Nasalis larvatus (I)			Proboscis monkey
	Presbytis potenziani (I)			Mentawai leaf monke
	Procolobus pennantii (I/II) ×702			Eastern red colobus
	Procolobus preussi (II)			Preuss's colobus
	Procolobus rufomitra- tus (I) =313			Tana River colobus
	Pygathrix spp. (I) =314			Snub-nosed monkeys
	Semnopithecus entellus (I) =315			Hanuman langur
	Trachypithecus francoi- si (II)			François' leaf monke
	<i>Trachypithecus geei</i> (I) =316			Golden langur

	Annex A	Annex B	Annex C	Common name
	Trachypithecus johnii (II)			Nilgiri langur
	<i>Trachypithecus pileatus</i> (I) =317			Capped langur
Hylobatidae	Hylobatidae spp. (I)			Gibbons
Hominidae	Gorilla gorilla (I)			Gorilla
	Pan spp. (I)			Chimpanzees
	Pongo pygmaeus (I)			Orang-utan
XENARTHRA				
Myrmecophagidae		Myrmecophaga tridac- tyla (II)		Giant anteater
			Tamandua mexicana (III GT) =318	Tamandua
Bradypodidae		Bradypus variegatus (II) =319		Brown-throated sloth
Megalonychidae			Choloepus hoffmanni (III GT)	Hoffman's two-toed sloth
Dasypodidae			Cabassous centralis (III GT)	Northern naked-tailed armadillo
			Cabassous tatouay (III GT) =320	Greater naked-tailed an madillo
		Chaetophractus nationi (II) °601		Hairy armadillo
	Priodontes maximus (I) =321			Giant armadillo
PHOLIDOTA				
Manidae		Manis spp. (II)		Pangolins
LAGOMORPHA				
Leporidae	Caprolagus hispidus (I)			Hispid rabbit
	Romerolagus diazi (I)			Volcano rabbit
RODENTIA				
Sciuridae	Cynomys mexicanus (I)			Mexican prairie dog
			Epixerus ebii (III GH)	Ebian's palm squirrel
			Marmota caudata (III IN)	Long-tailed marmot
			Marmota himalayana (III IN)	Himalayan marmot
		Ratufa spp. (II)		Giant squirrels
			Sciurus deppei (III CR)	Deppe's squirrel
Anomaluridae			Anomalurus beecrofti (III GH)	Beecroft's flying squirr
			Anomalurus derbianus (III GH)	Lord Derby's flying squirrel
			Anomalurus pelii (III GH)	Pel's flying squirrel

	Annex A	Annex B	Annex C	Common name
			<i>Idiurus macrotis</i> (III GH)	Long-eared flying squir- rel
Muridae	Leporillus conditor (I)			Greater stick-nest rat
	Pseudomys praeconis (I)			Shark Bay mouse
	Xeromys myoides (I)			False water-rat
	Zyzomys pedunculatus (I)			Central rock-rat
Hystricidae	Hystrix cristata (III GH)			North African crested porcupine
Erethizontidae			Sphiggurus mexicanus (III HN) =322	Mexican tree porcupine
			Sphiggurus spinosus (III UY) =322	Spiny tree porcupine
Agoutidae			Agouti paca (III HN) =323	Spotted paca
Dasyproctidae			Dasyprocta punctata (III HN)	Central American agout
Chinchillidae	Chinchilla spp. (I) °602			Chinchillas
CETACEA	CETACEA spp. (I/II) ×703			Cetaceans
CARNIVORA				
Canidae			Canis aureus (III IN)	Golden jackal
	Canis lupus** (I/II) – 101×704	Canis lupus* (II) +201		Grey wolf
	Canis simensis			Ethiopian wolf
		<i>Cerdocyon thous</i> (II) =324		Forest fox
		Chrysocyon brachyurus (II)		Maned wolf
		Cuon alpinus (II)		Asiatic wild dog
		Pseudalopex culpaeus (II) =324		Colpeo fox
		Pseudalopex griseus (II) =325		Argentine grey fox
		Pseudalopex gymnocer- cus (II) =324		Pampa fox
	Speothos venaticus (I)			Bush dog
			Vulpes bengalensis (III IN)	Bengal fox
		Vulpes cana (II)		Blanford's fox
		Vulpes zerda (II) =326		Fennec fox
Ursidae		Ursidae spp. * (II)		Bears
	Ailuropoda melanoleu- ca (I)			Giant panda
	Ailurus fulgens (I)			Lesser panda
	Helarctos malayanus (I)			Sun bear

	Annex A	Annex B	Annex C	Common name
	Melursus ursinus (I)			Sloth bear
	Tremarctos ornatus (I)			Spectacled bear
	Ursus arctos (I/II) ×705			Brown bear
	<i>Ursus thibetanus</i> (I) =327			Asiatic black bear
Procyonidae			Bassaricyon gabbii (III CR)	Bushy-tailed olingo
			Bassariscus sumichrasti (III CR)	Central American caco mistle
			Nasua narica (III HN) =328	Coati
			Nasua nasua solitaria (III UY)	South Brazilian coati
			Potos flavus (III HN)	Kinkajou
Mustelidae				
Lutrinae		Lutrinae spp. *		Otters
	<i>Aonyx congicus</i> ** (I) +202 =329			Cameroon clawless otto
	Enhydra lutris nereis (I)			Southern sea otter
	Lontra felina (I) =331			Marine otter
	Lontra longicaudis (I) =332			South American river otter
	Lontra provocax (I) =331			Southern river otter
	Lutra lutra (I)			Eurasian otter
	Pteronura brasiliensis (I)			Giant otter
Mephitinae		Conepatus humboldtii (II)		Patagonian hog-nosed skunk
Mustelinae			Eira barbara (III HN)	Tayra
			<i>Galictis vittata</i> (III CR) =330	Greater grison
			Martes flavigula (III IN)	Yellow-throated marter
			Martes foina intermedia (III IN)	Stone marten
			Martes gwatkinsii (III IN) =333	Nilgiri marten
			<i>Mellivora capensis</i> (III BW/GH)	Honey badger
			Mustela altaica (III IN)	Mountain weasel
			Mustela kathiah (III IN)	Yellow-bellied weasel
	Mustela nigripes (I)			Black-footed ferret
			Mustela sibirica (III IN)	Siberian weasel
Viverridae			Arctictis binturong (III IN)	Binturong

	Annex A	Annex B	Annex C	Common name
			Civettictis civetta (III BW) =334	African civet
		Cryptoprocta ferox (II)		Fossa
		Cynogale bennettii (II)		Otter-civet
		<i>Eupleres goudotii</i> (II) =335		Falanouc
		Fossa fossana (II)		Malagasy civet
		Hemigalus derbyanus (II)		Banded palm civet
			Paguma larvata (III IN)	Masked palm civet
			Paradoxurus hermaph- roditus (III IN)	Common palm civet
			Paradoxurus jerdoni (III IN)	Jerdon's palm civet
		Prionodon linsang (II)		Banded linsang
	Prionodon pardicolor (I)			Spotted linsang
			Viverra civettina (III IN) =336	Malabar large-spotted civet
			Viverra zibetha (III IN)	Large Indian civet
			<i>Viverricula indica</i> (III IN)	Small Indian civet
Herpestidae			Herpestes brachyurus fusca (III IN) =337	Indian brown mongoos
			Herpestes edwardsii (III IN)	Indian grey mongoose
			Herpestes javanicus auropunctata (III IN) =338	Small Indian mongoose
			Herpestes smithii (III IN)	Ruddy mongoose
			Herpestes urva (III IN)	Crab-eating mongoose
			Herpestes vitticollis (III IN)	Stripe-necked mongoos
Hyaenidae		Parahyaena brunnea (II) =339		Brown hyaena
			Proteles cristatus (III BW)	Aardwolf
Felidae		Felidae spp. * (II) °602		Cats
	Acinonyx jubatus (I) °603			Cheetah
	<i>Caracal caracal</i> ** (I) +203 =340			Asian Caracal
	Catopuma temminckii (I) =341			Asiatic golden cat
	Felis nigripes (I)			Black-footed cat
	Felis silvestris (II)			Wild cat

	Annex A	Annex B	Annex C	Common name
	Herpailurus yaguaron- di** (I) +204 =341			Jaguarundi
	<i>Leopardus pardalis</i> (I) =341			Ocelot
	<i>Leopardus tigrinus</i> (I) =341			Little spotted cat
	Leopardus wiedii (I) =341			Margay
	Lynx lynx (II) =341			Eurasian lynx
	Lynx pardinus (I) =342			Iberian lynx
	Neofelis nebulosa (I)			Clouded leopard
	Oncifelis geoffroyi (I) =341			Geoffroy's cat
	<i>Oreailurus jacobita</i> (I) =341			Andean cat
	Panthera leo persica (I)			Asiatic lion
	Panthera onca (I)			Jaguar
	Panthera pardus (I)			Leopard
	Panthera tigris (I)			Tiger
	Pardofelis marmorata (I) =341			Marbled cat
	Prionailurus bengalen- sis bengalensis** (I)+205 =341			Bengal leopard cat
	Prionailurus bengalen- sis iriomotensis (II)=341			Iriomote cat
	Prionailurus planiceps (I) =341			Flat-headed cat
	Prionailurus rubigino- sus** (I) +206 =341			Rusty-spotted cat
	Puma concolor coryi (I) =341			Florida puma
	Puma concolor costar- icensis (I) =341			Costa Rican puma
	Puma concolor cougar (I) =341			Eastern puma
	Uncia uncia (I) =343			Snow leopard
Otariidae		Arctocephalus spp. * (II)		Fur seals
	Arctocephalus philippii (II)			Juan Fernandez fur
	Arctocephalus townsen- di (I)			Guadelupe fur seal
Odobenidae		<i>Odobenus rosmarus</i> (III CA)		Walrus
Phocidae		Mirounga leonina (II)		Southern elephant-s
	Monachus spp. (I)			Monk seals

	Annex A	Annex B	Annex C	Common name
PROBOSCIDEA				
Elephantidae	Elephas maximus (I)			Asian elephant
	Loxodonta africana **(I) -102	Loxodonta africana * (II) +207 °604		African elephant
SIRENIA				
Dugongidae	Dugong dugon (I/II) ×706			Dugong
Trichechidae	Trichechidae spp. (I/II) ×707			Manatees
PERISSODACTYLA				
Equidae	Equus africanus (I) =344			African ass
	Equus grevyi (I)			Grevy's zebra
	Equus hemionus (I/II) ×708			Asiatic wild ass
	Equus kiang (II) =345			Kiang
		Equus onager* (II) =345		Onager ass
	Equus onager khur (I) =345			Indian wild ass
	Equus przewalskii (I) =346			Przewalski's horse
		Equus zebra hartman- nae (II)		Hartmann's mountair zebra
	Equus zebra zebra (I)			Cape mountain zebra
Tapiridae	Tapiridae spp. ** (I)			Tapirs
		Tapirus terrestris (II)		Brazilian tapir
Rhinocerotidae	<i>Rhinocerotidae</i> spp. ** (I)			Rhinoceroses
		Ceratotherium simum simum* (II) °605 +208		Southern white rhind
ARTIODACTYLA				
Suidae	Babyrousa babyrussa (I)			Babirusa
	Sus salvanius (I)			Pygmy hog
Tayassuidae		Tayassuidae spp. * (II) -103		Peccaries
	Catagonus wagneri (I)			Chacoan peccary
Hippopotamidae		Hexaprotodon liberien- sis (II) =347		Pygmy hippopotamu
		Hippopotamus amphi- bius (II)		Hippopotamus
Camelidae		Lama guanicoe (II)		Guanaco
	Vicugna vicugna** (I) -104	Vicugna vicugna* (II) °606 +209		Vicuña
Tragulidae			<i>Hyemoschus aquaticus</i> (III GH)	Water chevrotain

	Annex A	Annex B	Annex C	Common name
Moschidae	<i>Moschus</i> spp. ** (I) +210	<i>Moschus</i> spp. * (II) – 105		Musk deer
Cervidae	Axis porcinus annamiti- cus (I) =348			Indochina hog deer
	Axis calamianensis (I) =349			Calamian deer
	Axis kuhlii (I) =350			Kuhl's hog deer
	Blastocerus dichotomus (I)			Marsh deer
	Cervus duvaucelii (I)			Swamp deer
		Cervus elaphus bac- trianus (II)		Bactrian deer
			Cervus elaphus bar- barus (III TN)	Barbary deer
	Cervus elaphus hanglu (I)			Hangul
	Cervus eldii (I)			Thamin
	Dama mesopotamica (I) =351			Persian fallow deer
	Hippocamelus spp. (I)			Huemuls
			Mazama americana cerasina (III GT)	Middle American red brocket
	Megamuntiacus vu- quanghensis (I)			Giant muntjac
	Muntiacus crinifrons (I)			Black muntjac
			Odocolieus virginianus mayensis (III GT)	Guatemalan white-taile deer
	Ozotoceros bezoarticus (I)			Pampas deer
		Pudu mephistophiles (II)		Northern pudu
	Pudu puda (I)			Southern pudu
Antilocapridae	Antilocapra americana (I) +211			Mexican pronghorn
Bovidae	Addax nasomaculatus (I)			Addax
		Ammotragus lervia (II)		Barbary sheep
			Antilope cervicapra (III NP)	Blackbuck
		Bison bison athabascae (II)		Wood bison
	Bos gaurus (I) =352			Gaur
	<i>Bos mutus</i> (I) °602 =353			Wild yak
	Bos sauveli (I) =354			Kouprey
			Bubalus arnee (III NP) =355	Wild Asiatic buffalo

Annex A	Annex B	Annex C	Common name
Bubalus depressicornis (I) =356			Lowland anoa
Bubalus mindorensis (I) =356			Tamaraw
Bubalus quarlesi (I) =356			Mountain anoa
	Budorcas taxicolor (II)		Takin
Capra falconeri (I)			Markhor
	Cephalophus dorsalis (II)		Bay duiker
Cephalophus jentinki (I)			Jentink's duiker
	Cephalophus monticola (II)		Blue duiker
	Cephalophus ogilbyi (II)		Ogilby's duiker
	Cephalophus silvicultor (II)		Yellow-backed duiker
	Cephalophus zebra (II)		Banded duiker
		Damaliscus lunatus (III GH)	Tsessebi
	Damaliscus pygargus pygargus (II) =357		Bontebok
	Gazella cuvieri (III TN)		Edmi gazelle
Gazella dama (I)			Dama gazelle
	Gazella dorcas (III TN)		Dorcas gazelle
	Gazella leptoceros (III TN)		Rhim
Hippotragus niger var- iani (I)			Giant sable antelope
	Kobus leche (II)		Lechwe
Naemorhedus baileyi (I) =358			Red goral
Naemorhedus caudatus (I) =358			Chinese goral
Naemorhedus goral (I)			Goral
Naemorhedus suma- traensis (I) =359			Mainland serow
Oryx dammah (I) =360			Scimitar-horned oryx
Oryx leucoryx (I)			Arabian oryx
	Ovis ammon* (II)		Argali
Ovis ammon hodgsonii (I)			Tibetan argali
Ovis ammon nigrimon- tana (I)			
	Ovis canadensis (II) +211		Mexican bighorn shee

	Annex A	Annex B	Annex C	Common name
	Ovis orientalis ophion (I) =361			Cyprus mouflon
	Ovis vignei vignei (I) =362	Ovis vignei *		Urial
	Pantholops hodgsonii (I)			Chiru
	Pseudoryx nghetinhen- sis (I)			Vu Quang ox
	Rupicapra pyrenaica ornata (I) =363			Abruzzo chamois
		Saiga tatarica (II)		Saiga antelope
			Tetracerus quadricornis (III NP)	Four-horned antelope
			Tragelaphus eurycerus (III GH) =364	Bongo
			Tragelaphus spekei (III GH)	Sitatunga
AVES				
STRUTHIONIFORMES				
Struthionidae	Struthio camelus (I) +212			Ostrich
RHEIFORMES				
Rheidae		Rhea americana (II)		Greater rhea
	Rhea pennata (I) =365			Lesser rhea
TINAMIFORMES				
Tinamidae	Tinamus solitarius (I)			Solitary tinamou
SPHENISCIFORMES				
Spheniscidae		Spheniscus demersus (II)		Jackass penguin
	Spheniscus humboldti (I)			Humboldt penguin
PODICIPEDIFORMES				
Podicipedidae	Podilymbus gigas (I)			Atitlan Grebe
PROCELLARIIFORMES				
Diomedeidae	Diomedea albatrus (I)			Short-tailed albatross
PELECANIFORMES				
Pelecanidae	Pelecanus crispus (I)			Dalmatian pelican
Sulidae	Papasula abbotti (I) =366			Abbott's booby
Fregatidae	Fregata andrewsi (I)			Christmas frigatebird
CICONIIFORMES				
Ardeidae			Ardea goliath (III GH)	Goliath heron
	Bubulcus ibis (III GH) =367			Cattle egret

	Annex A	Annex B	Annex C	Common name
	Casmerodius albus (III GH) =368			Great egret
	Egretta garzetta (III GH)			Little egret
Balaenicipitidae		Balaeniceps rex (II)		Shoebill
Ciconiidae	Ciconia boyciana (I) =369			Oriental stork
	Ciconia nigra (II)			Black stork
	Ciconia stormi			Storm's stork
			Ephippiorhynchus sene- galensis (III GH)	Saddle-billed stork
	Jabiru mycteria (I)			Jabiru
			Leptoptilos crumeni- ferus (III GH)	Marabou stork
	Leptoptilos dubius			Greater adjutant stork
	Mycteria cinerea (I)			Milky stork
Threskiornithidae			Bostrychia hagedash (III GH) =370	Hadada ibis
			Bostrychia rara (III GH) =371	Spot-breasted ibis
		Eudocimus ruber (II)		Scarlet ibis
	Geronticus calvus (II)			Bald ibis
	Geronticus eremita (I)			Waldrapp
	Nipponia nippon (I)			Crested ibis
	Platalea leucorodia (II)			Eurasian spoonbill
	Pseudibis gigantea			Giant ibis
			Threskiornis aethiopi- cus (III GH)	Sacred ibis
Phoenicopteridae		Phoenicopteridae spp. * (II)		Flamingos
	Phoenicopterus ruber (II)			Greater flamingo
ANSERIFORMES				
Anatidae			Alopochen aegyptiacus (III GH)	Egyptian goose
			Anas acuta (III GH)	Northern pintail
	Anas aucklandica (I) =372			Brown teal
		Anas bernieri (II)		Madagascar teal
			Anas capensis (III GH)	Cape teal
			Anas clypeata (III GH) =373	Northern shoveler
			Anas crecca (III GH)	Common teal
		Anas formosa (II)		Baikal teal

	Annex A	Annex B	Annex C	Common name
	Anas laysanensis (I) =374			Laysan duck
	Anas oustaleti (I) =375			Marianas mallard
			Anas penelope (III GH)	Eurasian wigeon
	Anas querquedula (III GH)			Garganey
	Aythya innotata			Madagascar pochard
	Aythya nyroca (III GH) =376			Ferruginous duck
	Branta canadensis leu- copareia (I)			Aleutian goose
	Branta ruficollis (II)			Red-breasted goose
	Branta sandvicensis (I)			Nene
			Cairina moschata (III HN)	Muscovy duck
	Cairina scutulata (I)			White-winged duck
		Coscoroba coscoroba (II)		Coscoroba swan
		Cygnus melanocorypha (II)		Black-necked swan
		Dendrocygna arborea (II)		West Indian whistling- duck
			Dendrocygna autumna- lis (III HN)	Black-bellied whistling- duck
			Dendrocygna bicolor (III GH/HN) =377	Fulvous whistling-duck
			Dendrocygna viduata (III GH)	White-faced whistling- duck
	Mergus octosetaceus			Brazilian merganser
			<i>Nettapus auritus</i> (III GH)	African pygmy-goose
	Oxyura leucocephala (II)			White-headed duck
			Plectropterus gamben- sis (III GH)	Spur-winged goose
			Pteronetta hartlaubii (III GH) =378	Hartlaub's duck
	Rhodonessa caryophyl- lacea p.e. (I)			Pink-headed duck
		Sarkidiornis melanotos (II)		Comb duck
	Tadorna cristata			Crested shelduck
FALCONIFORMES		FALCONIFORMES spp. * (II) –106		Diurnal birds of prey
Cathartidae	Gymnogyps california- nus (I)			California condor
			Sarcoramphus papa (III HN)	King vulture

V	M2	

	Annex A	Annex B	Annex C	Common name
	Vultur gryphus (I)			Andean condor
Pandionidae	Pandion haliaetus (II)			Osprey
1ccipitridae	Accipiter brevipes (II)			Levant sparrowhawk
	Accipiter gentilis (II)			Northern goshawk
	Accipiter nisus (II)			Eurasian sparrowhaw
	Aegypius monachus (II)			Cinereous vulture
	Aquila adalberti (I) =379			Adalbert's eagle
	Aquila chrysaetos (II)			Golden eagle
	Aquila clanga (II)			Greater spotted eagle
	Aquila heliaca (I)			Imperial eagle
	Aquila pomarina (II)			Lesser spotted eagle
	Buteo buteo (II)			Common buzzard
	Buteo lagopus (II)			Rough legged buzzar
	Buteo rufinus (II)			Long-legged buzzard
	Chondrohierax uncina- tus wilsonii (I) =380			Cuban hook-billed ki
	Circaetus gallicus (II)			Short-toed snake-eagl
	Circus aeruginosus (II)			Western marsh-harrie
	Circus cyaneus (II)			Northern harrier
	Circus macrourus (II)			Pallid harrier
	Circus pygargus (II)			Montagu's harrier
	Elanus caeruleus (II)			Black-winged kite
	Eutriorchis astur (II)			Madagascar serpent- eagle
	Gypaetus barbatus (II)			Lammergeier
	Gyps fulvus (II)			Eurasian griffon
	Haliaeetus spp. (I/II) ×709			Sea-eagles
	Harpia harpyja (I)			Harpy eagle
	Hieraaetus fasciatus (II)			Bonelli's eagle
	Hieraaetus pennatus (II)			Booted eagle
	Leucopternis occiden- talis (II)			Grey-backed hawk
	Milvus migrans (II)			Black kite
	Milvus milvus (II)			Red kite
	Neophron percnopterus (II)			Egyptian vulture
	Pernis apivorus (II)			European honey-buz- zard

	Annex A	Annex B	Annex C	Common name
	Pithecophaga jefferyi (I)			Great Philippine eagle
Falconidae	Falco araea (I)			Seychelles kestrel
	Falco biarmicus (II)			Lanner falcon
	Falco cherrug (II)			Saker falcon
	Falco columbarius (II)			Merlin
	Falco eleonorae (II)			Eleonora's falcon
	Falco jugger (I)			Laggar falcon
	Falco naumanni (II)			Lesser kestrel
	Falco newtoni** (I) +213			Newton's kestrel
	Falco pelegrinoides (I) =381			Barbary falcon
	Falco peregrinus (I)			Peregrine falcon
	Falco punctatus (I)			Mauritius kestrel
	Falco rusticolus (I)			Gyrfalcon
	Falco subbuteo (II)			Eurasian hobby
	Falco tinnunculus (II)			Common kestrel
	Falco vespertinus (II)			Red-footed falcon
GALLIFORMES				
Megapodiidae	Macrocephalon maleo (I)			Maleo
Cracidae		Crax spp. * (-/III) ×710		Curassows
	Crax alberti (III CO)			Blue-knobbed curass
	Crax blumenbachii (I)			Red-billed curassow
	<i>Mitu mitu</i> (I) =382			Alagoas curassow
	Oreophasis derbianus (I)			Horned guan
		<i>Ortalis vetula</i> (III GT/ HN)		Plain chachalaca
		<i>Pauxi</i> spp. (-/III) ×711 =383		Helmeted curassows
	Penelope albipennis (I)			White-winged guan
			Penelope purpurascens (III HN)	Crested guan
		Penelopina nigra (III GT)		Highland guan
	<i>Pipile jacutinga</i> (I) =384			Black-fronted piping guan
	Pipile pipile (I) =385			Trinidad piping guan
Phasianidae		Agelastes meleagrides (III GH)		White-breasted guine fowl
			Agriocharis ocellata (III GT)	Ocellated turkey

Annex A	Annex B	Annex C	Common name
	Arborophila charltonii (III MY)		Chestnut-necklaced par tridge
	Arborophila orientalis (III MY) =386		Grey-breasted partridge
	Argusianus argus (II)		Great argus
		Caloperdix oculea (III MY)	Ferruginous partridge
Catreus wallichii (I)			Cheer pheasant
Colinus virginianus ridgwayi (I)			Masked bobwhite
Crossoptilon crossopti- lon (I)			White eared-pheasant
Crossoptilon harmani (I) =387			Tibetan eared-pheasant
Crossoptilon mantchur- icum (I)			Brown eared-pheasant
	Gallus sonneratii (II)		Grey junglefowl
	Ithaginis cruentus (II)		Blood pheasant
Lophophorus impejanus (I)			Himalayan monal
Lophophorus lhuysii (I)			Chinese monal
Lophophorus sclateri (I)			Sclater's monal
	Lophura bulweri		Bulwer's pheasant
	Lophura diardi		Siamese fireback
Lophura edwardsi (I)			Edwards' pheasant
	Lophura erythrophthal- ma (III MY)		Crestless fireback
	Lophura hatinhensis		Vietnamese fireback
	Lophura hoogerwerfi		Sumatran pheasant
	Lophura ignita (III MY)		Crested fireback
Lophura imperialis (I)			Imperial pheasant
	Lophura inornata		Salvadori's pheasant
	Lophura leucomelanos		Kalij pheasant
Lophura swinhoii (I)			Swinhoe's pheasant
		Melanoperdix nigra (III MY)	Black partridge
Odontophorus stro- phium			Gorgeted wood-quail
Ophrysia superciliosa			Himalayan quail
	Pavo muticus (II)		Green peafowl
	Polyplectron bicalcara- tum (II)		Grey peacock-pheasant
Polyplectron emphanum (I)			Palawan peacock phea- sant

	Annex A	Annex B	Annex C	Common name
		Polyplectron germaini (II)		Germain's peacock pheasant
			Polyplectron inopina- tum (III MY)	Mountain peacock- pheasant
		Polyplectron malacense (II)		Malayan peacock-phea- sant
		Polyplectron schleier- macheri (II) =388		Bornean peacock-phea- sant
	<i>Rheinardia ocellata</i> (I) =389			Crested argus
			Rhizothera longirostris (III MY)	Long-billed partridge
			<i>Rollulus rouloul</i> (III MY)	Crested partridge
	Syrmaticus ellioti (I)			Elliot's pheasant
	Syrmaticus humiae (I)			Hume's pheasant
	Syrmaticus mikado (I)			Mikado pheasant
	Tetraogallus caspius (I)			Caspian snowcock
	Tetraogallus tibetanus (I)			Tibetan snowcock
	Tragopan blythii (I)			Blyth's tragopan
	Tragopan caboti (I)			Cabot's tragopan
	Tragopan melanoce- phalus (I)			Western tragopan
			Tragopan satyra (III NP)	Satyr tragopan
	Tympanuchus cupido attwateri (I)			Attwater's prairie-chick en
GRUIFORMES				
Gruidae		Gruidae spp. * (II)		Cranes
	Grus americana (I)			Whooping crane
	Grus canadensis (I/II) ×712			Sandhill crane
	Grus grus (II)			Common crane
	Grus japonensis (I)			Red-crowned crane
	Grus leucogeranus (I)			Siberian crane
	Grus monacha (I)			Hooded crane
	Grus nigricollis (I)			Black-necked crane
	Grus vipio (I)			White-necked crane
Rallidae	Gallirallus sylvestris (I) =390			Lord Howe rail
Rhynochetidae	Rhynochetos jubatus (I)			Kagu
Otididae		Otididae spp. * (II)		Bustards
	Ardeotis nigriceps (I) =391			Indian bustard

	Annex A	Annex B	Annex C	Common name
	Chlamydotis undulata (I)			Houbara bustard
	Eupodotis indica (II)			Lesser florican
	Eupodotis bengalensis (I) =392			Bengal florican
	Otis tarda (II)			Great bustard
	Tetrax tetrax (II)			Little bustard
CHARADRIIFORMES				
Burhinidae			<i>Burhinus bistriatus</i> (III GT)	Double-striped thick- knee
Scolopacidae	Numenius borealis (I)			Eskimo curlew
	Numenius tenuirostris (I)			Slender-billed curlew
	Tringa guttifer (I)			Nordmann's greenshar
Laridae	Larus relictus (I)			Relict gull
COLUMBIFORMES				
Columbidae	Caloenas nicobarica (I)			Nicobar pigeon
		Columba caribaea		Ring-tailed pigeon
	Claravis godefrida			Purple-winged ground dove
			Columba guinea (III GH)	Speckled pigeon
			Columba iriditorques (III GH) =393	Western bronze-naped pigeon
	Columba livia (III, GH)			Rock pigeon
			<i>Columba mayeri</i> (III MU) =394	Pink pigeon
			Columba unicincta (III GH)	Afep pigeon
		Didunculus strigirostris		Tooth-billed pigeon
	Ducula mindorensis (I)			Mindoro zone-tailed p geon
		Gallicolumba luzonica (II)		Luzon bleeding-heart
		Goura spp. (II)		Crowned-pigeons
	Leptotila wellsi			Grenada dove
			Oena capensis (III GH)	Namaqua dove
			Streptopelia decipiens (III GH)	Mourning collared-do
			Streptopelia roseogri- sea (III GH)	African collared-dove
			Streptopelia semitor- quata (III GH)	Red-eyed dove
			Streptopelia senegalen- sis (III GH)	Laughing dove

	Annex A	Annex B	Annex C	Common name
	Streptopelia turtur (III GH)			European turtle-dove
			Streptopelia vinacea (III GH)	Vinaceous dove
			<i>Treron calva</i> (III GH) =395	African green-pigeon
			Treron waalia (III GH)	Bruce's green-pigeon
			<i>Turtur abyssinicus</i> (III GH)	Black-billed wood-dov
			Turtur afer (III GH)	Blue-spotted wood-dov
			<i>Turtur brehmeri</i> (III GH) =396	Blue-headed wood-dov
			<i>Turtur tympanistria</i> (III GH) =397	Tambourine dove
PSITTACIFORMES		PSITTACIFORMES spp. * (II) –107		Parrots, etc.
Psittacidae	Amazona arausiaca (I)			Red-necked parrot
	Amazona barbadensis (I)			Yellow-shouldered par- rot
	Amazona brasiliensis (I)			Red-tailed parrot
	Amazona guildingii (I)			St Vincent parrot
	Amazona imperialis (I)			Imperial parrot
	Amazona leucocephala (I)			Cuban parrot
	Amazona pretrei (I)			Red-spectacled parrot
	Amazona rhodocorytha (I) =398			Red-browed parrot
	Amazona tucumana (I)			Tucuman parrot
	Amazona versicolor (I)			Saint Lucia parrot
	Amazona vinacea (I)			Vinaceous parrot
	Amazona viridigenalis (I)			Green-cheeked parrot
	Amazona vittata (I)			Puerto Rican parrot
	Anodorhynchus spp. (I)			Blue macaws
	Ara ambigua (I)			Great green macaw
	Ara glaucogularis (I) =399			Blue-throated macaw
	Ara macao (I)			Scarlet macaw
	Ara maracana (I)			Blue-winged macaw
	Ara militaris (I)			Military macaw
	Ara rubrogenys (I)			Red-fronted macaw
	Aratinga guarouba (I)			Golden parakeet
	Cacatua goffini (I)			Tanimbar cockatoo

	Annex A	Annex B	Annex C	Common name
	Cacatua haematuropy- gia (I)			Philippine cockatoo
	Cacatua moluccensis (I)			Salmon-crested cocka- too
	Cyanopsitta spixii (I)			Spix's macaw
	Cyanoramphus auri- ceps forbesi (I)			Chatham Island yellow fronted parakeet
	Cyanoramphus cookii (I) =400			Norfolk parakeet
	Cyanoramphus novae- zelandiae (I)			Red-fronted parakeet
	Cyclopsitta diophthal- ma coxeni (I) =401			Coxen's double-eyed fig parrot
	Eos histrio (I)			Red and blue lory
	Geopsittacus occiden- talis p.e. (I) =402			Night parrot
	Neophema chrysogaster (I)			Orange-bellied parrot
	Ognorhynchus icterotis (I)			Yellow-eared parrot
	Pezoporus wallicus (I)			Ground parrot
	Pionopsitta pileata (I)			Pileated parrot
	Probosciger aterrimus (I)			Palm cockatoo
	Psephotus chrysoptery- gius (I)			Golden-shouldered par- rot
	Psephotus dissimilis (I) =403			Hooded parrot
	<i>Psephotus pulcherrimus</i> p.e. (I)			Paradise parrot
	Psittacula echo (I) =404			Mauritius parakeet
			Psittacula krameri (III GH)	Rose-ringed parakeet
	Pyrrhura cruentata (I)			Blue-throated parakeet
	Rhynchopsitta spp. (I)			Thick-billed parrots
	Strigops habroptilus (I)			Kakapo
	Vini spp. (I/II) ×713			Blue lorikeets
CUCULIFORMES				
Musophagidae		Corythaeola cristata (III GH)		Great blue turaco
		<i>Crinifer piscator</i> (III GH)		Western grey plantain- eater
		Musophaga porphyreo- lopha (II) =405		Purple-crested turaco
		Musophaga violacea (III GH)		Violet turaco
		Tauraco spp. * (II)		Turacos

	Annex A	Annex B	Annex C	Common name
	Tauraco bannermani (II)			Bannerman's turaco
STRIGIFORMES		STRIGIFORMES spp. * (II)		Owls
Tytonidae	Tyto alba (II)			Barn owl
	Tyto soumagnei (I)			Soumagne's owl
Strigidae	Aegolius funereus (II)			Boreal owl
	Asio flammeus (II)			Short-eared owl
	Asio otus (II)			Long-eared owl
	Athene blewitti (I)			Forest owlet
	Athene noctua (II)			Little owl
	Bubo bubo (II)			Eurasian eagle-owl
	Glaucidium passerinum (II)			Eurasian pygmy-owl
	Mimizuku gurneyi (I) =406			Lesser eagle-owl
	Ninox novaeseelandiae undulata (I) =407			Norfolk boobook
	Ninox squamipila nata- lis (I)			Christmas hawk-owl
	Nyctea scandiaca (II)			Snowy owl
	Otus ireneae (II)			Sokoke scops-owl
	Otus scops (II)			Eurasian scops-owl
	Strix aluco (II)			Tawny owl
	Strix nebulosa (II)			Great grey owl
	Strix uralensis (II)			Ural owl
	Surnia ulula (II)			Northern hawk owl
4PODIFORMES				
Trochilidae		Trochilidae spp. * (II)		Hummingbirds
	Ramphodon dorhnii (I) =408			Hook-billed hermit
TROGONIFORMES				
Trogonidae	Pharomachrus mocinno (I)			Resplendent quetzal
CORACIIFORMES				
Bucerotidae		Aceros spp. * (II)		Hornbills
	Aceros nipalensis (I)			Rufous-necked horn
	Aceros subruficollis (I)			Plain-pouched hornb
		Anorrhinus spp. (II) =409		Hornbills
		Anthracoceros spp. (II)		Hornbills
		Buceros spp. * (II)		Hornbills
	Buceros bicornis (I)			Great hornbill

	Annex A	Annex B	Annex C	Common name
	Buceros vigil (I) =410			Helmeted hornbill
		Penelopides spp. (II)		Hornbills
PICIFORMES				
Capitonidae		Semnornis ramphasti- nus (III CO)		Toucan barbet
Ramphastidae		<i>Baillonius bailloni</i> (III AR)		Saffron toucanet
		Pteroglossus aracari (II)		Black-necked aracari
		Pteroglossus castanotis (III AR)		Chestnut-eared aracari
		Pteroglossus viridis (II)		Green aracari
		Ramphastos dicolorus (III AR)		Red-breasted toucan
		Ramphastos sulfuratus (II)		Keel-billed toucan
		Ramphastos toco (II)		Toco toucan
		Ramphastos tucanus (II)		Red-billed toucan
		Ramphastos vitellinus (II)		Channel-billed toucan
		Selenidera maculiros- tris (III AR)		Spot-billed toucanet
Picidae	Campephilus imperialis (I)			Imperial woodpecker
	Dryocopus javensis ri- chardsi (I)			Tristam's woodpecker
PASSERIFORMES				
Cotingidae			<i>Cephalopterus ornatus</i> (III CO)	Amazonian umbrella bird
			Cephalopterus penduli- ger (III CO)	Long-wattled umbrella bird
	Cotinga maculata (I)			Banded cotinga
		Rupicola spp. (II)		Cock-of-the-rocks
	Xipholena atropurpurea (I)			White-winged cotinga
Pittidae		Pitta guajana (II)		Banded pitta
	Pitta gurneyi (I)			Gurney's pitta
	Pitta kochi (I)			Whiskered pitta
		Pitta nympha (II) =411		Fairy pitta
Atrichornithidae	Atrichornis clamosus (I)			Noisy-scrubbird
Hirundinidae	Pseudochelidon sirin- tarae (I)			White-eyed river-mart
Pycnonotidae		Pycnonotus zeylanicus (II)		Straw-headed bulbul
Muscicapidae	Bebrornis rodericanus (III MU)			Rodriguez brush-war- bler

	Annex A	Annex B	Annex C	Common name
		Cyornis ruckii (II) =412		Rueck's blue-flycatcher
	Dasyornis broadbenti litoralis p.e. (I)			Western rufous bristle- bird
	Dasyornis longirostris (I) =413			Western Bristlebird
		Leiothrix argentauris (II)		Silver-eared mesia
		Leiothrix lutea (II)		Red-billed leiothrix
		Liocichla omieiensis (II)		Omei shan liocichla
	Picathartes gymnoce- phalus (I)			White-necked rockfowl
	Picathartes oreas (I)			Grey-necked rockfowl
			Terpsiphone bourbon- nensis (III MU) =414	Mascarene paradise-fly catcher
Nectariniidae		Anthreptes pallidigaster		Amani sunbird
		Anthreptes rubritorques		Banded sunbird
Zosteropidae	Zosterops albogularis (I)			White-chested white-ey
Meliphagidae	Lichenostomus mela- nops cassidix (I) =415			Helmeted honeyeater
Emberizidae		Gubernatrix cristata (II)		Yellow cardinal
		Paroaria capitata (II)		Yellow-billed cardinal
		Paroaria coronata (II)		Red-crested cardinal
		Tangara fastuosa II)		Seven-coloured tanager
Icteridae	Agelaius flavus (I) =416			Saffron-cowled black- bird
Fringillidae	<i>Carduelis cucullata</i> (I) =417			Red siskin
		<i>Carduelis yarrellii</i> (II) =417		Yellow-faced siskin
			Serinus canicapillus (III GH) =418	West African seedeater
			Serinus leucopygius (III GH)	White-rumped seedeate
			Serinus mozambicus (III GH)	Yellow-fronted canary
Estrildidae			<i>Amadina fasciata</i> (III GH)	Cut throat
		Amandava formosa (II)		Green avadavat
			Amandava subflava (III GH) =419	Zebra waxbill
			Estrilda astrild (III GH)	Common waxbill
			<i>Estrilda caerulescens</i> (III GH)	Lavender waxbill
			<i>Estrilda melpoda</i> (III GH)	Orange-cheeked waxbi

▼	M2

Annex A	Annex B	Annex C	Common name
		<i>Estrilda troglodytes</i> (III GH)	Black-rumped waxbill
		Lagonosticta rara (III GH)	Black-bellied firefinch
		Lagonosticta rubricata (III GH)	African firefinch
		Lagonosticta rufopicta (III GH)	Bar-breasted firefinch
		Lagonosticta senegala (III GH)	Red-billed firefinch
		Lagonosticta vinacea (III GH) =420	Black-faced firefinch
		Lonchura bicolor (III GH) =421	Black-and-white mannia
		Lonchura cantans (III GH) =422	African silverbill
		Lonchura cucullata (III GH) =421	Bronze mannia
		Lonchura fringilloides (III GH) =421	Magpie mannia
		Mandingoa nitidula (III GH) =423	Green-backed twinspot
		Nesocharis capistrata (III GH)	Grey-headed olive-back
		Nigrita bicolor (III GH)	Chestnut-breasted ne- grofinch
		Nigrita canicapilla (III GH)	Grey-headed negrofinch
		Nigrita fusconota (III GH)	White-breasted negro- finch
		Nigrita luteifrons (III GH)	Pale-fronted negrofinch
		Ortygospiza atricollis (III GH)	African quailfinch
	Padda oryzivora (II)		Java sparrow
		Parmoptila rubrifrons (III GH) =424	Jameson's antpecker
		<i>Pholidornis rushiae</i> (III GH)	Tit-hylia
	Poephila cincta cincta (II)		Southern black-throated finch
		<i>Pyrenestes ostrinus</i> (III GH) =425	Black-bellied seed- cracker
		Pytilia hypogrammica (III GH)	Red-faced pytilia
		<i>Pytilia phoenicoptera</i> (III GH)	Red-winged pytilia
		Spermophaga haemati- na (III GH)	Western bluebill
		Uraeginthus bengalus (III GH) =426	Red-cheeked cordonbleu

▼	M2

	Annex A	Annex B	Annex C	Common name
Ploceidae			Amblyospiza albifrons (III GH)	Grosbeak weaver
			Anaplectes rubriceps (III GH) =427	Red-headed weaver
			Anomalospiza imberbis (III GH)	Parasitic weaver
			Bubalornis albirostris (III GH)	White-billed buffalo weaver
			Euplectes afer (III GH)	Yellow-crowned bisho
			<i>Euplectes ardens</i> (III GH) =428	Red-collared widowbi
			<i>Euplectes franciscanus</i> (III GH) =429	Orange bishop
			Euplectes hordeaceus (III GH)	Black-winged bishop
			Euplectes macrourus (III GH) =430	Yellow-mantled widow bird
			<i>Malimbus cassini</i> (III GH)	Black-throated malim
			Malimbus malimbicus (III GH)	Crested malimbe
			<i>Malimbus nitens</i> (III GH)	Gray's malimbe
			<i>Malimbus rubricollis</i> (III GH)	Red-headed malimbe
			<i>Malimbus scutatus</i> (III GH)	Red-vented malimbe
			Pachyphantes superci- liosus (III GH) =431	Compact weaver
			Passer griseus (III GH)	Grey-headed sparrow
			Petronia dentata (III GH)	Bush petronia
			Plocepasser supercilio- sus (III GH)	Chestnut-crowned spa row-weaver
			Ploceus albinucha (III GH)	Maxwell's black wear
			<i>Ploceus aurantius</i> (III GH)	Orange weaver
			Ploceus cucullatus (III GH) =432	Village weaver
			Ploceus heuglini (III GH)	Heuglin's masked-we ver
			Ploceus luteolus (III GH) =433	Little weaver
			Ploceus melanocepha- lus (III GH) =434	Black-headed weaver
			<i>Ploceus nigerrimus</i> (III GH)	Vieillot's black weave
			<i>Ploceus nigricollis</i> (III GH)	Black-necked weaver

▼	M2

	Annex A	Annex B	Annex C	Common name
			Ploceus pelzelni (III GH)	Slender-billed weaver
			<i>Ploceus preussi</i> (III GH)	Preuss's weaver
			<i>Ploceus tricolor</i> (III GH)	Yellow-mantled weaver
			Ploceus vitellinus (III GH) =435	Vitelline masked-weave
			Quelea erythrops (III GH)	Red-headed quelea
			Sporopipes frontalis (III GH)	Speckle-fronted weaver
			<i>Vidua chalybeata</i> (III GH) =436	Village indigobird
			<i>Vidua interjecta</i> (III GH)	Uelle paradise-whydah
			<i>Vidua larvaticola</i> (III GH)	Baka indigobird
			<i>Vidua macroura</i> (III GH)	Pin-tailed whydah
			<i>Vidua orientalis</i> (III GH) =437	Northern paradise why dah
			Vidua raricola (III GH)	Jambandu indigobird
			<i>Vidua togoensis</i> (III GH)	Togo paradise-whydah
			Vidua wilsoni (III GH)	Pale-winged indigobird
Sturnidae		Gracula religiosa (II)		Hill myna
	Leucopsar rothschildi (I)			Bali myna
Paradisaeidae		Paradisaeidae spp. (II)		Birds of paradise
REPTILIA				
TESTUDINATA				
Dermatemydidae		Dermatemys mawii (II)		Central American river turtle
Emydidae	Batagur baska (I)			Batagur
		Callagur borneoensis (II)		Painted terrapin
		Clemmys insculpta (II)		Wood turtle
	Clemmys muhlenbergi (I)			Bog turtle
		Cuora pani =438		Pan's box turtle
	Geoclemys hamiltonii (I) =439			Black pond turtle
	Kachuga tecta (I) =440			Indian sawback turtle
	<i>Melanochelys tricari- nata</i> (I) =441			Three-keeled land tor- toise
	Morenia ocellata (I)			Burmese swamp turtle

	Annex A	Annex B	Annex C	Common name
		Terrapene spp. * (II)		Box-turtles
	Terrapene coahuila (I)			Aquatic box turtle
		Trachemys scripta ele- gans =442		Red-eared terrapin
Testudinidae		Testudinidae spp. * (II)		Tortoises
	<i>Geochelone nigra</i> (I) =443			Galapagos giant tortois
	<i>Geochelone radiata</i> (I) =444			Radiated tortoise
	Geochelone yniphora (I) =444			Angonoka
	Gopherus flavomargi- natus (I)			Bolson tortoise
	Homopus bergeri (II)			Berger's cape tortoise
	Malacochersus tornieri (II)			Pancake tortoise
	Psammobates geome- tricus (I) =444			Geometric tortoise
	Pyxis planicauda (II)			Madagascar flat-shelled tortoise
	Testudo graeca (II)			Spur-thighed tortoise
	Testudo hermanni (II)			Hermann's tortoise
	Testudo kleinmanni (I)			Egyptian tortoise
	Testudo marginata (II)			Marginated tortoise
Cheloniidae	Cheloniidae spp. (I)			Sea turtles
Dermochelyidae	Dermochelys coriacea (I)			Leatherback
Trionychidae		Lissemys punctata (II)		Indo-Gangetic flap- shelled turtle
	Trionyx ater (I) =445			Cuatro Cienagas soft- shell turtle
	Trionyx gangeticus (I) =445			Indian soft-shell turtle
	Trionyx hurum (I) =445			Peacock soft-shell turtle
	Trionyx nigricans (I) =445			Black soft-shell turtle
			Trionyx triunguis (III GH)	African soft-shell turtle
Pelomedusidae		Erymnochelys mada- gascariensis (II) =446		Madagascar sideneck turtle
			Pelomedusa subrufa (III GH)	Helmeted turtle
		Peltocephalus dumerili- ana (II) =446		Big-headed sideneck turtle
			<i>Pelusios adansonii</i> (III GH)	Adanson's mud turtle
			Pelusios castaneus (III GH)	West African mud turtl

	Annex A	Annex B	Annex C	Common name
			Pelusios gabonensis (III GH) =447	African forest turtle
			Pelusios niger (III GH)	West African black for est turtle
		Podocnemis spp. (II)		Sideneck turtles
Chelidae	Pseudemydura umbrina (I)			Western swamp turtle
CROCODYLIA		CROCODYLIA spp. * (II) =448		Caimans, crocodiles, a ligators
Alligatoridae	Alligator sinensis (I)			Chinese alligator
	Caiman crocodilus apaporiensis (I)			Rio Apaporis spectacle caiman
	Caiman latirostris * (I) -108			Broad-nosed caiman
	Melanosuchus niger** (I) –109			Black caiman
Crocodylidae	Crocodylus acutus (I)			American crocodile
	Crocodylus cataphrac- tus (I)			African slender-snouted crocodile
	Crocodylus intermedius (I)			Orinoco crocodile
	Crocodylus moreletii (I)			Morelet's crocodile
	Crocodylus niloticus** (I) -110			Nile crocodile
	Crocodylus novaegui- neae mindorensis (I) =449			Philippine crocodile
	Crocodylus palustris (I)			Mugger crocodile
	Crocodylus porosus** (I) -111			Estuarine crocodile
	Crocodylus rhombifer (I)			Cuban crocodile
	Crocodylus siamensis (I)			Siamese crocodile
	Osteolaemus tetraspis (I)			West African dwarf cro codile
	Tomistoma schlegelii (I)			False gharial
Gavialidae	Gavialis gangeticus (I)			Gharial
RHYNCHOCEPHALIA				
Sphenodontidae	Sphenodon spp. (I)			Tuataras
SAURIA				
Gekkonidae		Cyrtodactylus serpen- sinsula (II) =450		Serpent Island gecko
		<i>Phelsuma</i> spp. * (II) =451		Day-geckos
	Phelsuma guentheri (II)			Round Island day geck
Agamidae		Uromastyx spp. (II)		Spiny-tailed lizards

	Annex A	Annex B	Annex C	Common name
Chamaeleonidae		Bradypodion spp. (II) =452		Dwarf chameleons
		Chamaeleo spp. * (II) =453		Chameleons
	Chamaeleo chamaeleon (II)			European chameleon
Iguanidae		Amblyrhynchus crista- tus (II)		Galapagos marine igua na
	Brachylophus spp. (I)			Fiji iguanas
		Conolophus spp. (II)		Galapagos land iguana
	Cyclura spp. (I)			Ground iguanas
		Iguana spp. (II)		Iguanas
		Liolaemus gravenhor- stii		Striped ground iguana
		Phrynosoma coronatum (II)		Coast horned lizard
	Sauromalus varius (I)			San Esteban Island chuckwalla
Lacertidae	Gallotia simonyi (I)			Hierro giant lizard
	Podarcis lilfordi (II)			Lilford's wall lizard
	Podarcis pityusensis (II)			Ibiza wall lizard
Cordylidae		Cordylus spp. (II)		Girdled lizards
		Pseudocordylus spp. (II)		Crag lizards
Teiidae		Cnemidophorus hypery- thrus (II)		Orange-throated whip- tail
		Crocodilurus lacertinus (II)		Dragon lizard
		Dracaena spp. (II)		Caiman lizards
		Tupinambis spp.(II)		Tegus
Scincidae		Corucia zebrata (II)		Prehensile-tailed skink
Xenosauridae		Shinisaurus crocodi- lurus (II)		Chinese crocodile liza
Helodermatidae		Heloderma spp. (II)		Gila monster and bearded lizard
Varanidae		Varanus spp. * (II)		Monitor lizards
	Varanus bengalensis (I)			Indian monitor
	Varanus flavescens (I)			Yellow monitor
	Varanus griseus (I)			Desert monitor
	Varanus komodoensis (I)			Komodo dragon
	Varanus olivaceus (II)			Gray's monitor
SERPENTES				
Boidae		Boidae spp. * (II) =454		Boas and pythons
	Acrantophis spp. (I)			Madagascar ground bo

	Annex A	Annex B	Annex C	Common name
	Boa constrictor occi- dentalis (I) =455			Argentine boa constrictor
	Bolyeria multocarinata (I)			Round Island boa
	Casarea dussumieri (I)			Round Island keel- scaled boa
	Epicrates inornatus (I)			Puerto Rican boa
	Epicrates monensis (I)			Virgin Island tree bo
	Epicrates subflavus (I)			Jamaican boa
	Eryx jaculus (II)			Spotted sand boa
	Python molurus mo- lurus (I) =456			Indian python
	Sanzinia madagascar- iensis (I) =457			Madagascar tree boa
Colubridae			Atretium schistosum (III IN)	Olive keel-back
			Cerberus rhynchops (III IN)	Dog-faced water snal
		Clelia clelia (II) =458		Mussurana
		Cyclagras gigas (II) =459		False cobra
		Dromicus chamissonis =460		Chilean ground snak
		Elachistodon wester- manni (II)		Indian egg-eating sna
		Ptyas mucosus (II)		Common rat snake
			Xenochrophis piscator (III IN) =461	Checkered keel-back
Elapidae		Hoplocephalus bungar- oides (II)		Broad-headed snake
			<i>Micrurus diastema</i> (III HN)	Atlantic coral snake
			Micrurus nigrocinctus (III HN)	Central American co snake
		Naja naja (II) =462		Asiatic cobra
		Ophiophagus hannah (II)		King cobra
Viperidae			Agkistrodon bilineatus (III HN)	Cantil
			Bothrops asper (III HN)	Terciopolo
			Bothrops nasutus (III HN)	Rainforest hog-nose priper
			Bothrops nummifer (III HN)	Jumping viper
			Bothrops ophryomegas (III HN)	Slender hog-nosed pi viper
			Bothrops schlegelii (III HN)	Eyelash palm viper

	Annex A	Annex B	Annex C	Common name
			Crotalus durissus (III HN)	Neotropical rattlesnake
		Crotalus unicolor		Aruba Island rattlesnake
		Crotalus willardi		Ridge-nosed rattlesnake
	Vipera latifii			Latifi's viper
			Vipera russellii (III IN)	Russell's viper
	Vipera ursinii (I) +214			Orsini's viper
		Vipera wagneri (II)		Wagner's viper
AMPHIBIA				
CAUDATA				
Ambystomidae		Ambystoma dumerilii (II)		Lake Patzcuaro sala- mander
		Ambystoma mexicanum (II)		Axolotl
Cryptobranchidae	Andrias spp. (I) =463			Giant salamanders
ANURA				
Bufonidae	Altiphrynoides spp. (I) =464			
	Atelopus varius zeteki (I)			Golden frog
	Bufo periglenes (I)			Golden toad
		Bufo retiformis (II)		Sonoran green toad
	Bufo superciliaris (I)			Cameroon toad
	Nectophrynoides spp. (I)			Viviparous toads
	Nimbaphrynoides spp. (I) =464			
	Spinophrynoides spp. (I) =464			
Myobatrachidae		<i>Rheobatrachus</i> spp. * (II)		Gastric brooding frog
	Rheobatrachus silus (II)			Platypus frog
Dendrobatidae		Allobates spp. (II) =465		Poison-arrow frogs
		Dendrobates spp. (II)		Poison-arrow frogs
		<i>Epipedobates</i> spp. (II) =465		Poison-arrow frogs
		<i>Minyobates</i> spp. (II) = 465		Poison-arrow frogs
		Phobobates spp. (II) =465		Poison-arrow frogs
		Phyllobates spp.(II)		Poison-dart frogs
Ranidae		Conraua goliath		Goliath frog
		<i>Euphlyctis hexadactylus</i> (II) =466		Six-fingered frog

	Annex A	Annex B	Annex C	Common name
		Hoplobatrachus tigeri- nus (II) =466		Tiger frog
		Mantella spp. (-/II) ×714		Mantella frogs
		Rana catesbeiana		American bullfrog
Microhylidae	Dyscophus antongilii (I)			Tomato frog
PISCES				
CERATODONTIFOR- MES				
Ceratodontidae		Neoceratodus forsteri (II)		Australian lungfish
COELACANTHIFOR- MES				
Coelacanthidae	Latimeria chalumnae (I)			Coelacanth
ACIPENSERIFORMES		ACIPENSERIFORMES spp. * (II) this inclusion will enter into force on 1 April 1998		
Acipenseridae	Acipenser brevirostrum (I)			Shortnose sturgeon
		Acipenser oxyrhynchus (II)		Atlantic sturgeon
	Acipenser sturio (I)			Common sturgeon
Polyodontidae		Polyodon spathula (II)		Spoonbill cat
OSTEOGLOSSIFOR- MES				
Osteoglossidae		Arapaima gigas (II)		Arapaima
	Scleropages formosus (I)			Asian arawana
CYPRINIFORMES				
Cyprinidae		Caecobarbus geertsi (II)		African blind barb fisl
	Probarbus jullieni (I)			Ikan temoleh
Catostomidae	Chasmistes cujus (I)			Cui-ui
SILURIFORMES				
Schilbeidae	Pangasianodon gigas (I)			Giant catfish
PERCIFORMES				
Sciaenidae	Cynoscion macdonaldi (I)			Totoaba

	Annex A	Annex B	Annex C	Common name
		ARTHROPODA		
INSECTA				
LEPIDOPTERA				
Papilionidae		Atrophaneura palu		Palu swallowtail butte fly
		Baronia brevicornis		Short-horned baronia
		Bhutanitis spp. (II)		Swallowtail butterflies
		Graphium sandawanum		Apo swallowtail butte fly
		Graphium stresemanni		A swallowtail butterfl
		<i>Ornithoptera</i> spp. * (II) =467		Birdwing butterflies
	Ornithoptera alexan- drae (I)			Queen Alexandra's birdwing
		Papilio benguetanus		A swallowtail butterfl
	Papilio chikae (I)			Luzon peacock swal- lowtail
		Papilio esperanza		A swallowtail butterfl
		Papilio grosesmithi		A swallowtail butterfl
	Papilio homerus (I)			Homerus swallowtail
	Papilio hospiton (I)			Corsican swallowtail
		Papilio maraho		A swallowtail butterfl
		Papilio morondavana		Madagascan emperor swallowtail
		Papilio neumoegeni		A swallowtail butterfl
		Parides ascanius		Fluminense swallowta butterfly
		Parides hahneli		Hahnel's amazonian swallowtail butterfly
	Parnassius apollo (II)			Apollo butterfly
		Teinopalpus spp. (II)		Kaiser-I-Hind butterfl
		Trogonoptera spp. (II) =467		Birdwing butterflies
		Troides spp. (II) =467		Birdwing butterflies
ARACHNIDA				
SCORPIONES				
Scorpionidae		Pandinus dictator (II)		A scorpion
		Pandinus gambiensis (II)		Giant Senegalese scor pion
		Pandinus imperator (II) =468		Emperor scorpion

	Annex A	Annex B	Annex C	Common name
<i>ARANEAE</i>				
Theraphosidae		Brachypelma spp (II) =469		Tarantulas
		ANNELIDA		
HIRUDINOIDEA				
ARHYNCHOBDELLAE				
Hirudinidae		Hirudo medicinalis (II)		Medicinal leech
		MOLLUSCA		
BIVALVIA				
VENEROIDA				
Tridacnidae		Tridacnidae spp. (II)		Giant clams
UNIONOIDA				
Unionidae	Conradilla caelata (I)			Birdwing pearly muss
		Cyprogenia aberti (II)		Western fanshell muss
	Dromus dromas (I) =470			Dromedary pearly mu sel
	Epioblasma curtisi (I) =471			Curtis' pearly mussel
	Epioblasma florentina (I) =471			Yellow-blossom pearly mussel
	Epioblasma sampsoni (I) =471			Wabash riffleshell
	Epioblasma sulcata perobliqua (I) =471			White catspaw mussel
	Epioblasma torulosa gubernaculum (I) =471			Green-blossom pearly mussel
		Epioblasma torulosa rangiana (II) =471		Northern riffleshell
	Epioblasma torulosa torulosa (I) =471			Turbercled-blossom pearly mussel
	Epioblasma turgidula (I) =471			Turgid-blossom pearly mussel
	Epioblasma walkeri (I) =471			Tan riffleshell
	Fusconaia cuneolus (I)			Fine-rayed pigtoe pear mussel
	Fusconaia edgariana (I)			Shiny pigtoe pearly mussel
	Lampsilis higginsii (I)			Higgins' eye pearly mussel
	Lampsilis orbiculata orbiculata (I)			Pink mucket pearly mussel

	Annex A	Annex B	Annex C	Common name
	Lampsilis satur (I)			Sandback pocketbook mussel
	Lampsilis virescens (I)			Alabama lamp pearly mussel
	Plethobasus cicatrico- sus (I)			White warty-back pearly mussel
	Plethobasus cooperia- nus (I)			Orange-footed pimple- back mussel
		Pleurobema clava (II)		Clubshell pearly mussel
	Pleurobema plenum (I)			Rough pigtoe pearly mussel
	Potamilus capax (I) =472			Fat pocketbook pearly mussel
	Quadrula intermedia (I)			Cumberland monkey- face pearly mussel
	Quadrula sparsa (I)			Appalachian monkey- face pearly mussel
	Toxolasma cylindrella (I) =473			Pale lilliput pearly mus- sel
	Unio nickliniana (I) =474			Nicklin's pearly mussel
	Unio tampicoensis te- comatensis (I) =475			Tampico pearly mussel
	Villosa trabalis (I) =476			Cumberland bean pearly mussel
GASTROPODA				
STYLOMMATOPHORA				
Achatinellidae	Achatinella spp. (I)			Little agate shells
Camaenidae		Papustyla pulcherrima (II) =477		Manus green tree snail
MESOGASTROPODA				
Strombidae		Strombus gigas (II)		Queen conch
		CNIDARIA		
	1	1		

ANTHOZOA		
COENOTHECALIA	COENOTHECALIA spp. (II) =478 °607	Blue coral
STOLONIFERA		
Tubiporidae	Tubiporidae spp. (II) °607	Organpipe corals
ANTIPATHARIA	ANTIPATHARIA spp. (II)	Black corals
SCLERACTINIA	SCLERACTINIA spp. (II) °607	Stony corals

	Annex A	Annex B	Annex C	Common name
HYDROZOA				
MILLEPORINA				
Milliporidae		Milleporidae spp. (II) °607		Wello fire corals
STYLASTERINA				
Stylasteridae		Stylasteridae spp. (II) °607		Lace corals
	I	FLORA		I
AGAVACEAE	Agave arizonica (I)			New River agave
	Agave parviflora (I)			Santa Cruz striped agave
		Agave victoriae-reginae (II) #1		Queen Victoria agave
	Nolina interrata (I)			Dehesa bear-grass
AMARYLLIDACEAE		Galanthus spp. (II) #1		Snowdrops
		Sternbergia spp. (II) #1		Sternbergias
APOCYNACEAE		Pachypodium spp. * (II) #1		Elephant trunks
	Pachypodium ambon- gense(I)			
	Pachypodium baronii (I)			
	Pachypodium decaryi (I)			
		Rauvolfia serpentina (II) #2		Snake-root devil-pepper
ARALIACEAE		Panax quinquefolius (II) #3		American ginseng
ARAUCARIACEAE	Araucaria araucana** (I) +215	Araucaria araucana* (II) -112 #1		Monkey-puzzle tree
ASCLEPIADACEAE	Ceropegia chrysanta (II)	Ceropegia spp. * (II) #1		Rosary vines
		Frerea indica (II) #1		Milkweed
BERBERIDACEAE		Podophyllum hexan- drum (II) =479 #2		Himalayan may-apple
BROMELIACEAE		<i>Tillandsia harrisii</i> (II) # 1		Harris' tillandsia
		Tillandsia kammii (II) # 1		Kamm's tillandsia
		Tillandsia kautskyi (II) #1		Kautsky's tillandsia
		Tillandsia mauryana (II) #1		Maury's tillandsia
		Tillandsia sprengeliana (II) #1		Sprenge's tillandsia
		Tillandsia sucrei (II) #1		Sucre tillandsia

	Annex A	Annex B	Annex C	Common name
		Tillandsia xerographica (II) #1		Xerographic tillandsia
BYBLIDACEAE		Byblis spp. (II) #1		Rainbow plant
CACTACEAE		CACTACEAE spp. * (II) °608 #4		Cacti
	Ariocarpus spp. (I) =480			Living rock cacti
	Astrophytum asterias (I) =481			Star cactus
	Aztekium ritteri (I)			Aztec cactus
	Coryphantha werder- mannii (I) =482			Jobali pincushion cactu
	Discocactus spp. (I)			Discocacti
	Disocactus macdougal- lii (I) =483			MacDougall's cacti
	Echinocereus ferreiria- nus ssp. lindsayi (I) =484			Lindsay's hedgehog cao ti
	Echinocereus schmollii (I) =485			Lamb's tail cactus
	Escobaria minima (I) =486			Nelle' cactus
	Escobaria sneedii (I) =487			Sneed's pincushion cao tus
	Mammillaria pectini- fera (I) =488			Conchilinque
	Mammillaria soli- sioides (I)			Pitayita
	Melocactus conoideus (I)			Conelike Turk's-cap cactus
	Melocactus deina- canthus (I)			Wonderfully-bristled Turk's cap cactus
	Melocactus glaucescens (I)			Wooly waxy-stemmed Turk's-cap cactus
	Melocactus paucispinus (I)			Few-spined Turk's-cap cactus
	Obregonia denegrii (I)			Artichoke cactus
	Pachycereus militaris (I) =489			Grenadier's cap
	Pediocactus bradyi (I) =490			Brady's pincushion cao tus
	Pediocactus knowltonii (I) =491			Knowlton's cactus
	Pediocactus paradinei (I)			Houserock valley cact
	Pediocactus peeblesia- nus (I) =492			Peebles' Navajo cactus
	Pediocactus sileri (I) =493			Siler's pincushion cact

	Annex A	Annex B	Annex C	Common name
	Pelecyphora spp. (I) =494			Pine cane cactus
	Sclerocactus breviha- matus ssp. tobuschii (I) =495			Tobusch fishhook cactus
	Sclerocactus erectocen- trus (I) =496			
	Sclerocactus glaucus (I) =497			Vinta Basin hookless cactus
	Sclerocactus maripo- sensis (I) =498			Mariposa cactus
	Sclerocactus mesae- verdae (I) =499			Mesa Verde cactus
	Sclerocactus papyra- canthus (I) =500			Grama-grass cactus
	Sclerocactus pubispinus (I) =501			Great-Basin fishhook cactus
	Sclerocactus wrightiae (I) =502			Wright's fishhook cactus
	Strombocactus spp. (I)			Peyote
	<i>Turbinicarpus</i> spp. (I) =503			Turbinicarps
	Uebelmannia spp. (I)			Uebelmann cacti
CARYOCARACEAE		Caryocar <i>costaricense</i> (II) #1		Ajillo
CEPHALOTACEAE		<i>Cephalotus follicularis</i> (II) #1		Albany pitcher plant
COMPOSITAE	Saussurea costus (I) =504			Costus
(ASTERACEAE)				
CRASSULACEAE	Dudleya stolonifera (I)			Laguna Beach dudleya
	Dudleya traskiae (I)			Santa Barbara Island dudleya
CUPRESSACEAE	Fitzroya cupressoides (I)			Alerce
	Pilgerodendron uvifer- um (I)			Pilgerodendron
CYATHEACEAE		CYATHEACEAE spp. (II) #1		Tree ferns
CYCADACEAE		CYCADACEAE spp. * (II) #1		Cycads
	Cycas beddomei (I)			Beddome cycad
DIAPENSIACEAE		Shortia galacifolia (II) #1		Ocone bells
DICKSONIACEAE		DICKSONIACEAE spp. * (II) #1		Tree ferns
	Culcita macrocarpa (II)			
DIDIEREACEAE		DIDIEREACEAE spp. (II) #1		

	Annex A	Annex B	Annex C	Common name
DIOSCOREACEAE		Dioscorea deltoidea (II) #1		Elephant's foot
DROSERACEAE		Dionaea muscipula (II) #1		Venus Fly-trap
ERICACEAE		Kalmia cuneata (II) #1		White wicky
EUPHORBIACEAE		<i>Euphorbia</i> spp. * (II) – 113 °609 #1		Euphorbias
	Euphorbia ambovom- bensis (I)			
	Euphorbia capsainte- mariensis (I) =505			
	Euphorbia cremersii (I) =506			
	Euphorbia cylindrifolia (I) =507			
	Euphorbia decaryi (I) =508			
	Euphorbia francoisii (I)			
	Euphorbia handiensis (II)			
	Euphorbia lambii (II)			
	Euphorbia moratii (I) =509			
	Euphorbia parvicyatho- phora (I)			
	Euphorbia quartziticola (I)			
	Euphorbia tulearensis (I) =510			
	Euphorbia stygiana (II)			
FOUQUIERIACEAE		Fouquieria columnaris (II) #1		Boojum tree
	Fouquieria fasciculata (I)			Arbol del barril
	Fouquieria purpusii (I)			
GNETACEAE			Gnetum montanum (III NP) #1	
JUGLANDACEAE		Oreomunnea pterocar- pa (II) =511 #1		Gavilán
LEGUMINOSAE	Dalbergia nigra (I)			Brazilian rosewood
(FABACEAE)		Pericopsis elata (II) #5		Afrormosia
		Platymiscium pleiosta- chyum (II) #1		Quira macawood
		Pterocarpus santalinus (II) #6		Red sandalwood
LILIACEAE		<i>Aloe</i> spp. * (II) –114 #1		Aloes
	Aloe albida (I)			
	Aloe albiflora (I)			

▼	M2	

	Annex A	Annex B	Annex C	Common name
	Aloe alfredii (I)			
	Aloe bakeri (I)			
	Aloe bellatula (I)			
	Aloe calcairophila (I)			
	Aloe compressa (I) =512			
	Aloe delphinensis (I)			
	Aloe descoingsii (I)			
	Aloe fragilis (I)			
	<i>Aloe haworthioides</i> (I) =513			
	Aloe helenae (I)			
	Aloe laeta (I) =514			
	Aloe parallellifolia (I)			
	Aloe parvula (I)			
	Aloe pillansii (I)			
	Aloe polyphylla (I)			
	Aloe rauhii (I)			
	Aloe suzannae (I)			
	Aloe thorncroftii (I)			
	Aloe versicolor (I)			
	Aloe vossii (I)			
MAGNOLIACEAE			Magnolia hodgsonii (III NP) =515 #1	Safan
► <u>M4</u> MELIACEAE ◄		Swietenia humilis (II) # 1		Honduras mahogany
		Swietenia mahagoni (II) #5		Caribbean mahogany
			► M4 Swietenia macrophylla # 5 (III/BO + 216/BR + 217/CR + 218/MX + 219) (American mahogany)	Big-leaf mahogany
NEPENTHACEAE		Nepenthes spp. * (II) #1		Tropical pitcher plan
	Nepenthes khasiana (I)			Indian pitcher plant
	Nepenthes rajah (I)			Giant tropical pitche plant
ORCHIDACEAE		<i>ORCHIDACEAE spp.</i> * (<i>II</i>) =516 #7		Orchids
	Cattleya trianaei (I) °610			Winter cattleya
	Cephalanthera cuculla- ta (II) °610			
	Cypripedium calceolus (II) °610			Lady's slipper orchic

	Annex A	Annex B	Annex C	Common name
	Dendrobium cruentum (I) °610			
	Goodyera macrophylla (II) °610			
	<i>Laelia jongheana</i> (I) °610			
	Laelia lobata (I) °610			
	Liparis loeselii (II) °610			Fen orchid
	Ophrys argolica (II) °610			Argolis orchid
	Ophrys lunulata (II) °610			Crescent ophrys
	Orchis scopulorum (II) °610			Madeiran orchid
	Paphiopedilum spp (I) °610			Asian slipper orchids
	Peristeria elata (I) °610			Holy ghost
	Phragmipedium spp. (I) °610			South American slippe orchids
	Renanthera imschooti- ana (I) °610			Red vanda
	Spiranthes aestivalis (II) °610			Autumn lady's tresses orchid
	Vanda coerulea (I) °610			Blue vanda
PALMAE		Chysalidocarpus deci- piens (II) #1		Butterfly palm
(AREACEAE)		Neodypsis decaryi (II) # 1		Triangle palm
PAPAVERACEAE			<i>Meconopsis regia</i> (III NP) #1	Himalayan poppy
PINACEAE	Abies guatemalensis (I)			Guatemalan fit
PODOCARPACEAE			Podocarpus neriifolius (III NP) #1	Yellow wood
	Podocarpus parlatorei (I)			Parlatore's podocarp
PORTULACACEAE		Anacampseros spp. (II) =517 #1		Purselanes
		Avonia spp. =518 #1		
		Lewisia cotyledon (II) # 1		Siskiyou lewisia
		Lewisia maguirei (II) #1		Maguire's lewisia
		Lewisia serrata (II) #1		Saw-toothed lewisia
PRIMULACEAE		Cyclamen spp. (II) °611 #1		Cyclamens
PROTEACEAE		Orothamnus zeyheri (II) #1		Marsh-rose
		Protea odorata (II) #1		Ground-rose

	Annex A	Annex B	Annex C	Common name
RANUNCULACEAE		Hydrastis canadensis (II) #3		
ROSACEAE		Prunus africana (II) #1		African cherry
RUBIACEAE	Balmea stormiae (I)			Ayugue
SARRACENIACEAE		Darlingtonia californi- ca (II) #1		Cobra pitcher plant
		Sarracenia spp. * (II) #		Pitcher plants
	Sarracenia alabamensis ssp. alabamensis (I) =519			Alabama canebreak pitcher plant
	Sarracenia jonesii (I) =520			Mountain sweet pitcher plant
	Sarracenia oreophila (I)			Green pitcher plant
SCROPHULARIACEAE		Picrorhiza kurrooa #3		
STANGERIACEAE		Bowenia spp. =521 #1		
	Stangeria eriopus (I) =522			Cycads
TAXACEAE		Taxus wallichiana (II) # 8 =523		Himalayan yew
TETRACENTACEAE			Tetracentron sinense (III NP) #1	
THYMELEACEAE		Aquilaria malaccensis (II) #1		Agarwood
(AQUILARIACEAE)				
VALERIANACEAE		Nardostachys grandi- flora #3		
WELWITSCHIACEAE		Welwitschia mirabilis (II) =524 #1		Welwitschia
ZAMIACEAE		ZAMIACEAE spp. * (II) #1		Cycads
	Ceratozamia spp. (I)			Horncones
	Chigua spp. (I)			
	Encephalartos spp. (I)			Bread palms
	Microcycas calocoma (I)			Palm corcho
ZINGIBERACEAE		<i>Hedychium philippi- nense</i> (II) #1		Philippine garland-flow er
ZYGOPHYLLACEAE		Guaiacum officinale (II) #1		Lignum-vitae
		Guaiacum sanctum (II) #1		Lignum-vitae

	Annex D	Common name
	FAUNA	
	CHORDATA	
MAMMALIA		
CARNIVORA		
Canidae	Vulpes vulpes griffithi (III IN) §1	Red fox
	Vulpes vulpes montana (III IN) §1	Red fox
	Vulpes vulpes pusilla (III IN) = 525 §1	Red fox
Mustelidae	Mustela erminea ferghanae §1 (III IN)	Stoat
AVES		
CASUARIIFORMES		
Casuariidae	Casuarius bennetti	Dwarf cassowary
	Casuarius casuarius §2	Southern cassowary
PELECANIFORMES		
Pelecanidae	Pelecanus philippensis	Spot-billed pelican
CICONIIFORMES		
Ciconiidae	Mycteria leucocephala	Painted stork
ANSERIFORMES		
Anatidae	Anas melleri	Meller's duck
	Stictonetta naevosa	Freckled duck
GALLIFORMES		
Megapodiidae	Megapodius wallacei	Moluccan scrub fowl
Cracidae	Penelope pileata	White-crested guan
Phasianidae	Arborophila gingica	White-necklaced partridge
	Chrysolophus amherstiae §2	Lady Amherst's pheasant
	Chrysolophus pictus §2	Golden pheasant
	Syrmaticus reevesii §2	Reeves's pheasant
	Tragopan temminckii	Temminck's trago- pan
COLUMBIFORMES		
Columbidae	Columba oenops	Peruvian pigeon
	Ducula pickeringii	Grey imperial-pi- geon
	Gallicolumba criniger	Mindanao bleeding-

	Annex D	Common name
	Ptilinopus marchei	Flame-breasted fruit-dove
	Treron sieboldii	White-bellied green- pigeon
	Turacoena modesta	Black cuckoo-dove
PICIFORMES		
Capitonidae	Eubucco tucinkae	Scarlet-hooded bar- bet
	Megalaima rafflesii	Red-crowned barbet
Ramphastidae	Andigena cucullata	Hooded mountain- toucan
	Andigena hypoglauca	Grey-breasted mountain-toucan
	Andigena nigrirostris	Black-billed moun- tain-toucan
PASSERIFORMES		
Cotingidae	Procnias nudicollis	Bare-throated bell- bird
Pittidae	Pitta angolensis	African pitta
	Pitta brachyura	Indian pitta
	Pitta moluccensis	Blue-winged pitta
	Pitta nipalensis	Blue-naped pitta
	Pitta oatesi	Rusty-naped pitta
	Pitta sordida	Hooded pitta
	Pitta steerii	Azure-breasted pitta
Pycnonotidae	Pycnonotus jocosus	Red-whiskered bulbul
Bombycillidae	Bombycilla japonica	Japanese waxwing
Muscicapidae	Cinclidium frontale	Blue-fronted robin
	Cochoa azurea	Javan cochoa
	Cochoa purpurea	Purple cochoa
	Copsychus malabaricus	White-rumped sha- ma
	Cyanoptila cyanomelana	Blue-and-white flycatcher
	Garrulax formosus	Red-winged laughingthrush
	Garrulax galbanus	Yellow-throated laughingthrush
	Garrulax milleti	Black-hooded laughingthrush
	Garrulax milnei	Red-tailed laughing thrush
	Luscinia pectardens = 526	Firethroat
	Niltava davidi	Fujian niltava

	Annex D	Common name
	Paradoxornis heudei	Reed parrotbill
	Stachyris whiteheadi	Chestnut-faced bab- bler
	Swynnertonia swynnertoni = 527	Swynnerton's robin
	Terpsiphone atrocaudata	Japanese paradise- flycatcher
	Turdus dissimilis	Black-breasted thrush
	Turdus mupinensis	Chinese thrush
	Zoothera monticola	Long-billed thrush
Sittidae	Sitta magna	Giant nuthatch
Nectariniidae	Anthreptes reichenowi	Plain-backed sun- bird
	Arachnothera clarae	Naked-faced spider- hunter
Zosteropidae	Zosterops palpebrosus	Oriental white-eye
Emberizidae	Dacnis nigripes	Black-legged dacnis
	Latoucheornis siemsseni	Slaty bunting
	Sporophila falcirostris	Temminck's seedea- ter
	Sporophila frontalis	Buffy-throated see- deater
	Sporophila hypochroma	Grey-and-chestnut seedeater
	Sporophila palustris	Marsh seedeater
	Tangara varia	Dotted tanager
Icteridae	Sturnella militaris	Pampas meadowlarl
Fringillidae	Carpodacus roborowskii	Tibetan rosefinch
Estrildidae	Erythrura coloria	Red-eared parrot- finch
	Erythrura viridifacies	Green-faced parrot- finch
	Lonchura nevermanni	Grey-crowned mu- nia
	Lonchura stygia	Black munia
	Padda fuscata	Timor sparrow
Ploceidae	Euplectes jacksoni	Jackson's widowbird
Sturnidae	Sturnus erythropygius	White-headed star- ling
	Sturnus sericeus	Red-billed starling
Corvidae	Cissa thalassina	Short-tailed magpie
	Cyanocorax caeruleus	Azure jay
	Cyanocorax dickeyi	Tufted jay
	Platysmurus leucopterus = 528	Black magpie

▼	М2	
•		

	Annex D	Common name
REPTILIA		
SAURIA		
Gekkonidae	Geckolepis maculata	Peters' spotted geck
	Rhacodactylus auriculatus	New Caledonia bumpy gecko
	Rhacodactylus ciliatus	Guichenot's giant gecko
	Rhacodactylus leachianus	New Caledonia giant gecko
	Uroplatus spp., viz.	
	Uroplatus alluaudi	Northern flat-tail gecko
	Uroplatus ebenaui	Nosy Be flat-tail gecko
	Uroplatus fimbriatus	Common flat-tail gecko
	Uroplatus guentheri	Gunther's flat-tail gecko
	Uroplatus henkeli	
	Uroplatus lineatus	Lined flat-tail geck
	Uroplatus malahelo	
	Uroplatus phantasticus	
	Uroplatus sikorae	Southern flat-tail gecko
Agamidae	Acanthosaura armata	Armoured prickle- nape
Chamaeleonidae	Brookesia decaryi	Spiny leaf chame- leon
	Brookesia ebenaui	Northern leaf cha- meleon
	Brookesia minima	Minute leaf chame- leon
	Brookesia perarmata	Antsingy leaf cha- meleon
	Brookesia stumpffi	Plated leaf chame- leon
	Brookesia superciliaris	Brown leaf chame- leon
	Brookesia thieli	Domergue's leaf chameleon
Cordylidae	Zonosaurus karsteni	Karsten's girdled li- zard
	Zonosaurus laticaudatus	Western girdled li- zard
	Zonosaurus madagascariensis	Madagascar girdled lizard)

	Annex D	Common name
	Zonosaurus quadrilineatus	Four-lined girdled lizard
Scincidae	Tiliqua gerrardii	Pinktongue skink
	Tiliqua gigas	Giant bluetongue skink
	Tiliqua scincoides	Eastern bluetongue skink
	Tribolonotus gracilis	Crocodile skink
	Tribolonotus novaeguineae	New Guinea helmet skink
SERPENTES		
Xenopeltidae	Xenopeltis unicolor §1	Sunbeam snake
Acrochordidae	Acrochordus javanicus §1	Java file snake
	Acrochordus granulatus §1	Little file snake
Colubridae	Ahaetulla prasina §1	Oriental whip snake
	Boiga dendrophila §1	Mangrove snake
	Elaphe carinata §1	Taiwan stink snake
	Elaphe radiata §1	Radiated rat snake
	Elaphe taeniura §1	Taiwan beauty snake
	Enhydris bocourti §1	Bocourt's water snake
	Enhydris chinensis §1	Chinese water snake
	Enhydris enhydris §1	Rainbow water snake
	Enhydris plumbea §1	Yellowbelly water snake
	Homalopsis buccata §1	Masked water snake
	Langaha nasuta	Northern leafnose snake
	Lioheterodon madagascariensis	Madagascar menar- ana snake
	Ptyas korros §1	Indian rat snake
	Rhabdophis chrysargus §1	Specklebelly keel- back
	Zaocys dhumnades §1	Redneck keelback
	Rhabdophis subminiatus §1	Cantor's rat snake
Elapidae	Bungarus candidus §1	Blue krait
	Laticauda spp., viz.	
	Laticauda colubrina §1	Banded sea snake
	Laticauda crockeri §1	Crocker's sea snake
	Laticauda laticaudata §1	Black-banded sea krait
	Laticauda schistorhynchus §1	Flat-tailed sea snake
	Laticauda semifasciata §1	Chinese sea snake

V	M2	
•		

	Annex D	Common name
Viperidae	Calloselasma rhodostoma §1	Malayan pit viper
Hydrophiidae	Hydrophis spp., viz.	
	Hydrophis atriceps §1	Southeast Asia sea snake
	Hydrophis belcheri §1	Belcher's sea snake
	Hydrophis bituberculatus §1	Belcher's sea snake
	Hydrophis brookei §1	Brooke's sea snake
	Hydrophis caerulescens §1	Dark blue-banded sea snake
	Hydrophis cantoris §1	Gunther's sea snake
	Hydrophis coggeri §1	Cogger's sea snake
	Hydrophis cyanocinctus §1	Annulated sea snak
	Hydrophis czeblukovi §1	Czeblukov's sea snake
	Hydrophis elegans §1	Elegant sea snake
	Hydrophis fasciatus §1	Striped sea snake
	Hydrophis geometricus §1	Geometric sea snak
	Hydrophis gracilis §1	Smallhead sea snak
	Hydrophis inornatus §1	Blue-grey sea snak
	Hydrophis klossi §1	Kloss's sea snake
	Hydrophis lamberti §1	Lambert's sea snake
	Hydrophis lapemoides §1	Persian Gulf sea snake
	Hydrophis macdowelli §1	McDowell's sea snake
	Hydrophis mamillaris §1	Bombay sea snake
	Hydrophis melanocephalus §1	Black-headed sea snake
	Hydrophis melanosoma §1	Black-striped sea snake
	Hydrophis obscurus §1	Russell's sea snake
	Hydrophis ornatus §1	Reef sea snake
	Hydrophis pacificus §1	Pacific sea snake
	Hydrophis parviceps §1	Smith's sea snake
	Hydrophis semperi §1	Garman's sea snake
	Hydrophis spiralis §1	Yellow sea snake
	Hydrophis stricticollis §1	Collared sea snake
	Hydrophis torquatus §1	West Coast black- headed sea snake
	Hydrophis vorisi §1	Kharin's sea snake
	Lapemis curtus = 529 §1	Shaw's sea snake

	Annex D	Common name
PISCES		
SYNGNATHIFOR- MES		
Syngnathidae	Hippocampus spp., viz.	
	Hippocampus abdominalis = 530	Big-bellied seahorse
	Hippocampus aimei	
	Hippocampus angustus = 531	Western Australian seahorse
	Hippocampus bargibanti	
	Hippocampus bicuspis	
	Hippocampus borbonensis	
	Hippocampus brachyrhynchus	
	Hippocampus breviceps =532	Short-headed sea- horse
	Hippocampus camelopardalis = 533	Giraffe seahorse
	Hippocampus capensis	Knysna seahorse
	Hippocampus comes	
	Hippocampus coronatus = 534	Crowned seahorse
	Hippocampus erectus = 535	Lined seahorse
	Hippocampus erinaceus	
	Hippocampus fuscus = 536	Sea pony
	Hippocampus hippocampus = 537	Short-snouted sea- horse
	Hippocampus histrix	Thorny/spiny sea- horse
	Hippocampus horai	
	Hippocampus ingens = 538	Giant seahorse
	Hippocampus japonicus	Japanese seahorse
	Hippocampus jayakari	
	Hippocampus kaupii	
	Hippocampus kelloggi	
	Hippocampus kuda = 539	Yellow seahorse
	Hippocampus lichensteinii = 540	
	Hippocampus minotaur	Bullneck seahorse
	Hippocampus planifrons = 541	Low-crowned sea- horse
	Hippocampus ramulosus = 542	Long-snouted sea- horse
	Hippocampus reidi = 543	Slender seahorse
	Hippocampus sindonis	
	Hippocampus spinosissimus	Hedgehog seahorse

	Annex D	Common name
	Hippocampus takakurae	
	Hippocampus taeniops	
	Hippocampus trimaculatus = 544	Three-spotted sea- horse
	Hippocampus tristis	
	Hippocampus whitei = 545	White's seahorse
	Hippocampus zebra	
	Hippocampus zosterae = 546	
	FLORA	
AGAVACEAE	Calibanus hookeri	
	Dasylirion longissimum	Beargrass
ARACEAE	Arisaema dracontium	Green dragon
	Arisaema erubescens	
	Arisaema galeatum	
	Arisaema jacquemontii	
	Arisaema nepenthoides	
	Arisaema sikokianum	
	Arisaema speciosum	
	Arisaema thunbergii var.urashima	
	Arisaema tortuosum	
	Arisaema triphyllum	Jack-in-the-pulpit
	Biarum davisii ssp. davisii	
	Biarum davisii ssp. marmarisense	
	Biarum ditschianum	
COMPOSITAE	Arnica montana §3	Mountain tobacco
(ASTERACEAE)	Othonna armiana	
	Othonna cacalioides	
	Othonna clavifolia	
	Othonna euphorbioides	
	Othonna hallii	
	Othonna herrei	
	Othonna lepidocaulis	
	Othonna lobata	
	Othonna retrorsa	
ERICACEAE	Arctostaphylos uva-ursi §3	Bearberry
GENTIANACEAE	Gentiana lutea §3	Great yellow gentia
LYCOPODIACEAE	Lycopodium clavatum §3	Stagshorn clubmos
MENYANTHACEAE	Menyanthes trifoliata §3	Bogbean

	Annex D	Common name
PARMELIACEAE	Cetraria islandica §3	
PASSIFLORACEAE	Adenia fruticosa	Desert rose
	Adenia glauca	Desert rose
	Adenia pechuelli	Desert rose
	Adenia spinosa	Desert rose
PORTULACACEAE	Ceraria spp., viz.	
	Ceraria carrissoana	
	Ceraria fruticulosa	
	Ceraria gariepina	
	Ceraria longipedunculata	
	Ceraria namaquensis	
	Ceraria pygmaea	
	Ceraria schaeferi	
RANUNCULACEAE	Adonis vernalis §3	Yellow adonis
TRILLACEAE	Trillium catesbaei	Rosy wakerobin
	Trillium cernuum	Nodding trillium
	Trillium flexipes	Drooping trillium
	Trillium grandiflorum	White wakerobin
	Trillium luteum	
	Trillium pusillum	
	Trillium recurvatum	Purple trillium
	Trillium rugelii	
	Trillium sessile	Wakerorobin wood- lily
	Trillium undulatum	Painted wood-lily