

COMMISSION REGULATION (EEC) No 3025/77
of 23 December 1977

applying Regulation (EEC) No 1056/72 on notifying the Commission of investment projects of interest to the Community in the petroleum, natural gas and electricity sectors

THE COMMISSION OF THE EUROPEAN COMMUNITIES,

Having regard to the Treaty establishing the European Economic Community,

Having regard to Council Regulation (EEC) No 1056/72 of 18 May 1972 on notifying the Commission of investment projects of interest to the Community in the petroleum, natural gas and electricity sectors ⁽¹⁾, as amended by Regulation (EEC) No 1215/76 ⁽²⁾, and in particular Article 2 (2) thereof,

Whereas Article 2 (2) of Regulation (EEC) No 1056/72 provides that the Commission may, within the limits laid down by that Regulation and the Annexes thereto, adopt implementing provisions concerning the form, content and other details of the notifications provided for in Article 1 thereof;

Whereas such provisions were made by the Commission in Regulation (EEC) No 1069/73 of 16 March 1973 ⁽³⁾;

Whereas, to simplify the transmission of information and to ensure that statistics are comparable, notifications to be made by Member States and undertakings should be standardized by the use of questionnaires which would serve as a guide for the presentation and content of such notifications;

Whereas the implementation of Regulation (EEC) No 1215/76 requires certain amendments to the implementing provisions set out in Regulation (EEC) No 1069/73; whereas it is therefore necessary to adopt new implementing provisions,

HAS ADOPTED THIS REGULATION:

Article 1

The notifications referred to in Article 1 of Regulation (EEC) No 1056/72 shall be drawn up as shown in the Annex hereto.

Article 2

Regulation (EEC) No 1069/73 is hereby repealed.

Article 3

This Regulation shall enter into force on the day following its publication in the *Official Journal of the European Communities*.

This Regulation shall be binding in its entirety and directly applicable in all Member States.

Done at Brussels, 23 December 1977.

For the Commission

Guido BRUNNER

Member of the Commission

⁽¹⁾ OJ No L 120, 25. 5. 1972, p. 7.

⁽²⁾ OJ No L 140, 28. 5. 1976, p. 1.

⁽³⁾ OJ No L 113, 28. 4. 1973, p. 14.

ANNEX

CONFIDENTIAL

QUESTIONNAIRE

to be submitted

- (a) by companies to the Governments of the Member States
- (b) by the Member States to the Commission of the European Communities

The questionnaires forming part of this Annex shall serve as a guide to enable the form and content of the communications to be standardized. The communications shall refer to the following:

- existing installations or part installations,
- installations or part installations under construction,
- installations or part installations at the planning stage,
- proposed withdrawals from service of installations or part installations.

Under 'existing installations or part installations' shall be included and separately specified:

- those installations which have come into service since the previous communication, whether or not such installations were included in previous communications (as being at the planning stage or as installations under construction),
- the total capacity in service at the reporting date.

The following shall be considered as 'installations or part installations at the planning stage':

investment projects within the meaning of Council Regulation (EEC) No 1056/72, as amended by Regulation (EEC) No 1215/76, for which the decision in principle to construct or enlarge an installation or part installation has been taken and on which work is scheduled to start within three years from the reference date, in the case of investment projects in the petroleum and natural gas sectors, or within five years in the case of investment projects in the electricity sector. This shall include those investment projects of which the major features (location, contractor, undertaking, technical features etc.) may, in whole or in part, be subject to future review or to final authorization by a competent authority.

The following shall be considered as 'proposed withdrawals from service of installations or part installations':

installations or part installations with respect to which the decision has been taken in principle to withdraw them from service (Article 1 (3) of the abovementioned Council Regulation), this decision normally being expected to be put into effect within three years from the reference date.

With respect to the above communications:

the 'decisional status' of investment projects shall mean:

clear and concise indications as to whether or not firm decisions have been taken concerning all the major features of the project. These shall specifically include reference to decisions concerning the location, contractor and principal technical features such as size, capacity, type of fuel, nature of process and any other features as appropriate. Such indications shall include explanatory statements;

the 'relation to national planning' of investment projects shall mean:

clear and concise indications as to whether or not the investment projects form part of a national plan established by a Member State for the sector concerned. In either case the existence or otherwise of such a national plan must be indicated;

the 'supplementary information' relating to investment projects shall include:

clear and concise indications of the reasons for and the purpose of the investment projects and such other indications as will enable the Commission to understand fully the contribution of the investment projects to the sectors concerned, together with details of all significant changes in the major features and decisional status of projects since the previous communication, and such other specific comments indicated by footnotes on the relevant questionnaire sheet. In addition Member States shall add any comments they may have relating to the projects.

Name and address of the persons or undertakings	INVESTMENTS IN THE ELECTRICITY SECTOR		Position at 1 January 19...
	Thermal power stations (including nuclear)		
	Generating sets with a capacity of 200 MW or more		
			Member State
			E 1

	1	2	3	4	5	6	7	8	9	10	11	12	13
1	Name of the installation	Location and region	Proposed dates		Number of identical sets	Nominal capacity per set (MW gross)	Design steam temperature at turbine entry (°C)	Fuel(s) ⁽¹⁾		Type of cooling system	Decisional status ⁽²⁾ ⁽³⁾	Relation to national planning ⁽²⁾ ⁽⁴⁾	Supplementary information ⁽²⁾ ⁽⁵⁾
			Start of work	Commissioning (year)				Type(s)	New storage capacity of each type (tonnes)				
A. Existing installations or part installations													
2													
B. Installations or part installations under construction													
3													
C. Installations or part installations at the planning stage													
4													
D. Proposed withdrawals from service of installations or part installations													
5			Proposed date										

⁽¹⁾ In the case of multi-fuel plants, please use a separate line for each type of fuel, storage capacity data required only for oil and hard coal.

⁽²⁾ For definition see the Annex to Commission Regulation (EEC) No 3025/77.

⁽³⁾ Indicate, by use of the appropriate letter, those principal features for which firm decisions have NOT been taken – location (A), main contractor (B), capacity (C), type of fuel (D), start of work date (E), commissioning date (F), other features (G – comment on separate sheet). Where decisions are provisional add suffix (P).

⁽⁴⁾ Indicate: installation is (A), or is not (B), part of a national plan; a national plan does not exist (C); comments made on separate sheet (D).

⁽⁵⁾ Indicate, in addition to any other supplementary information, existing capacity at the given location and total future capacity (where applicable).

Name and address of the persons or undertakings

INVESTMENTS IN THE ELECTRICITY SECTOR
Hydroelectric power stations
Generating plant with a capacity of 50 MW or more

Position at 1 January 19...	E 2
Member State	

	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
1	Name of the installation	Location, region and watercourse	Proposed date		Hydraulic characteristics			Number of identical generating sets	Nominal capacity per set (MW)	Average annual productivity (GWh) from		Storage capacity (GWh)	Nominal capacity of the storage pumps (MW)	Decisional status ⁽¹⁾ ⁽⁴⁾	Relation to national planning ⁽¹⁾ ⁽⁵⁾	Supplementary information ⁽¹⁾ ⁽⁶⁾
			Start of work	Commissioning (year)	Category ⁽¹⁾	Maximum usable flow (m ³ /s)	Maximum head (m)			Natural flow	Pumped water ⁽²⁾					
A. Existing installations or part installations																
2																
B. Installations or part installations under construction																
3																
C. Installations or part installations at the planning stage																
4																
D. Proposed withdrawals from service of installations or part installations																
5			Proposed date													

⁽¹⁾ Indicate – seasonal storage (S), short-term storage (ST), run-of-river (R), pumped storage (P), or combination of one or more.

⁽²⁾ Production derived from proposed annual utilization period of the pumps.

⁽³⁾ For definition see the Annex to Commission Regulation (EEC) No 3025/77.

⁽⁴⁾ Indicate, by use of the appropriate letter, those principal features for which firm decisions have NOT been taken – location (A), main contractor (B), capacity (C), type of fuel (D), start of work date (E), commissioning date (F), other features (G – comment on separate sheet).

Where decisions are provisional add suffix (P).

⁽⁵⁾ Indicate: installation is (A), or is not (B), part of a national plan; a national plan does not exist (C); comments made on separate sheet (D).

⁽⁶⁾ Indicate, in addition to any other supplementary information, total capacity already installed and proposed future total capacity.

Name and address of the persons or undertakings	INVESTMENTS IN THE ELECTRICITY SECTOR		Position at 1 January 19...
	Transport		Member State
	Transmission lines if they have been designed for a voltage of 345 kV or more		
E 3			

	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
1	Linking between stations	Proposed date		Length of the line (km)	Voltage kV		Number of circuits		Conductors per phase			Maximum thermal transmission capacity (MVA)		Decisional status (2) (1)	Relation to national planning (2) (4)	Supplementary information (2)
		Start of work	Commissioning (year)		At the reference date	Pylons designed for	At the reference date	Pylons designed for	Number	Cross-section per conductor (mm ²)	Material	At the reference date	Final			
A. Existing installations or part installations																
2																
B. Installations or part installations under construction (1)																
3																
C. Installations or part installations at the planning stage (1)																
4																
D. Proposed withdrawals from service of installations or part installations																
5		Proposed date														

⁽¹⁾ Also covers the installation of new triple cables with a voltage of 345 kV or more on existing pylons.

⁽²⁾ For definition see the Annex to Commission Regulation (EEC) No 3025/77.

⁽³⁾ Where firm decisions have NOT been taken on one or more principal features of the installation put (A) and comment on a separate sheet.

⁽⁴⁾ Indicate: installation is (A), or is not (B), part of a national plan; a national plan does not exist (C); comments made on separate sheet (D).

Name and address of the persons or undertakings	INVESTMENTS IN THE ELECTRICITY SECTOR	Position at 1 January 19 ..
	Transport	
	Underground and submarine transmission cables, if they have been designed for a voltage of 100 kV or more and constitute essential links in national or international interconnecting networks	Member State

E 4

	1	2	3	4	5	6	7	Cables		Conductors		13	14	15	16	
1	Linking between stations	Proposed dates		Type of link (⁽¹⁾)	Length of link between terminals (km)	Voltage (a.c. or d.c.) (kV)	Number of circuits	Number	Cooling system	Number per cable	Conductors		Maximum thermal transmission capacity (MV A)	Decisional status (⁽²⁾) (⁽³⁾)	Relation to national planning (⁽²⁾) (⁽⁴⁾)	Supplementary information (⁽²⁾)
		Start of work	Commissioning (year)								Cross-section per conductor (mm ²)	Material				
A. Existing installations or part installations																
2																
B. Installations or part installations under construction																
3																
C. Installations or part installations at the planning stage																
4																
D. Proposed withdrawals from service of installations or part installations																
5		Proposed date														

(⁽¹⁾) Indicate whether underground or submarine. Where link includes both, indicate proportion of each.

(⁽²⁾) For definition see the Annex to Commission Regulation (EEC) No 3025/77.

(⁽³⁾) Where firm decisions have NOT been taken on one or more principal features of the installations put (A) and comment on a separate sheet.

(⁽⁴⁾) Indicate: installation is (A), or is not (B), part of a national plan; a national plan does not exist (C); comments made on separate sheet (D).

Name and address of undertaking	INVESTMENTS IN THE OIL-REFINING SECTOR		Position at 1 January 19 ..	P 1
	Atmospheric distillation plant of capacity of 1 000 000 tonnes/year or more			
	Units: 1 000 tonnes/year			

A. Existing capacity	Installed	In service	Remarks
Total company capacity at 1 January 19 .. + new or re-commissioned in 19 .. - withdrawn in 19 .. Total capacity at 1 January 19 ..			

Refinery location/description/ No of unit	Expected dates		Refinery's capacity			Remarks						
	Start	Completion	Presently installed	To be added	To be withdrawn							
B. Installations or part installations under construction												
C. Projects for installations or part installations												
						<table border="1"> <tr> <th>Status</th> <th>Approval</th> <th>Remarks</th> </tr> <tr> <td>Firm/probable</td> <td>Final/ preliminary</td> <td></td> </tr> </table>	Status	Approval	Remarks	Firm/probable	Final/ preliminary	
Status	Approval	Remarks										
Firm/probable	Final/ preliminary											
D. Proposed withdrawals from service (not included in (B) and (C) above) (i) Final, (ii) Temporary ⁽¹⁾												
(i)												
(ii)												

(1) Excluding withdrawals for routine maintenance or repairs.

'Capacity' means the rated design capacity per stream day \times expected average number of days in operation per year.

Name and address of undertaking	INVESTMENTS IN THE OIL-REFINING SECTOR		Position at 1 January 19 ..
	Reforming/cracking plants of capacity of 500 tonnes/day or more		
	Units: 1000 tonnes/year		
			Member State
			P 2

A. Existing capacity	R	HC	CC	TC	VB	Remarks
Total company capacity at 1 January 19 ..						
+ additions in 19 ..						
- withdrawals (final) in 19 ..						
Total capacity at 1 January 19 ..						
Capacity temporarily out of service at 1 January 19 ..						

Refinery location/ description/No of unit	R HC CC TC VB	Proposed dates				Capacity			Remarks
		Start	Complete	Presently installed	To be added	To be withdrawn	Future		
B. Installations or part installations under construction									
C. Projects for installations or part installations									
D. Proposed withdrawals from service (not included in (B) and (C) above) (i) Final (ii) Temporary (*)									
(i)									
(ii)									

R = Catalytic and thermal reforming; HC = hydro-cracking; CC = catalytic cracking; TC = thermal cracking; VB = visbreaking.

(*) Excluding withdrawals for routine maintenance or repairs.

*Capacity' means the rated design capacity per stream day × expected average number of days in operation per year.

Name and address of undertaking	INVESTMENTS IN THE OIL-REFINING SECTOR		Position at 1 January 19...
	Desulphurization plant		Member State
	Units: 1 000 tonnes/year		
2A			

A. Existing capacity					
Intake capacity	% reduction		At 1 January 19...	Additions 19...	Withdrawals 19...
	By	To			
– gas/diesel oil – residual fuel oil (direct process)					
Remarks			At 1 January 19...		

Refinery location/description/No of unit	Reduction		Proposed dates		Capacity			Remarks
	By	To	Start	Comple- tion	Presently installed	To be		
						Added	Withdrawn	
B. Installations or part installations under construction								
C. Projects for installations or part installations								
							Status	Approval
							Firm/ probable	Final/ preliminary
D. Proposed withdrawals from service (not included in (B) and (C) above) (i) Final (ii) Temporary ⁽¹⁾								
(i)								
(ii)								

⁽¹⁾ Excluding withdrawals for routine maintenance or repairs.

'Capacity' means the rated design capacity per stream day × expected average number of days in operation per year.

P 1, P 2, 2 A definitions

1. *Capacity* (to be expressed in 1 000 tonnes per year): the rated or design intake capacity of plant per stream day multiplied by the average number of days per year the plant is expected to be in operation ⁽¹⁾. (In cases where usable capacity is significantly inferior to capacity in this sense the former should be noted in the 'Remarks' column.)
2. *Total (installed) capacity*: the aggregate capacity of individual plants including units which have been shut down (unless scrapped), but excluding units which have been converted to other uses.
3. *Total capacity in service*: the aggregate capacity of individual plants which are in regular operation, including plants shut down for maintenance or repairs. It is thus equal to 'total (installed) capacity' less capacity which has been withdrawn from service indefinitely, or for an extended period.
4. *New or re-commissioned capacity*: the capacity of plant which in the previous year was:
 - (a) newly commissioned;
 - (b) converted from other uses;
 - (c) brought back into service after being withdrawn because surplus to requirements.
5. *Capacity withdrawn*:
 - (A) 'Finally': the capacity of plant which has been, or is scheduled to be, withdrawn from service either to be scrapped or to be converted permanently to other uses.
 - (B) 'Temporarily': the capacity of plant which has been withdrawn because surplus to current requirements but which it is firmly expected will eventually be put back into service.

⁽¹⁾ i.e. after allowing for routine maintenance and repairs.

Name and address of undertaking	INVESTMENTS IN THE NATURAL GAS SECTOR	Position at 1 January 19...
	Transport (*)	Member State
	Installations or extension of installations with a transporting capacity of not less than 10 ⁶ m ³ /year and which have a length of not less than 30 km	

G
1

	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18
	Nature of pipeline ⁽¹⁾	Route of pipeline		Extension or lengthening ⁽²⁾		Length (km)	Internal diameter (cm)	Pressure (bar)	Proposed dates		Transporting capacity in 10 ⁶ standard m ³ input at point of departure			Gas type		Decisional status ⁽⁵⁾	National plan ⁽⁶⁾	Supplementary information ⁽⁷⁾
		Point of departure	Point of arrival	Point of departure	Point of arrival				Start of work	Commissioning (year)	Maximum per hour ⁽³⁾	Maximum per year ⁽⁴⁾	Planned use per year ⁽⁴⁾	Origin or mixture	Gross calorific value (kJ/m ³)			
	A. Existing installations or part installations																	
2																		
	B. Installations or part installations under construction																	
3																		
	C. Installations or part installations at the planning stage																	
4																		
	D. Proposed withdrawals from service of installations or part installations																	
5									Date of withdrawal from service									

(*) Excluding gas pipelines for military purposes and those supplying chemical plants which do not produce energy products, or which produce them only as by-products.

Notes for questionnaire G 1: Investments

- (¹) Use own descriptive designation i.e. feeder line, transmission trunk line, distribution line etc.
- (²) In cases of extension of an existing system (including looping) designate existing system in 1, 2 and 3 and extension in 4, 5, 6, 7 and 8.
- (³) SI units should be used i.e. standard cubic metre at 15 °C and 1 013,25 millibar.
- (⁴) Provide current best estimate of yearly throughput.
- (⁵) Indicate which of the following have got to be secured: A = government approval; B = local planning approval; C = wayleaves; D = board approval; E = technical approval; F = finance; G = other (specify). Suffix 'p' where provisional approvals have already been obtained and only final approval is now required (i.e. Ap, Bp, Fp, etc.).
- (⁶) Indicate: A = national plan exists and installation plays a specific part in implementation of national plan; B = national plan exists but installation plays no part; C = no national plan exists; D = comments provided on separate sheet.
- (⁷) Indicate which of the following are applicable: A = for new imports; B = for new indigenous production; C = for market expansion; D = for supply security; E = for supply reorganization (new sources); F = for market reorganization (no major growth); G = opening new consumer areas; H = other information specified on separate sheet.

Name and address of undertaking	INVESTMENTS IN THE NATURAL GAS SECTOR		Position at 1 January 19 ..
	Imports		
	Terminals for the importation of liquefied natural gas (*)		
			Member State
			G 2

	1	2	3	4	5	6	7	8	9	10	11
1	Location	Proposed dates		Maximum storage capacity for liquefied natural gas (m ³ of liquid)	Maximum regasification capacity (m ³ /h of gas)	Gross calorific value of the gas after regasification (kJ/m ³)	Country from which liquefied natural gas is imported	Forecast annual volume (10 ⁶ m ³ of gas)	Decisional status ⁽¹⁾	National plan ⁽²⁾	Supplementary information ⁽³⁾
		Start of work	Commissioning (year)								
A. Existing installations or part installations											
2											
B. Installations or part installations under construction											
3											
C. Installations or part installations at the planning stage											
4											
D. Proposed withdrawals from service of installations or part installations											
5			Date of withdrawal from service								

(*) Excluding installations for military purposes and those supplying chemical plants which do not produce energy products, or which produce them only as by-products.

Notes for questionnaire G 2: Investments

- (¹) Indicate which of the following have yet to be secured: A = government approval; B = local planning approval; C = land; D = board approval; E = technical approval; F = finance; G = other (specify). Suffix 'p' where provisional approvals have already been obtained and only final approval is now required (i.e. Ap, Bp, Fp, etc.).
- (²) Indicate: A = national plan exists and installation plays a specific part in implementation of national plan; B = national plan exists but installation plays no part; C = no national plan exists; D = comments provided on separate sheet.
- (³) Indicate which of the following are applicable: A = strategic storage (indicate percentage of stock regarded as strategic (i.e. A 25 %)); B = significant factor in peak shaving; C = space for additional tankage; D = no space for additional tankage; E = requires pipeline investment to integrate with transmission system; F = other information specified on separate sheet.

Name and address of undertaking	INVESTMENTS IN THE NATURAL GAS SECTOR	Position at 1 January 19...	G 3		
				Storage (*)	Member State

	1	2	3	4	5	6	7	8	9	10	11	12	13
1	Name	Location	Proposed dates		Type of storage	Capacity		Gross calorific value (kJ/m ³ of gas withdrawn from storage)	Input 10 ⁶ m ³ maximum daily	Output 10 ⁶ m ³ maximum daily and maximum total in 90 days	Decisional status (1)	National plan (2)	Supplementary information (3)
			Start of work	Commissioning (year)		10 ⁶ m ³ overall	10 ⁶ m ³ useful						
A. Existing installations or part installations													
2													
B. Installations or part installations under construction													
3													
C. Installations or part installations at the planning stage													
4													
D. Proposed withdrawals from service of installations or part installations													
5			Date of withdrawal from service										

(*) Excluding installations for military purposes and those supplying chemical plants which do not produce energy products, or which produce them only as by-products.

Notes for questionnaire G 3: Investments

- (¹) Indicate which of the following have yet to be secured: A = government approval; B = local planning approval; C = land; D = board approval; E = technical approval; F = finance; G = other (specify). Suffix 'p' where provisional approvals have already been obtained and only final approval is now required (i.e. Ap, Bp, Fp, etc.).
- (²) Indicate A = national plan exists and installation plays a specific part in implementation of national plan; B = national plan exists but installation plays no part; C = no national plan exists; D = comments provided on separate sheet.
- (³) Indicate which of the following are applicable: A = strategic storage; B = peak shaving (indicate frequency of use); if mixed, indicate percentage regarded as strategic (i.e. A 50 %, B: daily); C = considerable prospects for expansion; D = little prospect for expansion; E = requires pipeline investment to integrate with transmission system; F = other information specified on separate sheet.
-