
STATUTORY INSTRUMENTS

2013 No. 472

FINANCIAL SERVICES AND MARKETS

**The Financial Services Act 2012 (Consequential
Amendments and Transitional Provisions) Order 2013**

<i>Made</i>	- - - - -	<i>7th March 2013</i>
<i>Laid before Parliament</i>		<i>8th March 2013</i>
<i>Coming into force</i>		<i>1st April 2013</i>

**THE FINANCIAL SERVICES ACT 2012 (CONSEQUENTIAL
AMENDMENTS AND TRANSITIONAL PROVISIONS) ORDER 2013**

1. Citation, commencement and interpretation
 2. Revocations
 3. Amendments of subordinate legislation and related transitional provision
 4. Amendment of subordinate legislation (references to Part IV of the Financial Services and Markets Act 2000)
 5. Amendments of subordinate legislation (references to Part X of the Financial Services and Markets Act 2000)
 6. Amendments of subordinate legislation (references to the UK Listing Authority or the Competent Authority for Listing)
- Signature

SCHEDULE 1 — Revocations

SCHEDULE 2 — Amendments of subordinate legislation

1. The Rehabilitation of Offenders Act 1974 (Exceptions) Order 1975
2. The Consumer Credit Licensing (Representations) Order 1976
3. The Rehabilitation of Offenders (Exceptions) Order (Northern Ireland) 1979
4. The Stamp Duty Reserve Tax Regulations 1986
5. The Insolvency Rules 1986
6. The Personal Pension Schemes (Disclosure of Information) Regulations 1987
7. The Insolvency (Northern Ireland) Order 1989
8. The Insolvency Rules (Northern Ireland) 1991

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9. The Financial Markets and Insolvency Regulations 1991
10. The Act of Sederunt (Rules of the Court of Session 1994) 1994
11. The Insolvent Partnerships Order 1994
12. The Credit Institutions (Protection of Depositors) Regulations 1995
13. The Occupational Pension Schemes (Transfer Values) Regulations (Northern Ireland) 1996
14. The Occupational Pension Schemes (Transfer Values) Regulations 1996
15. The Teachers' Superannuation (Provision of Information and Administrative Expenses etc.) Regulations 1996
16. The National Health Service Pension Scheme (Provision of Information and Administrative Expenses etc.) Regulations 1996
17. The Insurance Companies (Reserves) (Tax) Regulations 1996
18. The Local Government Pension Scheme Regulations 1997
19. The Firemen's Pensions (Provision of Information) Regulations 1997
20. The Police Pensions (Provision of Information) Regulations 1997
21. The Building Societies (Accounts and Related Provisions) Regulations 1998
22. The Individual Savings Account Regulations 1998
23. The Public Interest Disclosure (Prescribed Persons) Order (Northern Ireland) 1999
24. The Personal Portfolio Bonds (Tax) Regulations 1999
25. The Public Interest Disclosure (Prescribed Persons) Order 1999
26. The Unfair Terms in Consumer Contracts Regulations 1999
27. The Financial Markets and Insolvency (Settlement Finality) Regulations 1999
28. Transitional provision in connection with the Financial Markets and Insolvency (Settlement Finality) Regulations 1999
29. The Local Government Pension Scheme (Management and Investment of Funds) Regulations (Northern Ireland) 2000
30. The Stakeholder Pension Schemes Regulations (Northern Ireland) 2000
31. The Stakeholder Pension Schemes Regulations 2000
32. The Terrorism Act 2000 (Crown Servants and Regulators) Regulations 2001
33. The Representation of the People (England and Wales) Regulations 2001
34. The Representation of the People (Scotland) Regulations 2001
35. The Financial Services and Markets Act 2000 (Regulated Activities) Order 2001
36. The Financial Services and Markets Act 2000 (Recognition Requirements for Investment Exchanges and Clearing Houses) Regulations 2001
37. The Financial Services and Markets Act 2000 (Promotion of Collective Investment Schemes) (Exemptions) Order 2001
38. The Financial Services and Markets Act 2000 (Exemption) Order 2001
39. Financial Services and Markets Act 2000 (Appointed Representatives) Regulations 2001
40. The Financial Services and Markets Act 2000 (Professions) (Non-Exempt Activities) Order 2001
41. The Open-Ended Investment Companies Regulations 2001
42. The Financial Services and Markets Act 2000 (Service of Notices) Regulations 2001
43. The Criminal Defence Service (General) (No.2) Regulations 2001
44. The Financial Services and Markets Act 2000 (Transitional Provisions and Savings) (Rules) Order 2001

45. The Financial Services and Markets Act 2000 (Consequential and Transitional Provisions) (Miscellaneous) Order 2001
46. The Financial Services and Markets Act 2000 (Disclosure of Information by Prescribed Persons) Regulations 2001
47. The Financial Services and Markets Act 2000 (Disclosure of Confidential Information) Regulations 2001
48. The Financial Services and Markets Act 2000 (Rights of Action) Regulations 2001
49. The Financial Services and Markets Act 2000 (Transitional Provisions) (Ombudsman Scheme and Complaints Scheme) Order 2001
50. The Financial Services and Markets Act 2000 (Variation of Threshold Conditions) Order 2001
51. The Financial Services and Markets Act 2000 (Communications by Auditors) Regulations 2001
52. Transitional provision in relation to the Financial Services and Markets Act 2000 (Communications by Auditors) Regulations 2001
53. The Financial Services and Markets Act 2000 (Transitional Provisions) (Controllers) Order 2001
54. The Financial Services and Markets Act 2000 (Own-initiative Power) (Overseas Regulators) Regulations 2001
55. The Financial Services and Markets Act 2000 (Official Listing of Securities) Regulations 2001
56. The Financial Services and Markets Act 2000 (Transitional Provisions, Repeals and Savings) (Financial Services Compensation Scheme) Order 2001
57. The Financial Services and Markets Act 2000 (Transitional Provisions and Savings) (Civil Remedies, Discipline, Criminal Offences etc.) (No. 2) Order 2001
58. The Financial Services and Markets Act 2000 (Gibraltar) Order 2001
59. The Financial Services and Markets Act 2000 (Collective Investment Schemes Constituted in Other EEA States) Regulations 2001
60. The Financial Services and Markets Act 2000 (Consultation with Competent Authorities) Regulations 2001
61. The Bankruptcy (Financial Services and Markets Act 2000) (Scotland) Rules 2001
62. The Financial Services and Markets Act 2000 (Control of Business Transfers) (Requirements on Applicants) Regulations 2001
63. Transitional provisions in relation to the Financial Services and Markets Act 2000 (Control of Business Transfers) (Requirements on Applicants) Regulations 2001
64. The Financial Services and Markets Act 2000 (Control of Transfers of Business Done at Lloyd's) Order 2001
65. Transitional provision in relation to the Financial Services and Markets Act 2000 (Control of Transfers of Business Done at Lloyd's) Order 2001
66. The Financial Services and Markets Tribunal (Legal Assistance) Regulations 2001
67. The Financial Services and Markets Tribunal (Legal Assistance Scheme—Costs) Regulations 2001
68. The Bankruptcy (Financial Services and Markets Act 2000) Rules 2001
69. The Insurers (Winding Up) Rules 2001
70. The Financial Services and Markets Act 2000 (Miscellaneous Provisions) Order 2001

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71. The Friendly Societies Act 1974 (Seal of the Financial Services Authority) Regulations 2001
72. Transitional provision in relation to the Friendly Societies Act 1974 (Seal of the Financial Services Authority) Regulations 2001
73. The Uncertificated Securities Regulations 2001
74. The Insurers (Winding Up) (Scotland) Rules 2001
75. The Welsh Language Schemes (Public Bodies) Order 2002
76. The Financial Services and Markets Act 2000 (Consequential Amendments and Transitional Provisions) (Credit Unions) Order 2002
77. The Electronic Commerce Directive (Financial Services and Markets) Regulations 2002
78. The Financial Services and Markets Act 2000 (Fourth Motor Insurance Directive) Regulations 2002
79. The Insolvency (Northern Ireland) Order 2002
80. The Proceeds of Crime Act 2002 (Disclosure of Information to and by Lord Advocate and Scottish Ministers) Order 2003
81. The Energy (Northern Ireland) Order 2003
82. The Financial Services and Markets Act 2000 (Communications by Actuaries) Regulations 2003
83. Transitional provision in relation to the Financial Services and Markets Act 2000 (Communications by Actuaries) Regulations 2003
84. The Enterprise Act 2002 (Part 8 Community Infringements Specified UK Laws) Order 2003
85. The Land Registration Rules 2003
86. The Freedom of Information (Additional Public Authorities) Order 2003
87. The Open-Ended Investment Companies Regulations (Northern Ireland) 2004
88. The Insurers (Reorganisation and Winding Up) Regulations 2004
89. The Financial Services and Markets Act 2000 (Transitional Provisions) (Complaints Relating to General Insurance and Mortgages) Order 2004
90. The Crime (International Co-operation) Act 2003 (Designation of Prosecuting Authorities) Order 2004
91. The Credit Institutions (Reorganisation and Winding Up Regulations) 2004
92. Transitional provision in relation to the Credit Institutions (Reorganisation and Winding up Regulations) 2004
93. The Child Trust Funds Regulations 2004
94. The Employment Tribunals (Constitution and Rules of Procedure) Regulations 2004
95. The Financial Conglomerates and Other Financial Groups Regulations 2004
96. Transitional provision in relation to the Financial Conglomerates and Other Financial Groups Regulations 2004
97. The Financial Services (Distance Marketing) Regulations 2004
98. The Financial Services and Markets Act 2000 (Stakeholder Products) Regulations 2004
99. The Pension Protection Fund (PPF Ombudsman) Order (Northern Ireland) 2005
100. The Independent Health Care Regulations (Northern Ireland) 2005
101. The Pensions (Northern Ireland) Order 2005
102. The Investment Recommendation (Media) Regulations 2005
103. The Bankruptcy (Financial Services and Markets Act 2000) Rules (Northern Ireland) 2005
104. Insurers (Winding-Up) Rules (Northern Ireland) 2005

105. The Pension Protection Fund (PPF Ombudsman) Order 2005
106. The General Optical Council (Registration Appeals Rules) Order of Council 2005
107. The General Optical Council (Registration Rules) Order of Council 2005
108. The Financial Services and Markets Act 2000 (Financial Promotion) Order 2005
109. The Insurers (Reorganisation and Winding Up) (Lloyd's) Regulations 2005
110. The Proceeds of Crime Act 2002 (External Requests and Orders) Order 2005
111. The Financial Assistance Scheme (Appeals) Regulations 2005
112. The Insolvency Practitioners Regulations (Northern Ireland) 2006
113. The Community Benefit Societies (Restriction on Use of Assets) Regulations 2006
114. The Permitted Persons (Designation) Order 2006
115. The Authorised Investment Funds (Tax) Regulations 2006
116. The Cross-Border Insolvency Regulations 2006
117. The Banks (Former Authorised Institutions) (Insolvency) Order 2006
118. The Capital Requirements Regulations 2006
119. Transitional provision in relation to the Capital Requirements Regulations 2006
120. The Compensation Act 2006 (Contribution for Mesothelioma Claims) Regulations 2006
121. The Gambling (Operating Licence and Single-Machine Permit Fees) Regulations 2006
122. The Water and Sewerage Services (Northern Ireland) Order 2006
123. The Enterprise Act 2002 (Amendment) Regulations 2006
124. The Cross-Border Insolvency Regulations (Northern Ireland) 2007
125. The Financial Services and Markets Act 2000 (Markets in Financial Instruments) Regulations 2007
126. The Iran (Financial Sanctions) Order 2007
127. The Financial Services and Markets Act 2000 (Administration Orders Relating to Insurers) (Northern Ireland) Order 2007
128. The Regulation of Investigatory Powers (Authorisations Extending to Scotland) Order 2007
129. The Money Laundering Regulations 2007
130. The Political Parties, Elections and Referendums Act 2000 (Northern Ireland Political Parties) Order 2007
131. The Transfer of Funds (Information on the Payer) Regulations 2007
132. The Registered Pension Schemes (Authorised Member Payments) Regulations 2007
133. The Legislative and Regulatory Reform (Regulatory Functions) Order 2007
134. The Regulated Covered Bonds Regulations 2008
135. The Large and Medium-sized Companies and Groups (Accounts and Reports) Regulations 2008
136. The Northern Rock plc Transfer Order 2008
137. The Insurance Accounts Directive (Miscellaneous Insurance Undertakings) Regulations 2008
138. The Bank Accounts Directive (Miscellaneous Banks) Regulations 2008
139. The Northern Rock plc Compensation Scheme Order 2008
140. The Political Parties, Elections and Referendums Act 2000 (Northern Ireland Political Parties) Order 2008
141. The Serious Organised Crime and Police Act 2005 (Disclosure of Information by SOCA) Order 2008

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142. The Proceeds of Crime Act 2002 (Disclosure of Information) Order 2008
143. The Limited Liability Partnerships (Accounts and Audit) (Application of Companies Act 2006) Regulations 2008
144. The Insurance Accounts Directive (Lloyd's Syndicate and Aggregate Accounts) Regulations 2008
145. Transitional provision in relation to the Insurance Accounts Directive (Lloyd's Syndicate and Aggregate Accounts) Regulations 2008
146. The Bradford & Bingley plc Transfer of Securities and Property etc. Order 2008
147. The Heritable Bank plc Transfer of Certain Rights and Liabilities Order 2008
148. The Transfer of Rights and Liabilities to ING Order 2008
149. The Kaupthing Singer & Friedlander Limited Transfer of Certain Rights and Liabilities Order 2008
150. The Non-Domestic Rating (Small Business Relief) (Wales) Order 2008
151. The Pre-release Access to Official Statistics Order 2008
152. The Bradford & Bingley plc Compensation Scheme Order 2008
153. The Bank Administration Rules (Northern Ireland) 2009
154. The Bank Insolvency (No.2) Rules (Northern Ireland) 2009
155. The Payment Services Regulations 2009
156. Transitional provision in connection with the Payment Services Regulations 2009
157. The Companies (Disclosure of Address) Regulations 2009
158. The Banking Act 2009 (Bank Administration) (Modification for Application to Banks in Temporary Public Ownership) Regulations 2009
159. The Banking Administration (Sharing Information) Regulations 2009
160. The Banking Act 2009 (Restriction of Partial Property Transfers) Order 2009
161. The Bank Administration (Scotland) Rules 2009
162. The Bank Insolvency (Scotland) Rules 2009
163. The Bank Insolvency (England and Wales) Rules 2009
164. The Bank Administration (England and Wales) Rules 2009
165. The Unit Trusts (Electronic Communications) Order 2009
166. The Financial Services and Markets Act 2000 (Controllers) (Exemption) Order 2009
167. The Amendments to Law (Resolution of Dunfermline Building Society) Order 2009
168. The Proceeds of Crime Act 2002 (References to Financial Investigators) Order 2009
169. The Building Societies (Insolvency and Special Administration) Order 2009
170. The Building Society Special Administration (Scotland) Rules 2009
171. The Zimbabwe (Financial Sanctions) Regulations 2009
172. The Burma/Myanmar (Financial Restrictions) Regulations 2009
173. The Overseas Companies Regulations 2009
174. The Limited Liability Partnerships (Application of Companies Act 2006) Regulations 2009
175. The Dunfermline Building Society Independent Valuer Order 2009
176. The General Insurers' Technical Provisions (Appropriate Amount) (Tax) Regulations 2009
177. The Company, Limited Liability Partnership and Business Names (Public Authorities) Regulations 2009
178. The Offshore Funds (Tax) Regulations 2009

179. The Burma (Restrictive Measures) (Overseas Territories) Order 2009
180. The Scottish and Northern Ireland Banknote Regulations 2009
181. The Local Government Pension Scheme (Management and Investment of Funds) Regulations 2009
182. The Northern Rock plc Transfer Order 2009
183. The Local Government Pension Scheme (Management and Investment of Funds) (Scotland) Regulations 2010
184. The Regulation of Investigatory Powers (Communications Data) Order 2010
185. The Regulation of Investigatory Powers (Directed Surveillance and Covert Human Intelligence Sources) Order 2010
186. The Health and Social Care Act 2008 (Regulated Activities) Regulations 2010
187. The Banking Act 2009 (Inter-Bank Payment Systems) (Disclosure and Publication of Specified Information) Regulations 2010
188. The Credit Rating Agencies Regulations 2010
189. The Financial Services and Markets Act 2000 (Contribution to Costs of Special Resolution Regime) Regulations 2010
190. The Building Society Special Administration (England and Wales) Rules 2010
191. The Building Society Insolvency (England and Wales) Rules 2010
192. The Building Society Insolvency (Scotland) Rules 2010
193. The Official Statistics Order 2010
194. The Somalia (Asset-Freezing) Regulations 2010
195. The Financial Services and Markets Act 2000 (Administration Orders Relating to Insurers) Order 2010
196. The Electronic Money Regulations 2011
197. The Legal Services Act 2007 (Disclosure of Restricted Information) Order 2011
198. The Investment Bank Special Administration Regulations 2011
199. Transitional provision in relation to the Investment Bank Special Administration Regulations 2011
200. The Libya (Financial Sanctions) Order 2011
201. The Libya (Asset-Freezing) Regulations 2011
202. The Independent Health Care (Wales) Regulations 2011
203. The Tunisia (Restrictive Measures) (Overseas Territories) Order 2011
204. The Egypt (Asset-Freezing) Regulations 2011
205. The Tunisia (Asset-Freezing) Regulations 2011
206. The Ivory Coast (Asset-Freezing) Regulations 2011
207. The Democratic People's Republic of Korea (Asset-Freezing) Regulations 2011
208. The Iran (Asset-Freezing) Regulations 2011
209. The Companies Act 2006 (Consequential Amendments and Transitional Provisions) Order 2011
210. The Investment Bank Special Administration (England and Wales) Rules 2011
211. Transitional provision in relation to the Bank Special Administration (England and Wales) Rules 2011
212. The Undertakings for Collective Investment in Transferable Securities Regulations 2011
213. The Egypt (Restrictive Measures) (Overseas Territories) Order 2011
214. The Afghanistan (Asset-Freezing) Regulations 2011
215. The Equality Act 2010 (Specific Duties) Regulations 2011

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216. The Investment Bank Special Administration (Scotland) Rules 2011
217. Transitional provision in relation to the Investment Bank Special Administration (Scotland) Rules 2011
218. The Belarus (Restrictive Measures) (Overseas Territories) Order 2011
219. The Al-Qaida (Asset-Freezing) Regulations 2011
220. The Financial Services and Markets Act 2000 (Permissions, Transitional Provisions and Consequential Amendments) (Northern Ireland Credit Unions) Order 2011
221. The Iran (Restrictive Measures) (Overseas Territories) Order 2011
222. The Syria (European Union Financial Sanctions) Regulations 2012
223. The Iran (European Union Financial Sanctions) Regulations 2012
224. The Postal Services Act 2011 (Disclosure of Information) Order 2012
225. The Guinea-Bissau (Asset-Freezing) Regulations 2012
226. The Supervision of Accounts and Reports (Prescribed Body) and Companies (Defective Accounts and Directors' Reports) (Authorised Person) Order 2012
227. The Iraq (Asset-Freezing) Regulations 2012
228. The Sudan (Asset-Freezing) Regulations 2012
229. The Republic of Guinea (Asset-Freezing) Regulations 2012
230. The Democratic Republic of the Congo (Asset-Freezing) Regulations 2012
231. The Eritrea (Asset-Freezing) Regulations 2012
232. The Liberia (Asset-Freezing) Regulations 2012
233. The Lebanon and Syria (Asset-Freezing) Regulations 2012
234. The Prospectus Regulations 2012
235. The Syria (Restrictive Measures) (Overseas Territories) Order 2012
236. The Iran (Restrictive Measures) (Overseas Territories) Order 2012
237. The Al-Qaida (United Nations Measures) (Overseas Territories) Order 2012
238. The Afghanistan (United Nations Measures) (Overseas Territories) Order 2012
239. The Financial Services and Markets Act 2000 (Short Selling) Regulations 2012
240. The Iraq (United Nations Sanctions) (Overseas Territories) (Amendment) Order 2012
241. The Eritrea (Sanctions) (Overseas Territories) Order 2012
242. The Zimbabwe (Sanctions) (Overseas Territories) Order 2012
243. The Controlled Foreign Companies (Excluded Banking Business Profits) Regulations 2012
244. The Somalia (Sanctions) (Overseas Territories) Order 2012
245. The Democratic People's Republic of Korea (Sanctions) (Overseas Territories) Order 2012
246. The Côte d'Ivoire (Sanctions) (Overseas Territories) Order 2012
247. The Guinea-Bissau (Sanctions) (Overseas Territories) Order 2012
248. The Payments in Euro (Credit Transfers and Direct Debits) Regulations 2012
249. The Criminal Legal Aid (General) Regulations 2013
250. The Rehabilitation of Offenders Act 1974 (Exclusions and Exceptions) (Scotland) Order 2013
251. The Belarus (Asset-Freezing) Regulations 2013
252. The Guinea (Sanctions) (Overseas Territories) Order 2013

Explanatory Note