
STATUTORY INSTRUMENTS

2013 No. 1635

The Legal Aid, Sentencing and Punishment of Offenders Act 2012 (Referral Fees) Regulations 2013

PART 1

General

Citation and commencement

1. These Regulations may be cited as the Legal Aid, Sentencing and Punishment of Offenders Act 2012 (Referral Fees) Regulations 2013 and come into force on the seventh day after the day on which they are made.

Interpretation

2. In these Regulations—

“the Act” means the Legal Aid, Sentencing and Punishment of Offenders Act 2012;

“the 2000 Act” means the Financial Services and Markets Act 2000;⁽¹⁾

“approved person” means a person in relation to whom the appropriate regulator (within the meaning of section 59(4) of the 2000 Act) has given its approval under section 59(2) of the 2000 Act;

“authorised person” has the meaning given in section 31(2) of the 2000 Act;

“the FCA” means the Financial Conduct Authority;

“referral fees authorised person” has the meaning given in paragraph 1 of the Schedule;

“relevant referral fees authorised person” means, in relation to an approved person, the referral fees authorised person on whose application approval was given (irrespective of whether the authorised person was a referral fees authorised person at the time of the application);

“relevant requirement” means a restriction imposed by section 56 (rules against referral fees) of the Act, or any requirement imposed by or under these Regulations;

“the Tribunal” has the meaning given in section 417(1) of the 2000 Act.⁽³⁾

⁽¹⁾ 2000 c.8.

⁽²⁾ Section 59 was amended by section 14 of the Financial Services Act 2012 and [S.I. 2012/1906](#).

⁽³⁾ The definition was inserted by [S.I. 2010/22](#).