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**Changes to legislation:** Financial Services Act 2012, Paragraph 6 is up to date with all changes known to be in force on or before 23 May 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes

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## SCHEDULES

### SCHEDULE 9

#### DISCIPLINE AND ENFORCEMENT

#### PART 2

##### AUTHORISED PERSONS ACTING WITHOUT PERMISSION

- 6 In section 27 (agreements made through unauthorised persons) for subsection (1) substitute—

“(1) This section applies to an agreement that—

- (a) is made by an authorised person (“the provider”) in the course of carrying on a regulated activity,
- (b) is not made in contravention of the general prohibition,
- (c) if it relates to a credit-related regulated activity, is not made in contravention of section 20, and
- (d) is made in consequence of something said or done by another person (“the third party”) in the course of—
  - (i) a regulated activity carried on by the third party in contravention of the general prohibition, or
  - (ii) a credit-related regulated activity carried on by the third party in contravention of section 20.

(1A) The agreement is unenforceable against the other party.”

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#### Commencement Information

**II** Sch. 9 para. 6 in force at 1.4.2013 by S.I. 2013/423, art. 3, Sch.

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**Changes and effects yet to be applied to the whole Act associated Parts and Chapters:**

- Act power to apply conferred (temp.) by [2014 c. 21 s. 79\(4\)](#)
- Act power to apply conferred (temp.) by [2014 c. 21 s. 81\(10\)](#)