



# Financial Services and Markets Act 2000

## 2000 CHAPTER 8

### [<sup>F1</sup>PART 4A

#### PERMISSION TO CARRY ON REGULATED ACTIVITIES

##### *References to the Tribunal*

#### [<sup>F1</sup>55Z3 Right to refer matters to the Tribunal

- (1) An applicant who is aggrieved by the determination of an application made under this Part may refer the matter to the Tribunal.
- (2) An authorised person who is aggrieved by the exercise by either regulator of its own-initiative variation power or its own-initiative requirement power may refer the matter to the Tribunal.]

#### **Textual Amendments**

- F1** Pt. 4A substituted for ss. 40-55 (24.1.2013 for specified purposes, 1.4.2013 in so far as not already in force) by [Financial Services Act 2012 \(c. 21\)](#), **ss. 11(2), 122(3)** (with [Sch. 20](#)); [S.I. 2013/113](#), **art. 2(1)(b)(c)**, [Sch. Pts. 2, 3](#); [S.I. 2013/423](#), **art. 3**, [Sch.](#)

#### **Modifications etc. (not altering text)**

- C1** Pt. 4A modified (26.7.2013 for specified purposes, 1.4.2014 in so far as not already in force) by [The Financial Services and Markets Act 2000 \(Regulated Activities\) \(Amendment\) \(No.2\) Order 2013 \(S.I. 2013/1881\)](#), **arts. 1(2)(6), 31(6)**
- C2** S. 55Z3 excluded (26.7.2013 for specified purposes, 1.4.2014 in so far as not already in force) by [The Financial Services and Markets Act 2000 \(Regulated Activities\) \(Amendment\) \(No.2\) Order 2013 \(S.I. 2013/1881\)](#), **arts. 1(2)(6), 34(3), 36(3), 38(4), 40(3), 42(3)**
- C3** S. 55Z3(2) applied by [S.I. 2013/1773](#), **reg. 22(4)** (as substituted (16.6.2014) by [The Alternative Investment Fund Managers Order 2014 \(S.I. 2014/1292\)](#), **arts. 1(2), 4(3)**)(as amended by [S.I. 2014/1313](#), **arts. 1, 2(a)**)

---

*Status: Point in time view as at 18/03/2016. This version of this provision has been superseded.*

**Changes to legislation:** Financial Services and Markets Act 2000, Section 55Z3 is up to date with all changes known to be in force on or before 31 May 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)

---

- C4** S. 55Z3(2) applied by S.I. 2013/1773, reg. 75(8) (as added) (16.6.2014) by [The Alternative Investment Fund Managers Order 2014 \(S.I. 2014/1292\)](#), arts. 1(2), **4(7)(e)** (as amended by S.I. 2014/1313, arts. 1, 2(a))
- C5** S. 55Z3(2) applied (20.4.2015 for specified purposes, 21.12.2015 for specified purposes, 21.3.2016 in so far as not already in force) by [The Mortgage Credit Directive Order 2015 \(S.I. 2015/910\)](#), arts. 1(5), **19(6)** (with Pt. 4)

**Status:**

Point in time view as at 18/03/2016. This version of this provision has been superseded.

**Changes to legislation:**

Financial Services and Markets Act 2000, Section 55Z3 is up to date with all changes known to be in force on or before 31 May 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations.