



Financial Services and Markets Act 2000

2000 CHAPTER 8

PART IX

HEARINGS AND APPEALS

[^{F1}133B Offences

- (1) This section applies in the case of proceedings before the Tribunal in respect of—
 - (a) a decision of [^{F2}the FCA or the PRA];
 - (b) a decision of the Bank of England; or
 - (c) a decision of a person relating to the assessment of any compensation or consideration under the ^{M1}Banking (Special Provisions) Act 2008 or the ^{M2}Banking Act 2009.
- (2) A person is guilty of an offence if that person, without reasonable excuse—
 - (a) refuses or fails—
 - (i) to attend following the issue of a summons by the Tribunal; or
 - (ii) to give evidence; or
 - (b) alters, suppresses, conceals or destroys, or refuses to produce a document which he may be required to produce for the purposes of proceedings before the Tribunal.
- (3) A person guilty of an offence under subsection (2)(a) is liable on summary conviction to a fine not exceeding level 5 on the standard scale.
- (4) A person guilty of an offence under subsection (2)(b) is liable—
 - (a) on summary conviction, to a fine not exceeding the statutory maximum;
 - (b) on conviction on indictment, to imprisonment for a term not exceeding two years or a fine or both.]

Status: Point in time view as at 18/03/2016. This version of this provision has been superseded.

Changes to legislation: Financial Services and Markets Act 2000, Section 133B is up to date with all changes known to be in force on or before 30 May 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)

Textual Amendments

- F1** Ss. 133-133B substituted (6.4.2010) for s. 133 by [The Transfer of Tribunal Functions Order 2010 \(S.I. 2010/22\)](#), arts. 1(2)(e), 5(1), **Sch. 2 para. 45**
- F2** Words in s. 133B substituted (1.4.2013) by [Financial Services Act 2012 \(c. 21\)](#), **ss. 23(4)**, 122(3) (with [Sch. 20](#)); [S.I. 2013/423](#), art. 3, Sch.
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Marginal Citations

- M1** 2008 c. 2.
- M2** 2009 c. 1.

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