

# Legal Services (Scotland) Act 2010 2010 asp 16

### PART 2

REGULATION OF LICENSED LEGAL SERVICES

## CHAPTER 1

#### APPROVED REGULATORS

#### Licensing rules

#### 16 Other licensing rules

- (1) Licensing rules may allow for-
  - (a) an applicant to be issued with a provisional licence—
    - (i) in anticipation of its becoming (or becoming eligible to be) a licensed provider, and
    - (ii) whose full effect as a licence is conditional on its becoming a licensed provider (and such other relevant matters as the rules may specify), or
  - (b) a licensed provider to be issued with a provisional licence—
    - (i) in anticipation of its transferring to the regulation of the approved regulator, and
    - (ii) whose full effect as a licence is conditional on the transfer occurring (and such other relevant matters as the rules may specify).
- (2) Licensing rules must—
  - (a) state that a licence application may be refused on the ground that the applicant appears to be incapable (for any reason) of complying with the regulatory scheme,
  - (b) provide for grounds for non-renewal, revocation or suspension of a licence where the licensed provider is breaching (or has breached) the regulatory scheme.