WELSH STATUTORY INSTRUMENTS

2019 No. 163

The Adult Placement Services (Service Providers and Responsible Individuals) (Wales) Regulations 2019

PART 11

Other requirements on service providers

Complaints policy and procedures

- **43.**—(1) The service provider must have a complaints policy in place and ensure that the service is operated in accordance with that policy.
- (2) The complaints policy must include procedures for considering complaints made to the service provider by adult placement carers about—
 - (a) the provider, and
 - (b) any other matter considered by the provider to be relevant.
- (3) The service provider must have effective arrangements in place for dealing with complaints including arrangements for—
 - (a) identifying and investigating complaints;
 - (b) giving an appropriate response to a person who makes a complaint, if it is reasonably practicable to contact that person;
 - (c) ensuring that appropriate action is taken following an investigation;
 - (d) keeping records relating to the matters in sub-paragraphs (a) to (c).
- (4) The service provider must provide a summary of complaints, responses and subsequent action to the service regulator within 28 days of being requested to do so.
 - (5) The service provider must—
 - (a) analyse information relating to complaints and concerns; and
 - (b) having regard to that analysis, identify any areas for improvement.