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STATUTORY INSTRUMENTS

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**2021 No. 132**

**PROTECTION OF TRADING INTERESTS  
TRADE**

The Protection of Trading Interests  
(Authorisation) Regulations 2021

Made - - - - at 9.00 p.m. on 5th  
February 2021

The Secretary of State makes the following Regulations in exercise of the powers conferred by Article 5, second paragraph, point (a), of Council Regulation (EC) No 2271/96 of 22 November 1996 protecting against the effects of the extra-territorial application of legislation adopted by a third country, and actions based thereon or resulting therefrom<sup>(1)</sup>.

**Citation**

1. These Regulations may be cited as the Protection of Trading Interests (Authorisation) Regulations 2021.

**Commencement Information**

II Reg. 1 in force at 5.2.2021 at 9.00 p.m., see made date

**[<sup>F1</sup>Interpretation**

2. In these Regulations—

“the Helms-Burton Act” means the Cuban Liberty and Democratic Solidarity (LIBERTAD) Act of 1996<sup>F2</sup>;

“[<sup>F3</sup>the United States sanctions laws relating to Iran]” means the laws of the United States of America specified in the Annex to Council Regulation (EC) No 2271/96 of 22 November 1996 protecting against the effects of the extra-territorial application of legislation adopted by a third country, and actions based thereon or resulting therefrom<sup>F4</sup> that relate to Iran as follows—

(a) the Iran Sanctions Act of 1996<sup>F5</sup>;

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(1) EUR 1996/2271, amended by S.I. 2020/1660. EUR 1996/2271 was incorporated into domestic law at 11 p.m. on 31st December 2020 under section 3 of the European Union (Withdrawal) Act 2018 (c. 16).

**Status:** Point in time view as at 25/01/2023.

**Changes to legislation:** There are currently no known outstanding effects for the The Protection of Trading Interests (Authorisation) Regulations 2021. (See end of Document for details)

- (b) the Iran Freedom and Counter-Proliferation Act of 2012<sup>F6</sup>;
- (c) section 1245 of the National Defense Authorization Act for Fiscal Year 2012<sup>F7</sup>;
- (d) section 2 and Titles I to VI of the Iran Threat Reduction and Syria Human Rights Act of 2012 to the extent it relates to Iran<sup>F8</sup>; and
- (e) the Iranian Transactions and Sanctions Regulations<sup>F9</sup>.]

[<sup>F10</sup>“the United States Cuban Assets Control Regulations” means the Cuban Assets Control Regulations<sup>F11</sup> of the United States of America specified in the Annex to Council Regulation (EC) No 2271/96 of 22 November 1996 protecting against the effects of the extra-territorial application of legislation adopted by a third country, and actions based thereon or resulting therefrom;]

<b>F1</b>	Reg. 2 substituted (10.5.2022 at 9.35 a.m.) by <a href="#">The Protection of Trading Interests (Authorisation) (Amendment) Regulations 2022 (S.I. 2022/545)</a> , <b>reg. 3</b>
<b>F2</b>	22 U.S.C. §§ 6021-6091.
<b>F3</b>	Words in <a href="#">reg. 2</a> substituted (27.7.2022 at 9:00 a.m.) by <a href="#">The Protection of Trading Interests (Authorisation) (Amendment) (No. 2) Regulations 2022 (S.I. 2022/873)</a> , <b>reg. 3(b)</b>
<b>F4</b>	EUR 1996/2271, amended by S.I. 2020/1660.
<b>F5</b>	50 U.S.C. § 1701.
<b>F6</b>	22 U.S.C. §§ 8801-8811.
<b>F7</b>	22 U.S.C. § 8513a.
<b>F8</b>	22 U.S.C. §§ 8701-8785.
<b>F9</b>	<a href="#">31 C.F.R. 560</a> .
<b>F10</b>	Words in <a href="#">reg. 2</a> inserted (27.7.2022 at 9:00 a.m.) by <a href="#">The Protection of Trading Interests (Authorisation) (Amendment) (No. 2) Regulations 2022 (S.I. 2022/873)</a> , <b>reg. 3(a)</b>
<b>F11</b>	<a href="#">31 C.F.R 515</a> .

**[<sup>F12</sup>Authorisation to comply with relevant law**

**3.** A person specified under the column headed “Authorised Person” in the Table in the Schedule is, for the purpose specified under the column headed “Authorised Purpose” in the same row in the Table in the Schedule, authorised to comply with any requirement or prohibition based on or resulting, directly or indirectly, from a law specified under the column headed “Relevant Law” in the same row in the Table in the Schedule, or from actions based thereon or resulting therefrom.]

<b>F12</b>	<a href="#">Reg. 3</a> substituted (27.7.2022 at 9:00 a.m.) by <a href="#">The Protection of Trading Interests (Authorisation) (Amendment) (No. 2) Regulations 2022 (S.I. 2022/873)</a> , <b>reg. 4</b>
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**Authorisation to comply with the laws relating to Iran**

<sup>F13</sup>**4.** .....

<b>F13</b>	<a href="#">Reg. 4</a> omitted (27.7.2022 at 9:00 a.m.) by virtue of <a href="#">The Protection of Trading Interests (Authorisation) (Amendment) (No. 2) Regulations 2022 (S.I. 2022/873)</a> , <b>reg. 5</b>
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Signed by authority of the Secretary of State for International Trade

*Ranil Jayawardena*  
Parliamentary Under Secretary of State  
Department for International Trade

**Status:** Point in time view as at 25/01/2023.

**Changes to legislation:** There are currently no known outstanding effects for the The Protection of Trading Interests (Authorisation) Regulations 2021. (See end of Document for details)

[<sup>F14</sup>SCHEDULE

Regulation 3

Authorisations

**F14** Sch. substituted for Schs. 1 and 2 (27.7.2022 at 9:00 a.m.) by [The Protection of Trading Interests \(Authorisation\) \(Amendment\) \(No. 2\) Regulations 2022 \(S.I. 2022/873\)](#), **Sch.**

<i>No.</i>	<i>Authorised Person</i>	<i>Authorised Purpose</i>	<i>Relevant Law</i>
1	Imperial Brands plc, a public limited company registered in England and Wales (company number 3236483)	Filing and litigating a motion to dismiss the complaint brought before the federal judiciary of the United States of America under Title III of the Helms-Burton Act in which Imperial Brands plc is named as a defendant (case number 1:20-cv-23287-DPG)	Title III of the Helms-Burton Act (protection of property rights of United States nationals).
2	Three Crowns (Services) LLP, a limited liability partnership registered in England and Wales (company number OC389628)	[ <sup>F15</sup> Until 31 March 2025: (a) complying with the licence issued by the United States Office of Foreign Assets Control on 22 March 2021 in which Three Crowns (Services) LLP is named as a licensee (licence number IA-2016-331681-3) (b) applying to the United States Office of Foreign Assets Control to renew the licence issued by the United States Office of Foreign Assets Control on 22 March 2021 in which Three Crowns (Services) LLP is named as a licensee (licence number IA-2016-331681-3) (c) complying with any licences issued by the United States Office of Foreign Assets Control which have the effect of renewing the licence issued by the United States Office of Foreign Assets Control on 22 March 2021 in which Three Crowns (Services) LLP is named as a licensee (licence number IA-2016-331681-3)]	The United States sanctions laws relating to Iran.
3	AlixPartners UK LLP, a limited liability partnership registered in England and Wales	Complying with the licence issued by the [ <sup>F16</sup> United States Office of Foreign Assets Control] on 1 March 2022 to AlixPartners LLP, the parent company of AlixPartners UK LLP (licence number CU-2021-870982-1)	The United States Cuban Assets Control Regulations.]

No.	Authorised Person	Authorised Purpose	Relevant Law
	(company number OC360308)		

**F15** Words in Sch. table substituted (25.1.2023) by [The Protection of Trading Interests \(Authorisation\) \(Amendment\) Regulations 2023 \(S.I. 2023/71\)](#), regs. 1(2), **2(2)**

**F16** Words in Sch. table substituted (25.1.2023) by [The Protection of Trading Interests \(Authorisation\) \(Amendment\) Regulations 2023 \(S.I. 2023/71\)](#), regs. 1(2), **2(3)**

## SCHEDULE 2

F14  
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## EXPLANATORY NOTE

*(This note is not part of the Regulations)*

These Regulations, which come into force at the time they are made, authorise compliance by a specified person, for a specified purpose, with a requirement or prohibition referred to in the first paragraph of Article 5 of Council Regulation (EC) No 2271/96 of 22 November 1996 protecting against the effects of the extra-territorial application of legislation adopted by a third country, and actions based thereon or resulting therefrom (EUR 1996/2271, amended by [S.I. 2020/1660](#)).

The law of the United States of America referred to in these Regulations may be found online at <https://uscode.house.gov/>.

A full impact assessment has not been produced for this instrument as no, or no significant, impact on the private, voluntary, or public sector is foreseen.

**Status:**

Point in time view as at 25/01/2023.

**Changes to legislation:**

There are currently no known outstanding effects for the The Protection of Trading Interests (Authorisation) Regulations 2021.