
STATUTORY INSTRUMENTS

2020 No. 1406

**EXITING THE EUROPEAN UNION
FINANCIAL SERVICES**

The Financial Holding Companies (Approval etc.) and
Capital Requirements (Capital Buffers and Macro-prudential
Measures) (Amendment) (EU Exit) Regulations 2020

*Made - - - - 26th November 2020
Coming into force in accordance with
regulations 1(2) to (4)*

**THE FINANCIAL HOLDING COMPANIES (APPROVAL
ETC.) AND CAPITAL REQUIREMENTS (CAPITAL
BUFFERS AND MACRO-PRUDENTIAL MEASURES)
(AMENDMENT) (EU EXIT) REGULATIONS 2020**

PART 1

General

1. Citation and commencement

PART 2

Directors and Financial Holding Companies

2. Amendment of the Financial Services and Markets Act 2000
3. Amendment of the Financial Services and Markets Act 2000 (Regulated Activities) Order 2001
4. Amendment of the Capital Requirements Regulations 2013
5. Transitional provisions

PART 3

Confidential Information

6. Amendment of the Financial Services and Markets Act 2000 (Disclosure of Confidential Information) Regulations 2001

Changes to legislation: *The Financial Holding Companies (Approval etc.) and Capital Requirements (Capital Buffers and Macro-prudential Measures) (Amendment) (EU Exit) Regulations 2020 is up to date with all changes known to be in force on or before 31 March 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes*

PART 4

Capital Buffers and Macro-prudential Measures

7. Amendment of the Capital Requirements Regulations 2013
 8. Amendment of the Bank of England Act 1998 (Macro-prudential Measures) (No 2) Order 2015
 9. Amendment of the Capital Requirements (Amendment) (EU Exit) Regulations 2018
 10. Commencement
 11. Supervisory review and evaluation process
 12. Application of supervisory measures to institutions with similar risk profiles
 13. Interpretation
 14. Overview
 15. Countercyclical capital buffer
 16. G-SIIs
 17. O-SIIs
 18. O-SII Buffers
 19. Systemic risk buffer: amendments
 20. Combined buffer requirement
- Signature
Explanatory Note

Changes to legislation:

The Financial Holding Companies (Approval etc.) and Capital Requirements (Capital Buffers and Macro-prudential Measures) (Amendment) (EU Exit) Regulations 2020 is up to date with all changes known to be in force on or before 31 March 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations.

[View outstanding changes](#)

Changes and effects yet to be applied to :

- Regulations revoked by [2023 c. 29 Sch. 1 Pt. 2](#)