
STATUTORY INSTRUMENTS

2020 No. 1055

**EXITING THE EUROPEAN UNION
FINANCIAL SERVICES AND MARKETS**

**The Equivalence Determinations for Financial Services
(Amendment etc.) (EU Exit) Regulations 2020**

*Made - - - - 29th September 2020
Coming into force in accordance with
regulation 1(2)*

**THE EQUIVALENCE DETERMINATIONS FOR FINANCIAL
SERVICES (AMENDMENT ETC.) (EU EXIT) REGULATIONS 2020**

PART 1

General provisions

1. Citation and commencement
2. Interpretation

PART 2

Cooperation arrangements, regulatory decisions and equivalence directions

3. Cooperation arrangements between UK regulators and EEA regulators
4. Applications for a regulatory decision before IP completion day
5. Regulatory decisions
6. Application of the 2000 Act to the Bank in relation to its functions under these Regulations
- 6A Misleading the FCA: criminal offence
7. Fees
8. Effect of applications, equivalence directions and regulatory decisions on or after IP completion day
- 8A Power for the FCA to establish arrangements for Article 5(7) of CRAR with third country regulators before IP completion day

Changes to legislation: The Equivalence Determinations for Financial Services (Amendment etc.) (EU Exit) Regulations 2020 is up to date with all changes known to be in force on or before 16 January 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes

PART 3

Amendments to existing secondary legislation

9. The Central Securities Depositories (Amendment) (EU Exit) Regulations 2018
 10. The Markets in Financial Instruments (Amendment) (EU Exit) Regulations 2018
 11. The Credit Rating Agencies (Amendment etc.) (EU Exit) Regulations 2019
 12. The Equivalence Determinations for Financial Services and Miscellaneous Provisions (Amendment etc) (EU Exit) Regulations 2019
 13. The Financial Services (Miscellaneous) (Amendment) (EU Exit) Regulations 2019
 14. The Financial Services (Miscellaneous Amendments) (EU Exit) Regulations 2020
- Signature

SCHEDULE 1 — Equivalence directions and relevant cooperation arrangements

SCHEDULE 2 — Cooperation arrangements before IP completion day

1. Cooperation arrangements for the purposes of Article 30(4) of the Benchmarks Regulation
2. Cooperation arrangements for the purposes of Article 5(7) of CRAR
3. Cooperation arrangements for the purposes of Article 25(10) of CSDR
4. Cooperation arrangements for the purposes of Article 75(3) of EMIR
5. Cooperation arrangements for the purposes of Article 47(2) of MiFIR
6. Cooperation arrangements for the purposes of Article 30(1) of the Prospectus Regulation
7. Cooperation arrangements for the purposes of Article 19(5)(b) of SFTR

SCHEDULE 3 — Regulatory decisions

1. Regulatory decisions for EEA benchmarks for the purposes of Article 30(1) of the Benchmarks Regulation
2. Regulatory decisions for credit rating agencies for the purposes of Article 5(2) and (4) of CRAR
3. Regulatory decisions for EEA CSDs for the purposes of Article 25(4) of CSDR
4. Regulatory decisions for trade repositories for the purposes of Article 77(2) of EMIR
5. Regulatory decisions for the purposes of Article 46(2) of MiFIR
6. Regulatory decisions for the purposes of Article 19(4) of SFTR

SCHEDULE 4 — Equivalence directions and regulatory decisions on or after IP completion day

Explanatory Note

Changes to legislation:

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Changes and effects yet to be applied to :

- Regulations revoked by [2023 c. 29 Sch. 1 Pt. 2](#)