STATUTORY INSTRUMENTS

2019 No. 717

EXITING THE EUROPEAN UNION ARCHITECTS

The Architects Act 1997 (Amendment) (EU Exit) Regulations 2019

Made - - - 28th March 2019

Coming into force in accordance with regulation 1(2)

The Secretary of State makes these Regulations in exercise of the powers conferred by section 8(1) of, and paragraph 21(b) of Schedule 7 to, the European Union (Withdrawal) Act 2018 MI.

In accordance with paragraph 1(1) of Schedule 7 to that Act, a draft of this instrument has been laid before Parliament and approved by resolution of each House of Parliament.

Marginal Citations

M1 2018 c.16.

PART 1

Introductory

Citation and commencement

- 1.—(1) These Regulations may be cited as the Architects Act 1997 (Amendment) (EU Exit) Regulations 2019.
 - (2) These Regulations come into force on whichever is the later of—
 - (a) exit day, and
 - (b) the day after the day on which these Regulations are made.

Commencement Information

I1 Reg. 1 in force at 31.12.2020 on IP completion day (in accordance with 2020 c. 1, Sch. 5 para. 1(1)), see reg. 1(2)

Interpretation

2. In these Regulations—

"the 1997 Act" means the Architects Act 1997 M2;

[FI"the 2015 Regulations" means the European Union (Recognition of Professional Qualifications) Regulations 2015;]

"the Register" means the Register of Architects maintained under section 3 of the 1997 Act;

"the relevant date" means the date on which these Regulations come into force.

Textual Amendments

F1 Words in reg. 2 inserted (31.12.2020 immediately before IP completion day) by The Professional Qualifications and Services (Amendments and Miscellaneous Provisions) (EU Exit) Regulations 2020 (S.I. 2020/1038), reg. 1(3), Sch. 3 para. 2

Commencement Information

Reg. 2 in force at 31.12.2020 on IP completion day (in accordance with 2020 c. 1, Sch. 5 para. 1(1)), see reg. 1(2)

Marginal Citations

M2 1997 c.22. Amended by S.I. 2002/2842, S.I. 2008/1331, S.I. 2011/1043, S.I. 2014/4 S.I. 2016/1008 and S.I. 2018/947.

PART 2

Amendments to the Architects Act 1997

3. The 1997 Act is amended in accordance with this Part.

Commencement Information

Reg. 3 in force at 31.12.2020 on IP completion day (in accordance with 2020 c. 1, Sch. 5 para. 1(1)), see reg. 1(2)

Designation of the Board as competent authority

4. Omit section 1A (designation of the Board as competent authority).

Commencement Information

Reg. 4 in force at 31.12.2020 on IP completion day (in accordance with 2020 c. 1, Sch. 5 para. 1(1)), see reg. 1(2)

The Registrar

5. In section 2 (the Registrar), omit subsection (3A).

Reg. 5 in force at 31.12.2020 on IP completion day (in accordance with 2020 c. 1, Sch. 5 para. 1(1)), see reg. 1(2)

The Register

6. In section 3 (the Register), omit subsection (1A).

Commencement Information

Reg. 6 in force at 31.12.2020 on IP completion day (in accordance with 2020 c. 1, Sch. 5 para. 1(1)), see reg. 1(2)

Registration

- 7. In section 4 (registration in Part 1 of the Register: general)—
 - (a) in the heading omit "in Part 1 of the Register";
 - (b) in subsection (1), in the words before paragraph (a)—
 - (i) omit "in pursuance of this section";
 - (ii) omit "in Part 1 of the Register";
 - (c) in subsection (2A)—
 - (i) in the words before paragraph (a), for "Directive-rights national" substitute "person";
 - (ii) in paragraph (a), for "evidence of a description specified" substitute " the evidence described";
 - (iii) omit paragraphs (b) and (c);
 - (d) in subsection (4)—
 - (i) in the words before paragraph (a) omit "in pursuance of this section";
 - (ii) in paragraph (a)—
 - (aa) omit "in pursuance of this section";
 - (bb) omit "Part 1 of";
 - (e) in subsection (5) omit "in pursuance of this section";
 - (f) in subsection (6)—
 - (i) omit "Part 1 of";
 - (ii) omit "in pursuance of this section".

- I7 Reg. 7 in force at 31.12.2020 on IP completion day (in accordance with 2020 c. 1, Sch. 5 para. 1(1)), see reg. 1(2)
- **8.** In section 4A (registration in Part 1 of the Register: European qualifications)—

- (a) in the heading omit "in Part 1 of the Register";
- (b) for subsection (1) substitute—
 - "(1) The evidence that a person is required to produce for the purposes of section 4(2A) (a) is evidence of formal qualifications listed in respect of a relevant European State in point 5.7.1 of Annex V to the Directive (qualifications in architecture satisfying the minimum training conditions mentioned in Article 46 of the Directive, including training which is, under Article 22(a), to be treated as meeting those conditions) accompanied, where appropriate, by the certificate listed in relation to that evidence in the column of that point of that Annex entitled "Certificate accompanying the evidence of qualifications".";
- (c) in subsection (2)—
 - (i) in paragraph (b)—
 - (aa) for "from the competent authorities of a relevant European State confirmation" substitute "the person to produce written confirmation from the competent authority in a relevant European State";
 - (bb) omit "attestations and";
 - (cc) omit "other";
 - (ii) in paragraph (c), in the words before sub-paragraph (i)—
 - (aa) for "evidence of formal qualifications has been issued by a competent authority in a relevant European State and" substitute " the person has produced confirmation from a competent authority in a relevant European State (see paragraph (b)) which ";
 - (bb) for "shall be entitled to verify with the competent authority in the relevant European State of origin of the award" substitute "may require the person to produce written confirmation from the competent authority in the relevant European State of origin of the award as to";
- (d) in subsection (3) omit "in Part 1 of the Register".

- Reg. 8 in force at 31.12.2020 on IP completion day (in accordance with 2020 c. 1, Sch. 5 para. 1(1)), see reg. 1(2)
- 9. Omit sections 5A to 5E (provisions relating to persons registered in Part 2 of the Register).

- Reg. 9 in force at 31.12.2020 on IP completion day (in accordance with 2020 c. 1, Sch. 5 para. 1(1)), see reg. 1(2)
- **10.** In section 6 (registration: further procedural requirements)—
 - (a) in subsection (1) omit "in Part 1 of the Register";
 - (b) omit subsection (2A);
 - (c) in subsection (3) omit "in Part 1 of the Register";
 - (d) in subsection (3A)—

- (i) omit "in Part 1 of the Register";
- (ii) omit "in that Part";
- (iii) for "Directive-rights national" substitute "person";
- (e) in subsection (3B), in the words before paragraph (a)—
 - (i) for "Directive-rights national" substitute "person";
 - (ii) omit "in Part 1 of the Register";
- (f) in subsection (4) omit "for registration in Part 1 of the Register".

- **I10** Reg. 10 in force at 31.12.2020 on IP completion day (in accordance with 2020 c. 1, **Sch. 5 para. 1(1)**), see reg. 1(2)
- 11. In section 6A(1)(b) (issuing certificates of architectural education) omit "in Part 1 of the Register".

Commencement Information

III Reg. 11 in force at 31.12.2020 on IP completion day (in accordance with 2020 c. 1, Sch. 5 para. 1(1)), see reg. 1(2)

Removal from register etc.

12. In sections 8 and 9 (removal from Register etc) omit "Part 1 of" in each place it occurs.

Commencement Information

- 112 Reg. 12 in force at 31.12.2020 on IP completion day (in accordance with 2020 c. 1, Sch. 5 para. 1(1)), see reg. 1(2)
- **13.** In section 10(1) (disqualification in a relevant European State)—
 - (a) omit "Part 1 of" in each place it occurs;
 - (b) in paragraph (aa) omit "other than the United Kingdom".

- 113 Reg. 13 in force at 31.12.2020 on IP completion day (in accordance with 2020 c. 1, Sch. 5 para. 1(1)), see reg. 1(2)
- **14.** In section 11 (failure to notify change of address), in the words before paragraph (a), for "person registered in Part 1 of the Register" substitute "registered person".

I14 Reg. 14 in force at 31.12.2020 on IP completion day (in accordance with 2020 c. 1, Sch. 5 para. 1(1)), see reg. 1(2)

Use of title "architect"

- 15. In section 20 (use of title "architect")—
 - (a) for "person registered in Part 1 of the Register" in each place it occurs, substitute "registered person";
 - (b) omit subsection (5).

Commencement Information

I15 Reg. 15 in force at 31.12.2020 on IP completion day (in accordance with 2020 c. 1, Sch. 5 para. 1(1)), see reg. 1(2)

Appeals

- **16.** In section 22 (appeals)—
 - (a) in subsection (1)—
 - (i) in paragraph (a) omit "in Part 1 of the Register";
 - (ii) in paragraphs (c) and (d) omit "Part 1 of" in both places it occurs;
 - (b) omit subsection (6).

Commencement Information

Reg. 16 in force at 31.12.2020 on IP completion day (in accordance with 2020 c. 1, **Sch. 5 para. 1(1)**), see reg. 1(2)

Administrative co-operation

- 17. In section 22B (administrative co-operation)—
 - (a) for subsections (1) and (2) substitute—
 - "(1) The Board may, for the purposes of facilitating the recognition of the qualifications of architects—
 - (a) collaborate with competent authorities of relevant European States, and
 - (b) provide assistance to competent authorities of relevant European States in order to facilitate the accreditation in a relevant European State of registered persons or persons holding qualifications or experience prescribed under section 4(1)(a).
 - (2) The Board may exchange professional-regulation information about—
 - (a) persons who have made an application for registration under section 4(2A);
 - (b) registered persons who are practising or are seeking to practice as architects in a relevant European State,

with competent authorities of relevant European States.";

- (b) in subsection (4)—
 - (i) in the words before paragraph (a)—
 - (aa) for "another" substitute " a ";
 - (bb) after "European State" insert " or a person who applies for registration";
 - (ii) in paragraph (a) for "is responsible for investigating and establishing" substitute "may investigate and establish";
 - (iii) in paragraph (b) for "is to" substitute " may ";
- (c) in subsection (5), for "duties" substitute "functions".

II7 Reg. 17 in force at 31.12.2020 on IP completion day (in accordance with 2020 c. 1, Sch. 5 para. 1(1)), see reg. 1(2)

Confidentiality

- **18.** In section 22C (confidentiality)—
 - (a) in subsection (2)—
 - (i) omit paragraph (b) (but not the final "and");
 - (ii) in paragraph (c)—
 - (aa) in sub-paragraph (i), for "another" substitute " a ";
 - (bb) in sub-paragraph (ii), for "by a Directive-rights national" substitute " in reliance on section 4(2A)";
 - (b) in subsection (4), for the words from "which," to the end substitute "which in the course of the carrying out of the authority's functions under section 4 or 4A is disclosed by or on behalf of the authority to a competent authority of a relevant European State".

Commencement Information

Reg. 18 in force at 31.12.2020 on IP completion day (in accordance with 2020 c. 1, Sch. 5 para. 1(1)), see reg. 1(2)

Interpretation

- **19.** In section 25 (interpretation):
 - (a) in the definition of "the Directive"—
 - (i) in the words before paragraph (a), after "professional qualifications" insert " as it had effect immediately before [F2IP completion day]";
 - (ii) in paragraph (a), for the words from "(see the amendments made" to the end (including the final "and") substitute "as it had effect immediately before [F2IP completion day].";
 - (iii) omit paragraph (b);
 - (b) omit the definition of "Directive-rights national";
 - (c) in the definition of "disqualifying decision", in paragraph (a), omit "other than the United Kingdom".

Textual Amendments

F2 Words in reg. 19(a) substituted (31.12.2020 immediately before IP completion day) by The Professional Qualifications and Services (Amendments and Miscellaneous Provisions) (EU Exit) Regulations 2020 (S.I. 2020/1038), reg. 1(3), Sch. 3 para. 3

Commencement Information

I19 Reg. 19 in force at 31.12.2020 on IP completion day (in accordance with 2020 c. 1, Sch. 5 para. 1(1)), see reg. 1(2)

The Board and its committees

- **20.**—(1) Schedule 1 (the Board and its committees) is amended as follows.
- (2) In paragraph 1(1)—
 - (a) in paragraph (a), for "registered in Part 1 of the Register" substitute "a registered person";
 - (b) in paragraph (b), for "not registered in Part 1 of the Register" substitute " who are not registered persons";
 - (c) in paragraph (c), for "persons registered in Part 1 of the Register" substitute "registered persons".
- (3) In paragraph 7(2), for "registered in Part 1 of the Register" substitute "a registered person".
- (4) In paragraph 13—
 - (a) in paragraph (b), for "persons registered in Part 1 of the Register" substitute "registered persons";
 - (b) in paragraph (c), for "registered in Part 1 of the Register" substitute "registered persons".
- (5) In paragraph 15(2), for "person registered in Part 1 of the Register" substitute "registered person".

Commencement Information

120 Reg. 20 in force at 31.12.2020 on IP completion day (in accordance with 2020 c. 1, Sch. 5 para. 1(1)), see reg. 1(2)

Visiting architects from relevant European States

21. Omit Schedule 1A (visiting architects from relevant European States).

Commencement Information

I21 Reg. 21 in force at 31.12.2020 on IP completion day (in accordance with 2020 c. 1, **Sch. 5 para. 1(1)**), see reg. 1(2)

I^{F3}PART 3

Transitional and saving provision under the withdrawal agreement and the EEA EFTA separation agreement

Textual Amendments

F3 Pts. 3, 4 substituted for Pt. 3 (31.12.2020 immediately before IP completion day) by The Professional Qualifications and Services (Amendments and Miscellaneous Provisions) (EU Exit) Regulations 2020 (S.I. 2020/1038), reg. 1(3), Sch. 3 para. 4

Part 2 of the Register

- **22.**—(1) This regulation applies where, before exit day, a visiting practitioner is entitled under paragraph 3 of Schedule 1A to the 1997 Act, or continues to be entitled under paragraph 4 of that Schedule, to be registered in Part 2 of the Register, and, on IP completion day, has not lost entitlement to provide services.
- (2) Any provision made by or under the 1997 Act relating to the provision of services on a temporary and occasional basis continues to apply in relation to the registration (including any appeal arising from it) without the amendments made by Part 2 of these Regulations, but subject to the modification specified in paragraph (3), on and after IP completion day in relation to the provision of services on a temporary and occasional basis by the visiting practitioner, until the earlier of the following—
 - (a) the day on which an entitlement under paragraph 3 or 4(4) of Schedule 1A to the 1997 Act ceases under paragraph 5 of Schedule 1A to the 1997 Act; or
 - (b) 30th January 2021.
- (3) Any reference to a "relevant European State" in Schedule 1A to the 1997 Act has effect as if that reference includes the United Kingdom.

Commencement Information

122 Reg. 22 in force at 31.12.2020 on IP completion day (in accordance with 2020 c. 1, Sch. 5 para. 1(1)), see reg. 1(2)

Pending applications

- **23.**—(1) This regulation applies where—
 - (a) before IP completion day, an applicant has made an application for registration under section 4(2A) of the 1997 Act to the Board; and
 - (b) the application has not been finally determined before IP completion day.
- (2) For the purposes of sub-paragraph (1), an application is finally determined when—
 - (a) the Board has notified the applicant of its decision; and
 - (b) either—
 - (i) the period for appeal against that decision under section 22 of the 1997 Act has expired without an appeal being made; or
 - (ii) any such appeal has been determined or withdrawn.

- (3) The provisions of the 1997 Act continue to apply in relation to the application referred to in paragraph (1) without the amendments made by Part 2 of these Regulations but subject to the modifications specified in regulation 24 in relation to—
 - (a) the application concerned; and
 - (b) an appeal made under section 22 of the 1997 Act against a decision made under that Act in relation to that application.

- I23 Reg. 23 in force at 31.12.2020 on IP completion day (in accordance with 2020 c. 1, Sch. 5 para. 1(1)), see reg. 1(2)
- **24.**—(1) The modifications to the 1997 Act referred to in regulation 23(3) are as set out in the following paragraphs.
- (2) Section 5E(2) (complaints by recipients of services) of the 1997 Act has effect as if after "correctly pursued" there were inserted "in accordance with data protection legislation within the meaning of section 3(9) of the Data Protection Act 2018".
 - (3) Section 22C (confidentiality) of the 1997 Act has effect as if—
 - (a) in subsection (2)—
 - (i) paragraph (b) (but not the final "and") were omitted;
 - (ii) in paragraph (c)(ii), for "by a Directive-rights national" there were substituted "in reliance on section 4(2A)"; and
 - (b) in subsection (4), for the words from "which," to the end there were substituted "which in the course of the carrying out of the authority's functions under section 4 or 4A is disclosed by or on behalf of the authority to a competent authority of a relevant European State."
- (4) Section 25 (interpretation) of the 1997 Act has effect as if the definition of "relevant European State" includes the United Kingdom.

Commencement Information

124 Reg. 24 in force at 31.12.2020 on IP completion day (in accordance with 2020 c. 1, Sch. 5 para. 1(1)), see reg. 1(2)

Administrative cooperation under the withdrawal agreement and the EEA EFTA separation agreement

- **25.**—(1) The modifications to the 1997 Act in regulations 26 and 27 apply where an individual has, before IP completion day, made an application falling under Article 28 of the withdrawal agreement or Article 27 of the EEA EFTA separation agreement to a competent authority in a European State for recognition of a professional qualification awarded or recognised by the Board.
 - (2) In this regulation—
 - "competent authority" has the meaning given by regulation 2(1) of the 2015 Regulations; and "European State" means an EEA State other than the United Kingdom.

I25 Reg. 25 in force at 31.12.2020 on IP completion day (in accordance with 2020 c. 1, Sch. 5 para. 1(1)), see reg. 1(2)

26. Section 5D(1) (administrative co-operation with other relevant European States) of the 1997 Act has effect as if after "that person's establishment" there were inserted "as an architect".

Commencement Information

- Reg. 26 in force at 31.12.2020 on IP completion day (in accordance with 2020 c. 1, Sch. 5 para. 1(1)), see reg. 1(2)
- 27. Section 22B (administrative co-operation) of the 1997 Act has effect as if—
 - (a) for subsections (1) and (2) there were substituted—
 - "(1) The Board must, for the purposes of facilitating the recognition of the qualifications of architects—
 - (a) collaborate with competent authorities of relevant European States, and
 - (b) provide assistance to competent authorities of relevant European States in order to facilitate the accreditation in a relevant European State of registered persons or persons holding qualifications or experience prescribed under section 4(1)(a).
 - (2) The Board must exchange professional-regulation information about persons who have made an application for registration under section 4(2A) with competent authorities of relevant European States in accordance with data protection legislation within the meaning of section 3(9) of the Data Protection Act 2018 and through use of the IMI procedure where appropriate, in accordance with Article 29(2) of the withdrawal agreement.";
 - (b) in subsection (4) in the words before paragraph (a)—
 - (i) for "another" there were substituted "a";
 - (ii) after "European State" there were inserted "or a person who applies for registration".

Commencement Information

127 Reg. 27 in force at 31.12.2020 on IP completion day (in accordance with 2020 c. 1, Sch. 5 para. 1(1)), see reg. 1(2)

Interpretation of saved provisions

28. Where a provision of the 1997 Act continues to apply by virtue of this Part, that provision has effect with the modifications in regulations 29 to 32.

- **I28** Reg. 28 in force at 31.12.2020 on IP completion day (in accordance with 2020 c. 1, **Sch. 5 para. 1(1)**), see reg. 1(2)
- 29. Section 25 (interpretation) has effect as if—
 - (a) for the definition of "the Directive" there were substituted—

""the Directive" means Council Directive 2005/36/EC on the recognition of professional qualifications as it had effect immediately before IP completion day and any reference to the Directive includes (without prejudice to the operation of section 20A of the Interpretation Act 1978) a reference to the Directive as extended by the EEA Agreement and by the Swiss Agreement as those Agreements had effect immediately before IP completion day;";

- (b) in the definition of "disqualifying decision", in paragraph (a), the words "other than the United Kingdom" were omitted;
- (c) there were inserted at the appropriate places—

""enforceable EU right" means a right recognised and available in domestic law, immediately before IP completion day, by virtue of section 2(1) of the European Communities Act 1972;

"the Swiss Agreement" means the Agreement between the European Community and its Member States, of the one part, and the Swiss Confederation, of the other, on the free movement of persons signed at Luxembourg on 21st June 1999;".

Commencement Information

129 Reg. 29 in force at 31.12.2020 on IP completion day (in accordance with 2020 c. 1, **Sch. 5 para. 1(1)**), see reg. 1(2)

30. Paragraph 7(2)(b) of Schedule 1A (visiting architects from relevant European States: Registrar's power to remove person's name from Part 2 of the Register) has effect as if the words "that is not the United Kingdom" were omitted.

Commencement Information

130 Reg. 30 in force at 31.12.2020 on IP completion day (in accordance with 2020 c. 1, **Sch. 5 para. 1(1)**), see reg. 1(2)

31. Any reference to a relevant European State other than the United Kingdom has effect as if the words "other than the United Kingdom" were omitted.

Commencement Information

- **I31** Reg. 31 in force at 31.12.2020 on IP completion day (in accordance with 2020 c. 1, **Sch. 5 para. 1(1)**), see reg. 1(2)
- **32.** Any reference to the 2015 Regulations has effect—
 - (a) in relation to anything done before IP completion day, as if it were a reference to those Regulations as they had effect immediately before IP completion day;
 - (b) otherwise, as if it were a reference to those Regulations as (and only to the extent that) they have effect, on or after IP completion day, in relation to an entitlement which arose before IP completion day or arises as a result of something done before IP completion day.]

Commencement Information

I32 Reg. 32 in force at 31.12.2020 on IP completion day (in accordance with 2020 c. 1, **Sch. 5 para. 1(1)**), see reg. 1(2)

F³PART 4

Swiss citizens' rights agreement

Temporary and occasional provision of services - additional rights under the Swiss citizens' rights agreement

- 33. In this Part—
 - (a) a "relevant applicant" means an individual—
 - (i) who provides services as an architect in the United Kingdom on a temporary and occasional basis on the basis of a written contract which was concluded, and the performance of which started, before IP completion day;
 - (ii) who began providing the services described in sub-paragraph (i) either—
 - (aa) before IP completion day, in an employed or self-employed capacity; or
 - (bb) on or after IP completion day, as an employee posted for the purpose of carrying on professional activities in the United Kingdom by their employer who is established in the United Kingdom or Switzerland;
 - (iii) who is a national of the United Kingdom or Switzerland, or a third country national who was, immediately before IP completion day, by virtue of any enforceable EU right entitled to be treated no less favourably than a national of either State for the purposes of access to and pursuit of the profession of architect; and
 - (iv) who is legally established in Switzerland for the purpose of pursuing the same profession there; and
 - (b) "third country" and "same profession" have the meanings in regulation 2(1) of the European Communities (Recognition of Professional Qualifications) Regulations 2007 as they had effect immediately before IP completion day.

Commencement Information

133 Reg. 33 in force at 31.12.2020 on IP completion day (in accordance with 2020 c. 1, Sch. 5 para. 1(1)), see reg. 1(2)

Qualifications begun before IP completion day – extended period for recognition under Swiss citizens' rights agreement

- **34.**—(1) For the purposes of this Part, a "qualifying applicant" means an individual who—
 - (a) is a national of the United Kingdom or Switzerland, or a third country national who was, immediately before IP completion day, by virtue of any enforceable EU right entitled to be treated no less favourably than a national of either State, for the purposes of access to and pursuit of a regulated profession;
 - (b) wishes to access and pursue the profession of architect in the United Kingdom on a permanent basis, whether in an employed or self-employed capacity;
 - (c) has obtained a relevant qualification;
 - (d) if that relevant qualification was obtained in a third country, has three years' professional experience in the profession concerned in the territory of Switzerland and certified by a competent authority in Switzerland; and
 - (e) if that relevant qualification is a professional qualification obtained in an EEA State, is legally established in Switzerland, unless the individual is a Swiss national.

- (2) In paragraph (1), "relevant qualification" means
 - (a) a professional qualification obtained in an EEA State or Switzerland before IP completion day;
 - (b) a professional qualification started in an EEA State or Switzerland before IP completion day but completed after IP completion day;
 - (c) a third country professional qualification recognised by a competent authority in Switzerland pursuant to Article 2(2) of Directive 2005/36/EC before IP completion day;
 - (d) a third country professional qualification for which an application for recognition pursuant to Article 2(2) of Directive 2005/36/EC has been submitted to a competent authority in Switzerland before IP completion day, where that application is successful after IP completion day.
- (3) In paragraph (2)—

"Directive 2005/36/EC" means Directive 2005/36/EC of the European Parliament and of the Council of 7 September 2005 on the recognition of professional qualifications as it had effect immediately before IP completion day;

"professional qualification" means qualification for the purposes of sections 4 (registration in Part 1 of the Register: European qualifications) of the 1997 Act.

Commencement Information

I34 Reg. 34 in force at 31.12.2020 on IP completion day (in accordance with 2020 c. 1, **Sch. 5 para. 1(1)**), see reg. 1(2)

Further provisions relating to the Swiss citizens' rights agreement

- **35.**—(1) In dealing with a relevant applicant who provides services in the United Kingdom, the Board must treat the person no less favourably than it would treat a national of the United Kingdom making an application, providing services as an architect, or pursuing that same profession in the United Kingdom.
- (2) Where an individual is providing services on a temporary and occasional basis in Switzerland pursuant to Article 23 of the Swiss citizens' rights agreement, the Board must cooperate with the appropriate competent authority in Switzerland in accordance with section 5D of the 1997 Act (administrative co-operation with other relevant European States) as modified by regulation 40.
- (3) Where an individual has made or makes an application falling within Article 31(1) or Article 32(1) or (5) of the Swiss citizens' rights agreement to a competent authority in Switzerland for recognition of a professional qualification awarded or recognised by a competent authority in the United Kingdom, the Board must cooperate with and provide information to the competent authority or contact point in Switzerland, or the individual (as the case may be), in accordance with section 22B of the 1997 Act (administrative co-operation).
- (4) Competent authorities must exchange information for the purposes of sub-paragraphs (2) and (3) in accordance with data protection legislation within the meaning of section 3(9) of the Data Protection Act 2018.

Commencement Information

Reg. 35 in force at 31.12.2020 on IP completion day (in accordance with 2020 c. 1, Sch. 5 para. 1(1)), see reg. 1(2)

Attestations of competence

- **36.**—(1) The Board must permit access to or pursuit of the profession of architect to a qualifying applicant under the same conditions as apply to a UK applicant, where the qualifying applicant—
 - (a) possesses the attestation of competence or evidence of formal qualifications required by Switzerland, in order to gain access to and pursue that same profession in Switzerland, or
 - (b) has pursued that same profession on a full time basis for one year or for an equivalent overall duration on a part-time basis during the previous ten years in a relevant European State which does not regulate that profession, provided that the applicant possesses one or more attestations of competence or documents providing evidence of formal qualifications issued by that other State which does not regulate that profession.
- (2) Attestations of competence or evidence of formal qualifications must satisfy the following conditions—
 - (a) they must have been issued by a competent authority of a relevant European State;
 - (b) where paragraph (1)(b) applies, they must also attest that the applicant has been prepared for the pursuit of the profession in question.
- (3) The Board must not require the one year of professional experience referred to in paragraph (1) (b) if the evidence of formal qualifications which the applicant possesses certifies regulated education and training.
- (4) For an attestation issued under regulation 27 of the 2015 Regulations for a qualifying applicant—
 - (a) the Board must accept the level attested under regulation 27 by a competent authority in Switzerland as well as the certificate by which a competent authority in Switzerland certifies that regulated education and training or vocational training with a special structure referred to in regulation 27(c)(ii) is equivalent to the level provided for in regulation 27(c) (i); and
 - (b) the Board may refuse access to and pursuit of the profession of architect where access to that profession is contingent in the United Kingdom on possession of a qualification set out in regulation 27(e) of the 2015 Regulations and where the applicant possesses an attestation of competence classified under regulation 27(a) of those Regulations.

Commencement Information

Reg. 36 in force at 31.12.2020 on IP completion day (in accordance with 2020 c. 1, Sch. 5 para. 1(1)), see reg. 1(2)

Transitional provision

- **37.**—(1) This regulation and regulations 38 to 47 apply to
 - (a) a relevant applicant for the purposes of regulation 33;
 - (b) a qualifying applicant for the purposes of regulation 34.
- (2) Subject to paragraph (3)—
 - (a) the provisions of the 1997 Act continue to apply on and after IP completion day in relation to a relevant applicant as they had effect immediately before IP completion day, but subject to the modifications specified in regulations 39 to 47, until—
 - (i) the end of the period of five years beginning with IP completion day; or
 - (ii) where the period referred to in paragraph (i) is extended in accordance with Article 23(2) of the Swiss citizens' rights agreement, the end of that period as extended;

- (b) [F4subject to sub-paragraph (c),] the provisions of the 1997 Act continue to apply to a qualifying applicant on and after IP completion day as they had effect immediately before IP completion day without the amendments made by Part 2 of these Regulations, but subject to the modifications specified in regulations 39 to 47, in relation to—
 - (i) the application concerned; and
 - (ii) any appeal made under section 22 of the 1997 Act against a decision under that Act in relation to that application;
- [F5(c)] where the qualifying applicant is a UK or Swiss national, sub-paragraph (b) only applies in relation to—
 - (i) an application for registration made before the end of the period of four years beginning with IP completion day; and,
 - (ii) any appeal made under section 22 of the 1997 Act in relation to such an application.]
- (3) The provisions of the 1997 Act mentioned in regulations 39 to 47 cease to have effect in relation to a qualifying applicant at the end of the period of four years beginning with IP completion day unless—
 - (a) the qualifying applicant is registered under section 4(2A) of the 1997 Act immediately before the end of that period;
 - (b) the qualifying applicant had applied before the end of that period for registration under section 4(2A) of the 1997 Act and—
 - (i) that application had not been decided before the end of that period;
 - (ii) the application had been refused by the Registrar and an appeal against that decision had not been finally determined or withdrawn before the end of that period; or
 - (iii) the application had been refused by the Registrar but an appeal against that decision was not made within that period;
 - (c) the qualifying applicant's registration as a registered architect was suspended before the end of the period of four years beginning with IP completion day and that suspension does not end within that period; or
 - (d) the qualifying applicant's name was not re-entered or the qualifying applicant's name was removed from the Register by the Board before the end of the period of four years beginning with IP completion day and—
 - (i) an appeal against that decision of the Board had not been finally determined or withdrawn before the end of that period; or
 - (ii) an appeal against that decision of the Board was not made within that period.

Textual Amendments

- F4 Words in reg. 37(2)(b) inserted (31.1.2023) by The Architects (Fees, Electronic Communications and Miscellaneous Amendments) Regulations 2022 (S.I. 2022/1388), regs. 1(1), 4(2)(a)
- F5 Reg. 37(2)(c) inserted (31.1.2023) by The Architects (Fees, Electronic Communications and Miscellaneous Amendments) Regulations 2022 (S.I. 2022/1388), regs. 1(1), 4(2)(b)

- 137 Reg. 37 in force at 31.12.2020 on IP completion day (in accordance with 2020 c. 1, Sch. 5 para. 1(1)), see reg. 1(2)
- **38.** Where a provision of the 1997 Act continues to apply by virtue of regulation 37, that provision has effect with the modifications in regulations 39 to 47.

- **I38** Reg. 38 in force at 31.12.2020 on IP completion day (in accordance with 2020 c. 1, **Sch. 5 para. 1(1)**), see reg. 1(2)
- **39.** Section 4A (registration in Part 1 of the Register: European qualifications) of the 1997 Act has effect as if—
 - (a) in subsection (1)(e) "other" were omitted;
 - (b) in subsection (2)(b) "other" were omitted; and
 - (c) in subsection (2)(c) for "another" there were substituted "a".

Commencement Information

- **I39** Reg. 39 in force at 31.12.2020 on IP completion day (in accordance with 2020 c. 1, **Sch. 5 para. 1(1)**), see reg. 1(2)
- **40.** Section 5D(1) (administrative co-operation with other relevant European States) of the 1997 Act has effect as if after "that person's establishment" there were inserted "as an architect".

Commencement Information

- **I40** Reg. 40 in force at 31.12.2020 on IP completion day (in accordance with 2020 c. 1, **Sch. 5 para. 1(1)**), see reg. 1(2)
- 41. Section 5E (complaints by recipients of services) of the 1997 Act has effect as if—
 - (a) in subsection (1), for the words from ", in any relevant European State" to the end there were substituted "in the United Kingdom, apart from when the person is lawfully established as an architect in the United Kingdom.";
 - (b) in subsection (2) after "correctly pursued" there were inserted "in accordance with data protection legislation within the meaning of section 3(9) of the Data Protection Act 2018".

- I41 Reg. 41 in force at 31.12.2020 on IP completion day (in accordance with 2020 c. 1, Sch. 5 para. 1(1)), see reg. 1(2)
- 42. Section 22B (administrative co-operation) of the 1997 Act has effect as if—
 - (a) for subsections (1) and (2) there were substituted—
 - "(1) The Board must, for the purposes of facilitating the recognition of the qualifications of architects—
 - (a) collaborate with the competent authorities of Switzerland, and
 - (b) provide assistance to the competent authorities of Switzerland in order to facilitate the accreditation in a relevant European State of registered persons or persons holding qualifications or experience prescribed under section 4(1)(a).
 - (2) The Board may exchange professional-regulation information with competent authorities of relevant European States in accordance with data protection legislation within the meaning of section 3(9) of the Data Protection Act 2018 about—

- (a) persons who have made an application for registration under section 4(2A);
- (b) registered persons who are practising or are seeking to practise as architects in Switzerland.":
- (b) in subsection (4) in the words before paragraph (a)—
 - (i) for "another" there were substituted "a";
 - (ii) after "European State" there were inserted "or a person who applies for registration".

- **142** Reg. 42 in force at 31.12.2020 on IP completion day (in accordance with 2020 c. 1, **Sch. 5 para. 1(1)**), see reg. 1(2)
- 43. Section 22C (confidentiality) of the 1997 Act has effect as if—
 - (a) in subsection (2)—
 - (i) paragraph (b) (but not the final "and") were omitted;
 - (ii) in paragraph (c)—
 - (aa) in sub-paragraph (i), for "another" there were substituted "a";
 - (bb) in sub-paragraph (ii), for "by a Directive-rights national" there were substituted "in reliance on section 4(2A)";
 - (b) in subsection (4), for the words from "which," to the end there were substituted "which in the course of the carrying out of the authority's functions under section 4 or 4A is disclosed by or on behalf of the authority to a competent authority in Switzerland.".

Commencement Information

- Reg. 43 in force at 31.12.2020 on IP completion day (in accordance with 2020 c. 1, Sch. 5 para. 1(1)), see reg. 1(2)
- **44.** Section 25 (interpretation) of the 1997 Act has effect as if there were inserted at the appropriate place—

""enforceable EU right" means a right recognised and available in domestic law, immediately before IP completion day, by virtue of section 2(1) of the European Communities Act 1972;".

Commencement Information

- **I44** Reg. 44 in force at 31.12.2020 on IP completion day (in accordance with 2020 c. 1, **Sch. 5 para. 1(1)**), see reg. 1(2)
- **45.** Paragraph 7(2)(b) of Schedule 1A (visiting architects from relevant European States: Registrar's power to remove person's name from Part 2 of the Register) to the 1997 Act has effect as if the words "that is not the United Kingdom" were omitted.

Commencement Information

I45 Reg. 45 in force at 31.12.2020 on IP completion day (in accordance with 2020 c. 1, **Sch. 5 para. 1(1)**), see reg. 1(2)

46. Any reference to a relevant European State other than the United Kingdom in the 1997 Act has effect as if the words "other than the United Kingdom" were omitted.

Commencement Information

- Reg. 46 in force at 31.12.2020 on IP completion day (in accordance with 2020 c. 1, Sch. 5 para. 1(1)), see reg. 1(2)
- 47. Any reference to the 2015 Regulations in the 1997 Act has effect—
 - (a) in relation to anything done before IP completion day, as if it were a reference to those Regulations as they had effect immediately before IP completion day;
 - (b) otherwise, as if it were a reference to those Regulations as (and only to the extent that) they have effect, on or after IP completion day, in relation to an entitlement which arose before IP completion day or arises as a result of something done before IP completion day.

Commencement Information

I47 Reg. 47 in force at 31.12.2020 on IP completion day (in accordance with 2020 c. 1, Sch. 5 para. 1(1)), see reg. 1(2)

Signed by authority of the Secretary of State for Housing, Communities and Local Government

Ministry of Housing, Communities and Local Government

Kit Malthouse Minister of State

EXPLANATORY NOTE

(This note is not part of the Regulations)

These Regulations are made in exercise of the powers conferred by section 8(1) of the European Union (Withdrawal) Act 2018 (c. 16) in order to address failures of retained EU law to operate effectively and other deficiencies arising from the withdrawal of the United Kingdom from the European Union (and in particular, the deficiencies under paragraphs (c), (d), and (e) of section 8(2)).

Part 2 of these Regulations amends the Architects Act 1997 (c.22) ("the 1997 Act") to remove provisions on reciprocal arrangements or rights between the UK and EU member states that are no longer in place or are no longer appropriate in relation to the recognition of the professional qualifications of architects. Regulation 4 removes the status of the Architects Registration Board (ARB) as a competent authority for the purposes of Directive 2005/36/EC of the European Parliament and of the Council of 7th September 2005 on the recognition of professional qualifications. Regulation 7 amends sections 4 and 4A of the 1997 Act to make provision for the recognition of architects. Regulation 8 amends section 4A of the 1997 Act in relation to supporting evidence for applications for qualification. Regulations 9 and 21 make provision to end the temporary and occasional provision of services. Regulations 10, 12 to 16 and 20 make further provision arising from the cessation of the temporary and occasional provision of services. Regulations 17 and 18 amend sections 22B and 22C of the 1997 Act to enable the existing provision with respect to administrative cooperation with competent authorities of European Union member states and the confidentiality of information to continue to apply to ARB once it is no longer a competent authority.

Part 3 of these Regulations makes transitional and saving provision for applications for inclusion in the Register of Architects made before exit day and for visiting practitioners in respect of the provision of temporary and occasional services.

An impact assessment has not been produced for this instrument as no, or no significant, impact on the public, private or voluntary sector is foreseen.

Changes to legislation:
There are currently no known outstanding effects for the The Architects Act 1997 (Amendment) (EU Exit) Regulations 2019.