
STATUTORY INSTRUMENTS

2019 No. 542

**EXITING THE EUROPEAN UNION
FINANCIAL SERVICES AND MARKETS**

**The Transparency of Securities Financing Transactions
and of Reuse (Amendment) (EU Exit) Regulations 2019**

Made - - - - 11th March 2019

Coming into force in accordance with regulation 1

**THE TRANSPARENCY OF SECURITIES
FINANCING TRANSACTIONS AND OF REUSE
(AMENDMENT) (EU EXIT) REGULATIONS 2019**

PART 1

Introduction

1. Citation and commencement
2. Interpretation

PART 2

Amendment of subordinate legislation

3. Amendment of the Financial Services and Markets Act 2000 (Transparency of Securities Financing Transactions and of Reuse) Regulations 2016

PART 3

Amendment of retained direct EU legislation

4. Amendment of the SFT regulation
5. (1) In Articles 2 to 20, for “Union” in each...
6. In Article 2— (a) in paragraph 1—
7. In Article 3— (a) in paragraph (3), for points (a)...
8. In Article 4— (a) for paragraph (5) substitute—
9. In Article 5— (a) in paragraph (7)—
10. Omit Article 6.
11. In Article 8— (a) in paragraph (1) omit the second...
12. Omit Article 9.

Changes to legislation: *The Transparency of Securities Financing Transactions and of Reuse (Amendment) (EU Exit) Regulations 2019 is up to date with all changes known to be in force on or before 24 December 2023. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes*

13. For Article 10 substitute— Article 10 Withdrawal of registration The FCA may, on its own initiative, withdraw the registration...
14. In Article 11— (a) in paragraph (1)—
15. In Article 12— (a) in paragraph (2)—
16. In Article 13— (a) in paragraph (1)—
17. In Article 14— (a) in paragraph (1)—
18. In Article 15— (a) in paragraph (1), for “Article 5...
19. Omit Articles 16 and 17.
20. In Article 18— (a) in paragraph (2)—
21. In Article 19— (a) for “The Commission” in each place...
22. In Article 21— (a) for “The Commission” in each place...
23. For Article 22 substitute— Article 22 Regulations: general provisions Any power to make regulations conferred on the Treasury by...
24. Omit Articles 23 to 33.

PART 4

SPECIFIC PROVISION FOR TRADE REPOSITORIES

CHAPTER 1

PRELIMINARY

25. Interpretation of Part 4

CHAPTER 1A

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- 25A Supervision of trade repositories

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30. Statement of policy
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CHAPTER 3

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34. Application of Part 9 of the FSMA (hearings and appeals)
35. Application of Part 9A of the FSMA (rules and guidance)
36. Application of Part 11 of the FSMA (information gathering and investigations)
37. Application of Part 26 of the FSMA (notices)

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- 38. Application of Part 27 of the FSMA (offences)
- 39. Application of Schedule 1ZA to the FSMA (the Financial Conduct Authority)
 - Signature
 - Explanatory Note

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Changes and effects yet to be applied to :

- Regulations revoked by [2023 c. 29 Sch. 1 Pt. 2](#)