

SCHEDULE 2

Regulation 2(2)

AMENDMENTS TO THE TRANSNATIONAL INFORMATION AND CONSULTATION OF EMPLOYEES REGULATIONS 1999

PART 1

Amendments to the Regulations

1. The Transnational Information and Consultation of Employees Regulations 1999⁽¹⁾ are amended as follows.

2. Throughout the Regulations in each place they occur—

- (a) for “Member State” substitute “Relevant State”;
- (b) for “Member States” substitute “Relevant States”.

3. In regulation 2 (interpretation)—

(a) In paragraph (1)—

(i) for the definition of “European Works Council” substitute—

““European Works Council” means the council, established—

- (a) before exit day under and in accordance with regulation 17, or regulation 18 and the provisions of the Schedule, or
 - (b) where appropriate, under and in accordance with the provisions of the law or practice of a Relevant State other than the United Kingdom which are designed to give effect to Article 6 of, or Article 7 of and the Annex to, the Transnational Information and Consultation Directive,
- with the purpose of informing and consulting employees;”

(ii) in the definition of “information and consultation procedure” after “regulation 17” insert “before exit day”;

(iii) omit the definition of “Member State”;

(iv) after the definition of “relevant date” insert—

““Relevant State” means—

- (a) a state which is a Contracting Party to the Agreement on the European Economic Area signed at Oporto on 2nd May 1992 as adjusted by the Protocol signed at Brussels on 17th March 1993; and
- (b) the United Kingdom;”;

(v) in the definition of “special negotiating body” after “established” insert “before exit day”;

(vi) in the definition of “UK management” for “is, or would be,” substitute “before exit day was, or would have been,”;

(vii) omit the definition of “UK member of the special negotiating body”;

(b) in paragraph (3) for “regulations 6, 13 to 15” substitute “regulation 6”;

(c) in paragraph (4) omit “regulations 13 and 15 and”;

(d) in paragraph (4B)—

(1) [S.I. 1999/3323](#).

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- (i) omit sub-paragraph (a);
 - (ii) in sub-paragraphs (b) and (c) for “regulations 17(4)(c) and” substitute “regulation”;
 - (e) in paragraph (5) for “are designed” substitute “were designed”.
4. In regulation 3(4) (controlled and controlling undertaking)—
 - (a) for “referred to” substitute “described”;
 - (b) at the end insert “(whether or not the Regulation applies to that company)”.
 5. In regulation 4 (circumstances in which provisions of these Regulations apply)—
 - (a) in paragraph (1) for “7 to 41” substitute “17 to 41”;
 - (b) in paragraph (2) omit sub-paragraphs (a) and (b).
 6. In regulation 5 (the central management)—
 - (a) in paragraph (1)—
 - (i) for the words before sub-paragraph (a) substitute “This regulation applies where”;
 - (ii) omit sub-paragraph (a)
 - (iii) omit the words from “and the central management initiates” to the end;
 - (b) in paragraph (2), for “the circumstances described in paragraph (1)(b) or (1)(c) apply” substitute “this regulation applies”.
 7. In the heading for Part 2, omit the words from “& Request” to the end.
 8. In regulation 6(4) (calculation of employee numbers)—
 - (a) omit “7 to 10, 19F”;
 - (b) for sub-paragraphs (a) and (b) substitute—
 - “(a) where a request under regulation 7 was made before exit day but no valid request under regulation 9 was made before that day, the last day of the month preceding the month in which the request under regulation 7 was made;
 - (b) where a valid request under regulation 9 was made before exit day (whether or not a request under regulation 7 was made), the last day of the month preceding the month in which the request under regulation 9 was made.”.
 9. Omit regulations 7 to 10 (requests for information and to negotiate establishment of a European Works Council or information and consultation procedure).
 10. Omit Part 3 (regulations 11 to 15: special negotiating body).
 11. Omit regulation 16 (negotiation procedure).
 12. In regulation 17 (content and scope of a European Works Council agreement and information and consultation procedure) omit paragraphs (1) to (8).
 13. For regulation 18 (subsidiary requirements) substitute—

“Subsidiary requirements

18. The provisions of the Schedule continue to apply on and after exit day in any case where they applied before exit day.”.
14. In regulation 18A(1)(a) and (b) (information and consultation) after “established”, in each place it occurs, insert “before exit day”.
15. In regulation 19B(1) (right to training for members of a European Works Council, etc) omit sub-paragraph (a) (and the word “or” after it).

16. In regulation 19E(1)(a) (links between information and consultation of European Works Council and national employee representation bodies) for “have been made” substitute “were made before exit day”.

17. Omit regulation 19F (adaptation).

18. In regulation 20 (failure to establish European Works Council or information and consultation procedure)—

(a) before paragraph (1) insert—

“(A1) In this regulation the central management and the special negotiating body are referred to as “the parties”.”;

(b) in paragraph (1)—

(i) in sub-paragraph (a) after “agreement” insert “before exit day”;

(ii) also in sub-paragraph (a), after “applies” insert “before exit day”;

(iii) in sub paragraph (b) after “agreement” insert “made before exit day”;

(c) in paragraph (4) after “agreement” insert “made before exit day”;

(d) In paragraph (5)—

(i) in sub-paragraph (a)—

(aa) after “no application” insert “before exit day”;

(bb) after “an application” insert “before exit day”;

(cc) after “(3)” insert “that applied before exit day”;

(ii) in sub-paragraph (b) after “application” insert “before exit day”.

19. In regulation 21 (disputes about operation of European Works Council or information and consultation procedure)—

(a) in paragraphs (1)(a) and 1(b) after “established” insert “before exit day”;

(b) in paragraphs (1A)(a) and (4) after “agreement” insert “made before exit day”.

20. In regulation 21A (disputes about failures of management)—

(a) in paragraph (1)—

(i) omit sub-paragraph (a);

(ii) in sub-paragraph (c) omit “a member of a special negotiating body or”;

(b) in paragraph (3) omit “16(1A),”;

(c) in paragraph (10)(c)—

(i) omit paragraph (i);

(ii) in paragraph (iii) omit “a member of the special negotiating body or”.

21. In regulation 25 (right to time off for members of a European Works Council, etc)—

(a) in paragraph (1) omit sub-paragraph (a);

(b) in paragraph (1A) omit sub-paragraph (a).

22. In regulation 34 (Appeal Tribunal: jurisdiction)—

(a) omit paragraph (2);

(b) in paragraph (3) omit “regulation 13 or 15 or”.

23. In regulation 36 (Industrial Court: jurisdiction)—

(a) in paragraph (2) omit “10,”;

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- (b) omit paragraph (3);
- (c) in paragraph (4)—
 - (i) omit “regulation 13 or 15 or”;
 - (ii) omit “those regulations or”.
- 24.** In regulation 38 (CAC: proceedings)—
 - (a) omit paragraph (5);
 - (b) in paragraph (6) omit “regulation 13 or 15 or”.
- 25.** In regulation 42 (Article 6 agreements)—
 - (a) in paragraph (1)(a) after “made” insert “before exit day”;
 - (b) in paragraph (3) after “made” insert “before exit day”.
- 26.** In regulation 43 (Article 7 European Works Councils)—
 - (a) in paragraph (1)(a) after “made” insert “before exit day”;
 - (b) in paragraph (3) after “established” insert “before exit day”.
- 27.** In regulation 44 (Article 3 agreements)—
 - (a) in paragraph (1)—
 - (i) omit “Subject to paragraphs (4) and (5),”;
 - (ii) omit “except those in regulation 19F,”;
 - (b) omit paragraphs (4) to (7).
- 28.** In regulation 45 (Article 13 agreements)—
 - (a) in paragraph (1)—
 - (i) omit “Subject to paragraphs (4) and (5),”;
 - (ii) omit “except those in regulation 19F,”;
 - (b) omit paragraphs (4) to (7).
- 29.** In regulation 45A (agreements signed or revised on or after 5th June 2009 and before 5th June 2011)—
 - (a) for paragraph (1) substitute—

“(1) Where the conditions specified in paragraph (2) are satisfied, these Regulations shall apply to a Community-scale undertaking or Community-scale group of undertakings as if the amendments listed—

 - (a) in paragraph (3) in relation to the 2010 Regulations, and
 - (b) in paragraph (3A) in relation to the 2019 Regulations,

had not been made.”;
 - (b) in paragraph (2)(a) after “procedure” insert “before exit day”;
 - (c) in the introductory text to paragraph (3) for “(1)” substitute “(1)(a)”;
 - (d) after paragraph (3) insert—

“(3A) The amendments referred to in paragraph (1)(b) are those made by paragraphs 14, 15, 20, 21(b), 27 and 28 of Schedule 2 to the 2019 Regulations.”;
 - (e) omit paragraph (4);
 - (f) for paragraph (5) substitute—

“(5) In this regulation—

- (a) “the 2010 Regulations” means the Transnational Information and Consultation of Employees (Amendment) Regulations 2010(2), and
 - (b) “the 2019 Regulations” means the Employment Rights (Amendment) (EU Exit) Regulations 2019.”.
- 30.** In regulation 46(1)—
- (a) omit “of a special negotiating body or”;
 - (b) omit “of the special negotiating body or”;
 - (c) after “established” insert “before exit day”.
- 31.** Omit regulation 47 (transitionals: special negotiating body).
- 32.** In the Schedule (subsidiary requirements) omit paragraph 10.

PART 2

SAVING AND TRANSITIONAL PROVISIONS

Interpretation

- 33.** In this Part—
- (a) “the 1999 Regulations” means the Transnational Information and Consultation of Employees Regulations 1999;
 - (b) “the modifications” means the following modifications to the 1999 Regulations—
 - (i) any reference to a “Member State” or “Member States” is to be read as a reference to a “Relevant State” or “Relevant States” (as the case may be);
 - (ii) regulation 2 is to be read as if—
 - (aa) the definition of “Member State” were omitted; and
 - (bb) the following were inserted at the appropriate place—

““Relevant State” means—

 - (a) a state which is a Contracting Party to the Agreement on the European Economic Area signed at Oporto on 2nd May 1992 as adjusted by the Protocol signed at Brussels on 17th March 1993; and
 - (b) the United Kingdom;”
 - (iii) regulation 3(4) is to be read as if—
 - (aa) for the words “referred to” there were substituted “described”;
 - (bb) at the end there were inserted the words “(whether or not the Regulation applies to that company)”;
 - (c) terms used which are defined in the 1999 Regulations have the same meanings in this Part as in those Regulations, as they had effect before exit day or as they have effect on and after exit day, as the context requires.

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Information requests

34. Despite the amendments and revocations made by Part 1 of this Schedule, the 1999 Regulations continue, on and after exit day, to have effect in relation to a request for information made under regulation 7(1) before exit day as they had effect immediately before that day, but subject to the modifications.

Ongoing negotiations

35. Despite the amendments and revocations made by Part 1 of this Schedule, the 1999 Regulations continue, on and after exit day, to have effect in relation to ongoing negotiations as they had effect immediately before that day, but subject to the modifications.

36. The reference in paragraph 35 to ongoing negotiations is a reference to any case in which the negotiation process for the establishment of a European Works Council or an information and consultation procedure was commenced, but not concluded, before exit day.

37. For the purposes of paragraph 36—

- (a) the negotiation process for the establishment of a European Works Council or an information and consultation is commenced on the date on which either—
 - (i) a valid request is made by employees or employees' representatives under regulation 9(1) of the 1999 Regulations; or
 - (ii) the central management initiates negotiations in accordance with regulation 9(5) of the 1999 Regulations; and
- (b) the negotiation process is concluded on the date on which either—
 - (i) the special negotiating body makes a decision under regulation 16(3) of the 1999 Regulations not to open negotiations with central management or to terminate negotiations;
 - (ii) the central management and the special negotiating body reach a written agreement on the detailed arrangements for the information and consultation of employees in accordance with regulation 17(1) of the 1999 Regulations; or
 - (iii) the provisions of the Schedule to the 1999 Regulations first apply by virtue of regulation 18(1)(b) or (c) of those Regulations.

38. The 1999 Regulations (as amended by Part 1 of this Schedule) apply in relation to a European Works Council or an information and consultation procedure established pursuant to this Part of this Schedule on or after exit day as if it had been established before exit day.

39. The 1999 Regulations (as amended by Part 1 of this Schedule) apply in relation to an agreement on the establishment of a European Works Council or an information and consultation procedure reached pursuant to this Part of this Schedule on or after exit day as if the agreement had been reached before exit day.

40. The 1999 Regulations (as amended by Part 1 of this Schedule) apply in relation to a case where the negotiation process is concluded as mentioned in paragraph 37(b)(iii) on or after exit day as if the case is within regulation 18 of those Regulations.

Complaints and proceedings

41. Despite the amendments and revocations made by Part 1 of this Schedule, the 1999 Regulations continue, on and after exit day, to have effect, in relation to any complaint or application presented under those Regulations before exit day to the Central Arbitration Committee or the Employment Appeal Tribunal, as they had effect immediately before that day but subject to the modifications.

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