

## SCHEDULE 3

### Civil sanctions

## PART 1

### Power to impose civil sanctions

#### Notice of intent

**6.**—(1) If the regulator proposes to serve on a person a compliance notice, a restoration notice or a notice imposing a fixed or variable monetary penalty under this Part, it must serve on that person a notice of what is proposed (a “notice of intent”).

(2) The notice of intent must include—

- (a) the grounds for serving the proposed notice;
- (b) the requirements of the proposed notice and, in the case of a penalty, the amount to be paid;
- (c) in the case of a fixed monetary penalty, a statement that liability for the penalty can be discharged by paying 50% of the penalty within 28 days beginning with the day on which the notice was received and information on the effect of such a discharge payment; and
- (d) information as to—
  - (i) the right to make representations and objections within 28 days beginning with the day on which the notice of intent was received; and
  - (ii) the circumstances in which the regulator may not serve the proposed notice.