
STATUTORY INSTRUMENTS

2019 No. 266

**The Credit Rating Agencies (Amendment
etc.) (EU Exit) Regulations 2019**

PART 7

Offences

Offence of misleading FCA

21. Section 398(1) of the Act⁽¹⁾ (misleading FCA or PRA: residual cases) applies in respect of a requirement to provide information under—

- (a) these Regulations,
- (b) the Act as applied by these Regulations, and
- (c) the CRA Regulation,

as it applies in respect of a requirement falling within subsection (1A) of that section.

Offences by bodies corporate

22. Section 400 of the Act⁽²⁾ (offences by bodies corporate etc.) applies in respect of an offence under—

- (a) section 177 of that Act (as applied by regulation 18), and
- (b) section 398 of that Act (as applied by regulation 21),

as it applies in respect of an offence under that Act.

Proceedings for offences

23. Section 401 of the Act⁽³⁾ (proceedings for offences) applies in respect of an offence under—

- (a) section 177 of that Act (as applied by regulation 18),
- (b) section 398 of that Act (as applied by regulation 21), and
- (c) section 400 of that Act (as applied by regulation 22),

as it applies in respect of an offence under that Act.

(1) 2000 c. 8. Section 398 is amended by paragraph 36 of Schedule 9 to the Financial Services Act 2012 (c. 21), S.I. 2013/1773, S.I. 2015/1882, S.I. 2016/680, S.I. 2017/701, S.I. 2018/135 and S.I. 2018/698.
(2) 2000 c. 8. Section 400 is amended by paragraph 37 of Schedule 9 to the Financial Services Act 2012 (c. 21).
(3) Amended by paragraph 38 of Schedule 9 to the Financial Services Act 2012 (c. 21), S.I. 2013/1881 and S.I. 2016/1239.