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## STATUTORY INSTRUMENTS

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# 2019 No. 266

## The Credit Rating Agencies (Amendment etc.) (EU Exit) Regulations 2019

### PART 7

#### Offences

##### Offence of misleading FCA

**21.** Section 398(1) of the Act <sup>M1</sup> (misleading FCA or PRA: residual cases) applies in respect of a requirement to provide information under—

- (a) these Regulations,
- (b) the Act as applied by these Regulations, and
- (c) the CRA Regulation,

as it applies in respect of a requirement falling within subsection (1A) of that section.

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##### Commencement Information

- I1** Reg. 21 in force at 31.12.2020 on IP completion day (in accordance with [2020 c. 1, Sch. 5 para. 1\(1\)](#)), see [reg. 1\(3\)](#)

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##### Marginal Citations

- M1** [2000 c. 8](#). Section 398 is amended by paragraph 36 of Schedule 9 to the [Financial Services Act 2012 \(c. 21\)](#), [S.I. 2013/1773](#), [S.I. 2015/1882](#), [S.I. 2016/680](#), [S.I. 2017/701](#), [S.I. 2018/135](#) and [S.I. 2018/698](#).

##### Offences by bodies corporate

**22.** Section 400 of the Act <sup>M2</sup> (offences by bodies corporate etc.) applies in respect of an offence under—

- (a) section 177 of that Act (as applied by regulation 18), and
- (b) section 398 of that Act (as applied by regulation 21),

as it applies in respect of an offence under that Act.

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##### Commencement Information

- I2** Reg. 22 in force at 31.12.2020 on IP completion day (in accordance with [2020 c. 1, Sch. 5 para. 1\(1\)](#)), see [reg. 1\(3\)](#)

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**Changes to legislation:** There are outstanding changes not yet made by the [legislation.gov.uk](#) editorial team to *The Credit Rating Agencies (Amendment etc.) (EU Exit) Regulations 2019*. Any changes that have already been made by the team appear in the content and are referenced with annotations. (See end of Document for details) [View outstanding changes](#)

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**Marginal Citations**

**M2** 2000 c. 8. Section 400 is amended by paragraph 37 of Schedule 9 to the [Financial Services Act 2012](#) (c. 21).

**Proceedings for offences**

**23.** Section 401 of the Act <sup>M3</sup> (proceedings for offences) applies in respect of an offence under—

- (a) section 177 of that Act (as applied by regulation 18),
- (b) section 398 of that Act (as applied by regulation 21), and
- (c) section 400 of that Act (as applied by regulation 22),

as it applies in respect of an offence under that Act.

**Commencement Information**

**I3** Reg. 23 in force at 31.12.2020 on IP completion day (in accordance with [2020 c. 1](#), [Sch. 5 para. 1\(1\)](#)), see [reg. 1\(3\)](#)

**Marginal Citations**

**M3** Amended by paragraph 38 of Schedule 9 to the [Financial Services Act 2012](#) (c. 21), [S.I. 2013/1881](#) and [S.I. 2016/1239](#).

**Changes to legislation:**

There are outstanding changes not yet made by the legislation.gov.uk editorial team to The Credit Rating Agencies (Amendment etc.) (EU Exit) Regulations 2019. Any changes that have already been made by the team appear in the content and are referenced with annotations.

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**Changes and effects yet to be applied to :**

- Regulations revoked by [2023 c. 29 Sch. 1 Pt. 2](#)