
STATUTORY INSTRUMENTS

2019 No. 266

**EXITING THE EUROPEAN UNION
FINANCIAL SERVICES AND MARKETS**

**The Credit Rating Agencies (Amendment
etc.) (EU Exit) Regulations 2019**

*Made - - - - 13th February 2019
Coming into force in accordance with
regulation 1(2) and (3)*

**THE CREDIT RATING AGENCIES (AMENDMENT
ETC.) (EU EXIT) REGULATIONS 2019**

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Changes to legislation: The Credit Rating Agencies (Amendment etc.) (EU Exit) Regulations 2019 is up to date with all changes known to be in force on or before 24 December 2023. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes

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PART 10

Amendment of retained direct EU legislation

51. Amendment of Regulation (EC) No 1060/2009
52. In Article 1— (a) after “This Regulation introduces a”, omit...
53. In Article 2— (a) in paragraph 1, for “Union”, substitute...
54. In Article 3— (a) for paragraph 1 substitute— For the purpose of this Regulation: ‘alternative investment fund manager’...
55. In Article 4— (a) in paragraph 1, 2, 3 and...
56. In Article 5— (a) in paragraph 1—
57. In Article 5a, paragraph 2, for “Sectorial competent authorities”, substitute...
58. Omit Article 5b.
59. For Article 5c substitute— Without prejudice to its right of initiative, the Treasury shall...
60. In Article 6, in paragraph 3, in each place it...
61. In Article 6b, omit paragraph 6.
62. In Article 8— (a) in paragraph 6, point (aa), for...
63. In Article 8a— (a) in paragraph 1, for “Member State”,...
64. In Article 8b— (a) for “the Union” substitute “ the...
65. In Article 8d, for “ESMA”, in each place it occurs,...
66. In Article 9, for “ESMA”, substitute “ the FCA ”....
67. In Article 10— (a) in paragraph 2a—
68. In Article 11, in paragraph 2— (a) in the first...
69. In Article 11a— (a) in the heading, for “European rating...
70. In Article 14— (a) in paragraph 1, for “Union” substitute...
71. In Article 15— (a) in paragraph 1 and 2, for...
72. In Article 16— (a) in the heading, for “ESMA” substitute...
73. In Article 17— (a) in the heading, for “ESMA” substitute...
74. For Article 18 substitute— Article 18 Notification of decisions Within 5 working days of the adoption of a decision...
75. After Article 18 insert— Article 18A Upper Tribunal Subject to paragraph 2, a credit rating agency may refer...
76. Omit Article 19.
77. For Article 20 substitute— Article 20 Withdrawal of registration Without prejudice to Article 24, the FCA may on its...
78. In the heading to Chapter 2, for “ESMA”, substitute “...
79. In Article 21— (a) for the heading substitute “ The...
80. Omit Article 22.
81. In Article 22a, in paragraphs 1 and 2, for “ESMA”,...
82. In Article 23, for “ESMA, the Commission or any public...
83. Omit Articles 23a to 23e.
84. For Article 24 substitute— Article 24 Supervisory measures Where it appears to the FCA that a credit rating...
85. Omit Articles 25 and 25a.
86. For the heading to Chapter 3 substitute “ Professional secrecy...
87. Omit Article 26 and 27.
88. Omit Article 30 and 31.
89. In Article 32— (a) in paragraph 1—
90. In Article 34— (a) for “ESMA”, where first occurring, substitute...
91. In Article 35— (a) for “ESMA”, where first occurring, substitute...
92. In Article 35a— (a) in paragraph 2, in the second...

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93. For the heading to Title 4 substitute “ Amendment to...
 94. Omit Articles 36 to 36e.
 95. In Article 37, for the words “the Commission” to the...
 96. (1) For Article 38 substitute— Article 38 Treasury Regulations Any power to make regulations conferred on the Treasury by...
 97. In Annex 1— (a) for “ESMA”, in each place it...
 98. In Annex 2, in point 1 for “Union” substitute “...
 99. In Annex 3— (a) omit the heading;
 100. Omit Annex 4.
 101. Revocation of Commission Delegated Regulation (EU) No. 272/2012
 102. Revocation of Commission Delegated Regulation (EU) No. 946/2012
- Signature
Explanatory Note

Changes to legislation:

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Changes and effects yet to be applied to :

- Regulations revoked by [2023 c. 29 Sch. 1 Pt. 2](#)