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STATUTORY INSTRUMENTS

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**2019 No. 1511**

**The Money Laundering and Terrorist  
Financing (Amendment) Regulations 2019**

**PART 2**

**Money Laundering Regulations**

**Amendment of Part 9: enforcement and Part 10: appeals**

**9.—(1)** In regulation 81 (the FCA: disciplinary measures (procedure)), after paragraph (1) insert—

“(1A) Before imposing a sanction on P under regulation 76, 77 or 78, the FCA must check whether P has any criminal convictions that may be relevant to the determination referred to in paragraph (1).”.

**(2)** In regulation 83 (the Commissioners: disciplinary measures (procedure)), after paragraph (1) insert—

“(1A) Before imposing a sanction on P under regulation 76, 77 or 78, the Commissioners must check whether P has any criminal convictions that may be relevant to the determination referred to in paragraph (1).”.

**(3)** In regulation 93 (appeals against decisions of the FCA)**(1)**, after paragraph (1)(c) insert—

“(ca) regulation 74C(1), to impose a direction;”.