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STATUTORY INSTRUMENTS

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**2017 No. 1301**

**FINANCIAL SERVICES**

**The Oversight of Professional Body Anti-Money Laundering  
and Counter Terrorist Financing Supervision Regulations 2017**

<i>Made</i>	- - - -	<i>14th December 2017</i>
<i>Laid before Parliament</i>		<i>18th December 2017</i>
<i>Coming into force</i>		<i>18th January 2018</i>

**THE OVERSIGHT OF PROFESSIONAL BODY ANTI-  
MONEY LAUNDERING AND COUNTER TERRORIST  
FINANCING SUPERVISION REGULATIONS 2017**

**PART 1**

**Introduction**

1. Citation, commencement and extent
2. Interpretation
3. Duties of the FCA

**PART 2**

**Applications**

4. Application to be added to Schedule 1 to the MLR
5. Determination of applications

**PART 3**

**Information and directions**

6. Interpretation
7. Power to require information
8. Retention of documents provided under regulation 7
9. Provision of information: safeguards
10. Admissibility of statements
11. Confidential information
12. Disclosure
13. Report by a skilled person

**Status:** This is the original version (as it was originally made).

14. Directions

PART 4

Enforcement and appeals

- 15. Interpretation
- 16. Public censure
- 17. Recommendation for removal from Schedule 1 to the MLR
- 18. Application of one or more measures
- 19. Procedure for disciplinary measures
- 20. Procedure (general)
- 21. Publication
- 22. Appeals against decisions of the FCA
- 23. Offences
- 24. Proceedings
- 25. Prescribed regulations

PART 5

Miscellaneous Provisions

- 26. Recovery of charges through the court
- 27. Costs of supervision
- 28. Consequential amendment of the Solicitors (Scotland) Act 1980
- 29. Financial Conduct Authority
- 30. Notices
- 31. Application to the Crown
- 32. Review
- Signature
- Explanatory Note