
STATUTORY INSTRUMENTS

2016 No. 680

FINANCIAL SERVICES AND MARKETS

The Financial Services and Markets Act
2000 (Market Abuse) Regulations 2016

<i>Made</i>	- - - -	<i>28th June 2016</i>
<i>Laid before Parliament</i>		<i>29th June 2016</i>
<i>Coming into force</i>		<i>3rd July 2016</i>

THE FINANCIAL SERVICES AND MARKETS ACT
2000 (MARKET ABUSE) REGULATIONS 2016

PART 1

General

1. Citation and commencement
2. Interpretation
3. Designation of competent authority
4. Delayed public disclosure of inside information
5. Reporting contraventions of the market abuse regulation
6. Applications under the market abuse regulation
7. Notifications under the market abuse regulation

PART 2

Amendments to the Financial Services and Markets Act 2000

8. Amendments to Part 6 of the 2000 Act
9. Amendments to Part 8 of the 2000 Act
10. Other amendments to the 2000 Act

Changes to legislation: There are currently no known outstanding effects for the The Financial Services and Markets Act 2000 (Market Abuse) Regulations 2016. (See end of Document for details)

PART 3

Other amendments to legislation

CHAPTER 1

Amendments to primary legislation

11. Criminal Justice Act 1993
12. Terrorism Act 2000
13. Criminal Justice and Police Act 2001
14. Proceeds of Crime Act 2002
15. Financial Services Act 2012

CHAPTER 2

Amendments to secondary legislation

16. Financial Services and Markets Act 2000 (Recognition Requirements for Investment Exchanges and Clearing Houses) Regulations 2001
17. Financial Services and Markets Act 2000 (Prescribed Markets and Qualifying Investments) Order 2001
18. Financial Services and Markets Act 2000 (Disclosure of Confidential Information) Regulations 2001
19. Investment Recommendation (Media) Regulations 2005
20. Money Laundering Regulations 2007
21. Recognised Auction Platform Regulations 2011
22. Financial Services and Markets Act 2000 (Qualifying EU Provisions) Order 2013

PART 4

Miscellaneous

23. Review
Signature

SCHEDULE — Reporting of actual or potential contraventions of the market abuse regulation to the FCA

1. Interpretation
2. FCA dedicated staff members
3. Information regarding the receipt of reports of contraventions and their follow up
4. Procedures applicable to reports of contraventions
5. Dedicated communication channels
6. Record-keeping of reports received
7. Protection of persons working under a contract of employment
8. Review of the procedures by FCA

Explanatory Note

Changes to legislation:

There are currently no known outstanding effects for the The Financial Services and Markets Act 2000 (Market Abuse) Regulations 2016.