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STATUTORY INSTRUMENTS

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**2016 No. 339**

**COMPANIES**

**The Register of People with  
Significant Control Regulations 2016**

*Made - - - - 15th March 2016  
Coming into force in accordance with  
regulation 1(2)*

**THE REGISTER OF PEOPLE WITH  
SIGNIFICANT CONTROL REGULATIONS 2016**

PART 1

GENERAL INTRODUCTORY PROVISIONS

1. Citation and commencement
2. Interpretation

PART 2

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3. Companies to which Part 21A of the Act does not apply
4. Legal entities which are subject to their own disclosure requirements
5. Modification for persons covered by section 790C(12) of the Act
6. Fee for a copy of a company's PSC register

PART 3

NATURE OF CONTROL AND FOREIGN LIMITED PARTNERS

7. Particulars required as to nature of control
8. Characteristics of a foreign limited partner

PART 4

ADDITIONAL MATTERS

9. Additional matters to be noted in a PSC register
10. Additional matters where there is no registrable person or registrable relevant legal entity

*Status: This is the original version (as it was originally made).*

11. Additional matters where there is an unidentified registrable person
12. Additional matters where an identified registrable person's particulars are not confirmed
13. Additional matters where a company's investigations are ongoing
14. Additional matters where there is a failure to comply with a notice given under section 790D of the Act
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16. Additional matters where a notice given under section 790D or section 790E of the Act is complied with after the time specified in the notice
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20. Failure to comply with a section 790D or 790E notice: valid reason
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28. Matters relating to an application made under regulation 25, 26 or 27
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33. Circumstances where the registrar must omit secured information from material on the register available for public inspection
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36. Application by an individual requiring the registrar to refrain from using or disclosing that individual's secured information
37. Application by a company requiring the registrar to refrain from using or disclosing an individual's secured information
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40. Withdrawal of an application made under regulation 36, 37 or 38
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48. Amendments to the 2009 Regulations
49. Review  
Signature

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#### SCHEDULE 1 — LIST OF MARKETS

#### SCHEDULE 2 — PARTICULARS REQUIRED AS TO NATURE OF CONTROL

##### PART 1 — First Condition

1. A statement that the person holds, directly or indirectly, more...
2. A statement that the person holds, directly or indirectly, more...
3. A statement that the person holds, directly or indirectly, 75%...

##### PART 2 — Second Condition

4. A statement that the person holds, directly or indirectly, more...
5. A statement that the person holds, directly or indirectly, more...
6. A statement that the person holds, directly or indirectly, 75%...

##### PART 3 — Third Condition

7. A statement that the person holds the right, directly or...

##### PART 4 — Fourth Condition

8. A statement that the person has the right to exercise,...

##### PART 5 — Fifth Condition and Trusts

9. A statement that— (a) the person has the right to...
10. A statement that— (a) the person has the right to...
11. A statement that— (a) the person has the right to...
12. A statement that— (a) the person has the right to...
13. A statement that— (a) the person has the right to...
14. A statement that— (a) the person has the right to...
15. A statement that— (a) the person has the right to...
16. A statement that— (a) the person has the right to...

##### PART 6 — Fifth Condition and Firms

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17. A statement that— (a) the person has the right to...
18. A statement that— (a) the person has the right to...
19. A statement that— (a) the person has the right to...
20. A statement that— (a) the person has the right to...
21. A statement that— (a) the person has the right to...
22. A statement that— (a) the person has the right to...
23. A statement that— (a) the person has the right to...
24. A statement that— (a) the person has the right to...  
PART 7 — Interpretation of Schedule 2
25. In relation to a company that does not have a...

### SCHEDULE 3 — SPECIFIED PUBLIC AUTHORITIES

#### SCHEDULE 4 — CONDITIONS FOR PERMITTED DISCLOSURE

##### PART 1 — Disclosure to Specified Public Authorities

1. The specified public authority has delivered to the registrar a...
2. Subject to paragraph 3, the specified public authority has delivered...
3. Paragraph 2 does not apply where the specified public authority...
4. The specified public authority has delivered any information or evidence...
5. The specified public authority has complied with any requirement by...

##### PART 2 — Disclosure to a Credit Reference Agency

6. The credit reference agency— (a) is carrying on in the...
7. The credit reference agency has delivered to the registrar a...
8. The credit reference agency has delivered to the registrar a...
9. The credit reference agency has delivered to the registrar a...
10. The credit reference agency has delivered to the registrar a...
11. The credit reference agency has delivered any information or evidence...
12. The credit reference agency has complied with any requirement by...

##### PART 3 — Interpretation of this Schedule

13. In this Schedule— (a) “processor” means any person who provides...

#### SCHEDULE 5 — AMENDMENTS TO THE 2009 REGULATIONS

1. The 2009 Regulations are amended as follows.
2. In regulation 1(2) (citation, commencement and interpretation), insert the following...
3. (1) Regulation 5 (application under section 243 by an individual)...
4. For regulations 6 and 7 substitute— Application under section 243...
5. (1) Regulation 8 (matters relating to a section 243 application)...
6. (1) Regulation 9 (application under section 1088 to make an...
7. (1) Regulation 14 (appeals) is amended as follows.
8. In Schedule 1 (Specified Public Authorities)— (a) after “the Treasury;” insert...
9. In paragraph 4 of Part 1 of Schedule 2 (Conditions...

Explanatory Note