
STATUTORY INSTRUMENTS

2015 No. 1864

FINANCIAL SERVICES AND MARKETS

**The Financial Services and Markets Act 2000
(Misconduct and Appropriate Regulator) Order 2015**

Made - - - - 4th November 2015

Coming into force in accordance with article 1

The Treasury are designated⁽¹⁾ for the purposes of section 2(2) of the European Communities Act 1972⁽²⁾ in relation to financial services.

The Treasury, in exercise of the powers conferred on them by section 2(2) of that Act, by section 204A(7) and section 428(3) of the Financial Services and Markets Act 2000⁽³⁾ by section 145(1) of the Financial Services (Banking Reform) Act 2013⁽⁴⁾ and by section 28(2)(a) of the Small Business, Enterprise and Employment Act 2015⁽⁵⁾, make the following Order.

A draft of this Order has been laid before Parliament and approved by a resolution of each House of Parliament in accordance with paragraph 2(2) of Schedule 2 to the European Communities Act 1972, section 429(1) of the Financial Services and Markets Act 2000⁽⁶⁾ and section 143(3) of the Financial Services (Banking Reform) Act 2013.

(1) [S.I. 2012/1759](#).

(2) [1972 c.68](#). Section 2(2) was amended by section 27 of the Legislative and Regulatory Reform Act 2006 ([c.51](#)) and by section 3 of, and the Schedule to, the European Union (Amendment) Act 2008 ([c.7](#)). By virtue of the amendment of section 1(2) by section 1 of the European Economic Area Act 1993 ([c.51](#)), regulations may be made under s. 2(2) of the European Communities Act 1972 to implement obligations of the United Kingdom created or arising by or under the Agreement on the European Economic Area signed at Oporto on 2nd May 1992 (Cm 2073) and the Protocol adjusting the Agreement signed in Brussels on 17th March 1993 (Cm 2183).

(3) [2000 c.8](#). Section 204A of the 2000 Act was inserted by the Financial Services Act 2012 ([c. 81](#)) (“the 2012 Act”), section 37 and Schedule 9, paragraphs 1, 10; and amended by [S.I.2013/1773](#), regulation 80 and Schedule 1, paragraphs 1,15.

(4) [2013 c.33](#).

(5) [2015 c.26](#).

(6) Section 429(1) of the 2000 Act was amended by the 2012 Act, section 24 and Schedule 2, paragraphs 1, 33. There are other amendments to section 429(1) but none are relevant to this Order.