
STATUTORY INSTRUMENTS

2015 No. 1728

**The National Health Service (Primary Dental Services)
(Miscellaneous Amendments) (No. 2) Regulations 2015**

PART 3

Amendment of the PDS Regulations

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10. The PDS Regulations are amended in accordance with this Part.

Amendment of regulation 2 of the PDS Regulations

11. In regulation 2(1) (interpretation)—

(a) in the definition of “banded course of treatment”, after “means” insert “, subject to regulation 14C,”;

(b) after the definition of “bridge” insert—

““capitated patient” has the meaning given in regulation 14C,”;

(c) in the definition of “course of treatment” for “where the course of treatment is an interim care course of treatment provided under a Capitation and Quality Scheme 2 Agreement in the context of regulation 13A of the NHS Charges Regulations (charges in respect of primary dental services provided under a Capitation and Quality Scheme 2 Agreement)” substitute—

“where the course of treatment is an interim care course of treatment provided under a prototype agreement⁽¹⁾ or a Capitation and Quality Scheme 2 Agreement in the context of regulation 12A of the NHS Charges Regulations (charges in respect of primary dental services provided under a prototype agreement or under a Capitation and Quality Scheme 2 Agreement)”;

(d) after the definition of “professional registration number” insert the following definitions—

““prototype agreement” means an agreement temporarily varied as part of the Prototype Agreements Scheme⁽²⁾ which contains—

(a) the terms and conditions required under the Prototype Directions⁽³⁾; and

(b) the terms and conditions required under these Regulations;

(1) For further information on prototype agreements see <https://www.gov.uk/government/publications/dental-reform-next-step>. A hard copy of this document can be obtained by writing to Dental and Eye Care Services, Policy and Legislation Unit, NHS Group, Room 201 Richmond House, 79 Whitehall, London, SW1A 2NS.

(2) The Prototype Agreements Scheme is the Scheme of that name, introduced by the Secretary of State on 1st November 2015 that the Secretary of State has developed to assist in continuing to promote and secure improvement in the provision of dental services in accordance with the National Health Service Act 2006 (c.41).

(3) The National Health Service (Dental Services) (Prototype Agreements) Directions 2015, signed on 23rd September 2015, are available on the www.gov.uk website. A hard copy of these Directions can be obtained by writing to Dental and Eye Care Services, Policy and Legislation Unit, NHS Group, Room 201 Richmond House, 79 Whitehall, London, SW1A 2NS.

“the Prototype Directions” means the National Health Service (Dental Services) (Prototype Agreements) Directions 2015;

“the Prototype SFE” means the Prototype Agreements Scheme Statement of Financial Entitlements⁽⁴⁾”.

Amendment of regulation 13 of the PDS Regulations

12. In regulation 13 (units of dental activity), in paragraphs (1) and (2), for “regulation 20B” substitute “regulations 20B and 20C”.

Insertion of new regulations 14A, 14B and 14C into the PDS Regulations

13. After regulation 14 (units of orthodontic activity), insert—

“Units of dental activity for contractors who elect to enter into a prototype agreement

14A.—(1) Where a contractor has elected to enter into a prototype agreement, the prototype agreement must specify the number of units of dental activity to be provided by the contractor—

- (a) where the prototype agreement begins on 1st April, in each financial year; or
- (b) where the prototype agreement begins on a date other than 1st April, in the remainder of the financial year in which the prototype agreement begins, and in each financial year thereafter.

(2) A prototype agreement must contain terms which have the effect of those specified in Schedule 2A in relation to the calculation of the number of units of dental activity to be provided by the contractor under the prototype agreement.

Capitated patient figures for contractors who elect to enter into a prototype agreement

14B. Where a contractor has elected to enter into a prototype agreement, the prototype agreement must specify the number of patients to whom the contractor is expected to have provided primary dental services (known as the capitated patient figure) by the end of each financial year.

Capitated patients

14C.—(1) Where a contractor has elected to enter into a prototype agreement, on any day (“the relevant day”) a patient is a capitated patient if that patient has been provided with a banded course of treatment by the contractor (C1) within a period of three years immediately preceding that day, provided that—

- (a) the banded course of treatment has not been provided by a foundation trainee;
- (b) the patient has not been referred to C1 for the banded course of treatment by another primary dental services contractor; and
- (c) the patient was not subsequently provided with a banded course of treatment before the relevant day by another primary dental services contractor (C2), unless the patient was referred to C2 by C1 for that banded course of treatment.

(4) The Prototype Agreements Scheme Statement of Financial Entitlements signed on 23rd September 2015, is available on the www.gov.uk website. A hard copy of these Directions can be obtained by writing to Dental and Eye Care Services, Policy and Legislation Unit, NHS Group, Room 201 Richmond House, 79 Whitehall, London, SW1A 2NS.

- (2) For the purposes of this regulation—
 - (a) a banded course of treatment does not include the provision of an urgent course of treatment; and
 - (b) “foundation trainee” has the meaning given in Section 12 of the General Dental Services Statement of Financial Entitlements 2013⁽⁵⁾ (glossary of terms).”

Amendment of regulation 17 of the PDS Regulations

14. In regulation 17 (finance), in paragraphs (1) and (3), for “Subject to regulation 20B,” substitute “Subject to regulations 20B and 20C.”

Insertion of new regulation 20C into the PDS Regulations

15. After regulation 20B (variation of contractual terms in respect of election to enter into a Capitation and Quality Scheme 2 Agreement), insert—

“Variation of contractual terms in respect of election to enter into a prototype agreement

20C.—(1) This regulation applies where the contractor and the Board elect to enter into a prototype agreement.

(2) Where this regulation applies, the terms of the agreement which have the same effect as the provisions specified in paragraph (3) must be varied in accordance with paragraphs (4) and (5) with effect from the day on which the prototype agreement commences and for the period ending at the end of the day which is the date of termination of the prototype agreement, which must be no later than 31st March 2018.

- (3) The provisions specified in this paragraph are—
 - (a) regulation 13 (units of dental activity);
 - (b) regulation 15 (under provision of units of dental activity or units of orthodontic activity), in relation to units of dental activity only;
 - (c) regulation 17 (finance);
 - (d) Part 1 of Schedule 2 (units of dental activity); and
 - (e) in Schedule 3 (other contractual terms)—
 - (i) paragraph 33(2) (patient records), which is a contractor’s discretion to keep patient records in electronic form,
 - (ii) paragraph 58 (mid-year reviews), and
 - (iii) paragraph 61(1)(a) and (3)(a) (variation of an agreement: activity under the agreement), which relate to units of dental activity.

(4) The agreement must include terms that have the effect of temporarily releasing the contractor and the Board from all of the obligations, conditions, payments, rights and liabilities relating to those terms (and only those terms) which have the same effect as the provisions specified in paragraph (3), including any right to enforce those terms.

(5) The General Dental Services Statement of Financial Entitlements 2013, signed on 28th March 2013, was amended by: the Primary Dental Services Statements of Financial Entitlements (Amendment) Directions 2014, signed on 16th April 2014; and the Primary Dental Services Statement of Financial Entitlements (Amendment) Directions 2015, signed on 30th July 2015. All of the aforementioned sets of Directions are available on www.gov.uk. A hard copy of these Directions can be obtained by writing to Dental and Eye Care Services, Policy and Legislation Unit, NHS Group, Room 201 Richmond House, 79 Whitehall, London, SW1A 2NS.

(5) The agreement must also include terms that have the effect of providing that, immediately after the date of the termination of the prototype agreement, the terms of the agreement that subsisted between the parties immediately before—

- (a) in the case of a contractor who held both a Capitation and Quality Scheme Agreement and a Capitation and Quality Scheme 2 Agreement, the Capitation and Quality Scheme Agreement commenced;
- (b) in the case of a contractor who held a Capitation and Quality Scheme 2 Agreement but not a Capitation and Quality Scheme Agreement, the Capitation and Quality Scheme 2 Agreement commenced; or
- (c) if neither sub-paragraph (a) nor (b) applies, the prototype agreement commenced, and from which the parties were temporarily released in accordance with paragraph (4), must apply from the day after the date of termination, and all obligations, conditions, payments, rights and liabilities relating to those terms are to be enforceable from that day.”.

Insertion of new Schedule 2A to the PDS Regulations

16. After Schedule 2 (provision of services: units of dental activity and units of orthodontic activity) insert—

“SCHEDULE 2A

Regulation 14A

Provision of services: units of dental activity where a contractor has elected to enter into a prototype agreement

1.—(1) Where a contractor holding a Blend A prototype agreement provides a banded course of treatment, for the purposes of the agreement the contractor is to be regarded as providing the number of units of dental activity specified in the appropriate row of Table A.

(2) Where a contractor holding a Blend B prototype agreement provides a banded course of treatment, for the purposes of the agreement the contractor is to be regarded as providing the number of units of dental activity specified in the appropriate row of Table B.

(3) Where a banded course of treatment is commenced under a prototype agreement but not completed for whatever reason, the appropriate number of units of dental activity provided is to be calculated on the basis of the components of the course of the treatment which have been —

- (a) completed; and
- (b) commenced but not completed.

(4) Where a patient is referred by the contractor for advanced mandatory services to another provider of primary dental services, the appropriate number of units of dental activity provided by—

- (a) that contractor; and
- (b) the other provider of primary dental services, if that provider is also a contractor,

must be calculated on the basis of the components of the course of treatment which each contractor actually provides, notwithstanding that the treatment constitutes a single banded course of treatment for charging purposes.

(5) Where the contractor provides a charge exempt course of treatment, for the purposes of the prototype agreement the contractor provides the number of units of dental activity specified in the appropriate row of Table C.

(6) For the purposes of this paragraph, “Blend A prototype agreement” and “Blend B prototype agreement” have the same meaning as in the Prototype SFE.

Table A

Units of dental activity provided under a Blend A prototype agreement in respect of banded courses of treatment

Type of course of treatment	Units of dental activity provided
Band 1 course of treatment (excluding urgent treatment)	0.0
Band 1 course of treatment (urgent treatment) where the patient is a capitated patient of the practice	0.0
Band 1 course of treatment (urgent treatment) where the patient is not a capitated patient of the practice	1.2
Band 2 course of treatment	2.0
Band 2 course of treatment where a patient is referred for advanced mandatory services by another provider	3.0
Band 3 course of treatment	11.0
Band 3 course of treatment where a patient is referred for advanced mandatory services by another provider	12.0

Table B

Units of dental activity provided under a Blend B prototype agreement in respect of banded courses of treatment

Type of course of treatment	Units of dental activity provided
Band 1 course of treatment (excluding urgent treatment)	0.0
Band 1 course of treatment (urgent treatment) where the patient is a capitated patient of the practice	0.0
Band 1 course of treatment (urgent treatment) where the patient is not a capitated patient of the practice	1.2
Band 2 course of treatment	0.0
Band 2 course of treatment where a patient is referred for advanced mandatory services by another provider	3.0
Band 3 course of treatment	9.0
Band 3 course of treatment where a patient is referred for advanced mandatory services by another provider	12.0

Table C

Units of dental activity provided under the prototype agreement in respect of charge exempt courses of treatment

Type of charge exempt course of treatment	Units of dental activity provided
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Status: This is the original version (as it was originally made). This item of legislation is currently only available in its original format.

Issue of a prescription	0.0
Repair of a dental appliance (denture) where the patient is a capitated patient of the practice	0.0
Repair of a dental appliance (denture) where the patient is not a capitated patient of the practice	1.0
Repair of a dental appliance (bridge) where the patient is a capitated patient of the practice	0.0
Repair of a dental appliance (bridge) where the patient is not a capitated patient of the practice	1.2
Removal of sutures where a patient is a capitated patient of the practice	0.0
Removal of sutures where a patient is not a capitated patient of the practice	1.0
Arrest of bleeding where the patient is a capitated patient of the practice	0.0
Arrest of bleeding where the patient is not a capitated patient of the practice	1.2”

Insertion of new paragraph 43A into Schedule 3 to the PDS Regulations

17. After paragraph 43 of Schedule 3 (other contractual terms – notifications to patients following a variation of the agreement), insert—

“Notification provisions specific to prototype agreements

43A. The contractor shall—

- (a) send to the Board details of any private treatment provided to a capitated patient attending the practice, in electronic form, whether or not this treatment is associated with services that are provided under the prototype agreement; and
- (b) provide to all patients attending for treatment at its practice a privacy notice in a form approved by the Board notifying them about the obligation referred to in sub-paragraph (a).”.