STATUTORY INSTRUMENTS

2013 No. 556

FINANCIAL SERVICES AND MARKETS

The Financial Services and Markets Act 2000 (PRA-regulated Activities) Order 2013

Made - - - - 7th March 2013

Coming into force in accordance with article 1

THE FINANCIAL SERVICES AND MARKETS ACT 2000 (PRA-REGULATED ACTIVITIES) ORDER 2013

- 1. Citation, commencement and interpretation
- 2. Regulated activities which are PRA-regulated activities
- 3. Dealing in investments as principal: designation by the PRA
- 4. Dealing in investments as principal: exercise of power to designate
- 5. Dealing in investments as principal: review of designations
- 6. Dealing in investments as principal: withdrawal of designation
- 7. Dealing in investment as principal: cessation of designation
- 8. Dealing in investments as principal: statement of policy
- 9. Statement of policy: procedure
- Transitional provisions where an authorised person becomes a PRAauthorised person
- 11. Transitional provisions where a person ceases to be a PRA-authorised person

Signature

Explanatory Note