
STATUTORY INSTRUMENTS

2013 No. 504

FINANCIAL SERVICES AND MARKETS

**The Financial Services and Markets Act 2000 (Over
the Counter Derivatives, Central Counterparties
and Trade Repositories) Regulations 2013**

Made - - - - *6th March 2013*
Laid before Parliament *7th March 2013*
Coming into force in accordance with regulation 1(2)

^{F1}The Treasury are designated(1) for the purposes of section 2(2) of the European Communities Act 1972(2) in relation to financial services.

The Treasury make the following Regulations in exercise of—

- (a) the powers conferred by section 2(2) of the European Communities Act 1972;
- (b) the powers conferred by sections 155(4) and (5), 186(1) and 187(3) of the Companies Act 1989(3) and now vested in them(4);
- (c) the powers conferred by sections 286 and 428(3) of the Financial Services and Markets Act 2000(5), with the approval of the Secretary of State required by section 286(2) of that Act.

The Treasury and the Secretary of State make the following Regulations in exercise of the powers conferred by sections 158(4) and (5), 174(2) to (4), 185 and 186(1) of the Companies Act 1989(6) and now vested in them jointly(7).

(1) [S.I. 2012/1759](#).
(2) [1972 c.68](#); section 2(2) was amended by section 27 of the Legislative and Regulatory Reform Act 2006 ([c. 51](#)) and by section 3 of, and the Schedule to, the European Union (Amendment) Act 2008 ([c. 7](#)).
(3) [1989 c.40](#).
(4) The powers originally vested in the Secretary of State under sections 155(4) and (5), and 187(3) were transferred to the Treasury by the Transfer of Functions (Financial Services) Order 1992 ([S.I. 1992/1315](#)). Powers originally vested in the Secretary of State under section 186(1) were transferred to the Treasury insofar as they relate to functions under those sections.
(5) [2000 c.8](#); section 286 was amended by [S.I. 2006/2975](#) and [2007/126](#) and section 30 of, and paragraph 2 of Schedule 8 to, the Financial Services Act 2012 ([c.21](#)).
(6) Section 185 was amended by [S.I. 2001/3649](#).
(7) The powers originally vested in the Secretary of State under sections 158(4) and (5), 174(2) to (4), and 185 of the Companies Act 1989 are now exercisable by the Secretary of State jointly with the Treasury: see the Transfer of Functions (Financial Services) Order 1992. Powers originally vested in the Secretary of State under section 186(1) are now exercisable by the Secretary of State jointly with the Treasury insofar as they relate to functions under those sections.

Changes to legislation: There are currently no known outstanding effects for the The Financial Services and Markets Act 2000 (Over the Counter Derivatives, Central Counterparties and Trade Repositories) Regulations 2013, Introductory Text. (See end of Document for details)

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Textual Amendments

F1 Regulations revoked (14.12.2023 for the revocation of reg. 60) by [Financial Services and Markets Act 2023 \(c. 29\)](#), s. 86(3), **Sch. 1 Pt. 2** (with s. 1(4)); S.I. 2023/1382, reg. 2(c)(iii)

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Modifications etc. (not altering text)

C1 Regulations: power to modify conferred (11.7.2023) by [Financial Services and Markets Act 2023 \(c. 29\)](#), ss. 3, 86(3), **Sch. 1 Pt. 2**; S.I. 2023/779, reg. 2(d)

Changes to legislation:

There are currently no known outstanding effects for the The Financial Services and Markets Act 2000 (Over the Counter Derivatives, Central Counterparties and Trade Repositories) Regulations 2013, Introductory Text.