
STATUTORY INSTRUMENTS

2013 No. 1773

FINANCIAL SERVICES AND MARKETS

[^{F1}The Alternative Investment Fund Managers Regulations 2013

Made - - - - *16th July 2013*

Coming into force *22nd July 2013*

**THE ALTERNATIVE INVESTMENT
FUND MANAGERS REGULATIONS 2013**

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Changes to legislation: The Alternative Investment Fund Managers Regulations 2013 is up to date with all changes known to be in force on or before 24 May 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes

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1. The purposes set out in paragraphs 2 to 25 are...
 2. (1) To specify how the thresholds referred to in regulation...
 3. To specify— (a) the methods of leverage, including any financial...
 4. To specify— (a) the risks the additional own funds or...
 5. To specify the criteria to be used by the FCA...
 6. To specify— (a) the types of conflicts of interest referred...
 7. To specify— (a) the risk management systems to be employed...
 8. To specify— (a) the liquidity management systems to be employed...
 9. To specify the administrative and accounting procedures, control and safeguard...
 10. To specify— (a) the criteria concerning the procedures for the...
 11. To specify— (a) the conditions for fulfilling the following requirements—...
 12. To specify— (a) the particulars that need to be included...
 13. To state, on the basis of the criteria specified under...
 14. To specify the content and format of the AIFM's annual...
 15. (1) To specify the obligations of AIFMs periodically to disclose...
 16. To specify— (a) when leverage is to be considered to...
 17. To set out principles specifying the circumstances in which the...
 18. (1) To specify— (a) the form and content of a...
 19. To make further provision in relation to the co-operation arrangements—...
 20. To determine the minimum content of the co-operation arrangements referred...
 21. (1) To determine the minimum content of the co-operation arrangements...
 22. To specify the procedures for coordination and exchange of information...
 23. To make provision regarding the co-operation arrangements referred to—
 24. To make provision for the procedures for the exchange of...
 25. To establish common procedures for the FCA and the Prudential...
- ### PART 2 — Directive functions transferred to the FCA
26. (1) The purpose set out in sub-paragraph (2) is specified...
- ### PART 3 — Powers to make technical standards transferred to the FCA
27. The purposes set out in paragraphs 28 to 31 are...
 28. To specify— (a) the information to be provided to the...
 29. To determine types of AIFMs, where relevant in the application...
 30. To specify the— (a) requirements applicable to the AIFMs under...
 31. To make provision for— (a) the manner in which a...

SCHEDULE 1 — AMENDMENTS TO PRIMARY LEGISLATION

PART 1 — Amendments to the Financial Services and Markets Act 2000

1. The Act is amended as follows.
2. In section 1A(6) (the Financial Conduct Authority) , after paragraph...
3. In section 1L(2) (supervision, monitoring and enforcement), after paragraph (a)...
4. In section 55H (refusal by FCA to vary permission at...
5. In section 55J (variation or cancellation on initiative of regulator)—...
6. In section 55V (determination of applications) after subsection (7) insert—...
7. In section 59 (approval for particular arrangements) after subsection (7B)...
8. In section 66(2)(b) (disciplinary powers) after sub-paragraph (i) omit “or”...

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9. In section 165 (regulators' power to require information: authorised persons...
10. In section 168(4) (appointment of persons to carry out investigations...
11. In section 193(1) (interpretation of Part 13) , in the...
12. In section 194 (general grounds on which power of intervention...
13. In section 195A (contravention by relevant EEA firm or EEA...
14. In section 199 (additional procedure for EEA firms in certain...
15. In section 204A(2) (meaning of “relevant requirement”) —
16. In section 237(3) (other definitions) in the definition of “a...
17. In section 261D(8) (authorisation orders for contractual schemes) for “permission...
18. Omit sections 270 and 271 and the preceding cross-heading (schemes...
19. In section 272 (individually recognised overseas schemes) , in subsection...
20. In section 277 (alteration of schemes and changes of operator,...
21. After section 277 insert— Regular provision of information relating to...
22. Omit the cross-heading “Schemes recognised under sections 270 and 272”...
23. In section 278 (rules as to scheme particulars) omit “270...
24. In section 279 (revocation of recognition)— (a) in the opening...
25. In section 280 (procedure)— (a) in subsection (1) for “give...
26. In section 281 (directions)— (a) in subsection (1) omit “270...
27. In section 380(6)(a) (injunctions) — (a) omit “or” after sub-paragraph...
28. In section 382(9)(a) (restitution orders) — (a) omit “or” after...
29. In section 384(7) (power of FCA or PRA to require...
30. In section 398 (misleading the FCA or PRA: residual cases)...
31. In section 417(1) (definitions) in the appropriate places insert— “...
32. In section 425 (expressions relating to authorisation elsewhere in the...
33. In Schedule 1ZA (the Financial Conduct Authority), in paragraph 23(2) (a)...
34. (1) Schedule 3 (EEA passport rights) is amended as...
35. In paragraph 2(2) of Schedule 5 (permission for open-ended investment...
36. In paragraph 2B of Schedule 6 (threshold conditions) —
PART 2 — Amendments to other primary legislation
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38. Fair Trading Act 1973
39. Companies Act 1989
40. Value Added Tax Act 1994
41. Terrorism Act 2000
42. Companies Act 2006
43. Charities Act (Northern Ireland) 2008
44. Charities Act 2011
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SCHEDULE 2 — AMENDMENTS TO SECONDARY LEGISLATION

PART 1 — Amendments to the Financial Services and Markets Act 2000 (Regulated Activities) Order 2001

1. Financial Services and Markets Act 2000 (Regulated Activities) Order 2001
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2. Rehabilitation of Offenders Act 1974 (Exceptions) Order 1975
3. Companies (No. 2) (Northern Ireland) Order 1990
4. Individual Savings Account Regulations 1998

5. Financial Services and Markets Act 2000 (Promotion of Collective Investment Schemes) (Exemptions) Order 2001
6. Financial Services and Markets Act 2000 (Collective Investment Schemes) Order 2001
7. Financial Services and Markets Act 2000 (Carrying on Regulated Activities by Way of Business) Order 2001
8. Financial Services and Markets Act 2000 (Exemption) Order 2001
9. Financial Services and Markets Act 2000 (Professions) (Non-Exempt Activities) Order 2001
10. Open-Ended Investment Companies Regulations 2001
11. Financial Services and Markets Act 2000 (Compensation Scheme: Electing Participants) Regulations 2001
12. Financial Services and Markets Act 2000 (Disclosure of Confidential Information) Regulations 2001
13. Financial Services and Markets Act 2000 (Consultation with Competent Authorities) Regulations 2001
14. Financial Services and Markets Act 2000 (EEA Passport Rights) Regulations 2001
15. Uncertificated Securities Regulations 2001
16. Financial Services and Markets Act 2000 (Collective Investment Schemes) (Designated Countries and Territories) Order 2003
17. Financial Conglomerates and Other Financial Groups Regulations 2004
18. Child Trust Funds Regulations 2004
19. Financial Services and Markets Act 2000 (Financial Promotion) Order 2005
20. Offshore Funds (Tax) Regulations 2009
21. Financial Services and Markets Act 2000 (Short Selling) Regulations 2012
22. Financial Services and Markets Act 2000 (Qualifying EU Provisions) Order 2013
23. Financial Services Act 2012 (Misleading Statements and Impressions) Order 2013
24. CRC Energy Efficiency Scheme Order 2013

Explanatory Note

Changes to legislation:

The Alternative Investment Fund Managers Regulations 2013 is up to date with all changes known to be in force on or before 24 May 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations.

[View outstanding changes](#)

Changes and effects yet to be applied to :

- reg. 2(1) amendment to earlier affecting provision S.I. 2013/1797 Sch. 1 para. 2(2) by [S.I. 2019/328 reg. 20\(3\)\(a\)](#) (This S.I. is amended by S.I. 2019/325, reg. 58; This amendment not applied to legislation.gov.uk. The original amendments by S.I. 2013/1797 are prospective)
- reg. 2(1) amendment to earlier affecting provision S.I. 2013/1797 Sch. 2 para. 3(2) (a) by [S.I. 2019/328 reg. 21\(2\)\(a\)](#) (This S.I. is amended by S.I. 2019/325, reg. 58; This amendment not applied to legislation.gov.uk. The original amendments by S.I. 2013/1797 are prospective)
- reg. 2(1) words inserted by [S.I. 2013/1797 Sch. 1 para. 2\(2\)\(a\)](#) (This amendment comes into force on the date specified by the delegated act adopted by the European Commission pursuant to Article 67.6 of the directive as the date when the rules set out in Articles 35 and 37 to 41 of the directive become applicable. No such date has as yet been specified)
- reg. 2(1) words inserted by [S.I. 2013/1797 Sch. 1 para. 2\(2\)\(d\)](#) (This amendment comes into force on the date specified by the delegated act adopted by the European Commission pursuant to Article 67.6 of the directive as the date when the rules set out in Articles 35 and 37 to 41 of the directive become applicable. No such date has as yet been specified)
- reg. 2(1) words inserted by [S.I. 2013/1797 Sch. 1 para. 2\(2\)\(e\)](#) (This amendment comes into force on the date specified by the delegated act adopted by the European Commission pursuant to Article 67.6 of the directive as the date when the rules set out in Articles 35 and 37 to 41 of the directive become applicable. No such date has as yet been specified)
- reg. 2(1) words omitted by [S.I. 2013/1797 Sch. 1 para. 2\(2\)\(b\)](#) (This amendment not applied to legislation.gov.uk. This amendment is omitted (20.2.2019) by virtue of S.I. 2019/328, reg. 20(3)(a)(ii) without ever being in force)
- reg. 2(1) words omitted by [S.I. 2013/1797 Sch. 1 para. 2\(2\)\(c\)\(i\)](#) (This amendment not applied to legislation.gov.uk. This amendment is omitted (20.2.2019) by virtue of S.I. 2019/328, reg. 20(3)(a)(ii) without ever being in force)
- reg. 2(1) words omitted by [S.I. 2013/1797 Sch. 2 para. 3\(2\)\(a\)](#) (This amendment comes into force on the date specified by the delegated act adopted by the European Commission pursuant to Article 68.6 of the directive as the date when the national regimes set out in Articles 36 and 42 of the directive are to be terminated. No such date has as yet been specified)
- reg. 2(1) words substituted by [S.I. 2013/1797 Sch. 1 para. 2\(2\)\(f\)](#) (This amendment comes into force on the date specified by the delegated act adopted by the European Commission pursuant to Article 67.6 of the directive as the date when the rules set out in Articles 35 and 37 to 41 of the directive become applicable. No such date has as yet been specified)
- reg. 5(1) words substituted by [S.I. 2013/1797 Sch. 1 para. 2\(4\)\(a\)](#) (This amendment comes into force on the date specified by the delegated act adopted by the European Commission pursuant to Article 67.6 of the directive as the date when the rules set out in Articles 35 and 37 to 41 of the directive become applicable. No such date has as yet been specified)
- reg. 5(3)(b) words substituted by [S.I. 2013/1797 Sch. 1 para. 2\(4\)\(b\)](#) (This amendment comes into force on the date specified by the delegated act adopted by the European Commission pursuant to Article 67.6 of the directive as the date when the rules set out in Articles 35 and 37 to 41 of the directive become applicable. No such date has as yet been specified)

- reg. 5(4) words substituted by [S.I. 2013/1797 Sch. 1 para. 2\(4\)\(c\)](#) (This amendment comes into force on the date specified by the delegated act adopted by the European Commission pursuant to Article 67.6 of the directive as the date when the rules set out in Articles 35 and 37 to 41 of the directive become applicable. No such date has as yet been specified)
- reg. 5(7) words substituted by [S.I. 2013/1797 Sch. 1 para. 2\(4\)\(d\)](#) (This amendment comes into force on the date specified by the delegated act adopted by the European Commission pursuant to Article 67.6 of the directive as the date when the rules set out in Articles 35 and 37 to 41 of the directive become applicable. No such date has as yet been specified)
- reg. 5(8) words substituted by [S.I. 2013/1797 Sch. 1 para. 2\(4\)\(e\)](#) (This amendment comes into force on the date specified by the delegated act adopted by the European Commission pursuant to Article 67.6 of the directive as the date when the rules set out in Articles 35 and 37 to 41 of the directive become applicable. No such date has as yet been specified)
- reg. 10(2)(c) substituted by [S.I. 2013/1797 Sch. 1 para. 2\(7\)](#) (This amendment comes into force on the date specified by the delegated act adopted by the European Commission pursuant to Article 67.6 of the directive as the date when the rules set out in Articles 35 and 37 to 41 of the directive become applicable. No such date has as yet been specified)
- reg. 13(3) words substituted by [S.I. 2019/328 reg. 5\(1\)\(a\)](#) (This S.I. is amended by S.I. 2019/325, reg. 58; This amendment not applied to legislation.gov.uk. The original amendments by S.I. 2013/1797 are prospective)
- reg. 13(3) words substituted by [S.I. 2019/328 reg. 5\(1\)\(b\)](#) (This S.I. is amended by S.I. 2019/325, reg. 58; This amendment not applied to legislation.gov.uk. The original amendments by S.I. 2013/1797 are prospective)
- reg. 29(1) words substituted by [S.I. 2013/1797 Sch. 1 para. 2\(8\)](#) (This amendment comes into force on the date specified by the delegated act adopted by the European Commission pursuant to Article 67.6 of the directive as the date when the rules set out in Articles 35 and 37 to 41 of the directive become applicable. No such date has as yet been specified)
- reg. 32(3) words omitted by [S.I. 2019/328 reg. 6\(4\)\(a\)](#) (This S.I. is amended by S.I. 2019/325, reg. 58; This amendment not applied to legislation.gov.uk. The original amendments by S.I. 2013/1797 are prospective)
- reg. 32(3) words substituted by [S.I. 2019/328 reg. 6\(4\)\(b\)](#) (This S.I. is amended by S.I. 2019/325, reg. 58; This amendment not applied to legislation.gov.uk. The original amendments by S.I. 2013/1797 are prospective)
- reg. 33 words inserted by [S.I. 2013/1797 Sch. 1 para. 2\(9\)](#) (This amendment comes into force on the date specified by the delegated act adopted by the European Commission pursuant to Article 67.6 of the directive as the date when the rules set out in Articles 35 and 37 to 41 of the directive become applicable. No such date has as yet been specified)
- reg. 34 substituted by [S.I. 2013/1797 Sch. 2 para. 3\(4\)](#) (This amendment comes into force on the date specified by the delegated act adopted by the European Commission pursuant to Article 68.6 of the directive as the date when the national regimes set out in Articles 36 and 42 of the directive are to be terminated. No such date has as yet been specified)
- reg. 49 amendment to earlier affecting provision S.I. 2013/1797 Sch. 1 para. 2(10) by [S.I. 2019/328 reg. 20\(3\)\(e\)](#) (This S.I. is amended by S.I. 2019/325, reg. 58; This amendment not applied to legislation.gov.uk. The original amendments by S.I. 2013/1797 are prospective)
- reg. 49 heading words omitted by [S.I. 2013/1797 Sch. 1 para. 2\(10\)\(a\)](#) (This amendment not applied to legislation.gov.uk. This amendment is omitted (20.2.2019) by virtue of S.I. 2019/328, reg. 20(3)(e) without ever being in force)
- reg. 49 words omitted by [S.I. 2013/1797 Sch. 1 para. 2\(10\)\(b\)](#) (This amendment not applied to legislation.gov.uk. This amendment is omitted (20.2.2019) by virtue of S.I. 2019/328, reg. 20(3)(e) without ever being in force)

- reg. 49(a) word inserted by [S.I. 2013/1797 Sch. 2 para. 3\(5\)\(a\)](#) (This amendment comes into force on the date specified by the delegated act adopted by the European Commission pursuant to Article 68.6 of the directive as the date when the national regimes set out in Articles 36 and 42 of the directive are to be terminated. No such date has as yet been specified)
- reg. 49(a) word omitted by [S.I. 2013/1797 Sch. 1 para. 2\(10\)\(c\)](#) (This amendment not applied to legislation.gov.uk. This amendment is omitted (20.2.2019) by virtue of S.I. 2019/328, reg. 20(3)(e) without ever being in force)
- reg. 49(b) word omitted by [S.I. 2013/1797 Sch. 2 para. 3\(5\)\(b\)](#) (This amendment comes into force on the date specified by the delegated act adopted by the European Commission pursuant to Article 68.6 of the directive as the date when the national regimes set out in Articles 36 and 42 of the directive are to be terminated. No such date has as yet been specified)
- reg. 50 amendment to earlier affecting provision S.I. 2013/1797 Sch. 1 para. 2(11) by [S.I. 2019/328 reg. 20\(3\)\(f\)](#) (This S.I. is amended by S.I. 2019/325, reg. 58; This amendment not applied to legislation.gov.uk. The original amendments by S.I. 2013/1797 are prospective)
- reg. 50 Table substituted by [S.I. 2013/1797 Sch. 1 para. 2\(11\)](#) (This amendment comes into force on the date specified by the delegated act adopted by the European Commission pursuant to Article 67.6 of the directive as the date when the rules set out in Articles 35 and 37 to 41 of the directive become applicable. No such date has as yet been specified)
- reg. 50 substituted by [S.I. 2013/1797 Sch. 2 para. 3\(6\)](#) (This amendment comes into force on the date specified by the delegated act adopted by the European Commission pursuant to Article 68.6 of the directive as the date when the national regimes set out in Articles 36 and 42 of the directive are to be terminated. No such date has as yet been specified)
- reg. 54(1) amendment to earlier affecting provision S.I. 2013/1797 Sch. 1 para. 2(12) (a) by [S.I. 2019/328 reg. 20\(3\)\(g\)](#) (This S.I. is amended by S.I. 2019/325, reg. 58; This amendment not applied to legislation.gov.uk. The original amendments by S.I. 2013/1797 are prospective)
- reg. 54(1) substituted by [S.I. 2013/1797 Sch. 1 para. 2\(12\)\(a\)](#) (This amendment comes into force on the date specified by the delegated act adopted by the European Commission pursuant to Article 67.6 of the directive as the date when the rules set out in Articles 35 and 37 to 41 of the directive become applicable. No such date has as yet been specified)
- reg. 56 amendment to earlier affecting provision S.I. 2013/1797 Sch. 2 para. 3(7) by [S.I. 2019/328 reg. 21\(2\)\(b\)](#) (This S.I. is amended by S.I. 2019/325, reg. 58; This amendment not applied to legislation.gov.uk. The original amendments by S.I. 2013/1797 are prospective)
- reg. 57-64 omitted by [S.I. 2013/1797 Sch. 2 para. 3\(8\)](#) (This amendment comes into force on the date specified by the delegated act adopted by the European Commission pursuant to Article 68.6 of the directive as the date when the national regimes set out in Articles 36 and 42 of the directive are to be terminated. No such date has as yet been specified)
- reg. 57 heading omitted by [S.I. 2013/1797 Sch. 2 para. 3\(8\)](#) (This amendment comes into force on the date specified by the delegated act adopted by the European Commission pursuant to Article 68.6 of the directive as the date when the national regimes set out in Articles 36 and 42 of the directive are to be terminated. No such date has as yet been specified)
- reg. 57(1)(b) words substituted by [S.I. 2019/328 reg. 10\(8\)\(b\)\(i\)](#) (This amendment not applied to legislation.gov.uk. Reg. 10(8)(b)(i) omitted immediately before IP completion day by virtue of S.I. 2019/710, regs. 1(2), 13(a))
- reg. 57(3) words substituted by [S.I. 2013/1797 Sch. 1 para. 2\(13\)\(a\)](#) (This amendment comes into force on the date specified by the delegated act adopted by the European Commission pursuant to Article 67.6 of the directive as the date when the rules set out in Articles 35 and 37 to 41 of the directive become applicable. No such date has as yet been specified)

- reg. 57(5) words substituted by [S.I. 2013/1797 Sch. 1 para. 2\(13\)\(b\)](#) (This amendment comes into force on the date specified by the delegated act adopted by the European Commission pursuant to Article 67.6 of the directive as the date when the rules set out in Articles 35 and 37 to 41 of the directive become applicable. No such date has as yet been specified)
- reg. 58(1) words substituted by [S.I. 2013/1797 Sch. 1 para. 2\(14\)\(a\)](#) (This amendment comes into force on the date specified by the delegated act adopted by the European Commission pursuant to Article 67.6 of the directive as the date when the rules set out in Articles 35 and 37 to 41 of the directive become applicable. No such date has as yet been specified)
- reg. 58(3) words substituted by [S.I. 2013/1797 Sch. 1 para. 2\(14\)\(b\)](#) (This amendment comes into force on the date specified by the delegated act adopted by the European Commission pursuant to Article 67.6 of the directive as the date when the rules set out in Articles 35 and 37 to 41 of the directive become applicable. No such date has as yet been specified)
- reg. 59(1) words substituted by [S.I. 2013/1797 Sch. 1 para. 2\(15\)\(a\)](#) (This amendment comes into force on the date specified by the delegated act adopted by the European Commission pursuant to Article 67.6 of the directive as the date when the rules set out in Articles 35 and 37 to 41 of the directive become applicable. No such date has as yet been specified)
- reg. 71(5)(c)-(e) omitted by [S.I. 2013/1797 Sch. 2 para. 3\(9\)](#) (This amendment comes into force on the date specified by the delegated act adopted by the European Commission pursuant to Article 68.6 of the directive as the date when the national regimes set out in Articles 36 and 42 of the directive are to be terminated. No such date has as yet been specified)

Changes and effects yet to be applied to the whole Instrument associated Parts and Chapters:

Whole provisions yet to be inserted into this Instrument (including any effects on those provisions):

- reg. 2(1)(c) words inserted by [S.I. 2013/1797 Sch. 1 para. 2\(2\)\(c\)\(ii\)](#) (This amendment not applied to legislation.gov.uk. This amendment is omitted (20.2.2019) by virtue of [S.I. 2019/328, reg. 20\(3\)\(a\)\(ii\)](#) without ever being in force)
- reg. 2(4) inserted by [S.I. 2013/1797 Sch. 1 para. 2\(3\)](#) (This amendment comes into force on the date specified by the delegated act adopted by the European Commission pursuant to Article 67.6 of the directive as the date when the rules set out in Articles 35 and 37 to 41 of the directive become applicable. No such date has as yet been specified)
- reg. 5A amendment to earlier affecting provision [S.I. 2013/1797 Sch. 1 para. 2\(5\)](#) by [S.I. 2019/328 reg. 20\(3\)\(b\)](#) (This S.I. is amended by [S.I. 2019/325, reg. 58](#); This amendment not applied to legislation.gov.uk. The original amendments by [S.I. 2013/1797](#) are prospective)
- reg. 5A inserted by [S.I. 2013/1797 Sch. 1 para. 2\(5\)](#) (This amendment comes into force on the date specified by the delegated act adopted by the European Commission pursuant to Article 67.6 of the directive as the date when the rules set out in Articles 35 and 37 to 41 of the directive become applicable. No such date has as yet been specified)
- reg. 88A amendment to earlier affecting provision [S.I. 2013/1797 Sch. 1 para. 2\(6\)](#) by [S.I. 2019/328 reg. 20\(3\)\(c\)](#) (This S.I. is amended by [S.I. 2019/325, reg. 58](#); This amendment not applied to legislation.gov.uk. The original amendments by [S.I. 2013/1797](#) are prospective)
- reg. 88A substituted for reg. 8 by [S.I. 2013/1797 Sch. 1 para. 2\(6\)](#) (This amendment not applied to legislation.gov.uk. This amendment is omitted (20.2.2019) by virtue of [S.I. 2019/328, reg. 20\(3\)\(c\)](#) without ever being in force)
- reg. 29(1)(b) substituted by [S.I. 2013/1797 Sch. 2 para. 3\(3\)](#) (This amendment comes into force on the date specified by the delegated act adopted by the European

Commission pursuant to Article 68.6 of the directive as the date when the national regimes set out in Articles 36 and 42 of the directive are to be terminated. No such date has as yet been specified)

- reg. 49(c) and word inserted by [S.I. 2013/1797 Sch. 1 para. 2\(10\)\(d\)](#) (This amendment not applied to legislation.gov.uk. This amendment is omitted (20.2.2019) by virtue of S.I. 2019/328, reg. 20(3)(e) without ever being in force)
- reg. 49(c) omitted by [S.I. 2013/1797 Sch. 2 para. 3\(5\)\(c\)](#) (This amendment comes into force on the date specified by the delegated act adopted by the European Commission pursuant to Article 68.6 of the directive as the date when the national regimes set out in Articles 36 and 42 of the directive are to be terminated. No such date has as yet been specified)
- reg. 54(9) amendment to earlier affecting provision S.I. 2013/1797 Sch. 1 para. 2(12)(b) by [S.I. 2019/328 reg. 20\(3\)\(h\)](#) (This S.I. is amended by S.I. 2019/325, reg. 58; This amendment not applied to legislation.gov.uk. The original amendments by S.I. 2013/1797 are prospective)
- reg. 54(9)(10) inserted by [S.I. 2013/1797 Sch. 1 para. 2\(12\)\(b\)](#) (This amendment comes into force on the date specified by the delegated act adopted by the European Commission pursuant to Article 67.6 of the directive as the date when the rules set out in Articles 35 and 37 to 41 of the directive become applicable. No such date has as yet been specified)
- reg. 56-56C substituted for reg. 56 by [S.I. 2013/1797 Sch. 2 para. 3\(7\)](#) (This amendment comes into force on the date specified by the delegated act adopted by the European Commission pursuant to Article 68.6 of the directive as the date when the national regimes set out in Articles 36 and 42 of the directive are to be terminated. No such date has as yet been specified)
- reg. 59(5) amendment to earlier affecting provision S.I. 2013/1797 Sch. 1 para. 2(15)(b) by [S.I. 2019/328 reg. 20\(3\)\(i\)\(ii\)](#) (This S.I. is amended by S.I. 2019/325, reg. 58; This amendment not applied to legislation.gov.uk. The original amendments by S.I. 2013/1797 are prospective)
- reg. 59(5)(6) inserted by [S.I. 2013/1797 Sch. 1 para. 2\(15\)\(b\)](#) (This amendment comes into force on the date specified by the delegated act adopted by the European Commission pursuant to Article 67.6 of the directive as the date when the rules set out in Articles 35 and 37 to 41 of the directive become applicable. No such date has as yet been specified)