
STATUTORY INSTRUMENTS

2013 No. 1388

^{F1}FINANCIAL SERVICES AND MARKETS

**The Collective Investment in Transferable
Securities (Contractual Scheme) Regulations 2013**

Made - - - - 5th June 2013

Coming into force in accordance with regulation 1

**THE COLLECTIVE INVESTMENT IN TRANSFERABLE
SECURITIES (CONTRACTUAL SCHEME) REGULATIONS 2013**

PART 1

CITATION, COMMENCEMENT AND INTERPRETATION

1. Citation and commencement
2. Interpretation

PART 2

AMENDMENTS TO PRIMARY LEGISLATION

3. Amendments to the Financial Services and Markets Act 2000
4. Amendment to the Stock Transfer Act 1963
5. Amendment to the Corporation Tax Act 2010
6. Amendment to the Financial Services Act 2012

PART 3

AMENDMENTS TO SECONDARY LEGISLATION

7. The Rehabilitation of Offenders Act 1974 (Exceptions) Order 1975
8. The Rehabilitation of Offenders (Exceptions) Order (Northern Ireland) 1979
9. The Financial Services and Markets Act 2000 (Regulated Activities) Order 2001
10. The Financial Services and Markets Act 2000 (Promotion of Collective Investment Schemes) (Exemptions) Order 2001
11. The Financial Services and Markets Act 2000 (Collective Investment Schemes) Order 2001

Changes to legislation: There are currently no known outstanding effects for the The Collective Investment in Transferable Securities (Contractual Scheme) Regulations 2013. (See end of Document for details)

12. The Financial Services and Markets Act 2000 (Stakeholder Products) Regulations 2004
13. The Limited Partnerships (Forms) Rules 2009
14. The Undertakings for Collective Investment in Transferable Securities Regulations 2011
15. The Rehabilitation of Offenders Act 1974 (Exclusions and Exceptions) (Scotland) Order 2013

PART 4

MODIFICATION OF THE LIMITED PARTNERSHIPS ACT 1907

16. Partnership schemes

PART 5

WINDING UP INSOLVENT CONTRACTUAL SCHEMES

17. Co-ownership schemes: winding up by the court
18. Partnership schemes: liability of the general partner (England and Wales and Northern Ireland)
19. Partnership schemes: liability of the general partner (Scotland)

PART 6

TRANSFER OF UNITS IN CONTRACTUAL SCHEMES
BY MEANS OF ELECTRONIC COMMUNICATION

20. Interpretation of Part
21. Dispositions of units in co-ownership schemes
22. Gratuitous unilateral obligations relating to units in authorised contractual schemes
23. Grants and assignments of any trust or confidence

PART 7

TRANSITIONAL PROVISION IN RELATION TO PERMISSION GIVEN
UNDER PART 4A OF THE FINANCIAL SERVICES AND MARKETS ACT 2000

24. Transitional provision: depositaries of authorised contractual schemes

PART 8

REVIEW

25. Review
Signature

SCHEDULE 1 — Form for registering changes to limited partnerships

SCHEDULE 2 — Co-ownership schemes: application of the Insolvency Act 1986 and the Insolvency (Northern Ireland) Order 1989

PART 1 — Interpretation

1. In this Schedule and in Schedules 3, 4 and 5—...
2. Unless the context otherwise requires, in this Schedule and in...

PART 2 — Application of the 1986 Act and the 1989 Order with modifications

3. In relation to the winding up of a relevant scheme...
4. In relation to the winding up of a relevant scheme...
5. Unless the context otherwise requires and subject to any modification...
6. The modifications relating to provision about creditors' meetings and creditors'...

PART 3 — Table of applied provisions of the 1986 Act

PART 4 — Table of applied provisions of the 1989 Order

SCHEDULE 3 — Co-ownership schemes: application of the Insolvency Rules 1986

PART 1 — Application of Rules with modifications

1. In relation to the winding up of a relevant scheme...
2. Unless the context otherwise requires and subject to any modification...

PART 2 — Table of specific modifications of the Insolvency Rules 1986

SCHEDULE 4 — Co-ownership schemes: application of the Insolvency (Scotland) Rules 1986

PART 1 — Application of Rules with modifications

1. In relation to the winding up of a relevant scheme...
2. Unless the context otherwise requires and subject to any modification...

PART 2 — Table of specific modifications of the Insolvency (Scotland) Rules 1986

SCHEDULE 5 — Co-ownership schemes: application of the Insolvency Rules (Northern Ireland) 1991

PART 1 — Application of Rules with modifications

1. In relation to the winding up of a relevant scheme...
2. Unless the context otherwise requires and subject to any modification...
3. A reference to the registrar is to be read as...

PART 2 — Table of specific modifications of the Insolvency Rules (Northern Ireland) 1991

Explanatory Note

Changes to legislation:

There are currently no known outstanding effects for the The Collective Investment in Transferable Securities (Contractual Scheme) Regulations 2013.