STATUTORY INSTRUMENTS

2011 No. 988

The Waste (England and Wales) Regulations 2011

PART 1

General

Citation, commencement and extent

1.—(1) These Regulations may be cited as the Waste (England and Wales) Regulations 2011.

(2) Subject to paragraph (3), they come into force on the day after the day on which they are made.

(3) Regulation 12 comes into force at the end of the period of 6 months beginning with the day on which these Regulations are made.

(4) These Regulations extend to England and Wales.

Application

2. Subject to regulation 47(2), these Regulations do not apply in relation to waste which is excluded from the scope of the Waste Framework Directive by Article 2(1), (2) or (3) of that Directive.

Interpretation

3.—(1) In these Regulations—

"appropriate authority" means-

- (a) in relation to England, the Secretary of State;
- (b) in relation to Wales, the Welsh Ministers;

[^{F1}"appropriate body" means—

- (a) in relation to England, the Environment Agency;
- (b) in relation to Wales, the Natural Resources Body for Wales;]

"controlled waste" has the meaning given in section 75(4) of the Environmental Protection Act 1990^{M1} ;

[^{F2}"EU-derived domestic legislation" has the meaning given by section 2(2) of the European Union (Withdrawal) Act 2018;]

"hazardous waste"-----

- (a) in relation to England has the meaning given in regulation 6 of the Hazardous Waste (England and Wales) Regulations 2005 ^{M2};
- (b) in relation to Wales has the meaning given in regulation 6 of the Hazardous Waste (Wales) Regulations 2005 ^{M3};

[^{F3}"Industrial Emissions Directive" means Directive 2010/75/EC of the European Parliament and of the Council on industrial emissions (integrated pollution prevention control), read in accordance with regulation 3A;

"Landfill Directive" means Council Directive 1999/31/EC on the landfill of waste, as last amended by Directive (EU) 2018/850, read in accordance with regulation 3B;

"local authority" means-

- (a) in England outside Greater London—
 - (i) a district council,
 - (ii) a county council, or
 - (iii) the Council of the Isles of Scilly;
- (b) in Greater London—
 - (i) the council of a London borough,
 - (ii) the Common Council of the City of London,
 - (iii) the Sub-Treasurer of the Inner Temple, or
 - (iv) the Under-Treasurer of the Middle Temple;
- (c) in Wales—
 - (i) a county council, or
 - (ii) a county borough council;

"Mining Waste Directive" means Directive 2006/21/EC of the European Parliament and of the Council on the management of waste from extractive industries, read in accordance with regulation 3C;]

[^{F4}"the List of Wastes" means the list of wastes established by Commission Decision 2000/532/EC replacing Decision 94/3/EC establishing a list of wastes pursuant to Article 1(a) of Council Directive 75/442/EEC on waste and Council Decision 94/904/EC establishing a list of hazardous waste pursuant to Article 1(4) of Council Directive 91/689/EEC on hazardous waste, as amended from time to time;]

"national waste management plan" means a waste management plan prepared by an appropriate authority;

"waste collection authority" means an authority which is a waste collection authority for the purposes of Part 2 of the Environmental Protection Act 1990^{M4};

[^{F5}"the Waste Framework Directive" means Directive 2008/98/EC of the European Parliament and of the Council on waste][^{F6}, as last amended by][^{F7}Directive (EU) 2018/851][^{F8}and as read in accordance with regulation 3D];

"waste management plan" has the meaning given in regulation 7(1);

[^{F9}"waste prevention measures" means measures taken before a substance, material or product has become waste that reduce—

- (a) the quantity of waste, including through the re-use of products or the extension of the life span of products;
- (b) the adverse impacts of generated waste on the environment and human health; or
- (c) the content of hazardous substances in materials and products;]

"waste prevention programme" has the meaning given in regulation 4(1) and (2).

(2) Terms which are used but not defined in these Regulations and are used in the Waste Framework Directive have the same meaning as in that Directive.

Changes to legislation: The Waste (England and Wales) Regulations 2011, PART 1 is up to date with all changes known to be in force on or before 23 March 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes

- F1 Words in reg. 3(1) inserted (1.4.2013) by The Natural Resources Body for Wales (Functions) Order 2013 (S.I. 2013/755), art. 1(2), Sch. 4 para. 403 (with Sch. 7)
- F2 Words in reg. 3(1) inserted (31.12.2020) by The Waste (Miscellaneous Amendments) (EU Exit) (No. 2) Regulations 2019 (S.I. 2019/188), regs. 1(2)(b), 16(2)(a) (as amended by S.I. 2020/1540, regs. 1(2), 11(2)); 2020 c. 1, Sch. 5 para. 1(1)
- F3 Words in reg. 3(1) inserted (31.12.2020) by The Waste (Miscellaneous Amendments) (EU Exit) (No. 2) Regulations 2019 (S.I. 2019/188), regs. 1(2)(b), 16(2)(b) (as amended by S.I. 2020/1540, regs. 1(2)(3), 11(2)(10)(a)); 2020 c. 1, Sch. 5 para. 1(1)
- F4 Words in reg. 3(1) inserted (E.) (1.7.2015) by The Hazardous Waste (Miscellaneous Amendments) Regulations 2015 (S.I. 2015/1360), regs. 1(1), 8(2) (with Sch. 2) Words in reg. 3(1) inserted (W.) (20.7.2015) by The Hazardous Waste (Miscellaneous Amendments) (Wales) Regulations 2015 (S.I. 2015/1417), regs. 1(2), 5(2)
- F5 Words in reg. 3(1) substituted (W.) (31.7.2016) by The Waste (Meaning of Recovery) (Miscellaneous Amendments) (Wales) Regulations 2016 (S.I. 2016/691), regs. 1(2), 5(2); Words in reg. 3(1) substituted (3.8.2016) by The Waste (Meaning of Recovery) (Miscellaneous Amendments) Regulations 2016 (S.I. 2016/738), regs. 1(1), 10
- F6 Words in reg. 3(1) inserted (W.) (5.7.2018) by The Hazardous Waste (Miscellaneous Amendments) (Wales) Regulations 2018 (S.I. 2018/721), regs. 1(2), 5; Words in reg. 3(1) inserted (E.) (5.7.2018) by The Environment, Food and Rural Affairs (Miscellaneous Amendments) (England) Regulations 2018 (S.I. 2018/575), regs. 1(2)(b), 7
- F7 Words in reg. 3(1) substituted (1.10.2020) by The Waste (Circular Economy) (Amendment) Regulations 2020 (S.I. 2020/904), regs. 1(1), **15(2)(a)**
- F8 Words in reg. 3(1) inserted (31.12.2020) by The Waste (Miscellaneous Amendments) (EU Exit) (No. 2) Regulations 2019 (S.I. 2019/188), regs. 1(2)(b), 16(2)(c) (as amended by S.I. 2020/1540, regs. 1(2), 11(2)); 2020 c. 1, Sch. 5 para. 1(1)
- **F9** Words in reg. 3(1) inserted (1.10.2020) by The Waste (Circular Economy) (Amendment) Regulations 2020 (S.I. 2020/904), regs. 1(1), **15(2)(b)**

Marginal Citations

- M1 1990 c. 43. The definition of "controlled waste" depends on the definitions of "household waste" in section 75(5), "industrial waste" in section 75(6) and "commercial waste" in section 75(7). Section 75(6) and (7) was amended by S.I. 2006/937.
- M2 S.I. 2005/894, to which there are amendments not relevant to these Regulations.
- M3 S.I. 2005/1806 (W. 138), to which there are amendments not relevant to these Regulations.
- M4 See section 30(3), which was amended by the Local Government (Wales) Act 1994, sections 22(3) and 66(8) and paragraph 17(3) of Schedule 9.

[^{F10}Modification of the Industrial Emissions Directive

3A. For the purposes of these Regulations, the Industrial Emissions Directive is to be read as if-

- (a) in Article 3—
 - (i) in paragraph 1(a), for the words from "Article 1" to the end there were substituted "Article 4(78) of Council Directive 2013/59/Euratom laying down basic safety standards for protection against the dangers arising from exposure to ionising radiation";
 - (ii) in paragraph 10(b), for "Member State in question" there were substituted "United Kingdom";
 - (iii) in paragraph 23, for the words from "point 1" to the end there were substituted " point 1 of the second subparagraph of Article 2 of Council Directive 2009/158/EC

on animal health conditions governing intra-Community trade in, and imports from third countries of, poultry and hatching eggs ";

- (iv) in paragraph 37, for the words from "Directive 2008/98/EC" to the end there were substituted " the Waste Framework Directive, read with Articles 5 and 6 of that Directive ";
- (b) in Annex 1—
 - (i) in the words before point 1, the second paragraph were omitted;
 - (ii) in point 5.3—
 - (aa) in point (a), in the words before point (i), for "Council Directive 91/271/ EEC of 21 May 1991 concerning urban waste-water treatment" there were substituted " the Urban Waste Water Treatment (England and Wales) Regulations 1994 ";
 - (bb) in point (b), in the words before point (i), for "Directive 91/271/EEC" there were substituted " the Urban Waste Water Treatment (England and Wales) Regulations 1994 ";
 - (ii) in point 5.4, the reference to Council Directive 1999/31/EC of 26 April 1999 on the landfill of waste were a reference to the Landfill Directive;
 - (iii) in point 6.9, for "Directive 2009/31/EC" there were substituted " the EU-derived domestic legislation which transposed Directive 2009/31/EC ";
 - (iv) in point 6.11, for "Directive 91/271/EEC" there were substituted " the Urban Waste Water Treatment (England and Wales) Regulations 1994 ".
- F10 Regs. 3A-3E inserted (31.12.2020) by The Waste (Miscellaneous Amendments) (EU Exit) (No. 2) Regulations 2019 (S.I. 2019/188), regs. 1(2)(b), 16(3) (as amended by S.I. 2019/1078, regs. 1, 4(4)(a) and S.I. 2020/1540, regs. 1(2)(3), 11(2)(10)(b)-(d)); 2020 c. 1, Sch. 5 para. 1(1)

Modification of the Landfill Directive

3B.—(1) For the purposes of these Regulations, the Landfill Directive is to be read in accordance with this regulation.

- (2) Article 2 is to be read as if—
 - (a) in point (a)—
 - (i) "waste'," were omitted; and
 - (ii) for "Directive 2008/98/EC" there were substituted "the Waste Framework Directive";
 - (aa) after point (a) there were inserted—
 - "(aa) 'waste' means anything that—
 - (i) is waste within the meaning of Article 3(1) of the Waste Framework Directive, as read with Articles 5 and 6 of that Directive, and
 - (ii) is not excluded from the scope of that Directive by Article 2(1), (2) or (3) of that Directive;";
 - (b) for point (c) there were substituted—
 - "(c) 'hazardous waste' has the meaning given in Article 3(2) of the Waste Framework Directive.".
- (3) Article 3 is to be read as if—

- (a) in paragraph 2, "Without prejudice to existing Community legislation" were omitted;
- (b) for paragraph 3 there were substituted—

"3. The management of extractive waste, within the meaning given in regulation 2(1) of the Environmental Permitting (England and Wales) Regulations 2016, is excluded from the scope of this Directive where it falls within the scope of Schedule 20, or paragraph 8(a) or (b) of Schedule 22, to those Regulations."

F10 Regs. 3A-3E inserted (31.12.2020) by The Waste (Miscellaneous Amendments) (EU Exit) (No. 2) Regulations 2019 (S.I. 2019/188), regs. 1(2)(b), 16(3) (as amended by S.I. 2019/1078, regs. 1, 4(4)(a) and S.I. 2020/1540, regs. 1(2)(3), 11(2)(10)(b)-(d)); 2020 c. 1, Sch. 5 para. 1(1)

Modification of the Mining Waste Directive

3C. (1) For the purposes of these Regulations, the Mining Waste Directive is to be read in accordance with this regulation.

(2) A reference to one or more member States in a provision imposing an obligation or providing a discretion on a member State or member States is to be read as a reference to the appropriate authority, appropriate body or local authority which, immediately before IP completion day, was responsible for the United Kingdom's compliance with that obligation or able to exercise that discretion in England or Wales.

(3) A reference to "competent authority" or "competent authorities" is to be read as a reference to the appropriate body.

- (4) Article 2 is to be read as if—
 - (a) in paragraph 2(c), the reference to Article 11(3)(j) of Directive 2000/60/EC of the European Parliament and of the Council establishing a framework for Community action in the field of water policy were a reference to that Article read in accordance with regulation 3E;
 - (b) paragraphs 3 and 4 were omitted.
- (5) Article 3 is to be read as if—
 - (a) in point (1), for "Article 1(a) of Directive 75/442/EEC" there were substituted "Article 3(1) of the Waste Framework Directive, as read with Articles 5 and 6 of that Directive ";
 - (b) in point (2), for "Article 1(4) of Council Directive 91/689/EEC of 12 December 1991 on hazardous waste" there were substituted "Article 3(2) of the Waste Framework Directive ";
 - (c) in point (4), for the words from "the national law" to the end there were substituted " national law";
 - (d) in point (17), for "Directive 67/548/EEC or Directive 1999/45/EC" there were substituted " Regulation (EC) No 1272/2008 of the European Parliament and of the Council on classification, labelling and packaging of substances and mixtures ";
 - (e) in point (18), for "Article 2(11) of Directive 96/61/EC" there were substituted "Article 3(10) of the Industrial Emissions Directive ";
 - (f) in point (24), for the words from "the national law" to "takes place" there were substituted "national law";
 - (g) in point (26), for the words from "the national" to "operates," there were substituted " national law";
 - (h) point (27) were omitted.

- (6) Article 5 is to be read as if—
 - (a) in paragraphs 2(a)(iii) and (b) "at Community level" were omitted;
 - (b) in paragraph 3, in the first subparagraph, in point (g), for "Directive 2000/60/EC" there were substituted " the EU-derived domestic legislation which transposed Directive 2000/60/EC of the European Parliament and of the Council in respect of England and Wales ";
 - (c) in paragraph 5, "or Community" were omitted;
 - (d) paragraph 6 were omitted.
- (7) Article 6(2) is to be read as if the words from "Without" to "92/104/EEC," were omitted.
- (8) Article 7 is to be read as if—
 - (a) in paragraph 1, in the second subparagraph, in the first sentence, "or Community" were omitted;
 - (b) in paragraph 2(e), the reference to Directive 85/337/EEC were a reference to the EUderived domestic legislation which transposed Directive 2011/92/EU of the European Parliament and of the Council on the assessment of the effects of certain public and private projects on the environment in respect of England and Wales;
 - (c) in paragraph 3(b), for "Article 7 of Directive 75/442/EEC" there were substituted "Article 13 of the Waste Framework Directive ";
 - (d) in paragraph 4, the third indent were omitted;
 - (e) in paragraph 5, for "and Community" were omitted.
- (9) Article 10 is to be read as if paragraph 2 were omitted.
- (10) Article 11(2)(a) is to be read as if—
 - (a) "Community or" were omitted;
 - (b) for "Directives 76/464/EEC, 80/68/EEC and 2000/60/EC" there were substituted "the EUderived domestic legislation which transposed Directive 2000/60/EC in respect of England and Wales ".
- (11) Article 12 is to be read as if-
 - (a) in paragraph 4, "national or Community" were omitted;
 - (b) in paragraph 5, for the words from "Community" to "2000/60/EC" there were substituted " retained EU law, in particular the EU-derived domestic legislation which transposed Directive 2000/60/EC in respect of England and Wales".
- (12) Article 13 is to be read as if—
 - (a) in paragraph 1, in the words before point (a)—
 - (i) "Community" were omitted;
 - (ii) for "Directive 2000/60/EC" there were substituted " the EU-derived domestic legislation which transposed Directive 2000/60/EC in respect of England and Wales ";
 - (b) in paragraph 3, for "Directives 76/464/EEC, 80/68/EEC or 2000/60/EC" there were substituted " the EU-derived domestic legislation which transposed Directive 2000/60/EC in respect of England and Wales ";
 - (c) in paragraph 4, for "Directives 76/464/EEC, 80/68/EEC and 2000/60/EC" there were substituted " the EU-derived domestic legislation which transposed Directive 2000/60/EC in respect of England and Wales ";
 - (d) in paragraph 5, in the second sentence—

- (i) for "Community" there were substituted " retained EU law ";
- (ii) for "Directive 2000/60/EC" there were substituted " the EU-derived domestic legislation which transposed Directive 2000/60/EC in respect of England and Wales
- (13) Article 24(4) is to be read as if, in the second indent Community or" were omitted.
- (14) Annex 3 is to be read as if—
 - (a) in the second indent, for "under Directive 91/689/EEC" there were substituted " the Waste Framework Directive ";
 - (b) in the third indent, for "Directives 67/548/EEC or 1999/45/EC" there were substituted "Regulation (EC) No 1272/2008 of the European Parliament and of the Council on classification, labelling and packaging of substances and mixtures".
- F10 Regs. 3A-3E inserted (31.12.2020) by The Waste (Miscellaneous Amendments) (EU Exit) (No. 2) Regulations 2019 (S.I. 2019/188), regs. 1(2)(b), 16(3) (as amended by S.I. 2019/1078, regs. 1, 4(4)(a) and S.I. 2020/1540, regs. 1(2)(3), 11(2)(10)(b)-(d)); 2020 c. 1, Sch. 5 para. 1(1)

Modification of the Waste Framework Directive

3D.—(1) For the purposes of these Regulations, the Waste Framework Directive is to be read in accordance with this regulation.

(2) A reference to one or more member States in a provision imposing an obligation or providing a discretion on a member State or member States is to be read as a reference to the appropriate authority, appropriate body or local authority which, immediately before IP completion day, was responsible for the United Kingdom's compliance with that obligation or able to exercise that discretion in England or Wales.

- (3) Article 2 is to be read as if—
 - (a) in paragraph 2—
 - (i) in the words before point (a), for "other Community legislation" there were substituted "retained EU law";
 - (ii) in points (b) and (c), for "Regulation (EC) No 1774/2002" there were substituted " Regulation (EC) No 1069/2009 ";
 - (iii) in point (d), for the words from "Directive 2006/21/EC" to the end, there was substituted " the Mining Waste Directive ";
 - (b) in paragraph 3, the words from "Without prejudice" to "Community legislation," were omitted;
 - (c) paragraph 4 were omitted.

(4) Article 3 is to be read as if, in point (2), for "Article 2(11) of Directive $\frac{96}{61/EC}$ " there were substituted "Article 3(10) of the Industrial Emissions Directive ".

- (5) Article 5 is to be read as if—
 - (a) in paragraph 1, "Member States shall take appropriate measures to ensure that" were omitted;
 - (b) after paragraph 1 there were inserted—
 - "1A. Any decision as to whether a substance or object is a by-product must be made—
 - (a) in accordance with any regulations setting out detailed criteria on the application of the conditions in paragraph 1 to specific substances or objects; and

- (b) having regard to any guidance published by the appropriate authority or the appropriate body for the purposes of this Article.";
- (c) paragraphs 2 and 3 were omitted.
- (6) Article 6 is to be read as if—
 - (a) in paragraph 1, "Member States shall take appropriate measures to ensure that" were omitted;
 - (b) after paragraph 1 there were inserted—

"1A. Any decision as to whether a substance or object has ceased to be waste must be made—

- (a) in accordance with any regulations or retained direct EU legislation setting out detailed criteria on the application of the conditions in paragraph 1 to specific types of waste; and
- (b) having regard to any guidance published by the appropriate authority or the appropriate body for the purposes of this Article.";
- (c) in paragraph 2—
 - (i) the first subparagraph were omitted;
 - (ii) in the second subparagraph, for "Those detailed criteria" there were substituted "Any detailed criteria set out in guidance as referred to in paragraph 1A";
 - (iii) the third and fourth subparagraphs were omitted;
- (d) paragraph 3 were omitted;
- (e) in paragraph 4—
 - (i) in the first subparagraph—
 - (aa) in the first sentence, for the words from the beginning to "Member State", there were substituted "Where criteria have not been set out as referred to in paragraph 1A(a), the appropriate body";
 - (bb) the second sentence were omitted;
 - (ii) in the second subparagraph—
 - (aa) for "Member States" there were substituted "The appropriate body";
 - (bb) "by competent authorities" were omitted.
- (7) Article 7 is to be read as if—
 - (a) before paragraph 1 there were inserted—

"A1. In this Article, the "list of waste" means the list contained in the Annex to Commission Decision 2000/532/EC, as that list has effect in England or in Wales (as the case may be).";

- (b) in paragraph 1-
 - (i) the first and second sentences were omitted;
 - (ii) for the third sentence there were substituted "The list of waste shall, except as provided in Commission Decision 2000/532/EC, be binding as regards determination of the waste which is to be considered as hazardous waste or as nonhazardous waste.";
- (c) paragraphs 2, 3, 6 and 7 were omitted.
- (8) Article 16 is to be read as if—
 - (a) in paragraph 1, in the first subparagraph—

- (i) the words from ", in cooperation with" to "advisable," were omitted;
- (ii) ", taking into account best available techniques" were omitted;
- (b) in paragraph 2—
 - (i) for "Community as a whole to become" there were substituted "United Kingdom as a whole to move towards becoming ";
 - (ii) for the words from "and to enable" to "individually" were omitted.

(9) Article 23(1) and (3) is to be read as if for "competent authority" there were substituted " appropriate body ".

(10) Article 35(1) is to be read as if, for the second paragraph, there were substituted—

"They shall make that data available to the appropriate body through any electronic registry established for the reporting of such data or, if no such registry is in operation, in such form and manner as the appropriate body may specify."

(11) Annex 3 is to be read as if, in entry HP 9, in the second sentence, "in the Member States" were omitted.

- (12) Annex 4 is to be read as if—
 - (a) in paragraph 3, "at Community level" were omitted;
 - (b) in paragraph 6, for "this Directive and Directive 96/61/EC" there were substituted " the Environmental Permitting (England and Wales) Regulations 2016 ";
 - (c) in paragraph 7, for "Directive 96/61/EC", there were substituted " the Environmental Permitting (England and Wales) Regulations 2016 ";
 - (d) in paragraph 10, "EMAS and" were omitted.
- (13) Annex 4a is to be read as if, in point 6, "including through Union funds" were omitted.
- F10 Regs. 3A-3E inserted (31.12.2020) by The Waste (Miscellaneous Amendments) (EU Exit) (No. 2) Regulations 2019 (S.I. 2019/188), regs. 1(2)(b), 16(3) (as amended by S.I. 2019/1078, regs. 1, 4(4)(a) and S.I. 2020/1540, regs. 1(2)(3), 11(2)(10)(b)-(d)); 2020 c. 1, Sch. 5 para. 1(1)

Modification of Directive 2000/60/EC of the European Parliament and of the Council

3E.—(1) For the purposes of regulation 3C(4)(a), Article 11(3)(j) of Directive 2000/60/EC of the European Parliament and of the Council is to be read as if—

- (a) the reference to "Member States" were a reference to the appropriate authority or appropriate body;
- (b) in the words after the sixth indent, "environmental objectives"—
 - (i) in relation to the Northumbria River Basin District, means the objectives referred to in the WFD Regulations as applied by regulation 5 of the Water Environment (Water Framework Directive) (Northumbria River Basin District) Regulations 2003;
 - (ii) in relation to the Solway Tweed River Basin District, has the same meaning as in regulation 2 of the Water Environment (Water Framework Directive) (Solway Tweed River Basin District) Regulations 2004;
 - (iii) in relation to a river basin district within the meaning of the WFD Regulations, has the same meaning as in those Regulations.

(2) In paragraph (1)(b), "the WFD Regulations" means the Water Environment (Water Framework Directive) (England and Wales) Regulations 2017.]

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F10 Regs. 3A-3E inserted (31.12.2020) by The Waste (Miscellaneous Amendments) (EU Exit) (No. 2) Regulations 2019 (S.I. 2019/188), regs. 1(2)(b), 16(3) (as amended by S.I. 2019/1078, regs. 1, 4(4)(a) and S.I. 2020/1540, regs. 1(2)(3), 11(2)(10)(b)-(d)); 2020 c. 1, Sch. 5 para. 1(1)

Changes to legislation:

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View outstanding changes

Changes and effects yet to be applied to the whole Instrument associated Parts and Chapters:

Whole provisions yet to be inserted into this Instrument (including any effects on those provisions):

- reg. 13(1A) inserted by S.I. 2023/1290 reg. 11
- reg. 14(A1) inserted by S.I. 2023/1290 reg. 12
- reg. 15A inserted by S.I. 2023/1290 reg. 13
- reg. 16(3)(ba) substituted for reg. 16(3)(b) by 2023 c. 55 Sch. 8 para. 32