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STATUTORY INSTRUMENTS

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**2011 No. 3066**

**The Railways (Interoperability) Regulations 2011**

**PART 2**

Subsystems

**Project subsystems: [F1UK] verification assessment procedure**

17.—(1) The [F2UK] verification assessment procedure for [F3an approved body] carrying out an assessment <sup>F4</sup>... is—

- (a) in so far as that subsystem is required to conform with all or part of a [F5NTSN], the procedures specified in the [F5NTSN] or part of the [F5NTSN] with which that subsystem is required to conform; and
  - (b) the applicable procedure set out in [F6Schedule 4].
- (2) The [F7approved body] carrying out an assessment <sup>F8</sup>... must—
- (a) compile a technical file containing—
    - (i) the items required by section 2.4 of [F9Schedule 4], including the certificate of verification;
    - (ii) documents relating to the conditions and limits of use of the project subsystem;
    - (iii) documents relating to the characteristics of the project subsystem;
    - (iv) manuals and instructions relating to the servicing, constant or routine monitoring, adjustment and maintenance of the project subsystem;
    - (v) documentation or records of any decision of the Competent Authority under regulation 13(8) as to the extent to which any [F10NTSN] applies to the project subsystem; and
    - [F11(vi) documentation or records of a determination of the Competent Authority in relation to an exemption from an applicable NTSN, pursuant to regulations 14 and 14A; and;
  - (b) assess the interface between the project subsystem and the rail system to the extent that such an assessment is possible based on the available information referred to in paragraph (3).
- (3) The assessment under paragraph (2)(b) must be based on information available in the relevant [F12NTSN] and in any registers [F13or lists] kept in accordance with [F14regulations 8 (Determination of type), 35 (Register of infrastructure) and 36 (National vehicle register)].
- (4) The [F15UK] verification assessment procedure for a body carrying out an assessment in relation to [F16UK specific rules] is the applicable procedure set out in [F17Schedule 4].
- (5) The body carrying out an assessment in relation to [F18UK specific rules] must compile a technical file in accordance with [F19Schedule 4].

### Textual Amendments

- F1** Word in reg. 17 heading inserted (31.12.2020) by The Railways (Interoperability) (Amendment) (EU Exit) Regulations 2019 (S.I. 2019/345), regs. 1(2), **2(23)(a)**; 2020 c. 1, Sch. 5 para. 1(1)
- F2** Word in reg. 17(1) inserted (31.12.2020) by The Railways (Interoperability) (Amendment) (EU Exit) Regulations 2019 (S.I. 2019/345), regs. 1(2), **2(23)(b)(i)(aa)**; 2020 c. 1, Sch. 5 para. 1(1)
- F3** Words in reg. 17(1) substituted (31.12.2020) by The Railways (Interoperability) (Amendment) (EU Exit) Regulations 2019 (S.I. 2019/345), regs. 1(2), **2(23)(b)(i)(bb)**; 2020 c. 1, Sch. 5 para. 1(1)
- F4** Words in reg. 17(1) omitted (31.12.2020) by virtue of The Railways (Interoperability) (Amendment) (EU Exit) Regulations 2019 (S.I. 2019/345), regs. 1(2), **2(23)(b)(i)(cc)**; 2020 c. 1, Sch. 5 para. 1(1)
- F5** Word in reg. 17(1)(a) substituted (31.12.2020) by The Railways (Interoperability) (Amendment) (EU Exit) Regulations 2019 (S.I. 2019/345), regs. 1(2), **2(23)(b)(ii)**; 2020 c. 1, Sch. 5 para. 1(1)
- F6** Words in reg. 17(1)(b) substituted (31.12.2020) by The Railways (Interoperability) (Amendment) (EU Exit) Regulations 2019 (S.I. 2019/345), regs. 1(2), **2(23)(b)(iii)**; 2020 c. 1, Sch. 5 para. 1(1)
- F7** Words in reg. 17(2) substituted (31.12.2020) by The Railways (Interoperability) (Amendment) (EU Exit) Regulations 2019 (S.I. 2019/345), regs. 1(2), **2(23)(c)(i)(aa)**; 2020 c. 1, Sch. 5 para. 1(1)
- F8** Words in reg. 17(2) omitted (31.12.2020) by virtue of The Railways (Interoperability) (Amendment) (EU Exit) Regulations 2019 (S.I. 2019/345), regs. 1(2), **2(23)(c)(i)(bb)**; 2020 c. 1, Sch. 5 para. 1(1)
- F9** Words in reg. 17(2)(a)(i) substituted (31.12.2020) by The Railways (Interoperability) (Amendment) (EU Exit) Regulations 2019 (S.I. 2019/345), regs. 1(2), **2(23)(c)(ii)(aa)**; 2020 c. 1, Sch. 5 para. 1(1)
- F10** Word in reg. 17(2)(a)(v) substituted (31.12.2020) by The Railways (Interoperability) (Amendment) (EU Exit) Regulations 2019 (S.I. 2019/345), regs. 1(2), **2(23)(c)(ii)(bb)**; 2020 c. 1, Sch. 5 para. 1(1)
- F11** Reg. 17(2)(a)(vi) substituted (31.12.2020) by The Railways (Interoperability) (Amendment) (EU Exit) Regulations 2019 (S.I. 2019/345), regs. 1(2), **2(23)(c)(ii)(cc)**; 2020 c. 1, Sch. 5 para. 1(1)
- F12** Word in reg. 17(3) substituted (31.12.2020) by The Railways (Interoperability) (Amendment) (EU Exit) Regulations 2019 (S.I. 2019/345), regs. 1(2), **2(23)(d)(i)**; 2020 c. 1, Sch. 5 para. 1(1)
- F13** Words in reg. 17(3) inserted (31.12.2020) by The Railways (Interoperability) (Amendment) (EU Exit) Regulations 2019 (S.I. 2019/345), regs. 1(2), **2(23)(d)(ii)**; 2020 c. 1, Sch. 5 para. 1(1)
- F14** Words in reg. 17(3) substituted (31.12.2020) by The Railways (Interoperability) (Amendment) (EU Exit) Regulations 2019 (S.I. 2019/345), regs. 1(2), **2(23)(d)(iii)**; 2020 c. 1, Sch. 5 para. 1(1)
- F15** Word in reg. 17(4) inserted (31.12.2020) by The Railways (Interoperability) (Amendment) (EU Exit) Regulations 2019 (S.I. 2019/345), regs. 1(2), **2(23)(e)**; 2020 c. 1, Sch. 5 para. 1(1)
- F16** Words in reg. 17(4) substituted (31.12.2020) by The Railways (Interoperability) (Amendment) (EU Exit) Regulations 2019 (S.I. 2019/345), regs. 1(2), **2(23)(f)(i)**; 2020 c. 1, Sch. 5 para. 1(1)
- F17** Words in reg. 17(4) substituted (31.12.2020) by The Railways (Interoperability) (Amendment) (EU Exit) Regulations 2019 (S.I. 2019/345), regs. 1(2), **2(23)(f)(ii)**; 2020 c. 1, Sch. 5 para. 1(1)
- F18** Words in reg. 17(5) substituted (31.12.2020) by The Railways (Interoperability) (Amendment) (EU Exit) Regulations 2019 (S.I. 2019/345), regs. 1(2), **2(23)(f)(i)**; 2020 c. 1, Sch. 5 para. 1(1)
- F19** Words in reg. 17(5) substituted (31.12.2020) by The Railways (Interoperability) (Amendment) (EU Exit) Regulations 2019 (S.I. 2019/345), regs. 1(2), **2(23)(f)(ii)**; 2020 c. 1, Sch. 5 para. 1(1)

**Changes to legislation:**

There are currently no known outstanding effects for the The Railways (Interoperability) Regulations 2011, Section 17.