

## SCHEDULE 6

### Changes to participants

## PART 3

### Undertakings

#### SECTION 1

##### *Post-qualification period*

#### **Time for applications**

1. Where an application for registration is required under this section(1), that application must be made by the time provided under article 12.

#### **Significant group undertakings leaving a group but not joining another group**

2.—(1) Sub-paragraph (2) applies to a group (“A”) where the following change occurs in the post-qualification period—

- (a) a significant group undertaking (“B”) leaves A; and
- (b) B does not become a member of another group.

(2) In respect of the change—

- (a) B must—
  - (i) apply to be registered as a participant in accordance with article 11; and
  - (ii) when doing so notify the administrator that it was a member of A and when it ceased to be so;
- (b) A must—
  - (i) apply to be registered as a participant in accordance with article 11;
  - (ii) when doing so notify the administrator that B was a member of A and when it ceased to be so; and
  - (iii) in respect of the information required under paragraph 6 of Schedule 5, include the information which applied to B in the qualification year.

#### **Joining of undertakings with a group which is not required to register as a participant**

3.—(1) Sub-paragraph (2) applies to a group or undertaking (“C”) where the following change occurs in the post-qualification period—

- (a) C becomes a significant group undertaking as a member of another group (“D”); and
- (b) D is not required to register under article 24.

(2) In respect of the change—

- (a) D must—
  - (i) apply for registration as a participant in accordance with article 11; and

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(1) This section applies to an undertaking or a group of undertakings further to article 27(2).

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- (ii) when doing so notify the administrator that C is a member of D and when that occurred;
  - (b) C must not apply for registration as a participant;
  - (c) D is only a participant in respect of C; and
  - (d) D must with the application for registration provide in respect of the qualification year the information in sub-paragraph (3).
- (3) The information referred to in sub-paragraph (2)—
- (a) a list of the settled half hourly meters which measured the supply of electricity to D and the identification numbers of those meters; and
  - (b) in respect of the supply of electricity to D measured by settled half hourly meters and non-settled half hourly meters—
    - (i) whether or not the supply equalled or exceeded 3000 MWh and, if it did, the amount of the supply; and
    - (ii) if the supply equalled or exceeded 6000 MWh—
      - (aa) why D was not required to register as a participant; and
      - (bb) which deductions from a supply in section 4 and, if applicable, section 5 of Schedule 1 are applicable to the supply.

#### **Joining of undertakings with a group which is required to register as a participant**

- 4.—(1) Sub-paragraphs (2) to (4) apply to a group or undertaking (“E”) where the following change occurs in the post-qualification period—
- (a) E becomes a significant group undertaking as a member of another group (“F”); and
  - (b) F is required to register under article 24 but has not applied for registration.
- (2) In respect of the change F must—
- (a) apply for registration as a participant in accordance with article 11;
  - (b) when doing so notify the administrator that E is a member of F and when that occurred;
  - (c) in that notification F must inform the administrator whether or not F requests that E may apply for registration as a separate participant; and
  - (d) in respect of the information required under paragraph 6 of Schedule 5, include the information which applied to E in the qualification year.
- (3) Where a request is made under sub-paragraph (2)(c), that must be treated as a request under article 25(2) and paragraphs (3) and (4) of that article apply.
- (4) Except where sub-paragraph (3) applies, E must not apply for registration as a participant.

#### **Significant group undertakings transferring between groups**

- 5.—(1) Sub-paragraphs (2) to (4) apply to a significant group undertaking (“G”) of a group (“H”) where—
- (a) G becomes a significant group undertaking as a member of another group (“J”) in the post-qualification period; and
  - (b) H and J are groups to which article 27(2) applies.
- (2) In respect of the change H and J must—
- (a) apply for registration as participants in accordance with article 11;
  - (b) when doing so notify the administrator of the change and when it occurred; and

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- (c) in the notification by J, it must inform the administrator whether or not J requests that G may apply for registration as a separate participant.
- (3) Where a request is made under sub-paragraph (2)(c), that must be treated as a request under article 25(2) and paragraphs (3) to (6) of that article apply.
- (4) In respect of the information required under paragraph 6 of Schedule 5—
  - (a) H must include the information which applied to G in the qualification year;
  - (b) J must not include that information.