SCHEDULE 13

Penalties

PART A

Provisions referred to in article 241(5)

SECTION 1

| Article of Order | Subject Matter |
|------------------|---|
| 5(3) | Unqualified person not to cause or permit aircraft to be used for commercial air transport, public transport or aerial work |
| 6(6) | Aircraft to fly in accordance with conditions in aircraft dealer's certificate |
| 7(1) | Certificate of registration to be returned |
| 7(2) | Registered owner to inform the CAA of specified events |
| 7(3) | Person who becomes the owner of aircraft registered in the United Kingdom must inform the CAA |
| 23(4) | Requirement for placard |
| 23(5) | Requirement to fly by day and in accordance with Visual Flight Rules |
| 24(2) | Restriction on purposes of flight by aircraft with a certificate of validation |
| 26(5) | Carriage and keeping of certificate of maintenance review |
| 26(6) | Preservation of certificate of maintenance review |
| 27(8) | Carriage and keeping of technical log |
| 27(10) | Preservation of technical log |
| 34(6) | Preservation of log books |
| 35(5) | Preservation of weight schedule |
| 75 | Requirement for training for landing on or taking off from water |
| 79 | Requirement to keep personal flying log book |
| 81 | Minimum age for glider pilot |
| 93(5) | Pilot to be secured in seat |
| 93(6) | Operator not to permit helicopter rotor to be turned unless pilot at controls |
| 94(1) and (2) | Requirements for accident prevention and flight safety programme |
| 100(1) | Person supervising loading to prepare and sign load sheet and submit it for examination by commander |
| 100(2) | Carriage and preservation of load sheet |
| 101(1) | Carriage of baggage |
| 111(1) | Requirement to wear a survival suit |
| 127 | Self-sustaining glider not to take off under its own power |
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| Article of Order | Subject Matter |
|--------------------------|--|
| 150(1), (2) and (4) | Documents to be carried |
| 156(1), (2), (4) and (5) | Production of documents and records |
| 157 | Production of air traffic service equipment documents and records |
| 159 | Preservation of documents |
| 160(5) | Requirement to inform CAA of departure from Rules of the Air |
| 189(1) | Requirement to inform air navigation service provider |
| 189(2) and (3) | Requirement to notify CAA and forward licence to CAA |
| 190 | Air navigation service provider to keep records |
| 192 | Incapacity of air traffic controllers |
| 195 | Not to act as air traffic controller or student air traffic controller where exam etc failed |
| 198 | Certification of training providers |
| 199 | Requirement for certified training provider to produce records |
| 205(3) | Requirement to notify aeronautical radio station service |
| 206(1) | Requirement to keep air traffic service equipment records |
| 206(4) | Capability of recording apparatus |
| 206(5) | Operation of recording apparatus |
| 206(8) and (9) | Requirements where apparatus ceases to be capable of recording or becomes unserviceable |
| 206(10) to (12) | Air traffic service equipment records |
| 211(3) | Requirement to supply information |
| 212(4) | Requirement for holder of public use licence to notify |
| 213 | Requirement for holder of public use licence to supply information to Secretary of State |
| 214 | Requirement to make air navigation facilities available |
| 224(1) | Filing and approval of tariffs |
| 228(3) | Requirement to surrender document to CAA |
| 230(7) | Requirement to surrender permit to Secretary of State |
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SECTION 2

| Provision of Part | Subject Matter |
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| 21, M, 145, 66 or | |
| 147 | |
| 21A.61 | Instructions for the continued airworthiness by the holder of type certificate or restricted type certificate |

| <i>Provision of Part</i> 21, M, 145, 66 or 147 | Subject Matter |
|--|--|
| 21A.107 | Variations to instructions for continued airworthiness by the holder of a minor change approval to type design |
| 21A.120 | Instructions for continued airworthiness by supplemental type certificate holder |
| 21A.130 | Requirement for statement of conformity |
| 21A.147 | Approval of changes to the approved production organisation |
| 21A.180 | Inspections to be permitted by holder of airworthiness certificate |
| 21A.439 | Production of repair parts |
| 21A.441 | Repair embodiment |
| 21A.449 | Instructions for continued airworthiness by holder of repair design approval |
| 21A.721 | Inspections to be allowed by holder of, or applicant for, a permit to fly |
| 21A.729 | Record keeping by the holder of the approval of the flight conditions |
| 21A.805 | Identification of critical parts |
| M.A.307 | Transfer of aircraft continuing airworthiness records |
| M.A.401 | Use of current maintenance data |
| M.A.402 | Performance of maintenance |
| M.A.501 | Installation of components |
| M.A.502 | Component maintenance |
| M.A.504 (b),(c) and (d) | Control of unserviceable components |
| M.A.611 | Maintenance standards |
| M.A.614 | Maintenance records to be kept by approved maintenance organisation |
| M.A.617 | Notification of changes to the approved maintenance organisation |
| M.A.713 | Notification of changes to the approved continuing airworthiness organisation |
| M.A.714 | Record keeping by continuing airworthiness management organisation |
| M.A.902(c) | Airworthiness review certificate to be returned |
| 145.A.85 | Notification of changes to the organisation |
| 147.A.110 | Records of instructors, examiners and assessors |
| 147.A.125 | Records |
| 147.A.150 | Notification of changes to the maintenance training organisation |

SECTION 3

| Provision of EU- OPS | Subject Matter |
|-------------------------|--|
| 1.037 | Accident prevention and flight safety programme |
| 1.110 | Use of Portable Electronic Devices |
| 1.125 | Documents to be carried |
| 1.130 | Manuals to be carried |
| 1.135(a) | Additional information and forms to be carried |
| 1.140 | Information to be retained on the ground |
| 1.150 | Production of documentation and records |
| 1.155 | Preservation of documentation |
| 1.165(b) and (c) | Leasing requirements |
| 1.205 | Competence of operations personnel |
| 1.220 | Authorisation of aerodromes by operator |
| 1.230 | Use of instrument departure and approach procedures |
| 1.295 | Selection of aerodromes |
| 1.300 | Submission of ATS flight plan |
| 1.335 | Smoking on board |
| 1.340 | Meteorological conditions |
| 1.345(b) | Commander not to take off unless external surfaces are clear |
| 1.350 | Fuel and oil supply |
| 1.355 | Take-off conditions |
| 1.360 | Commander to be satisfied as to take off minima |
| 1.385 | Use of supplemental oxygen |
| 1.400 | Approach and landing conditions |
| 1.405(a), (d) and (e) | Commencement and continuation of approach |
| 1.415 | Requirement for journey log |
| 1.420(b), (c) and (d) | Occurrence reporting |
| 1.440 | Low visibility operations – general operating roles |
| 1.445 | Low visibility operations – aerodrome considerations |
| 1.450 | Low visibility operations – training and qualifications |
| 1.455 | Low visibility operations – operating procedures |
| 1.460 | Low visibility operations – minimum equipment |
| 1.465 | VFR operating minima |
| 1.615 | Mass values for crew |
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| Provision of EU- OPS | Subject Matter |
|-------------------------|---|
| 1.620 | Mass values for passengers and baggage |
| 1.985 | Training records for flight crew |
| 1.1035 | Training records for cabin crew |
| 1.1105 | Maximum daily flight duty period |
| 1.1110 | Rest requirements |
| 1.1135 | Flight duty, duty and rest period records |