

SCHEDULE 13

Article 241

Penalties

PART A

Provisions referred to in article 241(5)

SECTION 1

<i>Article of Order</i>	<i>Subject Matter</i>
5(3)	Unqualified person not to cause or permit aircraft to be used for commercial air transport, public transport or aerial work
6(6)	Aircraft to fly in accordance with conditions in aircraft dealer's certificate
7(1)	Certificate of registration to be returned
7(2)	Registered owner to inform the CAA of specified events
7(3)	Person who becomes the owner of aircraft registered in the United Kingdom must inform the CAA
23(4)	Requirement for placard
23(5)	Requirement to fly by day and in accordance with Visual Flight Rules
24(2)	Restriction on purposes of flight by aircraft with a certificate of validation
26(5)	Carriage and keeping of certificate of maintenance review
26(6)	Preservation of certificate of maintenance review
27(8)	Carriage and keeping of technical log
27(10)	Preservation of technical log
34(6)	Preservation of log books
35(5)	Preservation of weight schedule
75	Requirement for training for landing on or taking off from water
79	Requirement to keep personal flying log book
81	Minimum age for glider pilot
93(5)	Pilot to be secured in seat
93(6)	Operator not to permit helicopter rotor to be turned unless pilot at controls
94(1) and (2)	Requirements for accident prevention and flight safety programme
100(1)	Person supervising loading to prepare and sign load sheet and submit it for examination by commander
100(2)	Carriage and preservation of load sheet
101(1)	Carriage of baggage
111(1)	Requirement to wear a survival suit
127	Self-sustaining glider not to take off under its own power

Status: This is the original version (as it was originally made).

<i>Article of Order</i>	<i>Subject Matter</i>
150(1), (2) and (4)	Documents to be carried
156(1), (2), (4) and (5)	Production of documents and records
157	Production of air traffic service equipment documents and records
159	Preservation of documents
160(5)	Requirement to inform CAA of departure from Rules of the Air
189(1)	Requirement to inform air navigation service provider
189(2) and (3)	Requirement to notify CAA and forward licence to CAA
190	Air navigation service provider to keep records
192	Incapacity of air traffic controllers
195	Not to act as air traffic controller or student air traffic controller where exam etc failed
198	Certification of training providers
199	Requirement for certified training provider to produce records
205(3)	Requirement to notify aeronautical radio station service
206(1)	Requirement to keep air traffic service equipment records
206(4)	Capability of recording apparatus
206(5)	Operation of recording apparatus
206(8) and (9)	Requirements where apparatus ceases to be capable of recording or becomes unserviceable
206(10) to (12)	Air traffic service equipment records
211(3)	Requirement to supply information
212(4)	Requirement for holder of public use licence to notify
213	Requirement for holder of public use licence to supply information to Secretary of State
214	Requirement to make air navigation facilities available
224(1)	Filing and approval of tariffs
228(3)	Requirement to surrender document to CAA
230(7)	Requirement to surrender permit to Secretary of State

SECTION 2

<i>Provision of Part</i>	<i>Subject Matter</i>
<i>21, M, 145, 66 or 147</i>	
21A.61	Instructions for the continued airworthiness by the holder of type certificate or restricted type certificate

<i>Provision of Part 21, M, 145, 66 or 147</i>	<i>Subject Matter</i>
21A.107	Variations to instructions for continued airworthiness by the holder of a minor change approval to type design
21A.120	Instructions for continued airworthiness by supplemental type certificate holder
21A.130	Requirement for statement of conformity
21A.147	Approval of changes to the approved production organisation
21A.180	Inspections to be permitted by holder of airworthiness certificate
21A.439	Production of repair parts
21A.441	Repair embodiment
21A.449	Instructions for continued airworthiness by holder of repair design approval
21A.721	Inspections to be allowed by holder of, or applicant for, a permit to fly
21A.729	Record keeping by the holder of the approval of the flight conditions
21A.805	Identification of critical parts
M.A.307	Transfer of aircraft continuing airworthiness records
M.A.401	Use of current maintenance data
M.A.402	Performance of maintenance
M.A.501	Installation of components
M.A.502	Component maintenance
M.A.504 (b),(c) and (d)	Control of unserviceable components
M.A.611	Maintenance standards
M.A.614	Maintenance records to be kept by approved maintenance organisation
M.A.617	Notification of changes to the approved maintenance organisation
M.A.713	Notification of changes to the approved continuing airworthiness organisation
M.A.714	Record keeping by continuing airworthiness management organisation
M.A.902(c)	Airworthiness review certificate to be returned
145.A.85	Notification of changes to the organisation
147.A.110	Records of instructors, examiners and assessors
147.A.125	Records
147.A.150	Notification of changes to the maintenance training organisation

Status: This is the original version (as it was originally made).

SECTION 3

<i>Provision of EU-OPS</i>	<i>Subject Matter</i>
1.037	Accident prevention and flight safety programme
1.110	Use of Portable Electronic Devices
1.125	Documents to be carried
1.130	Manuals to be carried
1.135(a)	Additional information and forms to be carried
1.140	Information to be retained on the ground
1.150	Production of documentation and records
1.155	Preservation of documentation
1.165(b) and (c)	Leasing requirements
1.205	Competence of operations personnel
1.220	Authorisation of aerodromes by operator
1.230	Use of instrument departure and approach procedures
1.295	Selection of aerodromes
1.300	Submission of ATS flight plan
1.335	Smoking on board
1.340	Meteorological conditions
1.345(b)	Commander not to take off unless external surfaces are clear
1.350	Fuel and oil supply
1.355	Take-off conditions
1.360	Commander to be satisfied as to take off minima
1.385	Use of supplemental oxygen
1.400	Approach and landing conditions
1.405(a), (d) and (e)	Commencement and continuation of approach
1.415	Requirement for journey log
1.420(b), (c) and (d)	Occurrence reporting
1.440	Low visibility operations – general operating roles
1.445	Low visibility operations – aerodrome considerations
1.450	Low visibility operations – training and qualifications
1.455	Low visibility operations – operating procedures
1.460	Low visibility operations – minimum equipment
1.465	VFR operating minima
1.615	Mass values for crew

<i>Provision of EU-OPS</i>	<i>Subject Matter</i>
1.620	Mass values for passengers and baggage
1.985	Training records for flight crew
1.1035	Training records for cabin crew
1.1105	Maximum daily flight duty period
1.1110	Rest requirements
1.1135	Flight duty, duty and rest period records

PART B

Provisions referred to in article 241(6)

SECTION 1

<i>Article of Order</i>	<i>Subject Matter</i>
3(1)	Aircraft to be registered
10(1)	Aircraft not to fly unless it has nationality and registration marks
10(3)	Aircraft not to bear misleading marks
15(1)	Compliance with operational directives
20(1)	Compliance with airworthiness directives
23(1)	Restrictions on purposes of flight by aircraft with national permit to fly
23(2)	Restrictions of carriage of persons by aircraft with national permit to fly
25(1)	Requirement to be maintained in accordance with approved maintenance schedule
25(2)	Maintenance review requirements
27(2)	Requirement for technical log
27(4)	Entries in technical log
27(7)	Certificate to be entered in technical log
28(2)	Requirement for certificate of release to service for non-EASA aircraft
32	Requirement for certificate of release to service for EASA aircraft
33(7)	Holder of maintenance licence not to exercise privileges when unfit
34(1), (2), (3) and (5)	Requirements for log books for non-EASA aircraft
35(3)	Requirement for weighing and determining centre of gravity
35(4)	Requirement for weight schedule
37(1), (2), (3), (8) and (9)	Requirements for aircraft to carry equipment
38	Carriage and use of equipment

Status: This is the original version (as it was originally made).

<i>Article of Order</i>	<i>Subject Matter</i>
39(1), (2) (7), (8) and (9)	Requirements for aircraft to carry radio communication and radio navigation equipment
40	Carriage of notified radio communication and radio navigation equipment
41(4)	Carriage of minimum equipment
42	Required flight crew
43(3)	Flight crew required by aircraft registered in the United Kingdom
44	Pilots required on public transport flights by flying machines
45(1)	Pilots required on public transport flights by aeroplanes
46(1)	Pilots required on public transport flights by helicopters
47	Flight navigators or navigational equipment required on public transport flights
48(2) and (3)	Requirement to carry cabin crew
49(1)	Compliance with direction to carry additional crew
63(2) and (3)	Holder of licence which does not meet minimum standards of Chicago Convention to obtain permission of competent authority and not to fly in the United Kingdom without permission of the CAA
74(1)	Person not to act as member of flight crew when unfit
74(2)	Requirement to inform CAA of injury, illness or pregnancy
80(2)	Requirements for giving of flying instruction
83	Operations manual requirements
84	Police operations manual requirements
85	Training manual requirements
86(2)	Pre-flight action by commander of aircraft
87	Commander to be satisfied that flight can be safely completed
88	Passenger briefing by commander
89	Commander to ensure demonstration of flight jackets
90	Commander to ensure crew, passengers and baggage secure
91	Commander to ensure demonstration of use of oxygen on public transport flights
92	Commander to demonstrate use of oxygen on aerial work and private flights
93(2), (3) and (4)	Pilots to remain at controls
95	Operator's responsibilities in relation to crew
96	Operator's responsibilities in relation to routes and aerodromes
97	Prohibition on public transport and commercial air transport flights at night and in specified meteorological conditions by aeroplane with one power unit registered elsewhere than in the United Kingdom
98	Operator's duty concerning loading of public transport aircraft

<i>Article of Order</i>	<i>Subject Matter</i>
103(1)	Requirement to comply with subpart F of EU-OPS
103(6) and (9)	Requirements for aeroplanes flying over water for public transport
104(2)	Public transport operating conditions and performance requirements for helicopters
104(6)	Requirements for helicopter on public transport flights flying over water
105	Requirements for helicopters carrying out a performance class 3 operation
106(1)(a)	Helicopter flying over water for public transport under national air operator's certificate on performance class 1 or class 2 operation
106(1)(b)	Helicopter flying over water under police air operator's certificate on a performance class 1 or class 2 operation
107	Aerodrome operating minima requirements for public transport aircraft registered in United Kingdom
108	Aerodrome operating minima requirements for public transport aircraft registered elsewhere than in United Kingdom
109	Aerodrome operating minima requirements for aerial work and private aircraft
110	Commander to be satisfied that additional survival equipment carried
112	Operation of radio in aircraft
113	Requirement for operation of airborne collision avoidance system
114	Requirements for training in operation of airborne collision avoidance system
115	Vibration health monitoring system to be operated in accordance with approved procedures
116	Person not to be in specified parts of aircraft in flight or object towed or attached
117(2)	Exits to be in working order
117(3)	Exits to be kept free of obstruction
118(2)	Operator to ensure marking of exits
119(2)	Operator to ensure marking of break-in areas
121	Requirements concerning equipment when flying in North Atlantic Minimum Navigation Performance Specification airspace
122	Requirements concerning equipment when aircraft registered in United Kingdom flying in Reduced Vertical Separation Minimum airspace
123	Requirements concerning equipment when aircraft registered elsewhere than in United Kingdom flying in United Kingdom Reduced Vertical Separation Minimum airspace
124	Requirements concerning equipment for aircraft registered in the United Kingdom flying in Required Navigation Performance airspace

Status: This is the original version (as it was originally made).

<i>Article of Order</i>	<i>Subject Matter</i>
125	Requirements concerning equipment for aircraft registered elsewhere than in the United Kingdom flying in United Kingdom Required Navigation Performance airspace
126	Towing of gliders
128	Towing and picking up persons and articles other than gliders
129	Dropping of articles and animals
130(1) and (4) to (8)	Requirements for parachuting
130(2)	Person not to be dropped so as to endanger persons or property
131(1) and (4) to (7)	Requirements for aerial application
140	Smoking in aircraft
141	Requirement to comply with lawful commands of commander of aircraft
142(a) & (b)	Acting in a disruptive manner
143	Stowaways
145(3)	Operator's obligation to obtain flight time records of flight crew
146(2)	Flight crew member's obligation to inform operator of flight times
147	Flight times – responsibilities of flight crew
152	Use of flight recording systems
153	Preservation of records of aeroplane flight data recorder
154	Preservation of records of helicopter flight data recorder
155	Preservation of records of helicopter cockpit voice recorder/flight data recorder
160(2)	Requirement to comply with Rules of the Air
161(4)	Requirement to comply with Restriction of Flying Regulations
161(5)	Requirement to leave restricted area
161(6)	Requirement to comply with instructions in restricted area or danger area
162(1) to (5) and (17)	Requirements for flying displays
163	Requirements for balloons
164	Requirements for gliders, kites and parascending parachutes
165	Requirements for airships
166	Requirements for small unmanned aircraft
167	Requirements for small unmanned surveillance aircraft
168	Requirements for rockets
170	Duty of person in charge to be satisfied as to competence of controllers
171	Requirement for Manual of Air Traffic Services
172	Requirement to provide air traffic services

<i>Article of Order</i>	<i>Subject Matter</i>
173(5)	Requirement to comply with air traffic direction
173(7)	Requirement to comply with provisional air traffic direction
174(4)	Requirement to comply with direction for airspace policy purposes
175	Use of radio callsigns at aerodromes
202(1)(a)	Requirement for flight information service officer's licence
202(1)(b)	Requirement to identify as flight information service officer
204	Requirement for flight information service manual
205(1)	Requirement for approval for air traffic service equipment
206(2)	Provision of recording apparatus
207	Requirement to use licensed or Government aerodrome
209	Lighting for helicopters flying for public transport at night
211(4)	Requirement to comply with aerodrome licence conditions
211(5)	Requirement to take reasonable steps to secure airspace safe for use by aircraft
211(7) to (10)	Requirements for aerodrome manual
217(1) and (2)	Obligations of person having management of fuel installation on an aerodrome
217(4) and (5)	Requirements to keep and produce records for fuel installation
218(1) and (3)	Requirements for aeronautical lights
219(2) to (5)	Requirements for lighting of en-route obstacles
220(2), (4) to (7) and (9)	Requirements for lighting of wind turbine generators in United Kingdom territorial waters
221(1) and (2)	Lights liable to endanger not to be exhibited
222	Lights which dazzle or distract not to be shone
227	Requirements to report bird strikes
237	Compliance with direction to make data available
239	Person not to obstruct or impede

SECTION 2

<i>Provision of Part</i>	<i>Subject Matter</i>
<i>21, M, 145, 66 or 147</i>	
21A.3(b) and (c)	Reporting of investigation of failures, malfunctions and defects
21A.3B(c)	Airworthiness directives – obligation to propose and make available corrective action
21A.129	Obligations of manufacturer

Status: This is the original version (as it was originally made).

<i>Provision of Part 21, M, 145, 66 or 147</i>	<i>Subject Matter</i>
21A.165	Obligations of a production organisation approval holder
21A.727	Obligations of the holder of a permit to fly
M.A.202	Occurrence reporting
M.A.303	Carrying out airworthiness directives
M.A.305	Aircraft continuing airworthiness record system
M.A.306	Operator's technical log system
M.A.708	Continuing airworthiness management
M.A.710	Conduct of airworthiness review
145.A.50	Certification of release to service for maintenance
145.A.55	Maintenance records to be kept by organisation approved under Part 145
145.A.60	Occurrence reporting

SECTION 3

<i>Provision of EU- OPS</i>	<i>Subject Matter</i>
1.030	Operator to establish and operate in accordance with minimum equipment list
1.070	Carriage of sporting weapons and ammunition
1.075	Carriage of person in part of aeroplane not designed for that purpose
1.085(f) 7, 8, 10, and 12	Responsibilities of commander
1.160	Preservation, production and use of flight recorder recordings
1.240	Operator's responsibilities in relation to routes and areas of operation
1.241	Operation in airspace with reduced vertical separation minima
1.243	Operation in areas with specific navigation performance requirements
1.245	Operation at distance from nearest aerodrome of two engined aeroplane without ETOPS approval
1.246	Requirement for ETOPS approval
1.285	Requirement for passenger briefing
1.290(b)	Flight preparation by commander
1.310(a)1 and 2	Flight crew to remain at station
1.320	Crew and passengers to be secured
1.398	Use of airborne collision avoidance system
1.430	Aerodrome operating minima

<i>Provision of EU-OPS</i>	<i>Subject Matter</i>
1.470	Performance requirements
1.605	Requirements for loading, mass and balance and centre of gravity
1.625	Mass and balance documentation
1.630(a)	Instruments and equipment required for flight
1.845(a)	Communication and navigation equipment required for flight
1.875	Aeroplane maintenance requirements
1.940	Composition of flight crew
1.943	Crew resource management training
1.945	Conversion training and checking
1.950	Differences and familiarisation training
1.960	Commanders holding a Commercial Pilot Licence – operator’s obligations
1.965	Training and checking crew
1.990	Number and composition of cabin crew
1.1040	Operations manual requirements
1.1100	Flight and duty limitations
1.1195	Requirements for acceptance of dangerous goods
1.1200(a)	Inspection for damage, leakage or contamination
1.1205(a)	Removal of contamination
1.1205(b)	Steps to be taken in the event of contamination
1.1210	Loading restrictions
1.1215	Provision of information
1.1220	Training requirements
1.1225	Reporting of dangerous goods incidents and accidents

PART C

Provisions referred to in article 241(7)

SECTION 1

<i>Article of Order</i>	<i>Subject Matter</i>
11	Aircraft not to fly for commercial air transport without EU-OPS AOC
12(1)	Aircraft not to fly for public transport without national AOC or EU-OPS AOC

Status: This is the original version (as it was originally made).

<i>Article of Order</i>	<i>Subject Matter</i>
13(4)	Aircraft not to fly in the service of a police authority except under a Police Air Operator's Certificate, a national Air Operator's Certificate or an EU-OPS Air Operator Certificate
16(1)	Aircraft not to fly without a certificate of airworthiness
16(7)	Aircraft to comply with flight manual
17(2)	Non-military State aircraft to have a national certificate of airworthiness or an EASA certificate of airworthiness
17(3)	Requirements for non-military State aircraft with EASA certificate of airworthiness
50(1)	Requirement for appropriate licence to act as member of flight crew of aircraft registered in the United Kingdom
61	Requirement for appropriate licence to act member of flight crew of aircraft registered elsewhere than in the United Kingdom
120	Obligations of operator and commander when flying over foreign country
132(2)	Requirement to comply with regulations for the carriage of dangerous goods
133(2)	Requirements for carriage of munitions of war
133(3)	Prohibition on carriage of sporting weapon or munition of war where passengers have access
134	Prohibition on carrying on board sporting weapons or munitions of war
138	Endangering safety of any person or property
139	Drunkenness in aircraft
142(c)	Intentional interference
149	Fatigue of crew – EU-OPS operator's responsibilities
145(1)	Operator's obligation to regulate flight times of crew
145(2)	Operator's obligation not permit flight by crew in dangerous state of fatigue
146(1)	Crew's obligation not to fly in dangerous state of fatigue
148	Protection of air crew from cosmic radiation
151	Keeping and production of records of exposure to cosmic radiation
169(1)	Requirement for air traffic control approval
177(1)	Requirement to hold air traffic controller's licence
178	Requirement to hold student air traffic controller's licence
193	Obligation not to act as air traffic controller or student air traffic controller if fatigued
194	Student air traffic controller not to act under influence of drink or drug
217(6)	Aviation fuel not to be dispensed if not fit for use
217(7)	Compliance with direction not to dispense aviation fuel

<i>Article of Order</i>	<i>Subject Matter</i>
223	Restrictions on carriage for valuable consideration in aircraft registered elsewhere than in the United Kingdom
225	Restriction on aerial photography, aerial survey and aerial work in aircraft registered elsewhere than in the United Kingdom
226(5) to (8)	Requirements to report occurrences
231 (except (2) and (5))	Prohibitions in relation to documents and records
232(3)	Flight in contravention of CAA's direction not to fly
233	Flight by third country aircraft in contravention of direction not to fly
236(3)	Flight in contravention of Secretary of State's direction not to fly

SECTION 2

<i>Provision of Part 21, M, 145, 66 or 147</i>	<i>Subject Matter</i>
M.A.201(a) and (b)	Responsibilities of owner or lessee
M.A.201(d)	Responsibilities of the pilot in command or operator
M.A.201(e) & (f)	Responsibilities of continuing airworthiness management organisation
M.A.201(h)	Obligations of commercial air transport operator
M.A.201(i)	Obligations of certificated operator
M.A.403	Aircraft defects to be rectified
M.A.902(b)	Aircraft not to fly if airworthiness review certificate invalid

SECTION 3

<i>Provision of EU-OPS</i>	<i>Subject Matter</i>
1.065	Prohibition of carriage of weapons or munitions of war
1.085(d) 4 and 5	Crew member's obligation not to fly in dangerous state of fatigue
1.390(a) 1 to 4	Protection of crew from cosmic radiation
1.1090 paragraphs 1 to 3	Operator's obligations for flight and duty time limitations and rest scheme
1.1090 paragraph 4.1	Crew member not to operate when fatigued or unfit
1.1145	Operator to comply with Technical Instructions
1.1155(a)	Operator to hold approval to transport dangerous goods
1.1165	Operator not to carry dangerous goods forbidden for transport by air

Status: This is the original version (as it was originally made).

PART D

Provisions referred to in article 241(8)

<i>Article of Order</i>	<i>Subject Matter</i>
137	Endangering safety of an aircraft
