

SCHEDULE 4

AMENDMENTS OF SCHEDULE 3 TO THE ACT

8. In paragraph 13—
 - (a) in sub-paragraph (1)—
 - (i) at the end of paragraph (b) omit “and”;
 - (ii) after paragraph (b) insert—
 - “(ba) in the case of a firm falling within paragraph 5(a), the Authority has given the firm notice for the purposes of this paragraph or two months have elapsed beginning with the date when the home state regulator gave the consent notice; and”;
 - (iii) in paragraph (c), at the beginning, insert “in the case of a firm falling within paragraph 5(b), (c), (d) or (f),”;
 - (b) in sub-paragraph (2), in paragraph (b), at the beginning insert “except if the firm falls within paragraph 5(a),”.