
STATUTORY INSTRUMENTS

2006 No. 599

HEALTH AND SAFETY

**The Railways and Other Guided Transport
Systems (Safety) Regulations 2006**

<i>Made</i>	- - - - -	<i>9th March 2006</i>
<i>Laid before Parliament</i>		<i>17th March 2006</i>
<i>Coming into force</i>		
<i>except for</i>		
<i>regulations 19, 23 to</i>		
<i>26, 29 and 34</i>		<i>10th April 2006</i>
<i>regulations 19, 23 to</i>		
<i>26, 29, and 34</i>		<i>1st October 2006</i>

**THE RAILWAYS AND OTHER GUIDED TRANSPORT
SYSTEMS (SAFETY) REGULATIONS 2006**

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Changes to legislation: There are outstanding changes not yet made by the legislation.gov.uk editorial team to *The Railways and Other Guided Transport Systems (Safety) Regulations 2006*. Any changes that have already been made by the team appear in the content and are referenced with annotations. (See end of Document for details) [View outstanding changes](#)

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(This Schedule substantially reproduces Annexes IV and V of Commission...

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(This Schedule substantially reproduces the provisions of Commission Regulation (EU)...

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Section 1

Management function requirements and assessment criteria

21. Leadership: commitment to the development and implementation of the maintenance system of the organisation and to the continuous improvement of its effectiveness
22. Risk assessment: a structured approach to assess risks associated with the maintenance of freight wagons, including those directly arising from operational processes and the activities of other organisations or persons, and to identify the appropriate risk control measures
23. Monitoring: a structured approach to ensure that risk control measures are in place, working correctly and achieving the organisation's objectives
24. Continuous improvement: a structured approach to analyse the information gathered through regular monitoring, auditing, or other relevant sources and to use the results to learn and to adopt preventive or corrective measures in order to maintain or improve the level of safety
25. Structure and responsibility: a structured approach to define the responsibilities of individuals and teams for secure delivery of the organisation's safety objectives
26. Competence management: a structured approach to ensure that employees have the competences required in order to achieve the organisation's objectives safely, effectively and efficiently in all circumstances

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27. Information: a structured approach to ensure that important information is available to those making judgments and decisions at all levels of the organisation
28. Documentation — a structured approach to ensure the traceability of all relevant information
29. Contracting activities — a structured approach to ensure that subcontracted activities are managed appropriately in order for the organisation's objectives to be achieved

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Requirements and assessment criteria for the maintenance development function

30. The organisation must have a procedure to identify and manage...
31. The organisation must have procedures to guarantee conformity with the...
32. The organisation must have a procedure to design and to...
33. When freight wagons start operations, the organisation must have procedures...
34. To keep the maintenance file updated throughout the lifecycle of...
35. When the competence management process is applied to the maintenance...
36. When the documentation process is applied to the maintenance development...

SECTION 3

Requirements and assessment criteria for the fleet maintenance management function

37. The organisation must have a procedure to check the competence,...
38. The organisation must have a procedure for the composition of...
39. The organisation must have a procedure to send freight wagons...
40. The organisation must have a procedure to manage the removal...
41. The organisation must have a procedure to define the necessary...
42. The organisation must have a procedure to issue a notice...
43. When the competence management process is applied to the fleet...
44. When the information process is applied to the fleet maintenance...
45. When the information process is applied to the fleet maintenance...
46. When the documentation process is applied to the fleet maintenance...

SECTION 4

Requirements and assessment criteria for the maintenance delivery function

47. The organisation must have procedures to— (a) check the completeness...
48. The organisation must have procedures to ensure that—
49. The organisation must have procedures to determine, identify, provide, record...
50. Where necessary to ensure valid results, the organisation must have...
51. The organisation must have procedures to ensure that all facilities,...
52. The organisation must have procedures to check that the performed...
53. When the risk assessment process, in particular paragraph 22.4, is...
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9. (1) The first assessment step must verify whether the observed...
10. (1) The second assessment step must consider the safety performance...
11. The third assessment step must verify whether it is the...
12. (1) The fourth assessment step must verify whether the number...
13. Moving weighted averaging process for the annual assessment of achievement of NRVs
14. Measurement units for NRVs and CSTs
15. Decision flowchart
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17. Values for CSTs

Explanatory Note

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Changes and effects yet to be applied to :

- reg. 2 word substituted by [S.I. 2019/345 Sch. 8 para. 3\(a\)\(i\)](#) (This amendment not applied to legislation.gov.uk. Sch. 8 para. 3(a)(i) substituted immediately before IP completion day by S.I. 2020/318, regs. 1(2)(b), 5(16))
- reg. 2 words inserted by [S.I. 2019/837 reg. 3\(2\)\(g\)](#) (This amendment not applied to legislation.gov.uk. Reg. 3(2)(g) substituted immediately before IP completion day by S.I. 2020/786, regs. 1(2)(b)(i), 7(2)(d))
- reg. 2 words omitted by [S.I. 2024/127 reg. 3\(2\)](#)
- reg. 2(3) words substituted by [S.I. 2019/837 reg. 3\(2\)\(l\)](#) (This amendment not applied to legislation.gov.uk. Reg. 3(2)(l) substituted immediately before IP completion day by S.I. 2020/786, regs. 1(2)(b)(i), 7(2)(f))
- reg. 15(6) omitted by [S.I. 2024/127 reg. 3\(3\)](#)
- reg. 15(7) omitted by [S.I. 2024/127 reg. 3\(3\)](#)
- reg. 27(1)(a) words substituted by [S.I. 2019/837 reg. 3\(10\)\(a\)](#) (This amendment not applied to legislation.gov.uk. Reg. 3(10)(a) substituted immediately before IP completion day by S.I. 2020/786, regs. 1(2)(b)(i), 7(5)(a))
- reg. 27(1)(d) words substituted by [S.I. 2019/837 reg. 3\(10\)\(b\)](#) (This amendment not applied to legislation.gov.uk. Reg. 3(10)(b) substituted immediately before IP completion day by S.I. 2020/786, regs. 1(2)(b)(i), 7(5)(b))

Changes and effects yet to be applied to the whole Instrument associated Parts and Chapters:

Whole provisions yet to be inserted into this Instrument (including any effects on those provisions):

- Sch. 2 para. 1(b)(i)(bb) words inserted in earlier amending provision S.I. 2019/837, reg. 3(11) by [S.I. 2019/1310 reg. 7\(2\)\(a\)](#)
- Sch. 2(1)(b)(i)(bb) omitted by [S.I. 2024/127 reg. 3\(4\)](#)